Security in MySQL

Abstract

This is the MySQL Security Guide extract from the MySQL 8.0 Reference Manual.

For legal information, see the Legal Notices.

For help with using MySQL, please visit the MySQL Forums, where you can discuss your issues with other MySQL users.

Document generated on: 2026-04-03 (revision: 84559)

Table of Contents

Preface and Legal Notices vii
1 Security 1
2 General Security Issues 3
2.1 Security Guidelines 3
2.2 Keeping Passwords Secure 5
2.2.1 End-User Guidelines for Password Security 5
2.2.2 Administrator Guidelines for Password Security 6
2.2.3 Passwords and Logging 6
2.3 Making MySQL Secure Against Attackers 7
2.4 Security-Related mysqld Options and Variables 9
2.5 How to Run MySQL as a Normal User 9
2.6 Security Considerations for LOAD DATA LOCAL 10
2.7 Client Programming Security Guidelines 14
3 Postinstallation Setup and Testing 17
3.1 Initializing the Data Directory 17
3.2 Starting the Server 23
3.2.1 Troubleshooting Problems Starting the MySQL Server 23
3.3 Testing the Server 25
3.4 Securing the Initial MySQL Account 27
3.5 Starting and Stopping MySQL Automatically 29
4 Access Control and Account Management 31
4.1 Account User Names and Passwords 32
4.2 Privileges Provided by MySQL 34
4.3 Grant Tables 53
4.4 Specifying Account Names 63
4.5 Specifying Role Names 65
4.6 Access Control, Stage 1: Connection Verification 66
4.7 Access Control, Stage 2: Request Verification 69
4.8 Adding Accounts, Assigning Privileges, and Dropping Accounts 71
4.9 Reserved Accounts 74
4.10 Using Roles 74
4.11 Account Categories 81
4.12 Privilege Restriction Using Partial Revokes 85
4.13 When Privilege Changes Take Effect 91
4.14 Assigning Account Passwords 92
4.15 Password Management 93
4.16 Server Handling of Expired Passwords 104
4.17 Pluggable Authentication 105
4.18 Multifactor Authentication 111
4.19 Proxy Users 115
4.20 Account Locking 122
4.21 Setting Account Resource Limits 123
4.22 Troubleshooting Problems Connecting to MySQL 125
4.23 SQL-Based Account Activity Auditing 129
5 Using Encrypted Connections 133
5.1 Configuring MySQL to Use Encrypted Connections 134
5.2 Encrypted Connection TLS Protocols and Ciphers 141
5.3 Creating SSL and RSA Certificates and Keys 150
5.3.1 Creating SSL and RSA Certificates and Keys using MySQL 150
5.3.2 Creating SSL Certificates and Keys Using openssl 153
5.3.3 Creating RSA Keys Using openssl 158
5.4 Connecting to MySQL Remotely from Windows with SSH 159
5.5 Reusing SSL Sessions 159
6 Security Components and Plugins 163
6.1 Authentication Plugins 164

6.1.1 Native Pluggable Authentication 165
6.1.2 Caching SHA-2 Pluggable Authentication 165
6.1.3 SHA-256 Pluggable Authentication 171
6.1.4 Client-Side Cleartext Pluggable Authentication 175
6.1.5 PAM Pluggable Authentication 175
6.1.6 Windows Pluggable Authentication 186
6.1.7 LDAP Pluggable Authentication 190
6.1.8 Kerberos Pluggable Authentication 210
6.1.9 No-Login Pluggable Authentication 222
6.1.10 Socket Peer-Credential Pluggable Authentication 225
6.1.11 FIDO Pluggable Authentication 227
6.1.12 Test Pluggable Authentication 233
6.1.13 Pluggable Authentication System Variables 235
6.2 Connection Control Plugins 253
6.2.1 Connection Control Plugin Installation 253
6.2.2 Connection Control Plugin System and Status Variables 257
6.3 The Password Validation Component 259
6.3.1 Password Validation Component Installation and Uninstallation 261
6.3.2 Password Validation Options and Variables 261
6.3.3 Transitioning to the Password Validation Component 270
6.4 The MySQL Keyring 271
6.4.1 Keyring Components Versus Keyring Plugins 273
6.4.2 Keyring Component Installation 273
6.4.3 Keyring Plugin Installation 276
6.4.4 Using the component_keyring_file File-Based Keyring Component 278
6.4.5 Using the component_keyring_encrypted_file Encrypted File-Based Keyring Component 281
6.4.6 Using the keyring_file File-Based Keyring Plugin 284
6.4.7 Using the keyring_encrypted_file Encrypted File-Based Keyring Plugin 285
6.4.8 Using the keyring_okv KMIP Plugin 286
6.4.9 Using the keyring_aws Amazon Web Services Keyring Plugin 291
6.4.10 Using the HashiCorp Vault Keyring Plugin 295
6.4.11 Using the Oracle Cloud Infrastructure Vault Keyring Component 302
6.4.12 Using the Oracle Cloud Infrastructure Vault Keyring Plugin 306
6.4.13 Supported Keyring Key Types and Lengths 309
6.4.14 Migrating Keys Between Keyring Keystores 310
6.4.15 General-Purpose Keyring Key-Management Functions 317
6.4.16 Plugin-Specific Keyring Key-Management Functions 324
6.4.17 Keyring Metadata 325
6.4.18 Keyring Command Options 325
6.4.19 Keyring System Variables 328
6.5 MySQL Enterprise Audit 343
6.5.1 Elements of MySQL Enterprise Audit 344
6.5.2 Installing or Uninstalling MySQL Enterprise Audit 344
6.5.3 MySQL Enterprise Audit Security Considerations 346
6.5.4 Audit Log File Formats 347
6.5.5 Configuring Audit Logging Characteristics 367
6.5.6 Reading Audit Log Files 377
6.5.7 Audit Log Filtering 381
6.5.8 Writing Audit Log Filter Definitions 385
6.5.9 Disabling Audit Logging 403
6.5.10 Legacy Mode Audit Log Filtering 404
6.5.11 Audit Log Reference 406
6.5.12 Audit Log Restrictions 427
6.6 The Audit Message Component 428
6.7 MySQL Enterprise Firewall 430
6.7.1 Elements of MySQL Enterprise Firewall 431
6.7.2 Installing or Uninstalling MySQL Enterprise Firewall 432

6.7.3 Using MySQL Enterprise Firewall 435
6.7.4 MySQL Enterprise Firewall Reference 448
A MySQL 8.0 FAQ: Security 459

Preface and Legal Notices

This is the MySQL Security Guide extract from the MySQL 8.0 Reference Manual.

Licensing information—MySQL 8.0. This product may include third-party software, used under license. If you are using a *Commercial* release of MySQL 8.0, see the MySQL 8.0 Commercial Release License Information User Manual for licensing information, including licensing information relating to third-party software that may be included in this Commercial release. If you are using a *Community* release of MySQL 8.0, see the MySQL 8.0 Community Release License Information User Manual for licensing information, including licensing information relating to third-party software that may be included in this Community release.

Legal Notices

Copyright © 1997, 2026, Oracle and/or its affiliates.

License Restrictions

This software and related documentation are provided under a license agreement containing restrictions on use and disclosure and are protected by intellectual property laws. Except as expressly permitted in your license agreement or allowed by law, you may not use, copy, reproduce, translate, broadcast, modify, license, transmit, distribute, exhibit, perform, publish, or display any part, in any form, or by any means. Reverse engineering, disassembly, or decompilation of this software, unless required by law for interoperability, is prohibited.

Warranty Disclaimer

The information contained herein is subject to change without notice and is not warranted to be error-free. If you find any errors, please report them to us in writing.

Restricted Rights Notice

If this is software, software documentation, data (as defined in the Federal Acquisition Regulation), or related documentation that is delivered to the U.S. Government or anyone licensing it on behalf of the U.S. Government, then the following notice is applicable:

U.S. GOVERNMENT END USERS: Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs) and Oracle computer documentation or other Oracle data delivered to or accessed by U.S. Government end users are "commercial computer software," "commercial computer software documentation," or "limited rights data" pursuant to the applicable Federal Acquisition Regulation and agency-specific supplemental regulations. As such, the use, reproduction, duplication, release, display, disclosure, modification, preparation of derivative works, and/or adaptation of i) Oracle programs (including any operating system, integrated software, any programs embedded, installed, or activated on delivered hardware, and modifications of such programs), ii) Oracle computer documentation and/or iii) other Oracle data, is subject to the rights and limitations specified in the license contained in the applicable contract. The terms governing the U.S. Government's use of Oracle cloud services are defined by the applicable contract for such services. No other rights are granted to the U.S. Government.

Hazardous Applications Notice

This software or hardware is developed for general use in a variety of information management applications. It is not developed or intended for use in any inherently dangerous applications, including applications that may create a risk of personal injury. If you use this software or hardware in dangerous applications, then you shall be responsible to take all appropriate fail-safe, backup, redundancy, and other measures to ensure its safe use. Oracle Corporation and its affiliates disclaim any liability for any damages caused by use of this software or hardware in dangerous applications.

Trademark Notice

Oracle, Java, MySQL, and NetSuite are registered trademarks of Oracle and/or its affiliates. Other names may be trademarks of their respective owners.

Intel and Intel Inside are trademarks or registered trademarks of Intel Corporation. All SPARC trademarks are used under license and are trademarks or registered trademarks of SPARC International, Inc. AMD, Epyc, and the AMD logo are trademarks or registered trademarks of Advanced Micro Devices. UNIX is a registered trademark of The Open Group.

Third-Party Content, Products, and Services Disclaimer

This software or hardware and documentation may provide access to or information about content, products, and services from third parties. Oracle Corporation and its affiliates are not responsible for and expressly disclaim all warranties of any kind with respect to third-party content, products, and services unless otherwise set forth in an applicable agreement between you and Oracle. Oracle Corporation and its affiliates will not be responsible for any loss, costs, or damages incurred due to your access to or use of third-party content, products, or services, except as set forth in an applicable agreement between you and Oracle.

Use of This Documentation

This documentation is NOT distributed under a GPL license. Use of this documentation is subject to the following terms:

You may create a printed copy of this documentation solely for your own personal use. Conversion to other formats is allowed as long as the actual content is not altered or edited in any way. You shall not publish or distribute this documentation in any form or on any media, except if you distribute the documentation in a manner similar to how Oracle disseminates it (that is, electronically for download on a Web site with the software) or on a CD-ROM or similar medium, provided however that the documentation is disseminated together with the software on the same medium. Any other use, such as any dissemination of printed copies or use of this documentation, in whole or in part, in another publication, requires the prior written consent from an authorized representative of Oracle. Oracle and/or its affiliates reserve any and all rights to this documentation not expressly granted above.

Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at
http://www.oracle.com/pls/topic/lookup?ctx=acc&id=docacc.

Access to Oracle Support for Accessibility

Oracle customers that have purchased support have access to electronic support through My Oracle Support. For information, visit
http://www.oracle.com/pls/topic/lookup?ctx=acc&id=info or visit `http://www.oracle.com/pls/topic/lookup?ctx=acc&id=trs` if you are hearing impaired.

Chapter 1 Security

When thinking about security within a MySQL installation, you should consider a wide range of possible topics and how they affect the security of your MySQL server and related applications:

- General factors that affect security. These include choosing good passwords, not granting unnecessary privileges to users, ensuring application security by preventing SQL injections and data corruption, and others. See Chapter 2, *General Security Issues*.
- Security of the installation itself. The data files, log files, and the all the application files of your installation should be protected to ensure that they are not readable or writable by unauthorized parties. For more information, see Chapter 3, *Postinstallation Setup and Testing*.
- Access control and security within the database system itself, including the users and databases granted with access to the databases, views and stored programs in use within the database. For more information, see Chapter 4, *Access Control and Account Management*.
- The features offered by security-related plugins. See Chapter 6, *Security Components and Plugins*.
- Network security of MySQL and your system. The security is related to the grants for individual users, but you may also wish to restrict MySQL so that it is available only locally on the MySQL server host, or to a limited set of other hosts.
- Ensure that you have adequate and appropriate backups of your database files, configuration and log files. Also be sure that you have a recovery solution in place and test that you are able to successfully recover the information from your backups. See Backup and Recovery.

> **Note**
>
> Several topics in this chapter are also addressed in the Secure Deployment Guide, which provides procedures for deploying a generic binary distribution of MySQL Enterprise Edition Server with features for managing the security of your MySQL installation.

Chapter 2 General Security Issues

Table of Contents

2.1 Security Guidelines 3
2.2 Keeping Passwords Secure 5
2.2.1 End-User Guidelines for Password Security 5
2.2.2 Administrator Guidelines for Password Security 6
2.2.3 Passwords and Logging 6
2.3 Making MySQL Secure Against Attackers 7
2.4 Security-Related mysqld Options and Variables 9
2.5 How to Run MySQL as a Normal User 9
2.6 Security Considerations for LOAD DATA LOCAL 10
2.7 Client Programming Security Guidelines 14

This section describes general security issues to be aware of and what you can do to make your MySQL installation more secure against attack or misuse. For information specifically about the access control system that MySQL uses for setting up user accounts and checking database access, see Chapter 3, *Postinstallation Setup and Testing*.

For answers to some questions that are often asked about MySQL Server security issues, see Appendix A, *MySQL 8.0 FAQ: Security*.

2.1 Security Guidelines

Anyone using MySQL on a computer connected to the Internet should read this section to avoid the most common security mistakes.

In discussing security, it is necessary to consider fully protecting the entire server host (not just the MySQL server) against all types of applicable attacks: eavesdropping, altering, playback, and denial of service. We do not cover all aspects of availability and fault tolerance here.

MySQL uses security based on Access Control Lists (ACLs) for all connections, queries, and other operations that users can attempt to perform. There is also support for SSL-encrypted connections between MySQL clients and servers. Many of the concepts discussed here are not specific to MySQL at all; the same general ideas apply to almost all applications.

When running MySQL, follow these guidelines:

- **Do not ever give anyone (except MySQL `root` accounts) access to the `user` table in the `mysql` system database!** This is critical.
- Learn how the MySQL access privilege system works (see Chapter 4, *Access Control and Account Management*). Use the `GRANT` and `REVOKE` statements to control access to MySQL. Do not grant more privileges than necessary. Never grant privileges to all hosts.

  Checklist:

  - Try `mysql -u root`. If you are able to connect successfully to the server without being asked for a password, anyone can connect to your MySQL server as the MySQL `root` user with full privileges! Review the MySQL installation instructions, paying particular attention to the information about setting a `root` password. See Section 3.4, “Securing the Initial MySQL Account”.
  - Use the `SHOW GRANTS` statement to check which accounts have access to what. Then use the `REVOKE` statement to remove those privileges that are not necessary.
- Do not store cleartext passwords in your database. If your computer becomes compromised, the intruder can take the full list of passwords and use them. Instead, use `SHA2()` or some other one-way hashing function and store the hash value.

To prevent password recovery using rainbow tables, do not use these functions on a plain password; instead, choose some string to be used as a salt, and use hash(hash(password)+salt) values.

- Assume that all passwords will be subject to automated cracking attempts using lists of known passwords, and also to targeted guessing using publicly available information about you, such as social media posts. Do not choose passwords that consist of easily cracked or guessed items such as a dictionary word, proper name, sports team name, acronym, or commonly known phrase, particularly if they are relevant to you. The use of upper case letters, number substitutions and additions, and special characters does not help if these are used in predictable ways. Also do not choose any password you have seen used as an example anywhere, or a variation on it, even if it was presented as an example of a strong password.

  Instead, choose passwords that are as long and as unpredictable as possible. That does not mean the combination needs to be a random string of characters that is difficult to remember and reproduce, although this is a good approach if you have, for example, password manager software that can generate and fill such passwords and store them securely. A passphrase containing multiple words is easy to create, remember, and reproduce, and is much more secure than a typical user-selected password consisting of a single modified word or a predictable sequence of characters. To create a secure passphrase, ensure that the words and other items in it are not a known phrase or quotation, do not occur in a predictable order, and preferably have no previous relationship to each other at all.

- Invest in a firewall. This protects you from at least 50% of all types of exploits in any software. Put MySQL behind the firewall or in a demilitarized zone (DMZ).

  Checklist:

  - Try to scan your ports from the Internet using a tool such as `nmap`. MySQL uses port 3306 by default. This port should not be accessible from untrusted hosts. As a simple way to check whether your MySQL port is open, try the following command from some remote machine, where *`server_host`* is the host name or IP address of the host on which your MySQL server runs:

    ```
    $> telnet server_host 3306
    ```

    If `telnet` hangs or the connection is refused, the port is blocked, which is how you want it to be. If you get a connection and some garbage characters, the port is open, and should be closed on your firewall or router, unless you really have a good reason to keep it open.

- Applications that access MySQL should not trust any data entered by users, and should be written using proper defensive programming techniques. See Section 2.7, “Client Programming Security Guidelines”.

- Do not transmit plain (unencrypted) data over the Internet. This information is accessible to everyone who has the time and ability to intercept it and use it for their own purposes. Instead, use an encrypted protocol such as SSL or SSH. MySQL supports internal SSL connections. Another technique is to use SSH port-forwarding to create an encrypted (and compressed) tunnel for the communication.

- Learn to use the `tcpdump` and `strings` utilities. In most cases, you can check whether MySQL data streams are unencrypted by issuing a command like the following:

  ```
  $> tcpdump -l -i eth0 -w - src or dst port 3306 | strings
  ```

  This works under Linux and should work with small modifications under other systems.

  > **Warning**
  >
  > If you do not see cleartext data, this does not always mean that the information actually is encrypted. If you need high security, consult with a security expert.

2.2 Keeping Passwords Secure

Passwords occur in several contexts within MySQL. The following sections provide guidelines that enable end users and administrators to keep these passwords secure and avoid exposing them. In addition, the `validate_password` plugin can be used to enforce a policy on acceptable password. See Section 6.3, “The Password Validation Component”.

2.2.1 End-User Guidelines for Password Security

MySQL users should use the following guidelines to keep passwords secure.

When you run a client program to connect to the MySQL server, it is inadvisable to specify your password in a way that exposes it to discovery by other users. The methods you can use to specify your password when you run client programs are listed here, along with an assessment of the risks of each method. In short, the safest methods are to have the client program prompt for the password or to specify the password in a properly protected option file.

- Use the `mysql_config_editor` utility, which enables you to store authentication credentials in an encrypted login path file named `.mylogin.cnf`. The file can be read later by MySQL client programs to obtain authentication credentials for connecting to MySQL Server. See mysql_config_editor — MySQL Configuration Utility.

- Use a `--password=`*`password`* or `-p`*`password`* option on the command line. For example:

```
$> mysql -u francis -pfrank db_name
```

> **Warning**
>
> This is convenient *but insecure*. On some systems, your password becomes visible to system status programs such as `ps` that may be invoked by other users to display command lines. MySQL clients typically overwrite the command-line password argument with zeros during their initialization sequence. However, there is still a brief interval during which the value is visible. Also, on some systems this overwriting strategy is ineffective and the password remains visible to `ps`. (SystemV Unix systems and perhaps others are subject to this problem.)

  If your operating environment is set up to display your current command in the title bar of your terminal window, the password remains visible as long as the command is running, even if the command has scrolled out of view in the window content area.

- Use the `--password` or `-p` option on the command line with no password value specified. In this case, the client program solicits the password interactively:

```
$> mysql -u francis -p db_name
Enter password: ********
```

  The `*` characters indicate where you enter your password. The password is not displayed as you enter it.

  It is more secure to enter your password this way than to specify it on the command line because it is not visible to other users. However, this method of entering a password is suitable only for programs that you run interactively. If you want to invoke a client from a script that runs noninteractively, there is no opportunity to enter the password from the keyboard. On some systems, you may even find that the first line of your script is read and interpreted (incorrectly) as your password.

- Store your password in an option file. For example, on Unix, you can list your password in the `[client]` section of the `.my.cnf` file in your home directory:

```
[client]
password=password
```

To keep the password safe, the file should not be accessible to anyone but yourself. To ensure this, set the file access mode to `400` or `600`. For example:

```
$> chmod 600 .my.cnf
```

To name from the command line a specific option file containing the password, use the `--defaults-file=file_name` option, where `file_name` is the full path name to the file. For example:

```
$> mysql --defaults-file=/home/francis/mysql-opts
```

Using Option Files, discusses option files in more detail.

On Unix, the `mysql` client writes a record of executed statements to a history file (see mysql Client Logging). By default, this file is named `.mysql_history` and is created in your home directory. Passwords can be written as plain text in SQL statements such as `CREATE USER` and `ALTER USER`, so if you use these statements, they are logged in the history file. To keep this file safe, use a restrictive access mode, the same way as described earlier for the `.my.cnf` file.

If your command interpreter maintains a history, any file in which the commands are saved contains MySQL passwords entered on the command line. For example, `bash` uses `~/.bash_history`. Any such file should have a restrictive access mode.

2.2.2 Administrator Guidelines for Password Security

Database administrators should use the following guidelines to keep passwords secure.

MySQL stores passwords for user accounts in the `mysql.user` system table. Access to this table should never be granted to any nonadministrative accounts.

Account passwords can be expired so that users must reset them. See Section 4.15, “Password Management”, and Section 4.16, “Server Handling of Expired Passwords”.

The `validate_password` plugin can be used to enforce a policy on acceptable password. See Section 6.3, “The Password Validation Component”.

A user who has access to modify the plugin directory (the value of the `plugin_dir` system variable) or the `my.cnf` file that specifies the plugin directory location can replace plugins and modify the capabilities provided by plugins, including authentication plugins.

Files such as log files to which passwords might be written should be protected. See Section 2.2.3, “Passwords and Logging”.

2.2.3 Passwords and Logging

Passwords can be written as plain text in SQL statements such as `CREATE USER`, `GRANT` and `SET PASSWORD`. If such statements are logged by the MySQL server as written, passwords in them become visible to anyone with access to the logs.

Statement logging avoids writing passwords as cleartext for the following statements:

```
CREATE USER ... IDENTIFIED BY ...
ALTER USER ... IDENTIFIED BY ...
SET PASSWORD ...
START SLAVE ... PASSWORD = ...
START REPLICA ... PASSWORD = ...
CREATE SERVER ... OPTIONS(... PASSWORD ...)
ALTER SERVER ... OPTIONS(... PASSWORD ...)
```

Passwords in those statements are rewritten to not appear literally in statement text written to the general query log, slow query log, and binary log. Rewriting does not apply to other statements.

In particular, `INSERT` or `UPDATE` statements for the `mysql.user` system table that refer to literal passwords are logged as is, so you should avoid such statements. (Direct modification of grant tables is discouraged, anyway.)

For the general query log, password rewriting can be suppressed by starting the server with the `--log-raw` option. For security reasons, this option is not recommended for production use. For diagnostic purposes, it may be useful to see the exact text of statements as received by the server.

By default, contents of audit log files produced by the audit log plugin are not encrypted and may contain sensitive information, such as the text of SQL statements. For security reasons, audit log files should be written to a directory accessible only to the MySQL server and to users with a legitimate reason to view the log. See Section 6.5.3, “MySQL Enterprise Audit Security Considerations”.

Statements received by the server may be rewritten if a query rewrite plugin is installed (see Query Rewrite Plugins). In this case, the `--log-raw` option affects statement logging as follows:

- Without `--log-raw`, the server logs the statement returned by the query rewrite plugin. This may differ from the statement as received.
- With `--log-raw`, the server logs the original statement as received.

An implication of password rewriting is that statements that cannot be parsed (due, for example, to syntax errors) are not written to the general query log because they cannot be known to be password free. Use cases that require logging of all statements including those with errors should use the `--log-raw` option, bearing in mind that this also bypasses password rewriting.

Password rewriting occurs only when plain text passwords are expected. For statements with syntax that expect a password hash value, no rewriting occurs. If a plain text password is supplied erroneously for such syntax, the password is logged as given, without rewriting.

To guard log files against unwarranted exposure, locate them in a directory that restricts access to the server and the database administrator. If the server logs to tables in the `mysql` database, grant access to those tables only to the database administrator.

Replicas store the password for the replication source server in their connection metadata repository, which by default is a table in the `mysql` database named `slave_master_info`. The use of a file in the data directory for the connection metadata repository is now deprecated, but still possible (see Relay Log and Replication Metadata Repositories). Ensure that the connection metadata repository can be accessed only by the database administrator. An alternative to storing the password in the connection metadata repository is to use the `START REPLICA` (or before MySQL 8.0.22, `START SLAVE`) or `START GROUP_REPLICATION` statement to specify credentials for connecting to the source.

Use a restricted access mode to protect database backups that include log tables or log files containing passwords.

2.3 Making MySQL Secure Against Attackers

When you connect to a MySQL server, you should use a password. The password is not transmitted as cleartext over the connection.

All other information is transferred as text, and can be read by anyone who is able to watch the connection. If the connection between the client and the server goes through an untrusted network, and you are concerned about this, you can use the compressed protocol to make traffic much more difficult to decipher. You can also use MySQL's internal SSL support to make the connection even more secure. See Chapter 5, *Using Encrypted Connections*. Alternatively, use SSH to get an encrypted TCP/IP connection between a MySQL server and a MySQL client. You can find an Open Source SSH client at http://www.openssh.org/, and a comparison of both Open Source and Commercial SSH clients at http://en.wikipedia.org/wiki/Comparison_of_SSH_clients.

To make a MySQL system secure, you should strongly consider the following suggestions:

- Require all MySQL accounts to have a password. A client program does not necessarily know the identity of the person running it. It is common for client/server applications that the user can specify any user name to the client program. For example, anyone can use the `mysql` program to connect as any other person simply by invoking it as `mysql -u other_user db_name` if `other_user` has no password. If all accounts have a password, connecting using another user's account becomes much more difficult.

  For a discussion of methods for setting passwords, see Section 4.14, “Assigning Account Passwords”.

- Make sure that the only Unix user account with read or write privileges in the database directories is the account that is used for running `mysqld`.

- Never run the MySQL server as the Unix `root` user. This is extremely dangerous, because any user with the `FILE` privilege is able to cause the server to create files as `root` (for example, `~root/.bashrc`). To prevent this, `mysqld` refuses to run as `root` unless that is specified explicitly using the `--user=root` option.

  `mysqld` can (and should) be run as an ordinary, unprivileged user instead. You can create a separate Unix account named `mysql` to make everything even more secure. Use this account only for administering MySQL. To start `mysqld` as a different Unix user, add a `user` option that specifies the user name in the `[mysqld]` group of the `my.cnf` option file where you specify server options. For example:

  ```
  [mysqld]
  user=mysql
  ```

  This causes the server to start as the designated user whether you start it manually or by using `mysqld_safe` or `mysql.server`. For more details, see Section 2.5, “How to Run MySQL as a Normal User”.

  Running `mysqld` as a Unix user other than `root` does not mean that you need to change the `root` user name in the `user` table. *User names for MySQL accounts have nothing to do with user names for Unix accounts*.

- Do not grant the `FILE` privilege to nonadministrative users. Any user that has this privilege can write a file anywhere in the file system with the privileges of the `mysqld` daemon. This includes the server's data directory containing the files that implement the privilege tables. To make `FILE`-privilege operations a bit safer, files generated with `SELECT ... INTO OUTFILE` do not overwrite existing files and are writable by everyone.

  The `FILE` privilege may also be used to read any file that is world-readable or accessible to the Unix user that the server runs as. With this privilege, you can read any file into a database table. This could be abused, for example, by using `LOAD DATA` to load `/etc/passwd` into a table, which then can be displayed with `SELECT`.

  To limit the location in which files can be read and written, set the `secure_file_priv` system to a specific directory. See Server System Variables.

- Encrypt binary log files and relay log files. Encryption helps to protect these files and the potentially sensitive data contained in them from being misused by outside attackers, and also from unauthorized viewing by users of the operating system where they are stored. You enable encryption on a MySQL server by setting the `binlog_encryption` system variable to `ON`. For more information, see Encrypting Binary Log Files and Relay Log Files.

- Do not grant the `PROCESS` or `SUPER` privilege to nonadministrative users. The output of `mysqladmin processlist` and `SHOW PROCESSLIST` shows the text of any statements currently being executed, so any user who is permitted to see the server process list might be able to see statements issued by other users.

`mysqld` reserves an extra connection for users who have the `CONNECTION_ADMIN` or `SUPER` privilege, so that a MySQL `root` user can log in and check server activity even if all normal connections are in use.

The `SUPER` privilege can be used to terminate client connections, change server operation by changing the value of system variables, and control replication servers.

- Do not permit the use of symlinks to tables. (This capability can be disabled with the `--skip-symbolic-links` option.) This is especially important if you run `mysqld` as `root`, because anyone that has write access to the server's data directory then could delete any file in the system! See Using Symbolic Links for MyISAM Tables on Unix.
- Stored programs and views should be written using the security guidelines discussed in Stored Object Access Control.
- If you do not trust your DNS, you should use IP addresses rather than host names in the grant tables. In any case, you should be very careful about creating grant table entries using host name values that contain wildcards.
- If you want to restrict the number of connections permitted to a single account, you can do so by setting the `max_user_connections` variable in `mysqld`. The `CREATE USER` and `ALTER USER` statements also support resource control options for limiting the extent of server use permitted to an account. See CREATE USER Statement, and ALTER USER Statement.
- If the plugin directory is writable by the server, it may be possible for a user to write executable code to a file in the directory using `SELECT ... INTO DUMPFILE`. This can be prevented by making `plugin_dir` read only to the server or by setting `secure_file_priv` to a directory where `SELECT` writes can be made safely.

2.4 Security-Related mysqld Options and Variables

The following table shows `mysqld` options and system variables that affect security. For descriptions of each of these, see Server Command Options, and Server System Variables.

Table 2.1 Security Option and Variable Summary

Name	Cmd-Line	Option File	System Var	Status Var	Var Scope	Dynamic
allow-suspicious-udfs	Yes	Yes				
automatic_sp_privileges	Yes	Yes	Yes		Global	Yes
chroot	Yes	Yes				
local_infile	Yes	Yes	Yes		Global	Yes
safe-user-create	Yes	Yes				
secure_file_priv	Yes	Yes	Yes		Global	No
skip-grant-tables	Yes	Yes				
skip_name_resolve	Yes	Yes	Yes		Global	No
skip_networking	Yes	Yes	Yes		Global	No
skip_show_database	Yes	Yes	Yes		Global	No

2.5 How to Run MySQL as a Normal User

On Windows, you can run the server as a Windows service using a normal user account.

On Linux, for installations performed using a MySQL repository or RPM packages, the MySQL server `mysqld` should be started by the local `mysql` operating system user. Starting by another operating system user is not supported by the init scripts that are included as part of the MySQL repositories.

On Unix (or Linux for installations performed using `tar.gz` packages) , the MySQL server `mysqld` can be started and run by any user. However, you should avoid running the server as the Unix `root` user for security reasons. To change `mysqld` to run as a normal unprivileged Unix user *`user_name`*, you must do the following:

1. Stop the server if it is running (use `mysqladmin shutdown`).
2. Change the database directories and files so that *`user_name`* has privileges to read and write files in them (you might need to do this as the Unix `root` user):

   ```
   $> chown -R user_name /path/to/mysql/datadir
   ```

   If you do not do this, the server cannot access databases or tables when it runs as *`user_name`*.

   If directories or files within the MySQL data directory are symbolic links, `chown -R` might not follow symbolic links for you. If it does not, you must also follow those links and change the directories and files they point to.
3. Start the server as user *`user_name`*. Another alternative is to start `mysqld` as the Unix `root` user and use the `--user=`*`user_name`* option. `mysqld` starts, then switches to run as the Unix user *`user_name`* before accepting any connections.
4. To start the server as the given user automatically at system startup time, specify the user name by adding a `user` option to the `[mysqld]` group of the `/etc/my.cnf` option file or the `my.cnf` option file in the server's data directory. For example:

   ```
   [mysqld]
   user=user_name
   ```

If your Unix machine itself is not secured, you should assign passwords to the MySQL `root` account in the grant tables. Otherwise, any user with a login account on that machine can run the `mysql` client with a `--user=root` option and perform any operation. (It is a good idea to assign passwords to MySQL accounts in any case, but especially so when other login accounts exist on the server host.) See Section 3.4, “Securing the Initial MySQL Account”.

2.6 Security Considerations for LOAD DATA LOCAL

The `LOAD DATA` statement loads a data file into a table. The statement can load a file located on the server host, or, if the `LOCAL` keyword is specified, on the client host.

The `LOCAL` version of `LOAD DATA` has two potential security issues:

- Because `LOAD DATA LOCAL` is an SQL statement, parsing occurs on the server side, and transfer of the file from the client host to the server host is initiated by the MySQL server, which tells the client the file named in the statement. In theory, a patched server could tell the client program to transfer a file of the server's choosing rather than the file named in the statement. Such a server could access any file on the client host to which the client user has read access. (A patched server could in fact reply with a file-transfer request to any statement, not just `LOAD DATA LOCAL`, so a more fundamental issue is that clients should not connect to untrusted servers.)
- In a Web environment where the clients are connecting from a Web server, a user could use `LOAD DATA LOCAL` to read any files that the Web server process has read access to (assuming that a user could run any statement against the SQL server). In this environment, the client with respect to the MySQL server actually is the Web server, not a remote program being run by users who connect to the Web server.

To avoid connecting to untrusted servers, clients can establish a secure connection and verify the server identity by connecting using the `--ssl-mode=VERIFY_IDENTITY` option and the appropriate

CA certificate. To implement this level of verification, you must first ensure that the CA certificate for the server is reliably available to the replica, otherwise availability issues will result. For more information, see Command Options for Encrypted Connections.

To avoid `LOAD DATA` issues, clients should avoid using `LOCAL` unless proper client-side precautions have been taken.

For control over local data loading, MySQL permits the capability to be enabled or disabled. In addition, as of MySQL 8.0.21, MySQL enables clients to restrict local data loading operations to files located in a designated directory.

- Enabling or Disabling Local Data Loading Capability
- Restricting Files Permitted for Local Data Loading
- MySQL Shell and Local Data Loading

Enabling or Disabling Local Data Loading Capability

Administrators and applications can configure whether to permit local data loading as follows:

- On the server side:
  - The `local_infile` system variable controls server-side `LOCAL` capability. Depending on the `local_infile` setting, the server refuses or permits local data loading by clients that request local data loading.
  - By default, `local_infile` is disabled. (This is a change from previous versions of MySQL.) To cause the server to refuse or permit `LOAD DATA LOCAL` statements explicitly (regardless of how client programs and libraries are configured at build time or runtime), start `mysqld` with `local_infile` disabled or enabled. `local_infile` can also be set at runtime.
- On the client side:
  - The `ENABLED_LOCAL_INFILE` `CMake` option controls the compiled-in default `LOCAL` capability for the MySQL client library (see MySQL Source-Configuration Options). Clients that make no explicit arrangements therefore have `LOCAL` capability disabled or enabled according to the `ENABLED_LOCAL_INFILE` setting specified at MySQL build time.
  - By default, the client library in MySQL binary distributions is compiled with `ENABLED_LOCAL_INFILE` disabled. If you compile MySQL from source, configure it with `ENABLED_LOCAL_INFILE` disabled or enabled based on whether clients that make no explicit arrangements should have `LOCAL` capability disabled or enabled.
  - For client programs that use the C API, local data loading capability is determined by the default compiled into the MySQL client library. To enable or disable it explicitly, invoke the `mysql_options()` C API function to disable or enable the `MYSQL_OPT_LOCAL_INFILE` option. See mysql_options().
  - For the `mysql` client, local data loading capability is determined by the default compiled into the MySQL client library. To disable or enable it explicitly, use the `--local-infile=0` or `--local-infile[=1]` option.
  - For the `mysqlimport` client, local data loading is not used by default. To disable or enable it explicitly, use the `--local=0` or `--local[=1]` option.
  - If you use `LOAD DATA LOCAL` in Perl scripts or other programs that read the `[client]` group from option files, you can add a `local-infile` option setting to that group. To prevent problems for programs that do not understand this option, specify it using the `loose-` prefix:

```
[client]
```

```
loose-local-infile=0
```

or:

```
[client]
loose-local-infile=1
```

- In all cases, successful use of a `LOCAL` load operation by a client also requires that the server permits local loading.

If `LOCAL` capability is disabled, on either the server or client side, a client that attempts to issue a `LOAD DATA LOCAL` statement receives the following error message:

```
ERROR 3950 (42000): Loading local data is disabled; this must be
enabled on both the client and server side
```

Restricting Files Permitted for Local Data Loading

As of MySQL 8.0.21, the MySQL client library enables client applications to restrict local data loading operations to files located in a designated directory. Certain MySQL client programs take advantage of this capability.

Client programs that use the C API can control which files to permit for load data loading using the `MYSQL_OPT_LOCAL_INFILE` and `MYSQL_OPT_LOAD_DATA_LOCAL_DIR` options of the `mysql_options()` C API function (see mysql_options()).

The effect of `MYSQL_OPT_LOAD_DATA_LOCAL_DIR` depends on whether `LOCAL` data loading is enabled or disabled:

- If `LOCAL` data loading is enabled, either by default in the MySQL client library or by explicitly enabling `MYSQL_OPT_LOCAL_INFILE`, the `MYSQL_OPT_LOAD_DATA_LOCAL_DIR` option has no effect.
- If `LOCAL` data loading is disabled, either by default in the MySQL client library or by explicitly disabling `MYSQL_OPT_LOCAL_INFILE`, the `MYSQL_OPT_LOAD_DATA_LOCAL_DIR` option can be used to designate a permitted directory for locally loaded files. In this case, `LOCAL` data loading is permitted but restricted to files located in the designated directory. Interpretation of the `MYSQL_OPT_LOAD_DATA_LOCAL_DIR` value is as follows:
  - If the value is the null pointer (the default), it names no directory, with the result that no files are permitted for `LOCAL` data loading.
  - If the value is a directory path name, `LOCAL` data loading is permitted but restricted to files located in the named directory. Comparison of the directory path name and the path name of files to be loaded is case-sensitive regardless of the case sensitivity of the underlying file system.

MySQL client programs use the preceding `mysql_options()` options as follows:

- The `mysql` client has a `--load-data-local-dir` option that takes a directory path or an empty string. `mysql` uses the option value to set the `MYSQL_OPT_LOAD_DATA_LOCAL_DIR` option (with an empty string setting the value to the null pointer). The effect of `--load-data-local-dir` depends on whether `LOCAL` data loading is enabled:
  - If `LOCAL` data loading is enabled, either by default in the MySQL client library or by specifying `--local-infile[=1]`, the `--load-data-local-dir` option is ignored.
  - If `LOCAL` data loading is disabled, either by default in the MySQL client library or by specifying `--local-infile=0`, the `--load-data-local-dir` option applies.

  When `--load-data-local-dir` applies, the option value designates the directory in which local data files must be located. Comparison of the directory path name and the path name of files to be loaded is case-sensitive regardless of the case sensitivity of the underlying file system. If the option

value is the empty string, it names no directory, with the result that no files are permitted for local data loading.

- `mysqlimport` sets `MYSQL_OPT_LOAD_DATA_LOCAL_DIR` for each file that it processes so that the directory containing the file is the permitted local loading directory.
- For data loading operations corresponding to `LOAD DATA` statements, `mysqlbinlog` extracts the files from the binary log events, writes them as temporary files to the local file system, and writes `LOAD DATA LOCAL` statements to cause the files to be loaded. By default, `mysqlbinlog` writes these temporary files to an operating system-specific directory. The `--local-load` option can be used to explicitly specify the directory where `mysqlbinlog` should prepare local temporary files.

  Because other processes can write files to the default system-specific directory, it is advisable to specify the `--local-load` option to `mysqlbinlog` to designate a different directory for data files, and then designate that same directory by specifying the `--load-data-local-dir` option to `mysql` when processing the output from `mysqlbinlog`.

MySQL Shell and Local Data Loading

MySQL Shell provides a number of utilities to dump tables, schemas, or server instances and load them into other instances. When you use these utilities to handle the data, MySQL Shell provides additional functions such as input preprocessing, multithreaded parallel loading, file compression and decompression, and handling access to Oracle Cloud Infrastructure Object Storage buckets. To get the best functionality, always use the most recent version available of MySQL Shell's dump and dump loading utilities.

MySQL Shell's data upload utilities use `LOAD DATA LOCAL INFILE` statements to upload data, so the `local_infile` system variable must be set to `ON` on the target server instance. You can do this before uploading the data, and remove it again afterwards. The utilities handle the file transfer requests safely to deal with the security considerations discussed in this topic.

MySQL Shell includes these dump and dump loading utilities:

Table export utility `util.exportTable()`	Exports a MySQL relational table into a data file, which can be uploaded to a MySQL server instance using MySQL Shell's parallel table import utility, imported to a different application, or used as a logical backup. The utility has preset options and customization options to produce different output formats.
Parallel table import utility `util.importTable()`	Imports a data file to a MySQL relational table. The data file can be the output from MySQL Shell's table export utility or another format supported by the utility's preset and customization options. The utility can carry out input preprocessing before adding the data to the table. It can accept multiple data files to merge into a single relational table, and automatically decompresses compressed files.
Instance dump utility `util.dumpInstance()`, schema dump utility `util.dumpSchemas()`, and table dump utility `util.dumpTables()`	Export an instance, schema, or table to a set of dump files, which can then be uploaded to a MySQL instance using MySQL Shell's dump loading utility. The utilities provide Oracle Cloud Infrastructure Object Storage streaming, MySQL HeatWave Service compatibility checks and modifications, and the ability to carry out a dry run to identify issues before proceeding with the dump.
Dump loading utility `util.loadDump()`	Import dump files created using MySQL Shell's instance, schema, or table dump utility into a MySQL HeatWave Service DB System or a MySQL Server instance. The utility manages the upload process and provides data streaming from remote storage, parallel loading of tables or table chunks, progress state tracking, resume and reset capability, and the option of concurrent loading while the dump is still taking place. MySQL Shell’s parallel table import utility can be

used in combination with the dump loading utility to modify data before uploading it to the target MySQL instance.

For details of the utilities, see MySQL Shell Utilities.

2.7 Client Programming Security Guidelines

Client applications that access MySQL should use the following guidelines to avoid interpreting external data incorrectly or exposing sensitive information.

- Handle External Data Properly
- Handle MySQL Error Messages Properly

Handle External Data Properly

Applications that access MySQL should not trust any data entered by users, who can try to trick your code by entering special or escaped character sequences in Web forms, URLs, or whatever application you have built. Be sure that your application remains secure if a user tries to perform SQL injection by entering something like `; DROP DATABASE mysql;` into a form. This is an extreme example, but large security leaks and data loss might occur as a result of hackers using similar techniques, if you do not prepare for them.

A common mistake is to protect only string data values. Remember to check numeric data as well. If an application generates a query such as `SELECT * FROM table WHERE ID=234` when a user enters the value `234`, the user can enter the value `234 OR 1=1` to cause the application to generate the query `SELECT * FROM table WHERE ID=234 OR 1=1`. As a result, the server retrieves every row in the table. This exposes every row and causes excessive server load. The simplest way to protect from this type of attack is to use single quotation marks around the numeric constants: `SELECT * FROM table WHERE ID='234'`. If the user enters extra information, it all becomes part of the string. In a numeric context, MySQL automatically converts this string to a number and strips any trailing nonnumeric characters from it.

Sometimes people think that if a database contains only publicly available data, it need not be protected. This is incorrect. Even if it is permissible to display any row in the database, you should still protect against denial of service attacks (for example, those that are based on the technique in the preceding paragraph that causes the server to waste resources). Otherwise, your server becomes unresponsive to legitimate users.

Checklist:

- Enable strict SQL mode to tell the server to be more restrictive of what data values it accepts. See Server SQL Modes.
- Try to enter single and double quotation marks (`'` and `"`) in all of your Web forms. If you get any kind of MySQL error, investigate the problem right away.
- Try to modify dynamic URLs by adding `%22` (`"`), `%23` (`#`), and `%27` (`'`) to them.
- Try to modify data types in dynamic URLs from numeric to character types using the characters shown in the previous examples. Your application should be safe against these and similar attacks.
- Try to enter characters, spaces, and special symbols rather than numbers in numeric fields. Your application should remove them before passing them to MySQL or else generate an error. Passing unchecked values to MySQL is very dangerous!
- Check the size of data before passing it to MySQL.
- Have your application connect to the database using a user name different from the one you use for administrative purposes. Do not give your applications any access privileges they do not need.

Many application programming interfaces provide a means of escaping special characters in data values. Properly used, this prevents application users from entering values that cause the application to generate statements that have a different effect than you intend:

- MySQL SQL statements: Use SQL prepared statements and accept data values only by means of placeholders; see Prepared Statements.
- MySQL C API: Use the `mysql_real_escape_string_quote()` API call. Alternatively, use the C API prepared statement interface and accept data values only by means of placeholders; see C API Prepared Statement Interface.
- MySQL++: Use the `escape` and `quote` modifiers for query streams.
- PHP: Use either the `mysqli` or `pdo_mysql` extensions, and not the older `ext/mysql` extension. The preferred API's support the improved MySQL authentication protocol and passwords, as well as prepared statements with placeholders. See also MySQL and PHP.

  If the older `ext/mysql` extension must be used, then for escaping use the `mysql_real_escape_string_quote()` function and not `mysql_escape_string()` or `addslashes()` because only `mysql_real_escape_string_quote()` is character set-aware; the other functions can be “bypassed” when using (invalid) multibyte character sets.
- Perl DBI: Use placeholders or the `quote()` method.
- Java JDBC: Use a `PreparedStatement` object and placeholders.

Other programming interfaces might have similar capabilities.

Handle MySQL Error Messages Properly

It is the application's responsibility to intercept errors that occur as a result of executing SQL statements with the MySQL database server and handle them appropriately.

The information returned in a MySQL error is not gratuitous because that information is key in debugging MySQL using applications. It would be nearly impossible, for example, to debug a common 10-way join `SELECT` statement without providing information regarding which databases, tables, and other objects are involved with problems. Thus, MySQL errors must sometimes necessarily contain references to the names of those objects.

A simple but insecure approach for an application when it receives such an error from MySQL is to intercept it and display it verbatim to the client. However, revealing error information is a known application vulnerability type (CWE-209) and the application developer must ensure the application does not have this vulnerability.

For example, an application that displays a message such as this exposes both a database name and a table name to clients, which is information a client might attempt to exploit:

```
ERROR 1146 (42S02): Table 'mydb.mytable' doesn't exist
```

Instead, the proper behavior for an application when it receives such an error from MySQL is to log appropriate information, including the error information, to a secure audit location only accessible to trusted personnel. The application can return something more generic such as “Internal Error” to the user.

Chapter 3 Postinstallation Setup and Testing

Table of Contents

3.1 Initializing the Data Directory 17
3.2 Starting the Server 23
3.2.1 Troubleshooting Problems Starting the MySQL Server 23
3.3 Testing the Server 25
3.4 Securing the Initial MySQL Account 27
3.5 Starting and Stopping MySQL Automatically 29

This section discusses tasks that you should perform after installing MySQL:

- If necessary, initialize the data directory and create the MySQL grant tables. For some MySQL installation methods, data directory initialization may be done for you automatically:
  - Windows installation operations performed by MySQL Installer.
  - Installation on Linux using a server RPM or Debian distribution from Oracle.
  - Installation using the native packaging system on many platforms, including Debian Linux, Ubuntu Linux, Gentoo Linux, and others.
  - Installation on macOS using a DMG distribution.

  For other platforms and installation types, you must initialize the data directory manually. These include installation from generic binary and source distributions on Unix and Unix-like system, and installation from a ZIP Archive package on Windows. For instructions, see Section 3.1, “Initializing the Data Directory”.
- Start the server and make sure that it can be accessed. For instructions, see Section 3.2, “Starting the Server”, and Section 3.3, “Testing the Server”.
- Assign passwords to the initial `root` account in the grant tables, if that was not already done during data directory initialization. Passwords prevent unauthorized access to the MySQL server. For instructions, see Section 3.4, “Securing the Initial MySQL Account”.
- Optionally, arrange for the server to start and stop automatically when your system starts and stops. For instructions, see Section 3.5, “Starting and Stopping MySQL Automatically”.
- Optionally, populate time zone tables to enable recognition of named time zones. For instructions, see MySQL Server Time Zone Support.

When you are ready to create additional user accounts, you can find information on the MySQL access control system and account management in Chapter 4, *Access Control and Account Management*.

3.1 Initializing the Data Directory

After MySQL is installed, the data directory must be initialized, including the tables in the `mysql` system schema:

- For some MySQL installation methods, data directory initialization is automatic, as described in Chapter 3, *Postinstallation Setup and Testing*.
- For other installation methods, you must initialize the data directory manually. These include installation from generic binary and source distributions on Unix and Unix-like systems, and installation from a ZIP Archive package on Windows.

This section describes how to initialize the data directory manually for MySQL installation methods for which data directory initialization is not automatic. For some suggested commands that enable testing whether the server is accessible and working properly, see Section 3.3, “Testing the Server”.

> **Note**
>
> In MySQL 8.0, the default authentication plugin has changed from `mysql_native_password` to `caching_sha2_password`, and the `'root'@'localhost'` administrative account uses `caching_sha2_password` by default. If you prefer that the `root` account use the previous default authentication plugin (`mysql_native_password`), see caching_sha2_password and the root Administrative Account.
>
> The `mysql_native_password` plugin is deprecated as of MySQL 8.0.34, disabled by default as of MySQL 8.4.0, and removed as of MySQL 9.0.0.

- Data Directory Initialization Overview
- Data Directory Initialization Procedure
- Server Actions During Data Directory Initialization
- Post-Initialization root Password Assignment

Data Directory Initialization Overview

In the examples shown here, the server is intended to run under the user ID of the `mysql` login account. Either create the account if it does not exist (see Create a mysql User and Group), or substitute the name of a different existing login account that you plan to use for running the server.

1. Change location to the top-level directory of your MySQL installation, which is typically `/usr/local/mysql` (adjust the path name for your system as necessary):

   ```
   cd /usr/local/mysql
   ```

   Within this directory you can find several files and subdirectories, including the `bin` subdirectory that contains the server, as well as client and utility programs.

2. The `secure_file_priv` system variable limits import and export operations to a specific directory. Create a directory whose location can be specified as the value of that variable:

   ```
   mkdir mysql-files
   ```

   Grant directory user and group ownership to the `mysql` user and `mysql` group, and set the directory permissions appropriately:

   ```
   chown mysql:mysql mysql-files
   chmod 750 mysql-files
   ```

3. Use the server to initialize the data directory, including the `mysql` schema containing the initial MySQL grant tables that determine how users are permitted to connect to the server. For example:

   ```
   bin/mysqld --initialize --user=mysql
   ```

   For important information about the command, especially regarding command options you might use, see Data Directory Initialization Procedure. For details about how the server performs initialization, see Server Actions During Data Directory Initialization.

   Typically, data directory initialization need be done only after you first install MySQL. (For upgrades to an existing installation, perform the upgrade procedure instead; see Upgrading MySQL.) However, the command that initializes the data directory does not overwrite any existing `mysql` schema tables, so it is safe to run in any circumstances.

4. If you want to deploy the server with automatic support for secure connections, use the `mysql_ssl_rsa_setup` utility to create default SSL and RSA files:

   ```
   bin/mysql_ssl_rsa_setup
   ```

   For more information, see mysql_ssl_rsa_setup — Create SSL/RSA Files.

   > **Note**
   >
   > The `mysql_ssl_rsa_setup` utility is deprecated as of MySQL 8.0.34.

5. In the absence of any option files, the server starts with its default settings. (See Server Configuration Defaults.) To explicitly specify options that the MySQL server should use at startup, put them in an option file such as `/etc/my.cnf` or `/etc/mysql/my.cnf`. (See Using Option Files.) For example, you can use an option file to set the `secure_file_priv` system variable.

6. To arrange for MySQL to start without manual intervention at system boot time, see Section 3.5, “Starting and Stopping MySQL Automatically”.

7. Data directory initialization creates time zone tables in the `mysql` schema but does not populate them. To do so, use the instructions in MySQL Server Time Zone Support.

Data Directory Initialization Procedure

Change location to the top-level directory of your MySQL installation, which is typically `/usr/local/mysql` (adjust the path name for your system as necessary):

```
cd /usr/local/mysql
```

To initialize the data directory, invoke `mysqld` with the `--initialize` or `--initialize-insecure` option, depending on whether you want the server to generate a random initial password for the `'root'@'localhost'` account, or to create that account with no password:

- Use `--initialize` for “secure by default” installation (that is, including generation of a random initial `root` password). In this case, the password is marked as expired and you must choose a new one.
- With `--initialize-insecure`, no `root` password is generated. This is insecure; it is assumed that you intend to assign a password to the account in a timely fashion before putting the server into production use.

For instructions on assigning a new `'root'@'localhost'` password, see Post-Initialization root Password Assignment.

> **Note**
>
> The server writes any messages (including any initial password) to its standard error output. This may be redirected to the error log, so look there if you do not see the messages on your screen. For information about the error log, including where it is located, see The Error Log.
>
> On Windows, use the `--console` option to direct messages to the console.

On Unix and Unix-like systems, it is important for the database directories and files to be owned by the `mysql` login account so that the server has read and write access to them when you run it later. To ensure this, start `mysqld` from the system `root` account and include the `--user` option as shown here:

```
bin/mysqld --initialize --user=mysql
bin/mysqld --initialize-insecure --user=mysql
```

Alternatively, execute `mysqld` while logged in as `mysql`, in which case you can omit the `--user` option from the command.

On Windows, use one of these commands:

```
bin\mysqld --initialize --console
bin\mysqld --initialize-insecure --console
```

> **Note**
>
> Data directory initialization might fail if required system libraries are missing. For example, you might see an error like this:
>
> ```
> bin/mysqld: error while loading shared libraries:
> libnuma.so.1: cannot open shared object file:
> No such file or directory
> ```
>
> If this happens, you must install the missing libraries manually or with your system's package manager. Then retry the data directory initialization command.

It might be necessary to specify other options such as `--basedir` or `--datadir` if `mysqld` cannot identify the correct locations for the installation directory or data directory. For example (enter the command on a single line):

```
bin/mysqld --initialize --user=mysql
  --basedir=/opt/mysql/mysql
  --datadir=/opt/mysql/mysql/data
```

Alternatively, put the relevant option settings in an option file and pass the name of that file to `mysqld`. For Unix and Unix-like systems, suppose that the option file name is `/opt/mysql/mysql/etc/my.cnf`. Put these lines in the file:

```
[mysqld]
basedir=/opt/mysql/mysql
datadir=/opt/mysql/mysql/data
```

Then invoke `mysqld` as follows (enter the command on a single line, with the `--defaults-file` option first):

```
bin/mysqld --defaults-file=/opt/mysql/mysql/etc/my.cnf
  --initialize --user=mysql
```

On Windows, suppose that `C:\my.ini` contains these lines:

```
[mysqld]
basedir=C:\\Program Files\\MySQL\\MySQL Server 8.0
datadir=D:\\MySQLdata
```

Then invoke `mysqld` as follows (again, you should enter the command on a single line, with the `--defaults-file` option first):

```
bin\mysqld --defaults-file=C:\my.ini
   --initialize --console
```

> **Important**
>
> When initializing the data directory, you should not specify any options other than those used for setting directory locations such as `--basedir` or `--datadir`, and the `--user` option if needed. Options to be employed by the MySQL server during normal use can be set when restarting it following initialization. See the description of the `--initialize` option for further information.

Server Actions During Data Directory Initialization

> **Note**
>
> The data directory initialization sequence performed by the server does not substitute for the actions performed by `mysql_secure_installation` and `mysql_ssl_rsa_setup`. See mysql_secure_installation — Improve MySQL Installation Security, and mysql_ssl_rsa_setup — Create SSL/RSA Files.

When invoked with the `--initialize` or `--initialize-insecure` option, `mysqld` performs the following actions during the data directory initialization sequence:

1. The server checks for the existence of the data directory as follows:

   - If no data directory exists, the server creates it.
   - If the data directory exists but is not empty (that is, it contains files or subdirectories), the server exits after producing an error message:

     ```
     [ERROR] --initialize specified but the data directory exists. Aborting.
     ```

     In this case, remove or rename the data directory and try again.

     An existing data directory is permitted to be nonempty if every entry has a name that begins with a period (`.`).

2. Within the data directory, the server creates the `mysql` system schema and its tables, including the data dictionary tables, grant tables, time zone tables, and server-side help tables. See The mysql System Schema.

3. The server initializes the system tablespace and related data structures needed to manage `InnoDB` tables.

   > **Note**
   >
   > After `mysqld` sets up the `InnoDB` system tablespace, certain changes to tablespace characteristics require setting up a whole new instance. Qualifying changes include the file name of the first file in the system tablespace and the number of undo logs. If you do not want to use the default values, make sure that the settings for the `innodb_data_file_path` and `innodb_log_file_size` configuration parameters are in place in the MySQL configuration file *before* running `mysqld`. Also make sure to specify as necessary other parameters that affect the creation and location of `InnoDB` files, such as `innodb_data_home_dir` and `innodb_log_group_home_dir`.
   >
   > If those options are in your configuration file but that file is not in a location that MySQL reads by default, specify the file location using the `--defaults-extra-file` option when you run `mysqld`.

4. The server creates a `'root'@'localhost'` superuser account and other reserved accounts (see Section 4.9, “Reserved Accounts”). Some reserved accounts are locked and cannot be used by clients, but `'root'@'localhost'` is intended for administrative use and you should assign it a password.

   Server actions with respect to a password for the `'root'@'localhost'` account depend on how you invoke it:

   - With `--initialize` but not `--initialize-insecure`, the server generates a random password, marks it as expired, and writes a message displaying the password:

     ```
     [Warning] A temporary password is generated for root@localhost:
     ```

```
iTag*AfrH5ej
```

- With `--initialize-insecure`, (either with or without `--initialize` because `--initialize-insecure` implies `--initialize`), the server does not generate a password or mark it expired, and writes a warning message:

```
[Warning] root@localhost is created with an empty password ! Please
consider switching off the --initialize-insecure option.
```

For instructions on assigning a new `'root'@'localhost'` password, see Post-Initialization root Password Assignment.

5. The server populates the server-side help tables used for the `HELP` statement (see HELP Statement). The server does not populate the time zone tables. To do so manually, see MySQL Server Time Zone Support.

6. If the `init_file` system variable was given to name a file of SQL statements, the server executes the statements in the file. This option enables you to perform custom bootstrapping sequences.

   When the server operates in bootstrap mode, some functionality is unavailable that limits the statements permitted in the file. These include statements that relate to account management (such as `CREATE USER` or `GRANT`), replication, and global transaction identifiers.

7. The server exits.

Post-Initialization root Password Assignment

After you initialize the data directory by starting the server with `--initialize` or `--initialize-insecure`, start the server normally (that is, without either of those options) and assign the `'root'@'localhost'` account a new password:

1. Start the server. For instructions, see Section 3.2, “Starting the Server”.

2. Connect to the server:

   - If you used `--initialize` but not `--initialize-insecure` to initialize the data directory, connect to the server as `root`:

     ```
     mysql -u root -p
     ```

     Then, at the password prompt, enter the random password that the server generated during the initialization sequence:

     ```
     Enter password: (enter the random root password here)
     ```

     Look in the server error log if you do not know this password.

   - If you used `--initialize-insecure` to initialize the data directory, connect to the server as `root` without a password:

     ```
     mysql -u root --skip-password
     ```

3. After connecting, use an `ALTER USER` statement to assign a new `root` password:

```
ALTER USER 'root'@'localhost' IDENTIFIED BY 'root-password';
```

See also Section 3.4, “Securing the Initial MySQL Account”.

> **Note**
>
> Attempts to connect to the host `127.0.0.1` normally resolve to the `localhost` account. However, this fails if the server is run with `skip_name_resolve` enabled. If you plan to do that, make sure that an account exists that can

accept a connection. For example, to be able to connect as `root` using `--host=127.0.0.1` or `--host=::1`, create these accounts:

```
CREATE USER 'root'@'127.0.0.1' IDENTIFIED BY 'root-password';
CREATE USER 'root'@'::1' IDENTIFIED BY 'root-password';
```

It is possible to put those statements in a file to be executed using the `init_file` system variable, as discussed in Server Actions During Data Directory Initialization.

3.2 Starting the Server

This section describes how start the server on Unix and Unix-like systems. (For Windows, see Starting the Server for the First Time.) For some suggested commands that you can use to test whether the server is accessible and working properly, see Section 3.3, “Testing the Server”.

Start the MySQL server like this if your installation includes `mysqld_safe`:

```
$> bin/mysqld_safe --user=mysql &
```

Note

For Linux systems on which MySQL is installed using RPM packages, server startup and shutdown is managed using systemd rather than `mysqld_safe`, and `mysqld_safe` is not installed. See Managing MySQL Server with systemd.

Start the server like this if your installation includes systemd support:

```
$> systemctl start mysqld
```

Substitute the appropriate service name if it differs from `mysqld` (for example, `mysql` on SLES systems).

It is important that the MySQL server be run using an unprivileged (non-`root`) login account. To ensure this, run `mysqld_safe` as `root` and include the `--user` option as shown. Otherwise, you should execute the program while logged in as `mysql`, in which case you can omit the `--user` option from the command.

For further instructions for running MySQL as an unprivileged user, see Section 2.5, “How to Run MySQL as a Normal User”.

If the command fails immediately and prints `mysqld ended`, look for information in the error log (which by default is the *`host_name`*`.err` file in the data directory).

If the server is unable to access the data directory it starts or read the grant tables in the `mysql` schema, it writes a message to its error log. Such problems can occur if you neglected to create the grant tables by initializing the data directory before proceeding to this step, or if you ran the command that initializes the data directory without the `--user` option. Remove the `data` directory and run the command with the `--user` option.

If you have other problems starting the server, see Section 3.2.1, “Troubleshooting Problems Starting the MySQL Server”. For more information about `mysqld_safe`, see mysqld_safe — MySQL Server Startup Script. For more information about systemd support, see Managing MySQL Server with systemd.

3.2.1 Troubleshooting Problems Starting the MySQL Server

This section provides troubleshooting suggestions for problems starting the server. For additional suggestions for Windows systems, see Troubleshooting a Microsoft Windows MySQL Server Installation.

If you have problems starting the server, here are some things to try:

- Check the error log to see why the server does not start. Log files are located in the data directory (typically `C:\Program Files\MySQL\MySQL Server 8.0\data` on Windows, `/usr/local/mysql/data` for a Unix/Linux binary distribution, and `/usr/local/var` for a Unix/Linux source distribution). Look in the data directory for files with names of the form *`host_name`*`.err` and *`host_name`*`.log`, where *`host_name`* is the name of your server host. Then examine the last few lines of these files. Use `tail` to display them:

  ```
  $> tail host_name.err
  $> tail host_name.log
  ```

- Specify any special options needed by the storage engines you are using. You can create a `my.cnf` file and specify startup options for the engines that you plan to use. If you are going to use storage engines that support transactional tables (`InnoDB`, `NDB`), be sure that you have them configured the way you want before starting the server. If you are using `InnoDB` tables, see InnoDB Configuration for guidelines and InnoDB Startup Options and System Variables for option syntax.

  Although storage engines use default values for options that you omit, Oracle recommends that you review the available options and specify explicit values for any options whose defaults are not appropriate for your installation.

- Make sure that the server knows where to find the data directory. The `mysqld` server uses this directory as its current directory. This is where it expects to find databases and where it expects to write log files. The server also writes the pid (process ID) file in the data directory.

  The default data directory location is hardcoded when the server is compiled. To determine what the default path settings are, invoke `mysqld` with the `--verbose` and `--help` options. If the data directory is located somewhere else on your system, specify that location with the `--datadir` option to `mysqld` or `mysqld_safe`, on the command line or in an option file. Otherwise, the server does not work properly. As an alternative to the `--datadir` option, you can specify `mysqld` the location of the base directory under which MySQL is installed with the `--basedir`, and `mysqld` looks for the `data` directory there.

  To check the effect of specifying path options, invoke `mysqld` with those options followed by the `--verbose` and `--help` options. For example, if you change location to the directory where `mysqld` is installed and then run the following command, it shows the effect of starting the server with a base directory of `/usr/local`:

  ```
  $> ./mysqld --basedir=/usr/local --verbose --help
  ```

  You can specify other options such as `--datadir` as well, but `--verbose` and `--help` must be the last options.

  Once you determine the path settings you want, start the server without `--verbose` and `--help`.

  If `mysqld` is currently running, you can find out what path settings it is using by executing this command:

  ```
  $> mysqladmin variables
  ```

  Or:

  ```
  $> mysqladmin -h host_name variables
  ```

  `host_name` is the name of the MySQL server host.

- Make sure that the server can access the data directory. The ownership and permissions of the data directory and its contents must allow the server to read and modify them.

  If you get `Errcode 13` (which means `Permission denied`) when starting `mysqld`, this means that the privileges of the data directory or its contents do not permit server access. In this case, you

change the permissions for the involved files and directories so that the server has the right to use them. You can also start the server as `root`, but this raises security issues and should be avoided.

Change location to the data directory and check the ownership of the data directory and its contents to make sure the server has access. For example, if the data directory is `/usr/local/mysql/var`, use this command:

```
$> ls -la /usr/local/mysql/var
```

If the data directory or its files or subdirectories are not owned by the login account that you use for running the server, change their ownership to that account. If the account is named `mysql`, use these commands:

```
$> chown -R mysql /usr/local/mysql/var
$> chgrp -R mysql /usr/local/mysql/var
```

Even with correct ownership, MySQL might fail to start up if there is other security software running on your system that manages application access to various parts of the file system. In this case, reconfigure that software to enable `mysqld` to access the directories it uses during normal operation.

- Verify that the network interfaces the server wants to use are available.

  If either of the following errors occur, it means that some other program (perhaps another `mysqld` server) is using the TCP/IP port or Unix socket file that `mysqld` is trying to use:

  ```
  Can't start server: Bind on TCP/IP port: Address already in use
  Can't start server: Bind on unix socket...
  ```

  Use `ps` to determine whether you have another `mysqld` server running. If so, shut down the server before starting `mysqld` again. (If another server is running, and you really want to run multiple servers, you can find information about how to do so in Running Multiple MySQL Instances on One Machine.)

  If no other server is running, execute the command `telnet *your_host_name* *tcp_ip_port_number*`. (The default MySQL port number is 3306.) Then press Enter a couple of times. If you do not get an error message like `telnet: Unable to connect to remote host: Connection refused`, some other program is using the TCP/IP port that `mysqld` is trying to use. Track down what program this is and disable it, or tell `mysqld` to listen to a different port with the `--port` option. In this case, specify the same non-default port number for client programs when connecting to the server using TCP/IP.

  Another reason the port might be inaccessible is that you have a firewall running that blocks connections to it. If so, modify the firewall settings to permit access to the port.

  If the server starts but you cannot connect to it, make sure that you have an entry in `/etc/hosts` that looks like this:

  ```
  127.0.0.1       localhost
  ```

- If you cannot get `mysqld` to start, try to make a trace file to find the problem by using the `--debug` option. See The DBUG Package.

3.3 Testing the Server

After the data directory is initialized and you have started the server, perform some simple tests to make sure that it works satisfactorily. This section assumes that your current location is the MySQL installation directory and that it has a `bin` subdirectory containing the MySQL programs used here. If that is not true, adjust the command path names accordingly.

Alternatively, add the `bin` directory to your `PATH` environment variable setting. That enables your shell (command interpreter) to find MySQL programs properly, so that you can run a program by typing only its name, not its path name. See Setting Environment Variables.

Use `mysqladmin` to verify that the server is running. The following commands provide simple tests to check whether the server is up and responding to connections:

```
$> bin/mysqladmin version
$> bin/mysqladmin variables
```

If you cannot connect to the server, specify a `-u root` option to connect as `root`. If you have assigned a password for the `root` account already, you'll also need to specify `-p` on the command line and enter the password when prompted. For example:

```
$> bin/mysqladmin -u root -p version
Enter password: (enter root password here)
```

The output from `mysqladmin version` varies slightly depending on your platform and version of MySQL, but should be similar to that shown here:

```
$> bin/mysqladmin version
mysqladmin  Ver 14.12 Distrib 8.0.45, for pc-linux-gnu on i686
...
Server version          8.0.45
Protocol version        10
Connection              Localhost via UNIX socket
UNIX socket             /var/lib/mysql/mysql.sock
Uptime:                 14 days 5 hours 5 min 21 sec
Threads: 1  Questions: 366  Slow queries: 0
Opens: 0  Flush tables: 1  Open tables: 19
Queries per second avg: 0.000
```

To see what else you can do with `mysqladmin`, invoke it with the `--help` option.

Verify that you can shut down the server (include a `-p` option if the `root` account has a password already):

```
$> bin/mysqladmin -u root shutdown
```

Verify that you can start the server again. Do this by using `mysqld_safe` or by invoking `mysqld` directly. For example:

```
$> bin/mysqld_safe --user=mysql &
```

If `mysqld_safe` fails, see Section 3.2.1, “Troubleshooting Problems Starting the MySQL Server”.

Run some simple tests to verify that you can retrieve information from the server. The output should be similar to that shown here.

Use `mysqlshow` to see what databases exist:

```
$> bin/mysqlshow
+--------------------+
|     Databases      |
+--------------------+
| information_schema |
| mysql              |
| performance_schema |
| sys                |
+--------------------+
```

The list of installed databases may vary, but always includes at least `mysql` and `information_schema`.

If you specify a database name, `mysqlshow` displays a list of the tables within the database:

```
$> bin/mysqlshow mysql
Database: mysql
+---------------------------+
|          Tables           |
+---------------------------+
| columns_priv              |
```

```
| component                 |
| db                        |
| default_roles             |
| engine_cost               |
| func                      |
| general_log               |
| global_grants             |
| gtid_executed             |
| help_category             |
| help_keyword              |
| help_relation             |
| help_topic                |
| innodb_index_stats        |
| innodb_table_stats        |
| ndb_binlog_index          |
| password_history          |
| plugin                    |
| procs_priv                |
| proxies_priv              |
| role_edges                |
| server_cost               |
| servers                   |
| slave_master_info         |
| slave_relay_log_info      |
| slave_worker_info         |
| slow_log                  |
| tables_priv               |
| time_zone                 |
| time_zone_leap_second     |
| time_zone_name            |
| time_zone_transition      |
| time_zone_transition_type |
| user                      |
+---------------------------+
```

Use the `mysql` program to select information from a table in the `mysql` schema:

```
$> bin/mysql -e "SELECT User, Host, plugin FROM mysql.user" mysql
+------+-----------+-----------------------+
| User | Host      | plugin                |
+------+-----------+-----------------------+
| root | localhost | caching_sha2_password |
+------+-----------+-----------------------+
```

At this point, your server is running and you can access it. To tighten security if you have not yet assigned a password to the initial account, follow the instructions in Section 3.4, “Securing the Initial MySQL Account”.

For more information about `mysql`, `mysqladmin`, and `mysqlshow`, see mysql — The MySQL Command-Line Client, mysqladmin — A MySQL Server Administration Program, and mysqlshow — Display Database, Table, and Column Information.

3.4 Securing the Initial MySQL Account

The MySQL installation process involves initializing the data directory, including the grant tables in the `mysql` system schema that define MySQL accounts. For details, see Section 3.1, “Initializing the Data Directory”.

This section describes how to assign a password to the initial `root` account created during the MySQL installation procedure, if you have not already done so.

> **Note**
>
> Alternative means for performing the process described in this section:
>
> - On Windows, you can perform the process during installation with MySQL Installer (see MySQL Installer for Windows).

- On all platforms, the MySQL distribution includes `mysql_secure_installation`, a command-line utility that automates much of the process of securing a MySQL installation.
- On all platforms, MySQL Workbench is available and offers the ability to manage user accounts (see MySQL Workbench).

A password may already be assigned to the initial account under these circumstances:

- On Windows, installations performed using MySQL Installer give you the option of assigning a password.
- Installation using the macOS installer generates an initial random password, which the installer displays to the user in a dialog box.
- Installation using RPM packages generates an initial random password, which is written to the server error log.
- Installations using Debian packages give you the option of assigning a password.
- For data directory initialization performed manually using `mysqld --initialize`, `mysqld` generates an initial random password, marks it expired, and writes it to the server error log. See Section 3.1, “Initializing the Data Directory”.

The `mysql.user` grant table defines the initial MySQL user account and its access privileges. Installation of MySQL creates only a `'root'@'localhost'` superuser account that has all privileges and can do anything. If the `root` account has an empty password, your MySQL installation is unprotected: Anyone can connect to the MySQL server as `root` *without a password* and be granted all privileges.

The `'root'@'localhost'` account also has a row in the `mysql.proxies_priv` table that enables granting the `PROXY` privilege for `''@''`, that is, for all users and all hosts. This enables `root` to set up proxy users, as well as to delegate to other accounts the authority to set up proxy users. See Section 4.19, “Proxy Users”.

To assign a password for the initial MySQL `root` account, use the following procedure. Replace *`root-password`* in the examples with the password that you want to use.

Start the server if it is not running. For instructions, see Section 3.2, “Starting the Server”.

The initial `root` account may or may not have a password. Choose whichever of the following procedures applies:

- If the `root` account exists with an initial random password that has been expired, connect to the server as `root` using that password, then choose a new password. This is the case if the data directory was initialized using `mysqld --initialize`, either manually or using an installer that does not give you the option of specifying a password during the install operation. Because the password exists, you must use it to connect to the server. But because the password is expired, you cannot use the account for any purpose other than to choose a new password, until you do choose one.

  1. If you do not know the initial random password, look in the server error log.
  2. Connect to the server as `root` using the password:

     ```
     $> mysql -u root -p
     Enter password: (enter the random root password here)
     ```

  3. Choose a new password to replace the random password:

     ```
     mysql> ALTER USER 'root'@'localhost' IDENTIFIED BY 'root-password';
     ```

- If the `root` account exists but has no password, connect to the server as `root` using no password, then assign a password. This is the case if you initialized the data directory using `mysqld --initialize-insecure`.

  1. Connect to the server as `root` using no password:

     ```
     $> mysql -u root --skip-password
     ```

  2. Assign a password:

     ```
     mysql> ALTER USER 'root'@'localhost' IDENTIFIED BY 'root-password';
     ```

After assigning the `root` account a password, you must supply that password whenever you connect to the server using the account. For example, to connect to the server using the `mysql` client, use this command:

```
$> mysql -u root -p
Enter password: (enter root password here)
```

To shut down the server with `mysqladmin`, use this command:

```
$> mysqladmin -u root -p shutdown
Enter password: (enter root password here)
```

> **Note**
>
> For additional information about setting passwords, see Section 4.14, “Assigning Account Passwords”. If you forget your `root` password after setting it, see How to Reset the Root Password.
>
> To set up additional accounts, see Section 4.8, “Adding Accounts, Assigning Privileges, and Dropping Accounts”.

3.5 Starting and Stopping MySQL Automatically

This section discusses methods for starting and stopping the MySQL server.

Generally, you start the `mysqld` server in one of these ways:

- Invoke `mysqld` directly. This works on any platform.
- On Windows, you can set up a MySQL service that runs automatically when Windows starts. See Starting MySQL as a Windows Service.
- On Unix and Unix-like systems, you can invoke `mysqld_safe`, which tries to determine the proper options for `mysqld` and then runs it with those options. See mysqld_safe — MySQL Server Startup Script.
- On Linux systems that support systemd, you can use it to control the server. See Managing MySQL Server with systemd.
- On systems that use System V-style run directories (that is, `/etc/init.d` and run-level specific directories), invoke `mysql.server`. This script is used primarily at system startup and shutdown. It usually is installed under the name `mysql`. The `mysql.server` script starts the server by invoking `mysqld_safe`. See mysql.server — MySQL Server Startup Script.
- On macOS, install a launchd daemon to enable automatic MySQL startup at system startup. The daemon starts the server by invoking `mysqld_safe`. For details, see Installing and Using the MySQL Launch Daemon. A MySQL Preference Pane also provides control for starting and stopping MySQL through the System Preferences. See Installing and Using the MySQL Preference Pane.
- On Solaris, use the service management framework (SMF) system to initiate and control MySQL startup.

systemd, the `mysqld_safe` and `mysql.server` scripts, Solaris SMF, and the macOS Startup Item (or MySQL Preference Pane) can be used to start the server manually, or automatically at system startup time. systemd, `mysql.server`, and the Startup Item also can be used to stop the server.

The following table shows which option groups the server and startup scripts read from option files.

Table 3.1 MySQL Startup Scripts and Supported Server Option Groups

Script	Option Groups
`mysqld`	`[mysqld]`, `[server]`, `[mysqld-`*`major_version`*`]`
`mysqld_safe`	`[mysqld]`, `[server]`, `[mysqld_safe]`
`mysql.server`	`[mysqld]`, `[mysql.server]`, `[server]`

`[mysqld-`*`major_version`*`]` means that groups with names like `[mysqld-5.7]` and `[mysqld-8.0]` are read by servers having versions 5.7.x, 8.0.x, and so forth. This feature can be used to specify options that can be read only by servers within a given release series.

For backward compatibility, `mysql.server` also reads the `[mysql_server]` group and `mysqld_safe` also reads the `[safe_mysqld]` group. To be current, you should update your option files to use the `[mysql.server]` and `[mysqld_safe]` groups instead.

For more information on MySQL configuration files and their structure and contents, see Using Option Files.

Chapter 4 Access Control and Account Management

Table of Contents

4.1 Account User Names and Passwords 32
4.2 Privileges Provided by MySQL 34
4.3 Grant Tables 53
4.4 Specifying Account Names 63
4.5 Specifying Role Names 65
4.6 Access Control, Stage 1: Connection Verification 66
4.7 Access Control, Stage 2: Request Verification 69
4.8 Adding Accounts, Assigning Privileges, and Dropping Accounts 71
4.9 Reserved Accounts 74
4.10 Using Roles 74
4.11 Account Categories 81
4.12 Privilege Restriction Using Partial Revokes 85
4.13 When Privilege Changes Take Effect 91
4.14 Assigning Account Passwords 92
4.15 Password Management 93
4.16 Server Handling of Expired Passwords 104
4.17 Pluggable Authentication 105
4.18 Multifactor Authentication 111
4.19 Proxy Users 115
4.20 Account Locking 122
4.21 Setting Account Resource Limits 123
4.22 Troubleshooting Problems Connecting to MySQL 125
4.23 SQL-Based Account Activity Auditing 129

MySQL enables the creation of accounts that permit client users to connect to the server and access data managed by the server. The primary function of the MySQL privilege system is to authenticate a user who connects from a given host and to associate that user with privileges on a database such as `SELECT`, `INSERT`, `UPDATE`, and `DELETE`. Additional functionality includes the ability to grant privileges for administrative operations.

To control which users can connect, each account can be assigned authentication credentials such as a password. The user interface to MySQL accounts consists of SQL statements such as `CREATE USER`, `GRANT`, and `REVOKE`. See Account Management Statements.

The MySQL privilege system ensures that all users may perform only the operations permitted to them. As a user, when you connect to a MySQL server, your identity is determined by *the host from which you connect* and *the user name you specify*. When you issue requests after connecting, the system grants privileges according to your identity and *what you want to do*.

MySQL considers both your host name and user name in identifying you because there is no reason to assume that a given user name belongs to the same person on all hosts. For example, the user `joe` who connects from `office.example.com` need not be the same person as the user `joe` who connects from `home.example.com`. MySQL handles this by enabling you to distinguish users on different hosts that happen to have the same name: You can grant one set of privileges for connections by `joe` from `office.example.com`, and a different set of privileges for connections by `joe` from `home.example.com`. To see what privileges a given account has, use the `SHOW GRANTS` statement. For example:

```
SHOW GRANTS FOR 'joe'@'office.example.com';
SHOW GRANTS FOR 'joe'@'home.example.com';
```

Internally, the server stores privilege information in the grant tables of the `mysql` system database. The MySQL server reads the contents of these tables into memory when it starts and bases access-control decisions on the in-memory copies of the grant tables.

MySQL access control involves two stages when you run a client program that connects to the server:

Stage 1: The server accepts or rejects the connection based on your identity and whether you can verify your identity by supplying the correct password.

Stage 2: Assuming that you can connect, the server checks each statement you issue to determine whether you have sufficient privileges to perform it. For example, if you try to select rows from a table in a database or drop a table from the database, the server verifies that you have the `SELECT` privilege for the table or the `DROP` privilege for the database.

For a more detailed description of what happens during each stage, see Section 4.6, “Access Control, Stage 1: Connection Verification”, and Section 4.7, “Access Control, Stage 2: Request Verification”. For help in diagnosing privilege-related problems, see Section 4.22, “Troubleshooting Problems Connecting to MySQL”.

If your privileges are changed (either by yourself or someone else) while you are connected, those changes do not necessarily take effect immediately for the next statement that you issue. For details about the conditions under which the server reloads the grant tables, see Section 4.13, “When Privilege Changes Take Effect”.

There are some things that you cannot do with the MySQL privilege system:

- You cannot explicitly specify that a given user should be denied access. That is, you cannot explicitly match a user and then refuse the connection.
- You cannot specify that a user has privileges to create or drop tables in a database but not to create or drop the database itself.
- A password applies globally to an account. You cannot associate a password with a specific object such as a database, table, or routine.

4.1 Account User Names and Passwords

MySQL stores accounts in the `user` table of the `mysql` system database. An account is defined in terms of a user name and the client host or hosts from which the user can connect to the server. For information about account representation in the `user` table, see Section 4.3, “Grant Tables”.

An account may also have authentication credentials such as a password. The credentials are handled by the account authentication plugin. MySQL supports multiple authentication plugins. Some of them use built-in authentication methods, whereas others enable authentication using external authentication methods. See Section 4.17, “Pluggable Authentication”.

There are several distinctions between the way user names and passwords are used by MySQL and your operating system:

- User names, as used by MySQL for authentication purposes, have nothing to do with user names (login names) as used by Windows or Unix. On Unix, most MySQL clients by default try to log in using the current Unix user name as the MySQL user name, but that is for convenience only. The default can be overridden easily, because client programs permit any user name to be specified with a `-u` or `--user` option. This means that anyone can attempt to connect to the server using any user name, so you cannot make a database secure in any way unless all MySQL accounts have passwords. Anyone who specifies a user name for an account that has no password can connect successfully to the server.
- MySQL user names are up to 32 characters long. Operating system user names may have a different maximum length.

> **Warning**
>
> The MySQL user name length limit is hardcoded in MySQL servers and clients, and trying to circumvent it by modifying the definitions of the tables in the `mysql` database *does not work*.

> You should never alter the structure of tables in the `mysql` database in any manner whatsoever except by means of the procedure that is described in Upgrading MySQL. Attempting to redefine the MySQL system tables in any other fashion results in undefined and unsupported behavior. The server is free to ignore rows that become malformed as a result of such modifications.

- To authenticate client connections for accounts that use built-in authentication methods, the server uses passwords stored in the `user` table. These passwords are distinct from passwords for logging in to your operating system. There is no necessary connection between the "external" password you use to log in to a Windows or Unix machine and the password you use to access the MySQL server on that machine.

  If the server authenticates a client using some other plugin, the authentication method that the plugin implements may or may not use a password stored in the `user` table. In this case, it is possible that an external password is also used to authenticate to the MySQL server.

- Passwords stored in the `user` table are encrypted using plugin-specific algorithms.

- If the user name and password contain only ASCII characters, it is possible to connect to the server regardless of character set settings. To enable connections when the user name or password contain non-ASCII characters, client applications should call the `mysql_options()` C API function with the `MYSQL_SET_CHARSET_NAME` option and appropriate character set name as arguments. This causes authentication to take place using the specified character set. Otherwise, authentication fails unless the server default character set is the same as the encoding in the authentication defaults.

  Standard MySQL client programs support a `--default-character-set` option that causes `mysql_options()` to be called as just described. In addition, character set autodetection is supported as described in Connection Character Sets and Collations. For programs that use a connector that is not based on the C API, the connector may provide an equivalent to `mysql_options()` that can be used instead. Check the connector documentation.

  The preceding notes do not apply for `ucs2`, `utf16`, and `utf32`, which are not permitted as client character sets.

The MySQL installation process populates the grant tables with an initial `root` account, as described in Section 3.4, "Securing the Initial MySQL Account", which also discusses how to assign a password to it. Thereafter, you normally set up, modify, and remove MySQL accounts using statements such as `CREATE USER`, `DROP USER`, `GRANT`, and `REVOKE`. See Section 4.8, "Adding Accounts, Assigning Privileges, and Dropping Accounts", and Account Management Statements.

To connect to a MySQL server with a command-line client, specify user name and password options as necessary for the account that you want to use:

```
$> mysql --user=finley --password db_name
```

If you prefer short options, the command looks like this:

```
$> mysql -u finley -p db_name
```

If you omit the password value following the `--password` or `-p` option on the command line (as just shown), the client prompts for one. Alternatively, the password can be specified on the command line:

```
$> mysql --user=finley --password=password db_name
$> mysql -u finley -ppassword db_name
```

If you use the `-p` option, there must be *no space* between `-p` and the following password value.

Specifying a password on the command line should be considered insecure. See Section 2.2.1, "End-User Guidelines for Password Security". To avoid giving the password on the command line, use an option file or a login path file. See Using Option Files, and mysql_config_editor — MySQL Configuration Utility.

For additional information about specifying user names, passwords, and other connection parameters, see Connecting to the MySQL Server Using Command Options.

4.2 Privileges Provided by MySQL

The privileges granted to a MySQL account determine which operations the account can perform. MySQL privileges differ in the contexts in which they apply and at different levels of operation:

- Administrative privileges enable users to manage operation of the MySQL server. These privileges are global because they are not specific to a particular database.
- Database privileges apply to a database and to all objects within it. These privileges can be granted for specific databases, or globally so that they apply to all databases.
- Privileges for database objects such as tables, indexes, views, and stored routines can be granted for specific objects within a database, for all objects of a given type within a database (for example, all tables in a database), or globally for all objects of a given type in all databases.

Privileges also differ in terms of whether they are static (built in to the server) or dynamic (defined at runtime). Whether a privilege is static or dynamic affects its availability to be granted to user accounts and roles. For information about the differences between static and dynamic privileges, see Static Versus Dynamic Privileges.)

Information about account privileges is stored in the grant tables in the `mysql` system database. For a description of the structure and contents of these tables, see Section 4.3, “Grant Tables”. The MySQL server reads the contents of the grant tables into memory when it starts, and reloads them under the circumstances indicated in Section 4.13, “When Privilege Changes Take Effect”. The server bases access-control decisions on the in-memory copies of the grant tables.

> **Important**
>
> Some MySQL releases introduce changes to the grant tables to add new privileges or features. To make sure that you can take advantage of any new capabilities, update your grant tables to the current structure whenever you upgrade MySQL. See Upgrading MySQL.

The following sections summarize the available privileges, provide more detailed descriptions of each privilege, and offer usage guidelines.

- Summary of Available Privileges
- Static Privilege Descriptions
- Dynamic Privilege Descriptions
- Privilege-Granting Guidelines
- Static Versus Dynamic Privileges
- Migrating Accounts from SUPER to Dynamic Privileges

Summary of Available Privileges

The following table shows the static privilege names used in `GRANT` and `REVOKE` statements, along with the column name associated with each privilege in the grant tables and the context in which the privilege applies.

Table 4.1 Permissible Static Privileges for GRANT and REVOKE

Privilege	Grant Table Column	Context
`ALL [PRIVILEGES]`	Synonym for “all privileges”	Server administration
`ALTER`	`Alter_priv`	Tables

Privilege	Grant Table Column	Context
ALTER ROUTINE	Alter_routine_priv	Stored routines
CREATE	Create_priv	Databases, tables, or indexes
CREATE ROLE	Create_role_priv	Server administration
CREATE ROUTINE	Create_routine_priv	Stored routines
CREATE TABLESPACE	Create_tablespace_priv	Server administration
CREATE TEMPORARY TABLES	Create_tmp_table_priv	Tables
CREATE USER	Create_user_priv	Server administration
CREATE VIEW	Create_view_priv	Views
DELETE	Delete_priv	Tables
DROP	Drop_priv	Databases, tables, or views
DROP ROLE	Drop_role_priv	Server administration
EVENT	Event_priv	Databases
EXECUTE	Execute_priv	Stored routines
FILE	File_priv	File access on server host
GRANT OPTION	Grant_priv	Databases, tables, or stored routines
INDEX	Index_priv	Tables
INSERT	Insert_priv	Tables or columns
LOCK TABLES	Lock_tables_priv	Databases
PROCESS	Process_priv	Server administration
PROXY	See proxies_priv table	Server administration
REFERENCES	References_priv	Databases or tables
RELOAD	Reload_priv	Server administration
REPLICATION CLIENT	Repl_client_priv	Server administration
REPLICATION SLAVE	Repl_slave_priv	Server administration
SELECT	Select_priv	Tables or columns
SHOW DATABASES	Show_db_priv	Server administration
SHOW VIEW	Show_view_priv	Views
SHUTDOWN	Shutdown_priv	Server administration
SUPER	Super_priv	Server administration
TRIGGER	Trigger_priv	Tables
UPDATE	Update_priv	Tables or columns
USAGE	Synonym for “no privileges”	Server administration

The following table shows the dynamic privilege names used in GRANT and REVOKE statements, along with the context in which the privilege applies.

Table 4.2 Permissible Dynamic Privileges for GRANT and REVOKE

Privilege	Context
APPLICATION_PASSWORD_ADMIN	Dual password administration
AUDIT_ABORT_EXEMPT	Allow queries blocked by audit log filter
AUDIT_ADMIN	Audit log administration
AUTHENTICATION_POLICY_ADMIN	Authentication administration

Privilege	Context
BACKUP_ADMIN	Backup administration
BINLOG_ADMIN	Backup and Replication administration
BINLOG_ENCRYPTION_ADMIN	Backup and Replication administration
CLONE_ADMIN	Clone administration
CONNECTION_ADMIN	Server administration
ENCRYPTION_KEY_ADMIN	Server administration
FIREWALL_ADMIN	Firewall administration
FIREWALL_EXEMPT	Firewall administration
FIREWALL_USER	Firewall administration
FLUSH_OPTIMIZER_COSTS	Server administration
FLUSH_STATUS	Server administration
FLUSH_TABLES	Server administration
FLUSH_USER_RESOURCES	Server administration
GROUP_REPLICATION_ADMIN	Replication administration
GROUP_REPLICATION_STREAM	Replication administration
INNODB_REDO_LOG_ARCHIVE	Redo log archiving administration
INNODB_REDO_LOG_ENABLE	Redo log administration
MASKING_DICTIONARIES_ADMIN	Server administration
NDB_STORED_USER	NDB Cluster
PASSWORDLESS_USER_ADMIN	Authentication administration
PERSIST_RO_VARIABLES_ADMIN	Server administration
REPLICATION_APPLIER	PRIVILEGE_CHECKS_USER for a replication channel
REPLICATION_SLAVE_ADMIN	Replication administration
RESOURCE_GROUP_ADMIN	Resource group administration
RESOURCE_GROUP_USER	Resource group administration
ROLE_ADMIN	Server administration
SENSITIVE_VARIABLES_OBSERVER	Server administration
SESSION_VARIABLES_ADMIN	Server administration
SET_USER_ID	Server administration
SHOW_ROUTINE	Server administration
SKIP_QUERY_REWRITE	Server administration
SYSTEM_USER	Server administration
SYSTEM_VARIABLES_ADMIN	Server administration
TABLE_ENCRYPTION_ADMIN	Server administration
TELEMETRY_LOG_ADMIN	Telemetry log administration for MySQL HeatWave on AWS
TP_CONNECTION_ADMIN	Thread pool administration
VERSION_TOKEN_ADMIN	Server administration
XA_RECOVER_ADMIN	Server administration

Static Privilege Descriptions

Static privileges are built in to the server, in contrast to dynamic privileges, which are defined at runtime. The following list describes each static privilege available in MySQL.

Particular SQL statements might have more specific privilege requirements than indicated here. If so, the description for the statement in question provides the details.

- `ALL`, `ALL PRIVILEGES`

  These privilege specifiers are shorthand for “all privileges available at a given privilege level” (except `GRANT OPTION`). For example, granting `ALL` at the global or table level grants all global privileges or all table-level privileges, respectively.

- `ALTER`

  Enables use of the `ALTER TABLE` statement to change the structure of tables. `ALTER TABLE` also requires the `CREATE` and `INSERT` privileges. Renaming a table requires `ALTER` and `DROP` on the old table, `CREATE`, and `INSERT` on the new table.

- `ALTER ROUTINE`

  Enables use of statements that alter or drop stored routines (stored procedures and functions). For routines that fall within the scope at which the privilege is granted and for which the user is not the user named as the routine `DEFINER`, also enables access to routine properties other than the routine definition.

- `CREATE`

  Enables use of statements that create new databases and tables.

- `CREATE ROLE`

  Enables use of the `CREATE ROLE` statement. (The `CREATE USER` privilege also enables use of the `CREATE ROLE` statement.) See Section 4.10, “Using Roles”.

  The `CREATE ROLE` and `DROP ROLE` privileges are not as powerful as `CREATE USER` because they can be used only to create and drop accounts. They cannot be used as `CREATE USER` can be modify account attributes or rename accounts. See User and Role Interchangeability.

- `CREATE ROUTINE`

  Enables use of statements that create stored routines (stored procedures and functions). For routines that fall within the scope at which the privilege is granted and for which the user is not the user named as the routine `DEFINER`, also enables access to routine properties other than the routine definition.

- `CREATE TABLESPACE`

  Enables use of statements that create, alter, or drop tablespaces and log file groups.

- `CREATE TEMPORARY TABLES`

  Enables the creation of temporary tables using the `CREATE TEMPORARY TABLE` statement.

  After a session has created a temporary table, the server performs no further privilege checks on the table. The creating session can perform any operation on the table, such as `DROP TABLE`, `INSERT`, `UPDATE`, or `SELECT`. For more information, see CREATE TEMPORARY TABLE Statement.

- `CREATE USER`

  Enables use of the `ALTER USER`, `CREATE ROLE`, `CREATE USER`, `DROP ROLE`, `DROP USER`, `RENAME USER`, and `REVOKE ALL PRIVILEGES` statements.

- `CREATE VIEW`

  Enables use of the `CREATE VIEW` statement.

- `DELETE`

  Enables rows to be deleted from tables in a database.

- `DROP`

  Enables use of statements that drop (remove) existing databases, tables, and views. The `DROP` privilege is required to use the `ALTER TABLE ... DROP PARTITION` statement on a partitioned table. The `DROP` privilege is also required for `TRUNCATE TABLE`.

- `DROP ROLE`

  Enables use of the `DROP ROLE` statement. (The `CREATE USER` privilege also enables use of the `DROP ROLE` statement.) See Section 4.10, “Using Roles”.

  The `CREATE ROLE` and `DROP ROLE` privileges are not as powerful as `CREATE USER` because they can be used only to create and drop accounts. They cannot be used as `CREATE USER` can be modify account attributes or rename accounts. See User and Role Interchangeability.

- `EVENT`

  Enables use of statements that create, alter, drop, or display events for the Event Scheduler.

- `EXECUTE`

  Enables use of statements that execute stored routines (stored procedures and functions). For routines that fall within the scope at which the privilege is granted and for which the user is not the user named as the routine `DEFINER`, also enables access to routine properties other than the routine definition.

- `FILE`

  Affects the following operations and server behaviors:

  - Enables reading and writing files on the server host using the `LOAD DATA` and `SELECT ... INTO OUTFILE` statements and the `LOAD_FILE()` function. A user who has the `FILE` privilege can read any file on the server host that is either world-readable or readable by the MySQL server. (This implies the user can read any file in any database directory, because the server can access any of those files.)

  - Enables creating new files in any directory where the MySQL server has write access. This includes the server's data directory containing the files that implement the privilege tables.

  - Enables use of the `DATA DIRECTORY` or `INDEX DIRECTORY` table option for the `CREATE TABLE` statement.

  As a security measure, the server does not overwrite existing files.

  To limit the location in which files can be read and written, set the `secure_file_priv` system variable to a specific directory. See Server System Variables.

- `GRANT OPTION`

  Enables you to grant to or revoke from other users those privileges that you yourself possess.

- `INDEX`

  Enables use of statements that create or drop (remove) indexes. `INDEX` applies to existing tables. If you have the `CREATE` privilege for a table, you can include index definitions in the `CREATE TABLE` statement.

- `INSERT`

  Enables rows to be inserted into tables in a database. `INSERT` is also required for the `ANALYZE TABLE`, `OPTIMIZE TABLE`, and `REPAIR TABLE` table-maintenance statements.

- `LOCK TABLES`

  Enables use of explicit `LOCK TABLES` statements to lock tables for which you have the `SELECT` privilege. This includes use of write locks, which prevents other sessions from reading the locked table.

- `PROCESS`

  The `PROCESS` privilege controls access to information about threads executing within the server (that is, information about statements being executed by sessions). Thread information available using the `SHOW PROCESSLIST` statement, the `mysqladmin processlist` command, the Information Schema `PROCESSLIST` table, and the Performance Schema `processlist` table is accessible as follows:

  - With the `PROCESS` privilege, a user has access to information about all threads, even those belonging to other users.
  - Without the `PROCESS` privilege, nonanonymous users have access to information about their own threads but not threads for other users, and anonymous users have no access to thread information.

    > **Note**
    >
    > The Performance Schema `threads` table also provides thread information, but table access uses a different privilege model. See The threads Table.

  The `PROCESS` privilege also enables use of the `SHOW ENGINE` statement, access to the `INFORMATION_SCHEMA InnoDB` tables (tables with names that begin with `INNODB_`), and (as of MySQL 8.0.21) access to the `INFORMATION_SCHEMA FILES` table.

- `PROXY`

  Enables one user to impersonate or become known as another user. See Section 4.19, “Proxy Users”.

- `REFERENCES`

  Creation of a foreign key constraint requires the `REFERENCES` privilege for the parent table.

- `RELOAD`

  The `RELOAD` enables the following operations:

  - Use of the `FLUSH` statement.
  - Use of `mysqladmin` commands that are equivalent to `FLUSH` operations: `flush-hosts`, `flush-logs`, `flush-privileges`, `flush-status`, `flush-tables`, `flush-threads`, `refresh`, and `reload`.

    The `reload` command tells the server to reload the grant tables into memory. `flush-privileges` is a synonym for `reload`. The `refresh` command closes and reopens the log files

and flushes all tables. The other `flush-xxx` commands perform functions similar to `refresh`, but are more specific and may be preferable in some instances. For example, if you want to flush just the log files, `flush-logs` is a better choice than `refresh`.

- Use of `mysqldump` options that perform various `FLUSH` operations: `--flush-logs` and `--master-data`.
- Use of the `RESET MASTER` and `RESET REPLICA` (or before MySQL 8.0.22, `RESET SLAVE`) statements.

- `REPLICATION CLIENT`

  Enables use of the `SHOW MASTER STATUS`, `SHOW REPLICA STATUS`, and `SHOW BINARY LOGS` statements.

- `REPLICATION SLAVE`

  Enables the account to request updates that have been made to databases on the replication source server, using the `SHOW REPLICAS` (or before MySQL 8.0.22, `SHOW SLAVE HOSTS`), `SHOW RELAYLOG EVENTS`, and `SHOW BINLOG EVENTS` statements. This privilege is also required to use the `mysqlbinlog` options `--read-from-remote-server` (`-R`), `--read-from-remote-source`, and `--read-from-remote-master`. Grant this privilege to accounts that are used by replicas to connect to the current server as their replication source server.

- `SELECT`

  Enables rows to be selected from tables in a database. `SELECT` statements require the `SELECT` privilege only if they actually access tables. Some `SELECT` statements do not access tables and can be executed without permission for any database. For example, you can use `SELECT` as a simple calculator to evaluate expressions that make no reference to tables:

  ```
  SELECT 1+1;
  SELECT PI()*2;
  ```

  The `SELECT` privilege is also needed for other statements that read column values. For example, `SELECT` is needed for columns referenced on the right hand side of *`col_name`*=*`expr`* assignment in `UPDATE` statements or for columns named in the `WHERE` clause of `DELETE` or `UPDATE` statements.

  The `SELECT` privilege is needed for tables or views used with `EXPLAIN`, including any underlying tables in view definitions.

- `SHOW DATABASES`

  Enables the account to see database names by issuing the `SHOW DATABASE` statement. Accounts that do not have this privilege see only databases for which they have some privileges, and cannot use the statement at all if the server was started with the `--skip-show-database` option.

  > **Caution**
  >
  > Because any static global privilege is considered a privilege for all databases, any static global privilege enables a user to see all database names with `SHOW DATABASES` or by examining the `SCHEMATA` table of `INFORMATION_SCHEMA`, except databases that have been restricted at the database level by partial revokes.

- `SHOW VIEW`

  Enables use of the `SHOW CREATE VIEW` statement. This privilege is also needed for views used with `EXPLAIN`.

- `SHUTDOWN`

  Enables use of the `SHUTDOWN` and `RESTART` statements, the `mysqladmin shutdown` command, and the `mysql_shutdown()` C API function.

- `SUPER`

  `SUPER` is a powerful and far-reaching privilege and should not be granted lightly. If an account needs to perform only a subset of `SUPER` operations, it may be possible to achieve the desired privilege set

by instead granting one or more dynamic privileges, each of which confers more limited capabilities. See Dynamic Privilege Descriptions.

> **Note**
>
> SUPER is deprecated, and you should expect it to be removed in a future version of MySQL. See Migrating Accounts from SUPER to Dynamic Privileges.

SUPER affects the following operations and server behaviors:

- Enables system variable changes at runtime:
  - Enables server configuration changes to global system variables with SET GLOBAL and SET PERSIST.

    The corresponding dynamic privilege is SYSTEM_VARIABLES_ADMIN.
  - Enables setting restricted session system variables that require a special privilege.

    The corresponding dynamic privilege is SESSION_VARIABLES_ADMIN.

  See also System Variable Privileges.
- Enables changes to global transaction characteristics (see SET TRANSACTION Statement).

  The corresponding dynamic privilege is SYSTEM_VARIABLES_ADMIN.
- Enables the account to start and stop replication, including Group Replication.

  The corresponding dynamic privilege is REPLICATION_SLAVE_ADMIN for regular replication, GROUP_REPLICATION_ADMIN for Group Replication.
- Enables use of the CHANGE REPLICATION SOURCE TO statement (from MySQL 8.0.23), CHANGE MASTER TO statement (before MySQL 8.0.23), and CHANGE REPLICATION FILTER statements.

  The corresponding dynamic privilege is REPLICATION_SLAVE_ADMIN.
- Enables binary log control by means of the PURGE BINARY LOGS and BINLOG statements.

  The corresponding dynamic privilege is BINLOG_ADMIN.
- Enables setting the effective authorization ID when executing a view or stored program. A user with this privilege can specify any account in the DEFINER attribute of a view or stored program.

  The corresponding dynamic privilege is SET_USER_ID.
- Enables use of the CREATE SERVER, ALTER SERVER, and DROP SERVER statements.
- Enables use of the mysqladmin debug command.
- Enables InnoDB encryption key rotation.

  The corresponding dynamic privilege is ENCRYPTION_KEY_ADMIN.
- Enables execution of Version Tokens functions.

  The corresponding dynamic privilege is VERSION_TOKEN_ADMIN.

- Enables granting and revoking roles, use of the `WITH ADMIN OPTION` clause of the `GRANT` statement, and nonempty `<graphml>` element content in the result from the `ROLES_GRAPHML()` function.

  The corresponding dynamic privilege is `ROLE_ADMIN`.

- Enables control over client connections not permitted to non-`SUPER` accounts:

  - Enables use of the `KILL` statement or `mysqladmin kill` command to kill threads belonging to other accounts. (An account can always kill its own threads.)
  - The server does not execute `init_connect` system variable content when `SUPER` clients connect.
  - The server accepts one connection from a `SUPER` client even if the connection limit configured by the `max_connections` system variable is reached.
  - A server in offline mode (`offline_mode` enabled) does not terminate `SUPER` client connections at the next client request, and accepts new connections from `SUPER` clients.
  - Updates can be performed even when the `read_only` system variable is enabled. This applies to explicit table updates, and to use of account-management statements such as `GRANT` and `REVOKE` that update tables implicitly.

  The corresponding dynamic privilege for the preceding connection control operations is `CONNECTION_ADMIN`.

  You may also need the `SUPER` privilege to create or alter stored functions if binary logging is enabled, as described in Stored Program Binary Logging.

- `TRIGGER`

  Enables trigger operations. You must have this privilege for a table to create, drop, execute, or display triggers for that table.

  When a trigger is activated (by a user who has privileges to execute `INSERT`, `UPDATE`, or `DELETE` statements for the table associated with the trigger), trigger execution requires that the user who defined the trigger still have the `TRIGGER` privilege for the table.

- `UPDATE`

  Enables rows to be updated in tables in a database.

- `USAGE`

  This privilege specifier stands for “no privileges.” It is used at the global level with `GRANT` to specify clauses such as `WITH GRANT OPTION` without naming specific account privileges in the privilege list. `SHOW GRANTS` displays `USAGE` to indicate that an account has no privileges at a privilege level.

Dynamic Privilege Descriptions

Dynamic privileges are defined at runtime, in contrast to static privileges, which are built in to the server. The following list describes each dynamic privilege available in MySQL.

Most dynamic privileges are defined at server startup. Others are defined by a particular component or plugin, as indicated in the privilege descriptions. In such cases, the privilege is unavailable unless the component or plugin that defines it is enabled.

Particular SQL statements might have more specific privilege requirements than indicated here. If so, the description for the statement in question provides the details.

- APPLICATION_PASSWORD_ADMIN (added in MySQL 8.0.14)

  For dual-password capability, this privilege enables use of the RETAIN CURRENT PASSWORD and DISCARD OLD PASSWORD clauses for ALTER USER and SET PASSWORD statements that apply to your own account. This privilege is required to manipulate your own secondary password because most users require only one password.

  If an account is to be permitted to manipulate secondary passwords for all accounts, it should be granted the CREATE USER privilege rather than APPLICATION_PASSWORD_ADMIN.

  For more information about use of dual passwords, see Section 4.15, “Password Management”.

- AUDIT_ABORT_EXEMPT (added in MySQL 8.0.28)

  Allows queries blocked by an “abort” item in the audit log filter. This privilege is defined by the audit_log plugin; see Section 6.5, “MySQL Enterprise Audit”.

  Accounts created in MySQL 8.0.28 or later with the SYSTEM_USER privilege have the AUDIT_ABORT_EXEMPT privilege assigned automatically when they are created. The AUDIT_ABORT_EXEMPT privilege is also assigned to existing accounts with the SYSTEM_USER privilege when you carry out an upgrade procedure with MySQL 8.0.28 or later, if no existing accounts have that privilege assigned. Accounts with the SYSTEM_USER privilege can therefore be used to regain access to a system following an audit misconfiguration.

- AUDIT_ADMIN

  Enables audit log configuration. This privilege is defined by the audit_log plugin; see Section 6.5, “MySQL Enterprise Audit”.

- BACKUP_ADMIN

  Enables execution of the LOCK INSTANCE FOR BACKUP statement and access to the Performance Schema log_status table.

  > **Note**
  >
  > Besides BACKUP_ADMIN, the SELECT privilege on the log_status table is also needed for its access.

  The BACKUP_ADMIN privilege is automatically granted to users with the RELOAD privilege when performing an in-place upgrade to MySQL 8.0 from an earlier version.

- AUTHENTICATION_POLICY_ADMIN (added in MySQL 8.0.27)

  The authentication_policy system variable places certain constraints on how the authentication-related clauses of CREATE USER and ALTER USER statements may be used. A user who has the AUTHENTICATION_POLICY_ADMIN privilege is not subject to these constraints. (A warning does occur for statements that otherwise would not be permitted.)

  For details about the constraints imposed by authentication_policy, see the description of that variable.

- BINLOG_ADMIN

  Enables binary log control by means of the PURGE BINARY LOGS and BINLOG statements.

- BINLOG_ENCRYPTION_ADMIN

  Enables setting the system variable binlog_encryption, which activates or deactivates encryption for binary log files and relay log files. This ability is not provided by the BINLOG_ADMIN, SYSTEM_VARIABLES_ADMIN, or SESSION_VARIABLES_ADMIN privileges. The related system

variable `binlog_rotate_encryption_master_key_at_startup`, which rotates the binary log master key automatically when the server is restarted, does not require this privilege.

- `CLONE_ADMIN`

  Enables execution of the `CLONE` statements. Includes `BACKUP_ADMIN` and `SHUTDOWN` privileges.

- `CONNECTION_ADMIN`

  Enables use of the `KILL` statement or `mysqladmin kill` command to kill threads belonging to other accounts. (An account can always kill its own threads.)

  Enables setting system variables related to client connections, or circumventing restrictions related to client connections. From MySQL 8.0.31, `CONNECTION_ADMIN` is required to activate MySQL Server's offline mode, which is done by changing the value of the `offline_mode` system variable to `ON`.

  The `CONNECTION_ADMIN` privilege enables administrators with it to bypass effects of these system variables:

  - `init_connect`: The server does not execute `init_connect` system variable content when `CONNECTION_ADMIN` clients connect.
  - `max_connections`: The server accepts one connection from a `CONNECTION_ADMIN` client even if the connection limit configured by the `max_connections` system variable is reached.
  - `offline_mode`: A server in offline mode (`offline_mode` enabled) does not terminate `CONNECTION_ADMIN` client connections at the next client request, and accepts new connections from `CONNECTION_ADMIN` clients.
  - `read_only`: Updates from `CONNECTION_ADMIN` clients can be performed even when the `read_only` system variable is enabled. This applies to explicit table updates, and to account management statements such as `GRANT` and `REVOKE` that update tables implicitly.

  Group Replication group members need the `CONNECTION_ADMIN` privilege so that Group Replication connections are not terminated if one of the servers involved is placed in offline mode. If the MySQL communication stack is in use (`group_replication_communication_stack = MYSQL`), without this privilege, a member that is placed in offline mode is expelled from the group.

- `ENCRYPTION_KEY_ADMIN`

  Enables `InnoDB` encryption key rotation.

- `FIREWALL_ADMIN`

  Enables a user to administer firewall rules for any user. This privilege is defined by the `MYSQL_FIREWALL` plugin; see Section 6.7, "MySQL Enterprise Firewall".

- `FIREWALL_EXEMPT` (added in MySQL 8.0.27)

  A user with this privilege is exempt from firewall restrictions. This privilege is defined by the `MYSQL_FIREWALL` plugin; see Section 6.7, "MySQL Enterprise Firewall".

- `FIREWALL_USER`

  Enables users to update their own firewall rules. This privilege is defined by the `MYSQL_FIREWALL` plugin; see Section 6.7, "MySQL Enterprise Firewall".

- `FLUSH_OPTIMIZER_COSTS` (added in MySQL 8.0.23)

  Enables use of the `FLUSH OPTIMIZER_COSTS` statement.

- `FLUSH_STATUS` (added in MySQL 8.0.23)

Enables use of the `FLUSH STATUS` statement.

- `FLUSH_TABLES` (added in MySQL 8.0.23)

  Enables use of the `FLUSH TABLES` statement.

- `FLUSH_USER_RESOURCES` (added in MySQL 8.0.23)

  Enables use of the `FLUSH USER_RESOURCES` statement.

- `GROUP_REPLICATION_ADMIN`

  Enables the account to start and stop Group Replication using the `START GROUP REPLICATION` and `STOP GROUP REPLICATION` statements, to change the global setting for the `group_replication_consistency` system variable, and to use the `group_replication_set_write_concurrency()` and `group_replication_set_communication_protocol()` functions. Grant this privilege to accounts that are used to administer servers that are members of a replication group.

- `GROUP_REPLICATION_STREAM`

  Allows a user account to be used for establishing Group Replication's group communication connections. It must be granted to a recovery user when the MySQL communication stack is used for Group Replication (`group_replication_communication_stack=MYSQL`).

- `INNODB_REDO_LOG_ARCHIVE`

  Enables the account to activate and deactivate redo log archiving.

- `INNODB_REDO_LOG_ENABLE`

  Enables use of the `ALTER INSTANCE {ENABLE|DISABLE} INNODB REDO_LOG` statement to enable or disable redo logging. Introduced in MySQL 8.0.21.

  See Disabling Redo Logging.

- `MASKING_DICTIONARIES_ADMIN`

  Enables the account to add and remove dictionary terms using the `masking_dictionary_term_add()` and `masking_dictionary_term_remove()` component functions. Accounts also require this dynamic privilege to remove a full dictionary using the `masking_dictionary_remove()` function, which removes all of the terms associated with the named dictionary currently in the `mysql.masking_dictionaries` table.

  See MySQL Enterprise Data Masking and De-Identification.

- `NDB_STORED_USER`

  Enables the user or role and its privileges to be shared and synchronized between all `NDB`-enabled MySQL servers as soon as they join a given NDB Cluster. This privilege is available only if the `NDB` storage engine is enabled.

  Any changes to or revocations of privileges made for the given user or role are synchronized immediately with all connected MySQL servers (SQL nodes). You should be aware that there is no guarantee that multiple statements affecting privileges originating from different SQL nodes are executed on all SQL nodes in the same order. For this reason, it is highly recommended that all user administration be done from a single designated SQL node.

  `NDB_STORED_USER` is a global privilege and must be granted or revoked using `ON *.*`. Trying to set any other scope for this privilege results in an error. This privilege can be given to most

application and administrative users, but it cannot be granted to system reserved accounts such as `mysql.session@localhost` or `mysql.infoschema@localhost`.

A user that has been granted the `NDB_STORED_USER` privilege is stored in `NDB` (and thus shared by all SQL nodes), as is a role with this privilege. A user that is merely granted a role that has `NDB_STORED_USER` is *not* stored in `NDB`; each `NDB` stored user must be granted the privilege explicitly.

For more detailed information about how this works in `NDB`, see Privilege Synchronization and NDB_STORED_USER.

The `NDB_STORED_USER` privilege is available beginning with NDB 8.0.18.

- `PASSWORDLESS_USER_ADMIN` (added in MySQL 8.0.27)

  This privilege applies to passwordless user accounts:

  - For account creation, a user who executes `CREATE USER` to create a passwordless account must possess the `PASSWORDLESS_USER_ADMIN` privilege.
  - In replication context, the `PASSWORDLESS_USER_ADMIN` privilege applies to replication users and enables replication of `ALTER USER ... MODIFY` statements for user accounts that are configured for passwordless authentication.

  For information about passwordless authentication, see FIDO Passwordless Authentication.

- `PERSIST_RO_VARIABLES_ADMIN`

  For users who also have `SYSTEM_VARIABLES_ADMIN`, `PERSIST_RO_VARIABLES_ADMIN` enables use of `SET PERSIST_ONLY` to persist global system variables to the `mysqld-auto.cnf` option file in the data directory. This statement is similar to `SET PERSIST` but does not modify the runtime global system variable value. This makes `SET PERSIST_ONLY` suitable for configuring read-only system variables that can be set only at server startup.

  See also System Variable Privileges.

- `REPLICATION_APPLIER`

  Enables the account to act as the `PRIVILEGE_CHECKS_USER` for a replication channel, and to execute `BINLOG` statements in `mysqlbinlog` output. Grant this privilege to accounts that are assigned using `CHANGE REPLICATION SOURCE TO` (from MySQL 8.0.23) or `CHANGE MASTER TO` (before MySQL 8.0.23) to provide a security context for replication channels, and to handle replication errors on those channels. As well as the `REPLICATION_APPLIER` privilege, you must also give the account the required privileges to execute the transactions received by the replication channel or contained in the `mysqlbinlog` output, for example to update the affected tables. For more information, see Replication Privilege Checks.

- `REPLICATION_SLAVE_ADMIN`

  Enables the account to connect to the replication source server, start and stop replication using the `START REPLICA` and `STOP REPLICA` statements, and use the `CHANGE REPLICATION SOURCE TO` statement (from MySQL 8.0.23) or `CHANGE MASTER TO` statement (before MySQL 8.0.23) and the `CHANGE REPLICATION FILTER` statements. Grant this privilege to accounts that are used by replicas to connect to the current server as their replication source server. This privilege does not apply to Group Replication; use `GROUP_REPLICATION_ADMIN` for that.

- `RESOURCE_GROUP_ADMIN`

  Enables resource group management, consisting of creating, altering, and dropping resource groups, and assignment of threads and statements to resource groups. A user with this privilege can perform any operation relating to resource groups.

- `RESOURCE_GROUP_USER`

  Enables assigning threads and statements to resource groups. A user with this privilege can use the `SET RESOURCE GROUP` statement and the `RESOURCE_GROUP` optimizer hint.

- `ROLE_ADMIN`

  Enables granting and revoking roles, use of the `WITH ADMIN OPTION` clause of the `GRANT` statement, and nonempty `<graphml>` element content in the result from the `ROLES_GRAPHML()` function. Required to set the value of the `mandatory_roles` system variable.

- `SENSITIVE_VARIABLES_OBSERVER` (added in MySQL 8.0.29)

  Enables a holder to view the values of sensitive system variables in the Performance Schema tables `global_variables`, `session_variables`, `variables_by_thread`, and `persisted_variables`, to issue `SELECT` statements to return their values, and to track changes to them in session trackers for connections. Users without this privilege cannot view or track those system variable values. See Persisting Sensitive System Variables.

- `SERVICE_CONNECTION_ADMIN`

  Enables connections to the network interface that permits only administrative connections (see Connection Interfaces).

- `SESSION_VARIABLES_ADMIN` (added in MySQL 8.0.14)

  For most system variables, setting the session value requires no special privileges and can be done by any user to affect the current session. For some system variables, setting the session value can have effects outside the current session and thus is a restricted operation. For these, the `SESSION_VARIABLES_ADMIN` privilege enables the user to set the session value.

  If a system variable is restricted and requires a special privilege to set the session value, the variable description indicates that restriction. Examples include `binlog_format`, `sql_log_bin`, and `sql_log_off`.

  Prior to MySQL 8.0.14 when `SESSION_VARIABLES_ADMIN` was added, restricted session system variables can be set only by users who have the `SYSTEM_VARIABLES_ADMIN` or `SUPER` privilege.

  The `SESSION_VARIABLES_ADMIN` privilege is a subset of the `SYSTEM_VARIABLES_ADMIN` and `SUPER` privileges. A user who has either of those privileges is also permitted to set restricted session variables and effectively has `SESSION_VARIABLES_ADMIN` by implication and need not be granted `SESSION_VARIABLES_ADMIN` explicitly.

  See also System Variable Privileges.

- `SET_USER_ID`

  Enables setting the effective authorization ID when executing a view or stored program. A user with this privilege can specify any account as the `DEFINER` attribute of a view or stored program. Stored programs execute with the privileges of the specified account, so ensure that you follow the risk minimization guidelines listed in Stored Object Access Control.

  As of MySQL 8.0.22, `SET_USER_ID` also enables overriding security checks designed to prevent operations that (perhaps inadvertently) cause stored objects to become orphaned or that cause adoption of stored objects that are currently orphaned. For details, see Orphan Stored Objects.

- `SHOW_ROUTINE` (added in MySQL 8.0.20)

  Enables a user to access definitions and properties of all stored routines (stored procedures and functions), even those for which the user is not named as the routine `DEFINER`. This access includes:

  - The contents of the Information Schema `ROUTINES` table.
  - The `SHOW CREATE FUNCTION` and `SHOW CREATE PROCEDURE` statements.
  - The `SHOW FUNCTION CODE` and `SHOW PROCEDURE CODE` statements.
  - The `SHOW FUNCTION STATUS` and `SHOW PROCEDURE STATUS` statements.

  Prior to MySQL 8.0.20, for a user to access definitions of routines the user did not define, the user must have the global `SELECT` privilege, which is very broad. As of 8.0.20, `SHOW_ROUTINE` may be granted instead as a privilege with a more restricted scope that permits access to routine definitions. (That is, an administrator can rescind global `SELECT` from users that do not otherwise require it and grant `SHOW_ROUTINE` instead.) This enables an account to back up stored routines without requiring a broad privilege.

- `SKIP_QUERY_REWRITE` (added in MySQL 8.0.31)

  Queries issued by a user with this privilege are not subject to being rewritten by the `Rewriter` plugin (see The Rewriter Query Rewrite Plugin).

  This privilege should be granted to users issuing administrative or control statements that should not be rewritten, as well as to `PRIVILEGE_CHECKS_USER` accounts (see Replication Privilege Checks) used to apply statements from a replication source.

- `SYSTEM_USER` (added in MySQL 8.0.16)

  The `SYSTEM_USER` privilege distinguishes system users from regular users:

  - A user with the `SYSTEM_USER` privilege is a system user.
  - A user without the `SYSTEM_USER` privilege is a regular user.

  The `SYSTEM_USER` privilege has an effect on the accounts to which a given user can apply its other privileges, as well as whether the user is protected from other accounts:

  - A system user can modify both system and regular accounts. That is, a user who has the appropriate privileges to perform a given operation on regular accounts is enabled by possession of `SYSTEM_USER` to also perform the operation on system accounts. A system account can be modified only by system users with appropriate privileges, not by regular users.
  - A regular user with appropriate privileges can modify regular accounts, but not system accounts. A regular account can be modified by both system and regular users with appropriate privileges.

  This also means that database objects created by users with the `SYSTEM_USER` privilege cannot be modified or dropped by users without the privilege. This also applies to routines for which the definer has this privilege.

  For more information, see Section 4.11, “Account Categories”.

  The protection against modification by regular accounts that is afforded to system accounts by the `SYSTEM_USER` privilege does not apply to regular accounts that have privileges on the `mysql` system schema and thus can directly modify the grant tables in that schema. For full protection, do

not grant `mysql` schema privileges to regular accounts. See Protecting System Accounts Against Manipulation by Regular Accounts.

If the `audit_log` plugin is in use (see Section 6.5, “MySQL Enterprise Audit”), from MySQL 8.0.28, accounts with the `SYSTEM_USER` privilege are automatically assigned the `AUDIT_ABORT_EXEMPT` privilege, which permits their queries to be executed even if an “abort” item configured in the filter would block them. Accounts with the `SYSTEM_USER` privilege can therefore be used to regain access to a system following an audit misconfiguration.

- `SYSTEM_VARIABLES_ADMIN`

  Affects the following operations and server behaviors:

  - Enables system variable changes at runtime:

    - Enables server configuration changes to global system variables with `SET GLOBAL` and `SET PERSIST`.

    - Enables server configuration changes to global system variables with `SET PERSIST_ONLY`, if the user also has `PERSIST_RO_VARIABLES_ADMIN`.

    - Enables setting restricted session system variables that require a special privilege. In effect, `SYSTEM_VARIABLES_ADMIN` implies `SESSION_VARIABLES_ADMIN` without explicitly granting `SESSION_VARIABLES_ADMIN`.

    See also System Variable Privileges.

  - Enables changes to global transaction characteristics (see SET TRANSACTION Statement).

- `TABLE_ENCRYPTION_ADMIN` (added in MySQL 8.0.16)

  Enables a user to override default encryption settings when `table_encryption_privilege_check` is enabled; see Defining an Encryption Default for Schemas and General Tablespaces.

- `TELEMETRY_LOG_ADMIN`

  Enables telemetry log configuration. This privilege is defined by the `telemetry_log` plugin, which is deployed through MySQL HeatWave on AWS.

- `TP_CONNECTION_ADMIN`

  Enables connecting to the server with a privileged connection. When the limit defined by `thread_pool_max_transactions_limit` has been reached, new connections are not permitted. A privileged connection ignores the transaction limit and permits connecting to the server to increase the transaction limit, remove the limit, or kill running transactions. This privilege is not granted to any user by default. To establish a privileged connection, the user initiating a connection must have the `TP_CONNECTION_ADMIN` privilege.

  A privileged connection can execute statements and start transactions when the limit defined by `thread_pool_max_transactions_limit` has been reached. A privileged connection is placed in the `Admin` thread group. See Privileged Connections.

- `VERSION_TOKEN_ADMIN`

  Enables execution of Version Tokens functions. This privilege is defined by the `version_tokens` plugin; see Version Tokens.

- `XA_RECOVER_ADMIN`

  Enables execution of the `XA RECOVER` statement; see XA Transaction SQL Statements.

  Prior to MySQL 8.0, any user could execute the `XA RECOVER` statement to discover the XID values for outstanding prepared XA transactions, possibly leading to commit or rollback of an XA transaction by a user other than the one who started it. In MySQL 8.0, `XA RECOVER` is permitted only to users who have the `XA_RECOVER_ADMIN` privilege, which is expected to be granted only to administrative users who have need for it. This might be the case, for example, for administrators of an XA application if it has crashed and it is necessary to find outstanding transactions started by the application so they can be rolled back. This privilege requirement prevents users from discovering the XID values for outstanding prepared XA transactions other than their own. It does not affect normal commit or rollback of an XA transaction because the user who started it knows its XID.

Privilege-Granting Guidelines

It is a good idea to grant to an account only those privileges that it needs. You should exercise particular caution in granting the `FILE` and administrative privileges:

- `FILE` can be abused to read into a database table any files that the MySQL server can read on the server host. This includes all world-readable files and files in the server's data directory. The table can then be accessed using `SELECT` to transfer its contents to the client host.
- `GRANT OPTION` enables users to give their privileges to other users. Two users that have different privileges and with the `GRANT OPTION` privilege are able to combine privileges.
- `ALTER` may be used to subvert the privilege system by renaming tables.
- `SHUTDOWN` can be abused to deny service to other users entirely by terminating the server.
- `PROCESS` can be used to view the plain text of currently executing statements, including statements that set or change passwords.
- `SUPER` can be used to terminate other sessions or change how the server operates.
- Privileges granted for the `mysql` system database itself can be used to change passwords and other access privilege information:
  - Passwords are stored encrypted, so a malicious user cannot simply read them to know the plain text password. However, a user with write access to the `mysql.user` system table `authentication_string` column can change an account's password, and then connect to the MySQL server using that account.
  - `INSERT` or `UPDATE` granted for the `mysql` system database enable a user to add privileges or modify existing privileges, respectively.
  - `DROP` for the `mysql` system database enables a user to remote privilege tables, or even the database itself.

Static Versus Dynamic Privileges

MySQL supports static and dynamic privileges:

- Static privileges are built in to the server. They are always available to be granted to user accounts and cannot be unregistered.
- Dynamic privileges can be registered and unregistered at runtime. This affects their availability: A dynamic privilege that has not been registered cannot be granted.

For example, the `SELECT` and `INSERT` privileges are static and always available, whereas a dynamic privilege becomes available only if the component that implements it has been enabled.

The remainder of this section describes how dynamic privileges work in MySQL. The discussion uses the term “components” but applies equally to plugins.

> **Note**
>
> Server administrators should be aware of which server components define dynamic privileges. For MySQL distributions, documentation of components that define dynamic privileges describes those privileges.
>
> Third-party components may also define dynamic privileges; an administrator should understand those privileges and not install components that might conflict or compromise server operation. For example, one component conflicts with another if both define a privilege with the same name. Component developers can reduce the likelihood of this occurrence by choosing privilege names having a prefix based on the component name.

The server maintains the set of registered dynamic privileges internally in memory. Unregistration occurs at server shutdown.

Normally, a component that defines dynamic privileges registers them when it is installed, during its initialization sequence. When uninstalled, a component does not unregister its registered dynamic privileges. (This is current practice, not a requirement. That is, components could, but do not, unregister at any time privileges they register.)

No warning or error occurs for attempts to register an already registered dynamic privilege. Consider the following sequence of statements:

```
INSTALL COMPONENT 'my_component';
UNINSTALL COMPONENT 'my_component';
INSTALL COMPONENT 'my_component';
```

The first `INSTALL COMPONENT` statement registers any privileges defined by component `my_component`, but `UNINSTALL COMPONENT` does not unregister them. For the second `INSTALL COMPONENT` statement, the component privileges it registers are found to be already registered, but no warnings or errors occur.

Dynamic privileges apply only at the global level. The server stores information about current assignments of dynamic privileges to user accounts in the `mysql.global_grants` system table:

- The server automatically registers privileges named in `global_grants` during server startup (unless the `--skip-grant-tables` option is given).
- The `GRANT` and `REVOKE` statements modify the contents of `global_grants`.
- Dynamic privilege assignments listed in `global_grants` are persistent. They are not removed at server shutdown.

Example: The following statement grants to user `u1` the privileges required to control replication (including Group Replication) on a replica, and to modify system variables:

```
GRANT REPLICATION_SLAVE_ADMIN, GROUP_REPLICATION_ADMIN, BINLOG_ADMIN
ON *.* TO 'u1'@'localhost';
```

Granted dynamic privileges appear in the output from the `SHOW GRANTS` statement and the `INFORMATION_SCHEMA USER_PRIVILEGES` table.

For `GRANT` and `REVOKE` at the global level, any named privileges not recognized as static are checked against the current set of registered dynamic privileges and granted if found. Otherwise, an error occurs to indicate an unknown privilege identifier.

For `GRANT` and `REVOKE` the meaning of `ALL [PRIVILEGES]` at the global level includes all static global privileges, as well as all currently registered dynamic privileges:

- `GRANT ALL` at the global level grants all static global privileges and all currently registered dynamic privileges. A dynamic privilege registered subsequent to execution of the `GRANT` statement is not granted retroactively to any account.
- `REVOKE ALL` at the global level revokes all granted static global privileges and all granted dynamic privileges.

The `FLUSH PRIVILEGES` statement reads the `global_grants` table for dynamic privilege assignments and registers any unregistered privileges found there.

For descriptions of the dynamic privileges provided by MySQL Server and components included in MySQL distributions, see Section 4.2, “Privileges Provided by MySQL”.

Migrating Accounts from SUPER to Dynamic Privileges

In MySQL 8.0, many operations that previously required the `SUPER` privilege are also associated with a dynamic privilege of more limited scope. (For descriptions of these privileges, see Section 4.2, “Privileges Provided by MySQL”.) Each such operation can be permitted to an account by granting the associated dynamic privilege rather than `SUPER`. This change improves security by enabling DBAs to avoid granting `SUPER` and tailor user privileges more closely to the operations permitted. `SUPER` is now deprecated; expect it to be removed in a future version of MySQL.

When removal of `SUPER` occurs, operations that formerly required `SUPER` fail unless accounts granted `SUPER` are migrated to the appropriate dynamic privileges. Use the following instructions to accomplish that goal so that accounts are ready prior to `SUPER` removal:

1. Execute this query to identify accounts that are granted `SUPER`:

   ```
   SELECT GRANTEE FROM INFORMATION_SCHEMA.USER_PRIVILEGES
   WHERE PRIVILEGE_TYPE = 'SUPER';
   ```

2. For each account identified by the preceding query, determine the operations for which it needs `SUPER`. Then grant the dynamic privileges corresponding to those operations, and revoke `SUPER`.

   For example, if `'u1'@'localhost'` requires `SUPER` for binary log purging and system variable modification, these statements make the required changes to the account:

   ```
   GRANT BINLOG_ADMIN, SYSTEM_VARIABLES_ADMIN ON *.* TO 'u1'@'localhost';
   REVOKE SUPER ON *.* FROM 'u1'@'localhost';
   ```

   After you have modified all applicable accounts, the `INFORMATION_SCHEMA` query in the first step should produce an empty result set.

4.3 Grant Tables

The `mysql` system database includes several grant tables that contain information about user accounts and the privileges held by them. This section describes those tables. For information about other tables in the system database, see The mysql System Schema.

The discussion here describes the underlying structure of the grant tables and how the server uses their contents when interacting with clients. However, normally you do not modify the grant tables directly. Modifications occur indirectly when you use account-management statements such as `CREATE USER`, `GRANT`, and `REVOKE` to set up accounts and control the privileges available to each one. See Account Management Statements. When you use such statements to perform account manipulations, the server modifies the grant tables on your behalf.

> **Note**
>
> Direct modification of grant tables using statements such as `INSERT`, `UPDATE`, or `DELETE` is discouraged and done at your own risk. The server is free to ignore rows that become malformed as a result of such modifications.

> For any operation that modifies a grant table, the server checks whether the table has the expected structure and produces an error if not. To update the tables to the expected structure, perform the MySQL upgrade procedure. See Upgrading MySQL.

- Grant Table Overview
- The user and db Grant Tables
- The tables_priv and columns_priv Grant Tables
- The procs_priv Grant Table
- The proxies_priv Grant Table
- The global_grants Grant Table
- The default_roles Grant Table
- The role_edges Grant Table
- The password_history Grant Table
- Grant Table Scope Column Properties
- Grant Table Privilege Column Properties
- Grant Table Concurrency

Grant Table Overview

These `mysql` database tables contain grant information:

- `user`: User accounts, static global privileges, and other nonprivilege columns.
- `global_grants`: Dynamic global privileges.
- `db`: Database-level privileges.
- `tables_priv`: Table-level privileges.
- `columns_priv`: Column-level privileges.
- `procs_priv`: Stored procedure and function privileges.
- `proxies_priv`: Proxy-user privileges.
- `default_roles`: Default user roles.
- `role_edges`: Edges for role subgraphs.
- `password_history`: Password change history.

For information about the differences between static and dynamic global privileges, see Static Versus Dynamic Privileges.)

In MySQL 8.0, grant tables use the `InnoDB` storage engine and are transactional. Before MySQL 8.0, grant tables used the `MyISAM` storage engine and were nontransactional. This change of grant table storage engine enables an accompanying change to the behavior of account-management statements such as `CREATE USER` or `GRANT`. Previously, an account-management statement that named multiple users could succeed for some users and fail for others. Now, each statement is transactional and either succeeds for all named users or rolls back and has no effect if any error occurs.

Each grant table contains scope columns and privilege columns:

- Scope columns determine the scope of each row in the tables; that is, the context in which the row applies. For example, a `user` table row with `Host` and `User` values of `'h1.example.net'` and `'bob'` applies to authenticating connections made to the server from the host `h1.example.net` by a client that specifies a user name of `bob`. Similarly, a `db` table row with `Host`, `User`, and `Db` column values of `'h1.example.net'`, `'bob'` and `'reports'` applies when `bob` connects from the host `h1.example.net` to access the `reports` database. The `tables_priv` and `columns_priv` tables contain scope columns indicating tables or table/column combinations to which each row applies. The `procs_priv` scope columns indicate the stored routine to which each row applies.

- Privilege columns indicate which privileges a table row grants; that is, which operations it permits to be performed. The server combines the information in the various grant tables to form a complete description of a user's privileges. Section 4.7, “Access Control, Stage 2: Request Verification”, describes the rules for this.

In addition, a grant table may contain columns used for purposes other than scope or privilege assessment.

The server uses the grant tables in the following manner:

- The `user` table scope columns determine whether to reject or permit incoming connections. For permitted connections, any privileges granted in the `user` table indicate the user's static global privileges. Any privileges granted in this table apply to *all* databases on the server.

  > **Caution**
  >
  > Because any static global privilege is considered a privilege for all databases, any static global privilege enables a user to see all database names with `SHOW DATABASES` or by examining the `SCHEMATA` table of `INFORMATION_SCHEMA`, except databases that have been restricted at the database level by partial revokes.

- The `global_grants` table lists current assignments of dynamic global privileges to user accounts. For each row, the scope columns determine which user has the privilege named in the privilege column.

- The `db` table scope columns determine which users can access which databases from which hosts. The privilege columns determine the permitted operations. A privilege granted at the database level applies to the database and to all objects in the database, such as tables and stored programs.

- The `tables_priv` and `columns_priv` tables are similar to the `db` table, but are more fine-grained: They apply at the table and column levels rather than at the database level. A privilege granted at the table level applies to the table and to all its columns. A privilege granted at the column level applies only to a specific column.

- The `procs_priv` table applies to stored routines (stored procedures and functions). A privilege granted at the routine level applies only to a single procedure or function.

- The `proxies_priv` table indicates which users can act as proxies for other users and whether a user can grant the `PROXY` privilege to other users.

- The `default_roles` and `role_edges` tables contain information about role relationships.

- The `password_history` table retains previously chosen passwords to enable restrictions on password reuse. See Section 4.15, “Password Management”.

The server reads the contents of the grant tables into memory when it starts. You can tell it to reload the tables by issuing a `FLUSH PRIVILEGES` statement or executing a `mysqladmin flush-privileges` or `mysqladmin reload` command. Changes to the grant tables take effect as indicated in Section 4.13, “When Privilege Changes Take Effect”.

When you modify an account, it is a good idea to verify that your changes have the intended effect. To check the privileges for a given account, use the `SHOW GRANTS` statement. For example, to determine the privileges that are granted to an account with user name and host name values of `bob` and `pc84.example.com`, use this statement:

```
SHOW GRANTS FOR 'bob'@'pc84.example.com';
```

To display nonprivilege properties of an account, use `SHOW CREATE USER`:

```
SHOW CREATE USER 'bob'@'pc84.example.com';
```

The user and db Grant Tables

The server uses the `user` and `db` tables in the `mysql` database at both the first and second stages of access control (see Chapter 4, *Access Control and Account Management*). The columns in the `user` and `db` tables are shown here.

Table 4.3 user and db Table Columns

Table Name	`user`	`db`
Scope columns	`Host`	`Host`
	`User`	`Db`
		`User`
Privilege columns	`Select_priv`	`Select_priv`
	`Insert_priv`	`Insert_priv`
	`Update_priv`	`Update_priv`
	`Delete_priv`	`Delete_priv`
	`Index_priv`	`Index_priv`
	`Alter_priv`	`Alter_priv`
	`Create_priv`	`Create_priv`
	`Drop_priv`	`Drop_priv`
	`Grant_priv`	`Grant_priv`
	`Create_view_priv`	`Create_view_priv`
	`Show_view_priv`	`Show_view_priv`
	`Create_routine_priv`	`Create_routine_priv`
	`Alter_routine_priv`	`Alter_routine_priv`
	`Execute_priv`	`Execute_priv`
	`Trigger_priv`	`Trigger_priv`
	`Event_priv`	`Event_priv`
	`Create_tmp_table_priv`	`Create_tmp_table_priv`
	`Lock_tables_priv`	`Lock_tables_priv`
	`References_priv`	`References_priv`
	`Reload_priv`	
	`Shutdown_priv`	
	`Process_priv`	
	`File_priv`	
	`Show_db_priv`	
	`Super_priv`	
	`Repl_slave_priv`	

Table Name	user	db
	Repl_client_priv	
	Create_user_priv	
	Create_tablespace_priv	
	Create_role_priv	
	Drop_role_priv	
Security columns	ssl_type	
	ssl_cipher	
	x509_issuer	
	x509_subject	
	plugin	
	authentication_string	
	password_expired	
	password_last_changed	
	password_lifetime	
	account_locked	
	Password_reuse_history	
	Password_reuse_time	
	Password_require_current	
	User_attributes	
Resource control columns	max_questions	
	max_updates	
	max_connections	
	max_user_connections	

The user table plugin and authentication_string columns store authentication plugin and credential information.

The server uses the plugin named in the plugin column of an account row to authenticate connection attempts for the account.

The plugin column must be nonempty. At startup, and at runtime when FLUSH PRIVILEGES is executed, the server checks user table rows. For any row with an empty plugin column, the server writes a warning to the error log of this form:

```
[Warning] User entry 'user_name'@'host_name' has an empty plugin
value. The user will be ignored and no one can login with this user
anymore.
```

To assign a plugin to an account that is missing one, use the ALTER USER statement.

The password_expired column permits DBAs to expire account passwords and require users to reset their password. The default password_expired value is 'N', but can be set to 'Y' with the ALTER USER statement. After an account's password has been expired, all operations performed by the account in subsequent connections to the server result in an error until the user issues an ALTER USER statement to establish a new account password.

> **Note**
>
> Although it is possible to “reset” an expired password by setting it to its current value, it is preferable, as a matter of good policy, to choose a different

password. DBAs can enforce non-reuse by establishing an appropriate password-reuse policy. See Password Reuse Policy.

`password_last_changed` is a `TIMESTAMP` column indicating when the password was last changed. The value is non-`NULL` only for accounts that use a MySQL built-in authentication plugin (`mysql_native_password`, `sha256_password`, or `caching_sha2_password`). The value is `NULL` for other accounts, such as those authenticated using an external authentication system.

`password_last_changed` is updated by the `CREATE USER`, `ALTER USER`, and `SET PASSWORD` statements, and by `GRANT` statements that create an account or change an account password.

`password_lifetime` indicates the account password lifetime, in days. If the password is past its lifetime (assessed using the `password_last_changed` column), the server considers the password expired when clients connect using the account. A value of *`N`* greater than zero means that the password must be changed every *`N`* days. A value of 0 disables automatic password expiration. If the value is `NULL` (the default), the global expiration policy applies, as defined by the `default_password_lifetime` system variable.

`account_locked` indicates whether the account is locked (see Section 4.20, “Account Locking”).

`Password_reuse_history` is the value of the `PASSWORD HISTORY` option for the account, or `NULL` for the default history.

`Password_reuse_time` is the value of the `PASSWORD REUSE INTERVAL` option for the account, or `NULL` for the default interval.

`Password_require_current` (added in MySQL 8.0.13) corresponds to the value of the `PASSWORD REQUIRE` option for the account, as shown by the following table.

Table 4.4 Permitted Password_require_current Values

Password_require_current Value	Corresponding PASSWORD REQUIRE Option
`'Y'`	`PASSWORD REQUIRE CURRENT`
`'N'`	`PASSWORD REQUIRE CURRENT OPTIONAL`
`NULL`	`PASSWORD REQUIRE CURRENT DEFAULT`

`User_attributes` (added in MySQL 8.0.14) is a JSON-format column that stores account attributes not stored in other columns. As of MySQL 8.0.21, the `INFORMATION_SCHEMA` exposes these attributes through the `USER_ATTRIBUTES` table.

The `User_attributes` column may contain these attributes:

- `additional_password`: The secondary password, if any. See Dual Password Support.
- `Restrictions`: Restriction lists, if any. Restrictions are added by partial-revoke operations. The attribute value is an array of elements that each have `Database` and `Restrictions` keys indicating the name of a restricted database and the applicable restrictions on it (see Section 4.12, “Privilege Restriction Using Partial Revokes”).
- `Password_locking`: The conditions for failed-login tracking and temporary account locking, if any (see Failed-Login Tracking and Temporary Account Locking). The `Password_locking` attribute is updated according to the `FAILED_LOGIN_ATTEMPTS` and `PASSWORD_LOCK_TIME` options of the `CREATE USER` and `ALTER USER` statements. The attribute value is a hash with `failed_login_attempts` and `password_lock_time_days` keys indicating the value of such options as have been specified for the account. If a key is missing, its value is implicitly 0. If a key value is implicitly or explicitly 0, the corresponding capability is disabled. This attribute was added in MySQL 8.0.19.
- `multi_factor_authentication`: Rows in the `mysql.user` system table have a `plugin` column that indicates an authentication plugin. For single-factor authentication, that plugin is the only

authentication factor. For two-factor or three-factor forms of multifactor authentication, that plugin corresponds to the first authentication factor, but additional information must be stored for the second and third factors. The `multi_factor_authentication` attribute holds this information. This attribute was added in MySQL 8.0.27.

The `multi_factor_authentication` value is an array, where each array element is a hash that describes an authentication factor using these attributes:

- `plugin`: The name of the authentication plugin.
- `authentication_string`: The authentication string value.
- `passwordless`: A flag that denotes whether the user is meant to be used without a password (with a security token as the only authentication method).
- `requires_registration`: a flag that defines whether the user account has registered a security token.

The first and second array elements describe multifactor authentication factors 2 and 3.

If no attributes apply, `User_attributes` is `NULL`.

Example: An account that has a secondary password and partially revoked database privileges has `additional_password` and `Restrictions` attributes in the column value:

```
mysql> SELECT User_attributes FROM mysql.User WHERE User = 'u'\G
*************************** 1. row ***************************
User_attributes: {"Restrictions":
                   [{"Database": "mysql", "Privileges": ["SELECT"]}],
                  "additional_password": "hashed_credentials"}
```

To determine which attributes are present, use the `JSON_KEYS()` function:

```
SELECT User, Host, JSON_KEYS(User_attributes)
FROM mysql.user WHERE User_attributes IS NOT NULL;
```

To extract a particular attribute, such as `Restrictions`, do this:

```
SELECT User, Host, User_attributes->>'$.Restrictions'
FROM mysql.user WHERE User_attributes->>'$.Restrictions' <> '';
```

Here is an example of the kind of information stored for `multi_factor_authentication`:

```
{
  "multi_factor_authentication": [
    {
      "plugin": "authentication_ldap_simple",
      "passwordless": 0,
      "authentication_string": "ldap auth string",
      "requires_registration": 0
    },
    {
      "plugin": "authentication_fido",
      "passwordless": 0,
      "authentication_string": "",
      "requires_registration": 1
    }
  ]
}
```

The tables_priv and columns_priv Grant Tables

During the second stage of access control, the server performs request verification to ensure that each client has sufficient privileges for each request that it issues. In addition to the `user` and `db` grant tables, the server may also consult the `tables_priv` and `columns_priv` tables for requests that

involve tables. The latter tables provide finer privilege control at the table and column levels. They have the columns shown in the following table.

Table 4.5 tables_priv and columns_priv Table Columns

Table Name	`tables_priv`	`columns_priv`
Scope columns	`Host`	`Host`
	`Db`	`Db`
	`User`	`User`
	`Table_name`	`Table_name`
		`Column_name`
Privilege columns	`Table_priv`	`Column_priv`
	`Column_priv`	
Other columns	`Timestamp`	`Timestamp`
	`Grantor`	

The `Timestamp` and `Grantor` columns are set to the current timestamp and the `CURRENT_USER` value, respectively, but are otherwise unused.

The procs_priv Grant Table

For verification of requests that involve stored routines, the server may consult the `procs_priv` table, which has the columns shown in the following table.

Table 4.6 procs_priv Table Columns

Table Name	`procs_priv`
Scope columns	`Host`
	`Db`
	`User`
	`Routine_name`
	`Routine_type`
Privilege columns	`Proc_priv`
Other columns	`Timestamp`
	`Grantor`

The `Routine_type` column is an `ENUM` column with values of `'FUNCTION'` or `'PROCEDURE'` to indicate the type of routine the row refers to. This column enables privileges to be granted separately for a function and a procedure with the same name.

The `Timestamp` and `Grantor` columns are unused.

The proxies_priv Grant Table

The `proxies_priv` table records information about proxy accounts. It has these columns:

- `Host`, `User`: The proxy account; that is, the account that has the `PROXY` privilege for the proxied account.
- `Proxied_host`, `Proxied_user`: The proxied account.
- `Grantor`, `Timestamp`: Unused.
- `With_grant`: Whether the proxy account can grant the `PROXY` privilege to other accounts.

For an account to be able to grant the `PROXY` privilege to other accounts, it must have a row in the `proxies_priv` table with `With_grant` set to 1 and `Proxied_host` and `Proxied_user` set to indicate the account or accounts for which the privilege can be granted. For example, the `'root'@'localhost'` account created during MySQL installation has a row in the `proxies_priv` table that enables granting the `PROXY` privilege for `''@''`, that is, for all users and all hosts. This enables `root` to set up proxy users, as well as to delegate to other accounts the authority to set up proxy users. See Section 4.19, “Proxy Users”.

The global_grants Grant Table

The `global_grants` table lists current assignments of dynamic global privileges to user accounts. The table has these columns:

- `USER`, `HOST`: The user name and host name of the account to which the privilege is granted.
- `PRIV`: The privilege name.
- `WITH_GRANT_OPTION`: Whether the account can grant the privilege to other accounts.

The default_roles Grant Table

The `default_roles` table lists default user roles. It has these columns:

- `HOST`, `USER`: The account or role to which the default role applies.
- `DEFAULT_ROLE_HOST`, `DEFAULT_ROLE_USER`: The default role.

The role_edges Grant Table

The `role_edges` table lists edges for role subgraphs. It has these columns:

- `FROM_HOST`, `FROM_USER`: The account that is granted a role.
- `TO_HOST`, `TO_USER`: The role that is granted to the account.
- `WITH_ADMIN_OPTION`: Whether the account can grant the role to and revoke it from other accounts by using `WITH ADMIN OPTION`.

The password_history Grant Table

The `password_history` table contains information about password changes. It has these columns:

- `Host`, `User`: The account for which the password change occurred.
- `Password_timestamp`: The time when the password change occurred.
- `Password`: The new password hash value.

The `password_history` table accumulates a sufficient number of nonempty passwords per account to enable MySQL to perform checks against both the account password history length and reuse interval. Automatic pruning of entries that are outside both limits occurs when password-change attempts occur.

> **Note**
>
> The empty password does not count in the password history and is subject to reuse at any time.

If an account is renamed, its entries are renamed to match. If an account is dropped or its authentication plugin is changed, its entries are removed.

Grant Table Scope Column Properties

Scope columns in the grant tables contain strings. The default value for each is the empty string. The following table shows the number of characters permitted in each column.

Table 4.7 Grant Table Scope Column Lengths

Column Name	Maximum Permitted Characters
`Host`, `Proxied_host`	255 (60 prior to MySQL 8.0.17)
`User`, `Proxied_user`	32
`Db`	64
`Table_name`	64
`Column_name`	64
`Routine_name`	64

`Host` and `Proxied_host` values are converted to lowercase before being stored in the grant tables.

For access-checking purposes, comparisons of `User`, `Proxied_user`, `authentication_string`, `Db`, and `Table_name` values are case-sensitive. Comparisons of `Host`, `Proxied_host`, `Column_name`, and `Routine_name` values are not case-sensitive.

Grant Table Privilege Column Properties

The `user` and `db` tables list each privilege in a separate column that is declared as `ENUM('N','Y') DEFAULT 'N'`. In other words, each privilege can be disabled or enabled, with the default being disabled.

The `tables_priv`, `columns_priv`, and `procs_priv` tables declare the privilege columns as `SET` columns. Values in these columns can contain any combination of the privileges controlled by the table. Only those privileges listed in the column value are enabled.

Table 4.8 Set-Type Privilege Column Values

Table Name	Column Name	Possible Set Elements
`tables_priv`	`Table_priv`	`'Select', 'Insert', 'Update', 'Delete', 'Create', 'Drop', 'Grant', 'References', 'Index', 'Alter', 'Create View', 'Show view', 'Trigger'`
`tables_priv`	`Column_priv`	`'Select', 'Insert', 'Update', 'References'`
`columns_priv`	`Column_priv`	`'Select', 'Insert', 'Update', 'References'`
`procs_priv`	`Proc_priv`	`'Execute', 'Alter Routine', 'Grant'`

Only the `user` and `global_grants` tables specify administrative privileges, such as `RELOAD`, `SHUTDOWN`, and `SYSTEM_VARIABLES_ADMIN`. Administrative operations are operations on the server itself and are not database-specific, so there is no reason to list these privileges in the other grant tables. Consequently, the server need consult only the `user` and `global_grants` tables to determine whether a user can perform an administrative operation.

The `FILE` privilege also is specified only in the `user` table. It is not an administrative privilege as such, but a user's ability to read or write files on the server host is independent of the database being accessed.

Grant Table Concurrency

As of MySQL 8.0.22, to permit concurrent DML and DDL operations on MySQL grant tables, read operations that previously acquired row locks on MySQL grant tables are executed as non-locking reads. Operations that are performed as non-locking reads on MySQL grant tables include:

- `SELECT` statements and other read-only statements that read data from grant tables through join lists and subqueries, including `SELECT ... FOR SHARE` statements, using any transaction isolation level.
- DML operations that read data from grant tables (through join lists or subqueries) but do not modify them, using any transaction isolation level.

Statements that no longer acquire row locks when reading data from grant tables report a warning if executed while using statement-based replication.

When using -`binlog_format=mixed`, DML operations that read data from grant tables are written to the binary log as row events to make the operations safe for mixed-mode replication.

`SELECT ... FOR SHARE` statements that read data from grant tables report a warning. With the `FOR SHARE` clause, read locks are not supported on grant tables.

DML operations that read data from grant tables and are executed using the `SERIALIZABLE` isolation level report a warning. Read locks that would normally be acquired when using the `SERIALIZABLE` isolation level are not supported on grant tables.

4.4 Specifying Account Names

MySQL account names consist of a user name and a host name, which enables creation of distinct accounts for users with the same user name who connect from different hosts. This section describes the syntax for account names, including special values and wildcard rules.

In most respects, account names are similar to MySQL role names, with some differences described at Section 4.5, “Specifying Role Names”.

Account names appear in SQL statements such as `CREATE USER`, `GRANT`, and `SET PASSWORD` and follow these rules:

- Account name syntax is `'`*`user_name`*`'@'`*`host_name`*`'`.
- The `@'`*`host_name`*`'` part is optional. An account name consisting only of a user name is equivalent to `'`*`user_name`*`'@'%'`. For example, `'me'` is equivalent to `'me'@'%'`.
- The user name and host name need not be quoted if they are legal as unquoted identifiers. Quotes must be used if a *`user_name`* string contains special characters (such as space or `-`), or a *`host_name`* string contains special characters or wildcard characters (such as `.` or `%`). For example, in the account name `'test-user'@'%.com'`, both the user name and host name parts require quotes.
- Quote user names and host names as identifiers or as strings, using either backticks (`` ` ``), single quotation marks (`'`), or double quotation marks (`"`). For string-quoting and identifier-quoting guidelines, see String Literals, and Schema Object Names. In `SHOW` statement results, user names and host names are quoted using backticks (`` ` ``).
- The user name and host name parts, if quoted, must be quoted separately. That is, write `'me'@'localhost'`, not `'me@localhost'`. (The latter is actually equivalent to `'me@localhost'@'%'`, although this behavior is now deprecated.)
- A reference to the `CURRENT_USER` or `CURRENT_USER()` function is equivalent to specifying the current client's user name and host name literally.

MySQL stores account names in grant tables in the `mysql` system database using separate columns for the user name and host name parts:

- The `user` table contains one row for each account. The `User` and `Host` columns store the user name and host name. This table also indicates which global privileges the account has.
- Other grant tables indicate privileges an account has for databases and objects within databases. These tables have `User` and `Host` columns to store the account name. Each row in these tables associates with the account in the `user` table that has the same `User` and `Host` values.
- For access-checking purposes, comparisons of User values are case-sensitive. Comparisons of Host values are not case-sensitive.

For additional detail about the properties of user names and host names as stored in the grant tables, such as maximum length, see Grant Table Scope Column Properties.

User names and host names have certain special values or wildcard conventions, as described following.

The user name part of an account name is either a nonblank value that literally matches the user name for incoming connection attempts, or a blank value (the empty string) that matches any user name. An account with a blank user name is an anonymous user. To specify an anonymous user in SQL statements, use a quoted empty user name part, such as `''@'localhost'`.

The host name part of an account name can take many forms, and wildcards are permitted:

- A host value can be a host name or an IP address (IPv4 or IPv6). The name `'localhost'` indicates the local host. The IP address `'127.0.0.1'` indicates the IPv4 loopback interface. The IP address `'::1'` indicates the IPv6 loopback interface.
- Use of the `%` and `_` wildcard characters is permitted in host name or IP address values, but is deprecated as of MySQL 8.0.35, and thus subject to removal in a future version of MySQL. These characters have the same meaning as for pattern-matching operations performed with the `LIKE` operator. For example, a host value of `'%'` matches any host name, whereas a value of `'%.mysql.com'` matches any host in the `mysql.com` domain. `'198.51.100.%'` matches any host in the 198.51.100 class C network.

  Because IP wildcard values are permitted in host values (for example, `'198.51.100.%'` to match every host on a subnet), someone could try to exploit this capability by naming a host `198.51.100.somewhere.com`. To foil such attempts, MySQL does not perform matching on host names that start with digits and a dot. For example, if a host is named `1.2.example.com`, its name never matches the host part of account names. An IP wildcard value can match only IP addresses, not host names.

  If `partial_revokes` is `ON`, MySQL treats `%` and `_` in grants as literal characters, and not as wildcards. Beginning with MySQL 8.0.35, use of these wildcards is deprecated (regardless of this variable's value), and you should expect this functionality to be removed in a future version of MySQL.
- For a host value specified as an IPv4 address, a netmask can be given to indicate how many address bits to use for the network number. Netmask notation cannot be used for IPv6 addresses.

  The syntax is *`host_ip/netmask`*. For example:

  ```
  CREATE USER 'david'@'198.51.100.0/255.255.255.0';
  ```

  This enables `david` to connect from any client host having an IP address *`client_ip`* for which the following condition is true:

  ```
  client_ip & netmask = host_ip
  ```

  That is, for the `CREATE USER` statement just shown:

```
client_ip & 255.255.255.0 = 198.51.100.0
```

IP addresses that satisfy this condition range from `198.51.100.0` to `198.51.100.255`.

A netmask typically begins with bits set to 1, followed by bits set to 0. Examples:

- `198.0.0.0/255.0.0.0`: Any host on the 198 class A network
- `198.51.0.0/255.255.0.0`: Any host on the 198.51 class B network
- `198.51.100.0/255.255.255.0`: Any host on the 198.51.100 class C network
- `198.51.100.1`: Only the host with this specific IP address

- As of MySQL 8.0.23, a host value specified as an IPv4 address can be written using CIDR notation, such as `198.51.100.44/24`.

The server performs matching of host values in account names against the client host using the value returned by the system DNS resolver for the client host name or IP address. Except in the case that the account host value is specified using netmask notation, the server performs this comparison as a string match, even for an account host value given as an IP address. This means that you should specify account host values in the same format used by DNS. Here are examples of problems to watch out for:

- Suppose that a host on the local network has a fully qualified name of `host1.example.com`. If DNS returns name lookups for this host as `host1.example.com`, use that name in account host values. If DNS returns just `host1`, use `host1` instead.
- If DNS returns the IP address for a given host as `198.51.100.2`, that matches an account host value of `198.51.100.2` but not `198.051.100.2`. Similarly, it matches an account host pattern like `198.51.100.%` but not `198.051.100.%`.

To avoid problems like these, it is advisable to check the format in which your DNS returns host names and addresses. Use values in the same format in MySQL account names.

4.5 Specifying Role Names

MySQL role names refer to roles, which are named collections of privileges. For role usage examples, see Section 4.10, “Using Roles”.

Role names have syntax and semantics similar to account names; see Section 4.4, “Specifying Account Names”. As stored in the grant tables, they have the same properties as account names, which are described in Grant Table Scope Column Properties.

Role names differ from account names in these respects:

- The user part of role names cannot be blank. Thus, there is no “anonymous role” analogous to the concept of “anonymous user.”
- As for an account name, omitting the host part of a role name results in a host part of `'%'`. But unlike `'%'` in an account name, a host part of `'%'` in a role name has no wildcard properties. For example, for a name `'me'@'%'` used as a role name, the host part (`'%'`) is just a literal value; it has no “any host” matching property.
- Netmask notation in the host part of a role name has no significance.
- An account name is permitted to be `CURRENT_USER()` in several contexts. A role name is not.

It is possible for a row in the `mysql.user` system table to serve as both an account and a role. In this case, any special user or host name matching properties do not apply in contexts for which the name is used as a role name. For example, you cannot execute the following statement with the expectation that it sets the current session roles using all roles that have a user part of `myrole` and any host name:

```
SET ROLE 'myrole'@'%';
```

Instead, the statement sets the active role for the session to the role with exactly the name `'myrole'@'%'`.

For this reason, role names are often specified using only the user name part and letting the host name part implicitly be `'%'`. Specifying a role with a non-`'%'` host part can be useful if you intend to create a name that works both as a role an as a user account that is permitted to connect from the given host.

4.6 Access Control, Stage 1: Connection Verification

When you attempt to connect to a MySQL server, the server accepts or rejects the connection based on these conditions:

- Your identity and whether you can verify it by supplying the proper credentials.
- Whether your account is locked or unlocked.

The server checks credentials first, then account locking state. A failure at either step causes the server to deny access to you completely. Otherwise, the server accepts the connection, and then enters Stage 2 and waits for requests.

The server performs identity and credentials checking using columns in the `user` table, accepting the connection only if these conditions are satisfied:

- The client host name and user name match the `Host` and `User` columns in some `user` table row. For the rules governing permissible `Host` and `User` values, see Section 4.4, “Specifying Account Names”.
- The client supplies the credentials specified in the row (for example, a password), as indicated by the `authentication_string` column. Credentials are interpreted using the authentication plugin named in the `plugin` column.
- The row indicates that the account is unlocked. Locking state is recorded in the `account_locked` column, which must have a value of `'N'`. Account locking can be set or changed with the `CREATE USER` or `ALTER USER` statement.

Your identity is based on two pieces of information:

- Your MySQL user name.
- The client host from which you connect.

If the `User` column value is nonblank, the user name in an incoming connection must match exactly. If the `User` value is blank, it matches any user name. If the `user` table row that matches an incoming connection has a blank user name, the user is considered to be an anonymous user with no name, not a user with the name that the client actually specified. This means that a blank user name is used for all further access checking for the duration of the connection (that is, during Stage 2).

The `authentication_string` column can be blank. This is not a wildcard and does not mean that any password matches. It means that the user must connect without specifying a password. The authentication method implemented by the plugin that authenticates the client may or may not use the password in the `authentication_string` column. In this case, it is possible that an external password is also used to authenticate to the MySQL server.

Nonblank password values stored in the `authentication_string` column of the `user` table are encrypted. MySQL does not store passwords as cleartext for anyone to see. Rather, the password supplied by a user who is attempting to connect is encrypted (using the password hashing method implemented by the account authentication plugin). The encrypted password then is used during the connection process when checking whether the password is correct. This is done without the encrypted password ever traveling over the connection. See Section 4.1, “Account User Names and Passwords”.

From the MySQL server's point of view, the encrypted password is the *real* password, so you should never give anyone access to it. In particular, *do not give nonadministrative users read access to tables in the `mysql` system database*.

The following table shows how various combinations of `User` and `Host` values in the `user` table apply to incoming connections.

`User` Value	`Host` Value	Permissible Connections
`'fred'`	`'h1.example.net'`	`fred`, connecting from `h1.example.net`
`''`	`'h1.example.net'`	Any user, connecting from `h1.example.net`
`'fred'`	`'%'`	`fred`, connecting from any host
`''`	`'%'`	Any user, connecting from any host
`'fred'`	`'%.example.net'`	`fred`, connecting from any host in the `example.net` domain
`'fred'`	`'x.example.%'`	`fred`, connecting from `x.example.net`, `x.example.com`, `x.example.edu`, and so on; this is probably not useful
`'fred'`	`'198.51.100.177'`	`fred`, connecting from the host with IP address `198.51.100.177`
`'fred'`	`'198.51.100.%'`	`fred`, connecting from any host in the `198.51.100` class C subnet
`'fred'`	`'198.51.100.0/255.255.255.0'`	Same as previous example

It is possible for the client host name and user name of an incoming connection to match more than one row in the `user` table. The preceding set of examples demonstrates this: Several of the entries shown match a connection from `h1.example.net` by `fred`.

When multiple matches are possible, the server must determine which of them to use. It resolves this issue as follows:

- Whenever the server reads the `user` table into memory, it sorts the rows.
- When a client attempts to connect, the server looks through the rows in sorted order.
- The server uses the first row that matches the client host name and user name.

The server uses sorting rules that order rows with the most-specific `Host` values first:

- Literal IP addresses and host names are the most specific.
- Prior to MySQL 8.0.23, the specificity of a literal IP address is not affected by whether it has a netmask, so `198.51.100.13` and `198.51.100.0/255.255.255.0` are considered equally specific. As of MySQL 8.0.23, accounts with an IP address in the host part have this order of specificity:
  - Accounts that have the host part given as an IP address:

    ```
    CREATE USER 'user_name'@'127.0.0.1';
    CREATE USER 'user_name'@'198.51.100.44';
    ```

  - Accounts that have the host part given as an IP address using CIDR notation:

```
CREATE USER 'user_name'@'192.0.2.21/8';
CREATE USER 'user_name'@'198.51.100.44/16';
```

  - Accounts that have the host part given as an IP address with a subnet mask:

```
CREATE USER 'user_name'@'192.0.2.0/255.255.255.0';
CREATE USER 'user_name'@'198.51.0.0/255.255.0.0';
```

- The pattern `'%'` means “any host” and is least specific.
- The empty string `''` also means “any host” but sorts after `'%'`.

Non-TCP (socket file, named pipe, and shared memory) connections are treated as local connections and match a host part of `localhost` if there are any such accounts, or host parts with wildcards that match `localhost` otherwise (for example, `local%`, `l%`, `%`).

The treatment of `'%'` as equivalent to `localhost` is deprecated as of MySQL 8.0.35, and you should expect this behavior to removed from a future version of MySQL.

Rows with the same `Host` value are ordered with the most-specific `User` values first. A blank `User` value means “any user” and is least specific, so for rows with the same `Host` value, nonanonymous users sort before anonymous users.

For rows with equally-specific `Host` and `User` values, the order is nondeterministic.

To see how this works, suppose that the `user` table looks like this:

```
+-----------+----------+-
| Host      | User     | ...
+-----------+----------+-
| %         | root     | ...
| %         | jeffrey  | ...
| localhost | root     | ...
| localhost |          | ...
+-----------+----------+-
```

When the server reads the table into memory, it sorts the rows using the rules just described. The result after sorting looks like this:

```
+-----------+----------+-
| Host      | User     | ...
+-----------+----------+-
| localhost | root     | ...
| localhost |          | ...
| %         | jeffrey  | ...
| %         | root     | ...
+-----------+----------+-
```

When a client attempts to connect, the server looks through the sorted rows and uses the first match found. For a connection from `localhost` by `jeffrey`, two of the rows from the table match: the one with `Host` and `User` values of `'localhost'` and `''`, and the one with values of `'%'` and `'jeffrey'`. The `'localhost'` row appears first in sorted order, so that is the one the server uses.

Here is another example. Suppose that the `user` table looks like this:

```
+----------------+----------+-
| Host           | User     | ...
+----------------+----------+-
| %              | jeffrey  | ...
| h1.example.net |          | ...
+----------------+----------+-
```

The sorted table looks like this:

```
+----------------+----------+-
```

```
| Host           | User     | ...
+----------------+----------+-
| h1.example.net |          | ...
| %              | jeffrey  | ...
+----------------+----------+-
```

The first row matches a connection by any user from `h1.example.net`, whereas the second row matches a connection by `jeffrey` from any host.

> **Note**
>
> It is a common misconception to think that, for a given user name, all rows that explicitly name that user are used first when the server attempts to find a match for the connection. This is not true. The preceding example illustrates this, where a connection from `h1.example.net` by `jeffrey` is first matched not by the row containing `'jeffrey'` as the `User` column value, but by the row with no user name. As a result, `jeffrey` is authenticated as an anonymous user, even though he specified a user name when connecting.

If you are able to connect to the server, but your privileges are not what you expect, you probably are being authenticated as some other account. To find out what account the server used to authenticate you, use the `CURRENT_USER()` function. (See Information Functions.) It returns a value in *`user_name@host_name`* format that indicates the `User` and `Host` values from the matching `user` table row. Suppose that `jeffrey` connects and issues the following query:

```
mysql> SELECT CURRENT_USER();
+----------------+
| CURRENT_USER() |
+----------------+
| @localhost     |
+----------------+
```

The result shown here indicates that the matching `user` table row had a blank `User` column value. In other words, the server is treating `jeffrey` as an anonymous user.

Another way to diagnose authentication problems is to print out the `user` table and sort it by hand to see where the first match is being made.

4.7 Access Control, Stage 2: Request Verification

After the server accepts a connection, it enters Stage 2 of access control. For each request that you issue through the connection, the server determines what operation you want to perform, then checks whether your privileges are sufficient. This is where the privilege columns in the grant tables come into play. These privileges can come from any of the `user`, `global_grants`, `db`, `tables_priv`, `columns_priv`, or `procs_priv` tables. (You may find it helpful to refer to Section 4.3, “Grant Tables”, which lists the columns present in each grant table.)

The `user` and `global_grants` tables grant global privileges. The rows in these tables for a given account indicate the account privileges that apply on a global basis no matter what the default database is. For example, if the `user` table grants you the `DELETE` privilege, you can delete rows from any table in any database on the server host. It is wise to grant privileges in the `user` table only to people who need them, such as database administrators. For other users, leave all privileges in the `user` table set to `'N'` and grant privileges at more specific levels only (for particular databases, tables, columns, or routines). It is also possible to grant database privileges globally but use partial revokes to restrict them from being exercised on specific databases (see Section 4.12, “Privilege Restriction Using Partial Revokes”).

The `db` table grants database-specific privileges. Values in the scope columns of this table can take the following forms:

- A blank `User` value matches the anonymous user. A nonblank value matches literally; there are no wildcards in user names.

- The wildcard characters `%` and `_` can be used in the `Host` and `Db` columns. These have the same meaning as for pattern-matching operations performed with the `LIKE` operator. If you want to use either character literally when granting privileges, you must escape it with a backslash. For example, to include the underscore character (_) as part of a database name, specify it as `\_` in the `GRANT` statement.
- A `'%'` or blank `Host` value means “any host.”
- A `'%'` or blank `Db` value means “any database.”

The server reads the `db` table into memory and sorts it at the same time that it reads the `user` table. The server sorts the `db` table based on the `Host`, `Db`, and `User` scope columns. As with the `user` table, sorting puts the most-specific values first and least-specific values last, and when the server looks for matching rows, it uses the first match that it finds.

The `tables_priv`, `columns_priv`, and `procs_priv` tables grant table-specific, column-specific, and routine-specific privileges. Values in the scope columns of these tables can take the following forms:

- The wildcard characters `%` and `_` can be used in the `Host` column. These have the same meaning as for pattern-matching operations performed with the `LIKE` operator.
- A `'%'` or blank `Host` value means “any host.”
- The `Db`, `Table_name`, `Column_name`, and `Routine_name` columns cannot contain wildcards or be blank.

The server sorts the `tables_priv`, `columns_priv`, and `procs_priv` tables based on the `Host`, `Db`, and `User` columns. This is similar to `db` table sorting, but simpler because only the `Host` column can contain wildcards.

The server uses the sorted tables to verify each request that it receives. For requests that require administrative privileges such as `SHUTDOWN` or `RELOAD`, the server checks only the `user` and `global_privilege` tables because those are the only tables that specify administrative privileges. The server grants access if a row for the account in those tables permits the requested operation and denies access otherwise. For example, if you want to execute `mysqladmin shutdown` but your `user` table row does not grant the `SHUTDOWN` privilege to you, the server denies access without even checking the `db` table. (The latter table contains no `Shutdown_priv` column, so there is no need to check it.)

For database-related requests (`INSERT`, `UPDATE`, and so on), the server first checks the user's global privileges in the `user` table row (less any privilege restrictions imposed by partial revokes). If the row permits the requested operation, access is granted. If the global privileges in the `user` table are insufficient, the server determines the user's database-specific privileges from the `db` table:

- The server looks in the `db` table for a match on the `Host`, `Db`, and `User` columns.
- The `Host` and `User` columns are matched to the connecting user's host name and MySQL user name.
- The `Db` column is matched to the database that the user wants to access.
- If there is no row for the `Host` and `User`, access is denied.

After determining the database-specific privileges granted by the `db` table rows, the server adds them to the global privileges granted by the `user` table. If the result permits the requested operation, access is granted. Otherwise, the server successively checks the user's table and column privileges in the `tables_priv` and `columns_priv` tables, adds those to the user's privileges, and permits or denies access based on the result. For stored-routine operations, the server uses the `procs_priv` table rather than `tables_priv` and `columns_priv`.

Expressed in boolean terms, the preceding description of how a user's privileges are calculated may be summarized like this:

```
global privileges
OR database privileges
OR table privileges
OR column privileges
OR routine privileges
```

It may not be apparent why, if the global privileges are initially found to be insufficient for the requested operation, the server adds those privileges to the database, table, and column privileges later. The reason is that a request might require more than one type of privilege. For example, if you execute an `INSERT INTO ... SELECT` statement, you need both the `INSERT` and the `SELECT` privileges. Your privileges might be such that the `user` table row grants one privilege global and the `db` table row grants the other specifically for the relevant database. In this case, you have the necessary privileges to perform the request, but the server cannot tell that from either your global or database privileges alone. It must make an access-control decision based on the combined privileges.

4.8 Adding Accounts, Assigning Privileges, and Dropping Accounts

To manage MySQL accounts, use the SQL statements intended for that purpose:

- `CREATE USER` and `DROP USER` create and remove accounts.
- `GRANT` and `REVOKE` assign privileges to and revoke privileges from accounts.
- `SHOW GRANTS` displays account privilege assignments.

Account-management statements cause the server to make appropriate modifications to the underlying grant tables, which are discussed in Section 4.3, “Grant Tables”.

> **Note**
>
> Direct modification of grant tables using statements such as `INSERT`, `UPDATE`, or `DELETE` is discouraged and done at your own risk. The server is free to ignore rows that become malformed as a result of such modifications.
>
> For any operation that modifies a grant table, the server checks whether the table has the expected structure and produces an error if not. To update the tables to the expected structure, perform the MySQL upgrade procedure. See Upgrading MySQL.

Another option for creating accounts is to use the GUI tool MySQL Workbench. Also, several third-party programs offer capabilities for MySQL account administration. `phpMyAdmin` is one such program.

This section discusses the following topics:

- Creating Accounts and Granting Privileges
- Checking Account Privileges and Properties
- Revoking Account Privileges
- Dropping Accounts

For additional information about the statements discussed here, see Account Management Statements.

Creating Accounts and Granting Privileges

The following examples show how to use the `mysql` client program to set up new accounts. These examples assume that the MySQL `root` account has the `CREATE USER` privilege and all privileges that it grants to other accounts.

At the command line, connect to the server as the MySQL `root` user, supplying the appropriate password at the password prompt:

```
$> mysql -u root -p
Enter password: (enter root password here)
```

After connecting to the server, you can add new accounts. The following example uses `CREATE USER` and `GRANT` statements to set up four accounts (where you see `'password'`, substitute an appropriate password):

```
CREATE USER 'finley'@'localhost'
  IDENTIFIED BY 'password';
GRANT ALL
  ON *.*
  TO 'finley'@'localhost'
  WITH GRANT OPTION;
CREATE USER 'finley'@'%.example.com'
  IDENTIFIED BY 'password';
GRANT ALL
  ON *.*
  TO 'finley'@'%.example.com'
  WITH GRANT OPTION;
CREATE USER 'admin'@'localhost'
  IDENTIFIED BY 'password';
GRANT RELOAD,PROCESS
  ON *.*
  TO 'admin'@'localhost';
CREATE USER 'dummy'@'localhost';
```

The accounts created by those statements have the following properties:

- Two accounts have a user name of `finley`. Both are superuser accounts with full global privileges to do anything. The `'finley'@'localhost'` account can be used only when connecting from the local host. The `'finley'@'%.example.com'` account uses the `'%'` wildcard in the host part, so it can be used to connect from any host in the `example.com` domain.

  The `'finley'@'localhost'` account is necessary if there is an anonymous-user account for `localhost`. Without the `'finley'@'localhost'` account, that anonymous-user account takes precedence when `finley` connects from the local host and `finley` is treated as an anonymous user. The reason for this is that the anonymous-user account has a more specific `Host` column value than the `'finley'@'%'` account and thus comes earlier in the `user` table sort order. (For information about `user` table sorting, see Section 4.6, “Access Control, Stage 1: Connection Verification”.)

- The `'admin'@'localhost'` account can be used only by `admin` to connect from the local host. It is granted the global `RELOAD` and `PROCESS` administrative privileges. These privileges enable the `admin` user to execute the `mysqladmin reload`, `mysqladmin refresh`, and `mysqladmin flush-xxx` commands, as well as `mysqladmin processlist` . No privileges are granted for accessing any databases. You could add such privileges using `GRANT` statements.

- The `'dummy'@'localhost'` account has no password (which is insecure and not recommended). This account can be used only to connect from the local host. No privileges are granted. It is assumed that you grant specific privileges to the account using `GRANT` statements.

The previous example grants privileges at the global level. The next example creates three accounts and grants them access at lower levels; that is, to specific databases or objects within databases. Each account has a user name of `custom`, but the host name parts differ:

```
CREATE USER 'custom'@'localhost'
  IDENTIFIED BY 'password';
GRANT ALL
  ON bankaccount.*
  TO 'custom'@'localhost';
CREATE USER 'custom'@'host47.example.com'
  IDENTIFIED BY 'password';
GRANT SELECT,INSERT,UPDATE,DELETE,CREATE,DROP
```

```
  ON expenses.*
  TO 'custom'@'host47.example.com';
CREATE USER 'custom'@'%.example.com'
  IDENTIFIED BY 'password';
GRANT SELECT,INSERT,UPDATE,DELETE,CREATE,DROP
  ON customer.addresses
  TO 'custom'@'%.example.com';
```

The three accounts can be used as follows:

- The `'custom'@'localhost'` account has all database-level privileges to access the `bankaccount` database. The account can be used to connect to the server only from the local host.
- The `'custom'@'host47.example.com'` account has specific database-level privileges to access the `expenses` database. The account can be used to connect to the server only from the host `host47.example.com`.
- The `'custom'@'%.example.com'` account has specific table-level privileges to access the `addresses` table in the `customer` database, from any host in the `example.com` domain. The account can be used to connect to the server from all machines in the domain due to use of the `%` wildcard character in the host part of the account name.

Checking Account Privileges and Properties

To see the privileges for an account, use `SHOW GRANTS`:

```
mysql> SHOW GRANTS FOR 'admin'@'localhost';
+-----------------------------------------------------+
| Grants for admin@localhost                          |
+-----------------------------------------------------+
| GRANT RELOAD, PROCESS ON *.* TO `admin`@`localhost` |
+-----------------------------------------------------+
```

To see nonprivilege properties for an account, use `SHOW CREATE USER`:

```
mysql> SET print_identified_with_as_hex = ON;
mysql> SHOW CREATE USER 'admin'@'localhost'\G
*************************** 1. row ***************************
CREATE USER for admin@localhost: CREATE USER `admin`@`localhost`
IDENTIFIED WITH 'caching_sha2_password'
AS 0x24412430303524301D0E17054E2241362B1419313C3E44326F294133734B30792F436E7764270373039612E3244525078
REQUIRE NONE PASSWORD EXPIRE DEFAULT ACCOUNT UNLOCK
PASSWORD HISTORY DEFAULT
PASSWORD REUSE INTERVAL DEFAULT
PASSWORD REQUIRE CURRENT DEFAULT
```

Enabling the `print_identified_with_as_hex` system variable (available as of MySQL 8.0.17) causes `SHOW CREATE USER` to display hash values that contain unprintable characters as hexadecimal strings rather than as regular string literals.

Revoking Account Privileges

To revoke account privileges, use the `REVOKE` statement. Privileges can be revoked at different levels, just as they can be granted at different levels.

Revoke global privileges:

```
REVOKE ALL
  ON *.*
  FROM 'finley'@'%.example.com';
REVOKE RELOAD
  ON *.*
  FROM 'admin'@'localhost';
```

Revoke database-level privileges:

```
REVOKE CREATE,DROP
```

```
  ON expenses.*
  FROM 'custom'@'host47.example.com';
```

Revoke table-level privileges:

```
REVOKE INSERT,UPDATE,DELETE
  ON customer.addresses
  FROM 'custom'@'%.example.com';
```

To check the effect of privilege revocation, use `SHOW GRANTS`:

```
mysql> SHOW GRANTS FOR 'admin'@'localhost';
+-----------------------------------------+
| Grants for admin@localhost              |
+-----------------------------------------+
| GRANT PROCESS ON *.* TO `admin`@`localhost` |
+-----------------------------------------+
```

Dropping Accounts

To remove an account, use the `DROP USER` statement. For example, to drop some of the accounts created previously:

```
DROP USER 'finley'@'localhost';
DROP USER 'finley'@'%.example.com';
DROP USER 'admin'@'localhost';
DROP USER 'dummy'@'localhost';
```

4.9 Reserved Accounts

One part of the MySQL installation process is data directory initialization (see Section 3.1, “Initializing the Data Directory”). During data directory initialization, MySQL creates user accounts that should be considered reserved:

- `'root'@'localhost'`: Used for administrative purposes. This account has all privileges, is a system account, and can perform any operation.

  Strictly speaking, this account name is not reserved, in the sense that some installations rename the `root` account to something else to avoid exposing a highly privileged account with a well-known name.

- `'mysql.sys'@'localhost'`: Used as the `DEFINER` for `sys` schema objects. Use of the `mysql.sys` account avoids problems that occur if a DBA renames or removes the `root` account. This account is locked so that it cannot be used for client connections.

- `'mysql.session'@'localhost'`: Used internally by plugins to access the server. This account is locked so that it cannot be used for client connections. The account is a system account.

- `'mysql.infoschema'@'localhost'`: Used as the `DEFINER` for `INFORMATION_SCHEMA` views. Use of the `mysql.infoschema` account avoids problems that occur if a DBA renames or removes the root account. This account is locked so that it cannot be used for client connections.

4.10 Using Roles

A MySQL role is a named collection of privileges. Like user accounts, roles can have privileges granted to and revoked from them.

A user account can be granted roles, which grants to the account the privileges associated with each role. This enables assignment of sets of privileges to accounts and provides a convenient alternative to granting individual privileges, both for conceptualizing desired privilege assignments and implementing them.

The following list summarizes role-management capabilities provided by MySQL:

- `CREATE ROLE` and `DROP ROLE` create and remove roles.
- `GRANT` and `REVOKE` assign privileges to revoke privileges from user accounts and roles.
- `SHOW GRANTS` displays privilege and role assignments for user accounts and roles.
- `SET DEFAULT ROLE` specifies which account roles are active by default.
- `SET ROLE` changes the active roles within the current session.
- The `CURRENT_ROLE()` function displays the active roles within the current session.
- The `mandatory_roles` and `activate_all_roles_on_login` system variables enable defining mandatory roles and automatic activation of granted roles when users log in to the server.

For descriptions of individual role-manipulation statements (including the privileges required to use them), see Account Management Statements. The following discussion provides examples of role usage. Unless otherwise specified, SQL statements shown here should be executed using a MySQL account with sufficient administrative privileges, such as the `root` account.

- Creating Roles and Granting Privileges to Them
- Defining Mandatory Roles
- Checking Role Privileges
- Activating Roles
- Revoking Roles or Role Privileges
- Dropping Roles
- User and Role Interchangeability

Creating Roles and Granting Privileges to Them

Consider this scenario:

- An application uses a database named `app_db`.
- Associated with the application, there can be accounts for developers who create and maintain the application, and for users who interact with it.
- Developers need full access to the database. Some users need only read access, others need read/write access.

To avoid granting privileges individually to possibly many user accounts, create roles as names for the required privilege sets. This makes it easy to grant the required privileges to user accounts, by granting the appropriate roles.

To create the roles, use the `CREATE ROLE` statement:

```
CREATE ROLE 'app_developer', 'app_read', 'app_write';
```

Role names are much like user account names and consist of a user part and host part in *`'user_name'@'host_name'`* format. The host part, if omitted, defaults to `'%'`. The user and host parts can be unquoted unless they contain special characters such as `-` or `%`. Unlike account names, the user part of role names cannot be blank. For additional information, see Section 4.5, “Specifying Role Names”.

To assign privileges to the roles, execute `GRANT` statements using the same syntax as for assigning privileges to user accounts:

```
GRANT ALL ON app_db.* TO 'app_developer';
```

```
GRANT SELECT ON app_db.* TO 'app_read';
GRANT INSERT, UPDATE, DELETE ON app_db.* TO 'app_write';
```

Now suppose that initially you require one developer account, two user accounts that need read-only access, and one user account that needs read/write access. Use `CREATE USER` to create the accounts:

```
CREATE USER 'dev1'@'localhost' IDENTIFIED BY 'dev1pass';
CREATE USER 'read_user1'@'localhost' IDENTIFIED BY 'read_user1pass';
CREATE USER 'read_user2'@'localhost' IDENTIFIED BY 'read_user2pass';
CREATE USER 'rw_user1'@'localhost' IDENTIFIED BY 'rw_user1pass';
```

To assign each user account its required privileges, you could use `GRANT` statements of the same form as just shown, but that requires enumerating individual privileges for each user. Instead, use an alternative `GRANT` syntax that permits granting roles rather than privileges:

```
GRANT 'app_developer' TO 'dev1'@'localhost';
GRANT 'app_read' TO 'read_user1'@'localhost', 'read_user2'@'localhost';
GRANT 'app_read', 'app_write' TO 'rw_user1'@'localhost';
```

The `GRANT` statement for the `rw_user1` account grants the read and write roles, which combine to provide the required read and write privileges.

The `GRANT` syntax for granting roles to an account differs from the syntax for granting privileges: There is an `ON` clause to assign privileges, whereas there is no `ON` clause to assign roles. Because the syntaxes are distinct, you cannot mix assigning privileges and roles in the same statement. (It is permitted to assign both privileges and roles to an account, but you must use separate `GRANT` statements, each with syntax appropriate to what is to be granted.) As of MySQL 8.0.16, roles cannot be granted to anonymous users.

A role when created is locked, has no password, and is assigned the default authentication plugin. (These role attributes can be changed later with the `ALTER USER` statement, by users who have the global `CREATE USER` privilege.)

While locked, a role cannot be used to authenticate to the server. If unlocked, a role can be used to authenticate. This is because roles and users are both authorization identifiers with much in common and little to distinguish them. See also User and Role Interchangeability.

Defining Mandatory Roles

It is possible to specify roles as mandatory by naming them in the value of the `mandatory_roles` system variable. The server treats a mandatory role as granted to all users, so that it need not be granted explicitly to any account.

To specify mandatory roles at server startup, define `mandatory_roles` in your server `my.cnf` file:

```
[mysqld]
mandatory_roles='role1,role2@localhost,r3@%.example.com'
```

To set and persist `mandatory_roles` at runtime, use a statement like this:

```
SET PERSIST mandatory_roles = 'role1,role2@localhost,r3@%.example.com';
```

`SET PERSIST` sets a value for the running MySQL instance. It also saves the value, causing it to carry over to subsequent server restarts. To change the value for the running MySQL instance without having it carry over to subsequent restarts, use the `GLOBAL` keyword rather than `PERSIST`. See SET Syntax for Variable Assignment.

Setting `mandatory_roles` requires the `ROLE_ADMIN` privilege, in addition to the `SYSTEM_VARIABLES_ADMIN` privilege (or the deprecated `SUPER` privilege) normally required to set a global system variable.

Mandatory roles, like explicitly granted roles, do not take effect until activated (see Activating Roles). At login time, role activation occurs for all granted roles if the `activate_all_roles_on_login` system

variable is enabled, or for roles that are set as default roles otherwise. At runtime, `SET ROLE` activates roles.

Roles named in the value of `mandatory_roles` cannot be revoked with `REVOKE` or dropped with `DROP ROLE` or `DROP USER`.

To prevent sessions from being made system sessions by default, a role that has the `SYSTEM_USER` privilege cannot be listed in the value of the `mandatory_roles` system variable:

- If `mandatory_roles` is assigned a role at startup that has the `SYSTEM_USER` privilege, the server writes a message to the error log and exits.
- If `mandatory_roles` is assigned a role at runtime that has the `SYSTEM_USER` privilege, an error occurs and the `mandatory_roles` value remains unchanged.

Even with this safeguard, it is better to avoid granting the `SYSTEM_USER` privilege through a role in order to guard against the possibility of privilege escalation.

If a role named in `mandatory_roles` is not present in the `mysql.user` system table, the role is not granted to users. When the server attempts role activation for a user, it does not treat the nonexistent role as mandatory and writes a warning to the error log. If the role is created later and thus becomes valid, `FLUSH PRIVILEGES` may be necessary to cause the server to treat it as mandatory.

`SHOW GRANTS` displays mandatory roles according to the rules described in SHOW GRANTS Statement.

Checking Role Privileges

To verify the privileges assigned to an account, use `SHOW GRANTS`. For example:

```
mysql> SHOW GRANTS FOR 'dev1'@'localhost';
+-----------------------------------------------+
| Grants for dev1@localhost                     |
+-----------------------------------------------+
| GRANT USAGE ON *.* TO `dev1`@`localhost`      |
| GRANT `app_developer`@`%` TO `dev1`@`localhost` |
+-----------------------------------------------+
```

However, that shows each granted role without “expanding” it to the privileges the role represents. To show role privileges as well, add a `USING` clause naming the granted roles for which to display privileges:

```
mysql> SHOW GRANTS FOR 'dev1'@'localhost' USING 'app_developer';
+-----------------------------------------------------------+
| Grants for dev1@localhost                                 |
+-----------------------------------------------------------+
| GRANT USAGE ON *.* TO `dev1`@`localhost`                  |
| GRANT ALL PRIVILEGES ON `app_db`.* TO `dev1`@`localhost`  |
| GRANT `app_developer`@`%` TO `dev1`@`localhost`           |
+-----------------------------------------------------------+
```

Verify each other type of user similarly:

```
mysql> SHOW GRANTS FOR 'read_user1'@'localhost' USING 'app_read';
+-----------------------------------------------------+
| Grants for read_user1@localhost                     |
+-----------------------------------------------------+
| GRANT USAGE ON *.* TO `read_user1`@`localhost`      |
| GRANT SELECT ON `app_db`.* TO `read_user1`@`localhost` |
| GRANT `app_read`@`%` TO `read_user1`@`localhost`    |
+-----------------------------------------------------+
mysql> SHOW GRANTS FOR 'rw_user1'@'localhost' USING 'app_read', 'app_write';
+-------------------------------------------------------------------------+
| Grants for rw_user1@localhost                                           |
+-------------------------------------------------------------------------+
| GRANT USAGE ON *.* TO `rw_user1`@`localhost`                            |
| GRANT SELECT, INSERT, UPDATE, DELETE ON `app_db`.* TO `rw_user1`@`localhost` |
```

```
| GRANT `app_read`@`%`,`app_write`@`%` TO `rw_user1`@`localhost`                |
+-------------------------------------------------------------------------------+
```

`SHOW GRANTS` displays mandatory roles according to the rules described in SHOW GRANTS Statement.

Activating Roles

Roles granted to a user account can be active or inactive within account sessions. If a granted role is active within a session, its privileges apply; otherwise, they do not. To determine which roles are active within the current session, use the `CURRENT_ROLE()` function.

By default, granting a role to an account or naming it in the `mandatory_roles` system variable value does not automatically cause the role to become active within account sessions. For example, because thus far in the preceding discussion no `rw_user1` roles have been activated, if you connect to the server as `rw_user1` and invoke the `CURRENT_ROLE()` function, the result is `NONE` (no active roles):

```
mysql> SELECT CURRENT_ROLE();
+----------------+
| CURRENT_ROLE() |
+----------------+
| NONE           |
+----------------+
```

To specify which roles should become active each time a user connects to the server and authenticates, use `SET DEFAULT ROLE`. To set the default to all assigned roles for each account created earlier, use this statement:

```
SET DEFAULT ROLE ALL TO
  'dev1'@'localhost',
  'read_user1'@'localhost',
  'read_user2'@'localhost',
  'rw_user1'@'localhost';
```

Now if you connect as `rw_user1`, the initial value of `CURRENT_ROLE()` reflects the new default role assignments:

```
mysql> SELECT CURRENT_ROLE();
+-------------------------------+
| CURRENT_ROLE()                |
+-------------------------------+
| `app_read`@`%`,`app_write`@`%` |
+-------------------------------+
```

To cause all explicitly granted and mandatory roles to be automatically activated when users connect to the server, enable the `activate_all_roles_on_login` system variable. By default, automatic role activation is disabled.

Within a session, a user can execute `SET ROLE` to change the set of active roles. For example, for `rw_user1`:

```
mysql> SET ROLE NONE; SELECT CURRENT_ROLE();
+----------------+
| CURRENT_ROLE() |
+----------------+
| NONE           |
+----------------+
mysql> SET ROLE ALL EXCEPT 'app_write'; SELECT CURRENT_ROLE();
+----------------+
| CURRENT_ROLE() |
+----------------+
| `app_read`@`%` |
+----------------+
mysql> SET ROLE DEFAULT; SELECT CURRENT_ROLE();
+-------------------------------+
| CURRENT_ROLE()                |
+-------------------------------+
```

```
| `app_read`@`%`,`app_write`@`%` |
+--------------------------------+
```

The first `SET ROLE` statement deactivates all roles. The second makes `rw_user1` effectively read only. The third restores the default roles.

The effective user for stored program and view objects is subject to the `DEFINER` and `SQL SECURITY` attributes, which determine whether execution occurs in invoker or definer context (see Stored Object Access Control):

- Stored program and view objects that execute in invoker context execute with the roles that are active within the current session.
- Stored program and view objects that execute in definer context execute with the default roles of the user named in their `DEFINER` attribute. If `activate_all_roles_on_login` is enabled, such objects execute with all roles granted to the `DEFINER` user, including mandatory roles. For stored programs, if execution should occur with roles different from the default, the program body can execute `SET ROLE` to activate the required roles. This must be done with caution since the privileges assigned to roles can be changed.

Revoking Roles or Role Privileges

Just as roles can be granted to an account, they can be revoked from an account:

```
REVOKE role FROM user;
```

Roles named in the `mandatory_roles` system variable value cannot be revoked.

`REVOKE` can also be applied to a role to modify the privileges granted to it. This affects not only the role itself, but any account granted that role. Suppose that you want to temporarily make all application users read only. To do this, use `REVOKE` to revoke the modification privileges from the `app_write` role:

```
REVOKE INSERT, UPDATE, DELETE ON app_db.* FROM 'app_write';
```

As it happens, that leaves the role with no privileges at all, as can be seen using `SHOW GRANTS` (which demonstrates that this statement can be used with roles, not just users):

```
mysql> SHOW GRANTS FOR 'app_write';
+--------------------------------------+
| Grants for app_write@%               |
+--------------------------------------+
| GRANT USAGE ON *.* TO `app_write`@`%` |
+--------------------------------------+
```

Because revoking privileges from a role affects the privileges for any user who is assigned the modified role, `rw_user1` now has no table modification privileges (`INSERT`, `UPDATE`, and `DELETE` are no longer present):

```
mysql> SHOW GRANTS FOR 'rw_user1'@'localhost'
       USING 'app_read', 'app_write';
+-----------------------------------------------------------------+
| Grants for rw_user1@localhost                                   |
+-----------------------------------------------------------------+
| GRANT USAGE ON *.* TO `rw_user1`@`localhost`                    |
| GRANT SELECT ON `app_db`.* TO `rw_user1`@`localhost`            |
| GRANT `app_read`@`%`,`app_write`@`%` TO `rw_user1`@`localhost` |
+-----------------------------------------------------------------+
```

In effect, the `rw_user1` read/write user has become a read-only user. This also occurs for any other accounts that are granted the `app_write` role, illustrating how use of roles makes it unnecessary to modify privileges for individual accounts.

To restore modification privileges to the role, simply re-grant them:

```
GRANT INSERT, UPDATE, DELETE ON app_db.* TO 'app_write';
```

Now `rw_user1` again has modification privileges, as do any other accounts granted the `app_write` role.

Dropping Roles

To drop roles, use `DROP ROLE`:

```
DROP ROLE 'app_read', 'app_write';
```

Dropping a role revokes it from every account to which it was granted.

Roles named in the `mandatory_roles` system variable value cannot be dropped.

User and Role Interchangeability

As has been hinted at earlier for `SHOW GRANTS`, which displays grants for user accounts or roles, accounts and roles can be used interchangeably.

One difference between roles and users is that `CREATE ROLE` creates an authorization identifier that is locked by default, whereas `CREATE USER` creates an authorization identifier that is unlocked by default. You should keep in mind that this distinction is not immutable; a user with appropriate privileges can lock or unlock roles or (other) users after they have been created.

If a database administrator has a preference that a specific authorization identifier must be a role, a name scheme can be used to communicate this intention. For example, you could use a `r_` prefix for all authorization identifiers that you intend to be roles and nothing else.

Another difference between roles and users lies in the privileges available for administering them:

- The `CREATE ROLE` and `DROP ROLE` privileges enable only use of the `CREATE ROLE` and `DROP ROLE` statements, respectively.
- The `CREATE USER` privilege enables use of the `ALTER USER`, `CREATE ROLE`, `CREATE USER`, `DROP ROLE`, `DROP USER`, `RENAME USER`, and `REVOKE ALL PRIVILEGES` statements.

Thus, the `CREATE ROLE` and `DROP ROLE` privileges are not as powerful as `CREATE USER` and may be granted to users who should only be permitted to create and drop roles, and not perform more general account manipulation.

With regard to privileges and interchangeability of users and roles, you can treat a user account like a role and grant that account to another user or a role. The effect is to grant the account's privileges and roles to the other user or role.

This set of statements demonstrates that you can grant a user to a user, a role to a user, a user to a role, or a role to a role:

```
CREATE USER 'u1';
CREATE ROLE 'r1';
GRANT SELECT ON db1.* TO 'u1';
GRANT SELECT ON db2.* TO 'r1';
CREATE USER 'u2';
CREATE ROLE 'r2';
GRANT 'u1', 'r1' TO 'u2';
GRANT 'u1', 'r1' TO 'r2';
```

The result in each case is to grant to the grantee object the privileges associated with the granted object. After executing those statements, each of `u2` and `r2` have been granted privileges from a user (`u1`) and a role (`r1`):

```
mysql> SHOW GRANTS FOR 'u2' USING 'u1', 'r1';
+-----------------------------------+
| Grants for u2@%                   |
+-----------------------------------+
| GRANT USAGE ON *.* TO `u2`@`%`    |
```

```
| GRANT SELECT ON `db1`.* TO `u2`@`%` |
| GRANT SELECT ON `db2`.* TO `u2`@`%` |
| GRANT `u1`@`%`,`r1`@`%` TO `u2`@`%` |
+-------------------------------------+
mysql> SHOW GRANTS FOR 'r2' USING 'u1', 'r1';
+-------------------------------------+
| Grants for r2@%                     |
+-------------------------------------+
| GRANT USAGE ON *.* TO `r2`@`%`      |
| GRANT SELECT ON `db1`.* TO `r2`@`%` |
| GRANT SELECT ON `db2`.* TO `r2`@`%` |
| GRANT `u1`@`%`,`r1`@`%` TO `r2`@`%` |
+-------------------------------------+
```

The preceding example is illustrative only, but interchangeability of user accounts and roles has practical application, such as in the following situation: Suppose that a legacy application development project began before the advent of roles in MySQL, so all user accounts associated with the project are granted privileges directly (rather than granted privileges by virtue of being granted roles). One of these accounts is a developer account that was originally granted privileges as follows:

```
CREATE USER 'old_app_dev'@'localhost' IDENTIFIED BY 'old_app_devpass';
GRANT ALL ON old_app.* TO 'old_app_dev'@'localhost';
```

If this developer leaves the project, it becomes necessary to assign the privileges to another user, or perhaps multiple users if development activities have expanded. Here are some ways to deal with the issue:

- Without using roles: Change the account password so the original developer cannot use it, and have a new developer use the account instead:

  ```
  ALTER USER 'old_app_dev'@'localhost' IDENTIFIED BY 'new_password';
  ```

- Using roles: Lock the account to prevent anyone from using it to connect to the server:

  ```
  ALTER USER 'old_app_dev'@'localhost' ACCOUNT LOCK;
  ```

  Then treat the account as a role. For each developer new to the project, create a new account and grant to it the original developer account:

  ```
  CREATE USER 'new_app_dev1'@'localhost' IDENTIFIED BY 'new_password';
  GRANT 'old_app_dev'@'localhost' TO 'new_app_dev1'@'localhost';
  ```

  The effect is to assign the original developer account privileges to the new account.

4.11 Account Categories

As of MySQL 8.0.16, MySQL incorporates the concept of user account categories, based on the SYSTEM_USER privilege.

- System and Regular Accounts
- Operations Affected by the SYSTEM_USER Privilege
- System and Regular Sessions
- Protecting System Accounts Against Manipulation by Regular Accounts

System and Regular Accounts

MySQL incorporates the concept of user account categories, with system and regular users distinguished according to whether they have the SYSTEM_USER privilege:

- A user with the SYSTEM_USER privilege is a system user.
- A user without the SYSTEM_USER privilege is a regular user.

The SYSTEM_USER privilege has an effect on the accounts to which a given user can apply its other privileges, as well as whether the user is protected from other accounts:

- A system user can modify both system and regular accounts. That is, a user who has the appropriate privileges to perform a given operation on regular accounts is enabled by possession of SYSTEM_USER to also perform the operation on system accounts. A system account can be modified only by system users with appropriate privileges, not by regular users.
- A regular user with appropriate privileges can modify regular accounts, but not system accounts. A regular account can be modified by both system and regular users with appropriate privileges.

If a user has the appropriate privileges to perform a given operation on regular accounts, SYSTEM_USER enables the user to also perform the operation on system accounts. SYSTEM_USER does not imply any other privilege, so the ability to perform a given account operation remains predicated on possession of any other required privileges. For example, if a user can grant the SELECT and UPDATE privileges to regular accounts, then with SYSTEM_USER the user can also grant SELECT and UPDATE to system accounts.

The distinction between system and regular accounts enables better control over certain account administration issues by protecting accounts that have the SYSTEM_USER privilege from accounts that do not have the privilege. For example, the CREATE USER privilege enables not only creation of new accounts, but modification and removal of existing accounts. Without the system user concept, a user who has the CREATE USER privilege can modify or drop any existing account, including the root account. The concept of system user enables restricting modifications to the root account (itself a system account) so they can be made only by system users. Regular users with the CREATE USER privilege can still modify or drop existing accounts, but only regular accounts.

Operations Affected by the SYSTEM_USER Privilege

The SYSTEM_USER privilege affects these operations:

- Account manipulation.

  Account manipulation includes creating and dropping accounts, granting and revoking privileges, changing account authentication characteristics such as credentials or authentication plugin, and changing other account characteristics such as password expiration policy.

  The SYSTEM_USER privilege is required to manipulate system accounts using account-management statements such as CREATE USER and GRANT. To prevent an account from modifying system accounts this way, make it a regular account by not granting it the SYSTEM_USER privilege. (However, to fully protect system accounts against regular accounts, you must also withhold modification privileges for the mysql system schema from regular accounts. See Protecting System Accounts Against Manipulation by Regular Accounts.)

- Killing current sessions and statements executing within them.

  To kill a session or statement that is executing with the SYSTEM_USER privilege, your own session must have the SYSTEM_USER privilege, in addition to any other required privilege (CONNECTION_ADMIN or the deprecated SUPER privilege).

  From MySQL 8.0.30, if the user that puts a server in offline mode does not have the SYSTEM_USER privilege, connected client users who have the SYSTEM_USER privilege are also not disconnected. However, these users cannot initiate new connections to the server while it is in offline mode, unless they have the CONNECTION_ADMIN or SUPER privilege as well. It is only their existing connection that is not terminated, because the SYSTEM_USER privilege is required to do that.

  Prior to MySQL 8.0.16, CONNECTION_ADMIN privilege (or the deprecated SUPER privilege) is sufficient to kill any session or statement.

- Setting the DEFINER attribute for stored objects.

To set the DEFINER attribute for a stored object to an account that has the SYSTEM_USER privilege, you must have the SYSTEM_USER privilege, in addition to any other required privilege (SET_USER_ID or the deprecated SUPER privilege).

Prior to MySQL 8.0.16, the SET_USER_ID privilege (or the deprecated SUPER privilege) is sufficient to specify any DEFINER value for stored objects.

- Specifying mandatory roles.

  A role that has the SYSTEM_USER privilege cannot be listed in the value of the mandatory_roles system variable.

  Prior to MySQL 8.0.16, any role can be listed in mandatory_roles.

- Overriding “abort” items in MySQL Enterprise Audit’s audit log filter.

  From MySQL 8.0.28, accounts with the SYSTEM_USER privilege are automatically assigned the AUDIT_ABORT_EXEMPT privilege, so that queries from the account are always executed even if an “abort” item in the audit log filter would block them. Accounts with the SYSTEM_USER privilege can therefore be used to regain access to a system following an audit misconfiguration. See Section 6.5, “MySQL Enterprise Audit”.

System and Regular Sessions

Sessions executing within the server are distinguished as system or regular sessions, similar to the distinction between system and regular users:

- A session that possesses the SYSTEM_USER privilege is a system session.
- A session that does not possess the SYSTEM_USER privilege is a regular session.

A regular session is able to perform only operations permitted to regular users. A system session is additionally able to perform operations permitted only to system users.

The privileges possessed by a session are those granted directly to its underlying account, plus those granted to all roles currently active within the session. Thus, a session may be a system session because its account has been granted the SYSTEM_USER privilege directly, or because the session has activated a role that has the SYSTEM_USER privilege. Roles granted to an account that are not active within the session do not affect session privileges.

Because activating and deactivating roles can change the privileges possessed by sessions, a session may change from a regular session to a system session or vice versa. If a session activates or deactivates a role that has the SYSTEM_USER privilege, the appropriate change between regular and system session takes place immediately, for that session only:

- If a regular session activates a role with the SYSTEM_USER privilege, the session becomes a system session.
- If a system session deactivates a role with the SYSTEM_USER privilege, the session becomes a regular session, unless some other role with the SYSTEM_USER privilege remains active.

These operations have no effect on existing sessions:

- If the SYSTEM_USER privilege is granted to or revoked from an account, existing sessions for the account do not change between regular and system sessions. The grant or revoke operation affects only sessions for subsequent connections by the account.
- Statements executed by a stored object invoked within a session execute with the system or regular status of the parent session, even if the object DEFINER attribute names a system account.

Because role activation affects only sessions and not accounts, granting a role that has the SYSTEM_USER privilege to a regular account does not protect that account against regular users.

The role protects only sessions for the account in which the role has been activated, and protects the session only against being killed by regular sessions.

Protecting System Accounts Against Manipulation by Regular Accounts

Account manipulation includes creating and dropping accounts, granting and revoking privileges, changing account authentication characteristics such as credentials or authentication plugin, and changing other account characteristics such as password expiration policy.

Account manipulation can be done two ways:

- By using account-management statements such as `CREATE USER` and `GRANT`. This is the preferred method.
- By direct grant-table modification using statements such as `INSERT` and `UPDATE`. This method is discouraged but possible for users with the appropriate privileges on the `mysql` system schema that contains the grant tables.

To fully protect system accounts against modification by a given account, make it a regular account and do not grant it modification privileges for the `mysql` schema:

- The `SYSTEM_USER` privilege is required to manipulate system accounts using account-management statements. To prevent an account from modifying system accounts this way, make it a regular account by not granting `SYSTEM_USER` to it. This includes not granting `SYSTEM_USER` to any roles granted to the account.
- Privileges for the `mysql` schema enable manipulation of system accounts through direct modification of the grant tables, even if the modifying account is a regular account. To restrict unauthorized direct modification of system accounts by a regular account, do not grant modification privileges for the `mysql` schema to the account (or any roles granted to the account). If a regular account must have global privileges that apply to all schemas, `mysql` schema modifications can be prevented using privilege restrictions imposed using partial revokes. See Section 4.12, “Privilege Restriction Using Partial Revokes”.

> **Note**
>
> Unlike withholding the `SYSTEM_USER` privilege, which prevents an account from modifying system accounts but not regular accounts, withholding `mysql` schema privileges prevents an account from modifying system accounts as well as regular accounts. This should not be an issue because, as mentioned, direct grant-table modification is discouraged.

Suppose that you want to create a user `u1` who has all privileges on all schemas, except that `u1` should be a regular user without the ability to modify system accounts. Assuming that the `partial_revokes` system variable is enabled, configure `u1` as follows:

```
CREATE USER u1 IDENTIFIED BY 'password';
GRANT ALL ON *.* TO u1 WITH GRANT OPTION;
-- GRANT ALL includes SYSTEM_USER, so at this point
-- u1 can manipulate system or regular accounts
REVOKE SYSTEM_USER ON *.* FROM u1;
-- Revoking SYSTEM_USER makes u1 a regular user;
-- now u1 can use account-management statements
-- to manipulate only regular accounts
REVOKE ALL ON mysql.* FROM u1;
-- This partial revoke prevents u1 from directly
-- modifying grant tables to manipulate accounts
```

To prevent all `mysql` system schema access by an account, revoke all its privileges on the `mysql` schema, as just shown. It is also possible to permit partial `mysql` schema access, such as read-only access. The following example creates an account that has `SELECT`, `INSERT`, `UPDATE`, and `DELETE` privileges globally for all schemas, but only `SELECT` for the `mysql` schema:

```
CREATE USER u2 IDENTIFIED BY 'password';
GRANT SELECT, INSERT, UPDATE, DELETE ON *.* TO u2;
REVOKE INSERT, UPDATE, DELETE ON mysql.* FROM u2;
```

Another possibility is to revoke all `mysql` schema privileges but grant access to specific `mysql` tables or columns. This can be done even with a partial revoke on `mysql`. The following statements enable read-only access to `u1` within the `mysql` schema, but only for the `db` table and the `Host` and `User` columns of the `user` table:

```
CREATE USER u3 IDENTIFIED BY 'password';
GRANT ALL ON *.* TO u3;
REVOKE ALL ON mysql.* FROM u3;
GRANT SELECT ON mysql.db TO u3;
GRANT SELECT(Host,User) ON mysql.user TO u3;
```

4.12 Privilege Restriction Using Partial Revokes

Prior to MySQL 8.0.16, it is not possible to grant privileges that apply globally except for certain schemas. As of MySQL 8.0.16, that is possible if the `partial_revokes` system variable is enabled. Specifically, for users who have privileges at the global level, `partial_revokes` enables privileges for specific schemas to be revoked while leaving the privileges in place for other schemas. Privilege restrictions thus imposed may be useful for administration of accounts that have global privileges but should not be permitted to access certain schemas. For example, it is possible to permit an account to modify any table except those in the `mysql` system schema.

- Using Partial Revokes
- Partial Revokes Versus Explicit Schema Grants
- Disabling Partial Revokes
- Partial Revokes and Replication

> **Note**
>
> For brevity, `CREATE USER` statements shown here do not include passwords. For production use, always assign account passwords.

Using Partial Revokes

The `partial_revokes` system variable controls whether privilege restrictions can be placed on accounts. By default, `partial_revokes` is disabled and attempts to partially revoke global privileges produce an error:

```
mysql> CREATE USER u1;
mysql> GRANT SELECT, INSERT ON *.* TO u1;
mysql> REVOKE INSERT ON world.* FROM u1;
ERROR 1141 (42000): There is no such grant defined for user 'u1' on host '%'
```

To permit the `REVOKE` operation, enable `partial_revokes`:

```
SET PERSIST partial_revokes = ON;
```

`SET PERSIST` sets a value for the running MySQL instance. It also saves the value, causing it to carry over to subsequent server restarts. To change the value for the running MySQL instance without having it carry over to subsequent restarts, use the `GLOBAL` keyword rather than `PERSIST`. See SET Syntax for Variable Assignment.

With `partial_revokes` enabled, the partial revoke succeeds:

```
mysql> REVOKE INSERT ON world.* FROM u1;
mysql> SHOW GRANTS FOR u1;
+-----------------------------------------+
| Grants for u1@%                         |
```

```
+----------------------------------------+
| GRANT SELECT, INSERT ON *.* TO `u1`@`%`  |
| REVOKE INSERT ON `world`.* FROM `u1`@`%` |
+----------------------------------------+
```

`SHOW GRANTS` lists partial revokes as `REVOKE` statements in its output. The result indicates that `u1` has global `SELECT` and `INSERT` privileges, except that `INSERT` cannot be exercised for tables in the `world` schema. That is, access by `u1` to `world` tables is read only.

The server records privilege restrictions implemented through partial revokes in the `mysql.user` system table. If an account has partial revokes, its `User_attributes` column value has a `Restrictions` attribute:

```
mysql> SELECT User, Host, User_attributes->>'$.Restrictions'
       FROM mysql.user WHERE User_attributes->>'$.Restrictions' <> '';
+------+------+-----------------------------------------------------+
| User | Host | User_attributes->>'$.Restrictions'                  |
+------+------+-----------------------------------------------------+
| u1   | %    | [{"Database": "world", "Privileges": ["INSERT"]}] |
+------+------+-----------------------------------------------------+
```

> **Note**
>
> Although partial revokes can be imposed for any schema, privilege restrictions on the `mysql` system schema in particular are useful as part of a strategy for preventing regular accounts from modifying system accounts. See Protecting System Accounts Against Manipulation by Regular Accounts.

Partial revoke operations are subject to these conditions:

- It is possible to use partial revokes to place restrictions on nonexistent schemas, but only if the revoked privilege is granted globally. If a privilege is not granted globally, revoking it for a nonexistent schema produces an error.
- Partial revokes apply at the schema level only. You cannot use partial revokes for privileges that apply only globally (such as `FILE` or `BINLOG_ADMIN`), or for table, column, or routine privileges.
- In privilege assignments, enabling `partial_revokes` causes MySQL to interpret occurrences of unescaped `_` and `%` SQL wildcard characters in schema names as literal characters, just as if they had been escaped as `\_` and `\%`. Because this changes how MySQL interprets privileges, it may be advisable to avoid unescaped wildcard characters in privilege assignments for installations where `partial_revokes` may be enabled.

As mentioned previously, partial revokes of schema-level privileges appear in `SHOW GRANTS` output as `REVOKE` statements. This differs from how `SHOW GRANTS` represents “plain” schema-level privileges:

- When granted, schema-level privileges are represented by their own `GRANT` statements in the output:

```
mysql> CREATE USER u1;
mysql> GRANT UPDATE ON mysql.* TO u1;
mysql> GRANT DELETE ON world.* TO u1;
mysql> SHOW GRANTS FOR u1;
+--------------------------------------+
| Grants for u1@%                      |
+--------------------------------------+
| GRANT USAGE ON *.* TO `u1`@`%`       |
| GRANT UPDATE ON `mysql`.* TO `u1`@`%` |
| GRANT DELETE ON `world`.* TO `u1`@`%` |
+--------------------------------------+
```

- When revoked, schema-level privileges simply disappear from the output. They do not appear as `REVOKE` statements:

```
mysql> REVOKE UPDATE ON mysql.* FROM u1;
```

```
mysql> REVOKE DELETE ON world.* FROM u1;
mysql> SHOW GRANTS FOR u1;
+--------------------------------+
| Grants for u1@%                |
+--------------------------------+
| GRANT USAGE ON *.* TO `u1`@`%` |
+--------------------------------+
```

When a user grants a privilege, any restriction the grantor has on the privilege is inherited by the grantee, unless the grantee already has the privilege without the restriction. Consider the following two users, one of whom has the global `SELECT` privilege:

```
CREATE USER u1, u2;
GRANT SELECT ON *.* TO u2;
```

Suppose that an administrative user `admin` has a global but partially revoked `SELECT` privilege:

```
mysql> CREATE USER admin;
mysql> GRANT SELECT ON *.* TO admin WITH GRANT OPTION;
mysql> REVOKE SELECT ON mysql.* FROM admin;
mysql> SHOW GRANTS FOR admin;
+-----------------------------------------------------+
| Grants for admin@%                                  |
+-----------------------------------------------------+
| GRANT SELECT ON *.* TO `admin`@`%` WITH GRANT OPTION |
| REVOKE SELECT ON `mysql`.* FROM `admin`@`%`         |
+-----------------------------------------------------+
```

If `admin` grants `SELECT` globally to `u1` and `u2`, the result differs for each user:

- If `admin` grants `SELECT` globally to `u1`, who has no `SELECT` privilege to begin with, `u1` inherits the `admin` privilege restriction:

  ```
  mysql> GRANT SELECT ON *.* TO u1;
  mysql> SHOW GRANTS FOR u1;
  +----------------------------------------+
  | Grants for u1@%                        |
  +----------------------------------------+
  | GRANT SELECT ON *.* TO `u1`@`%`        |
  | REVOKE SELECT ON `mysql`.* FROM `u1`@`%` |
  +----------------------------------------+
  ```

- On the other hand, `u2` already holds a global `SELECT` privilege without restriction. `GRANT` can only add to a grantee's existing privileges, not reduce them, so if `admin` grants `SELECT` globally to `u2`, `u2` does not inherit the `admin` restriction:

  ```
  mysql> GRANT SELECT ON *.* TO u2;
  mysql> SHOW GRANTS FOR u2;
  +--------------------------------+
  | Grants for u2@%                |
  +--------------------------------+
  | GRANT SELECT ON *.* TO `u2`@`%` |
  +--------------------------------+
  ```

If a `GRANT` statement includes an `AS` *`user`* clause, the privilege restrictions applied are those on the user/role combination specified by the clause, rather than those on the user who executes the statement. For information about the `AS` clause, see GRANT Statement.

Restrictions on new privileges granted to an account are added to any existing restrictions for that account:

```
mysql> CREATE USER u1;
mysql> GRANT SELECT, INSERT, UPDATE, DELETE ON *.* TO u1;
mysql> REVOKE INSERT ON mysql.* FROM u1;
mysql> SHOW GRANTS FOR u1;
+-----------------------------------------------------------+
| Grants for u1@%                                           |
+-----------------------------------------------------------+
| GRANT SELECT, INSERT, UPDATE, DELETE ON *.* TO `u1`@`%` |
```

```
| REVOKE INSERT ON `mysql`.* FROM `u1`@`%`                |
+---------------------------------------------------------+
mysql> REVOKE DELETE, UPDATE ON db2.* FROM u1;
mysql> SHOW GRANTS FOR u1;
+---------------------------------------------------------+
| Grants for u1@%                                         |
+---------------------------------------------------------+
| GRANT SELECT, INSERT, UPDATE, DELETE ON *.* TO `u1`@`%` |
| REVOKE UPDATE, DELETE ON `db2`.* FROM `u1`@`%`          |
| REVOKE INSERT ON `mysql`.* FROM `u1`@`%`                |
+---------------------------------------------------------+
```

Aggregation of privilege restrictions applies both when privileges are partially revoked explicitly (as just shown) and when restrictions are inherited implicitly from the user who executes the statement or the user mentioned in an `AS user` clause.

If an account has a privilege restriction on a schema:

- The account cannot grant to other accounts a privilege on the restricted schema or any object within it.
- Another account that does not have the restriction can grant privileges to the restricted account for the restricted schema or objects within it. Suppose that an unrestricted user executes these statements:

  ```
  CREATE USER u1;
  GRANT SELECT, INSERT, UPDATE ON *.* TO u1;
  REVOKE SELECT, INSERT, UPDATE ON mysql.* FROM u1;
  GRANT SELECT ON mysql.user TO u1;          -- grant table privilege
  GRANT SELECT(Host,User) ON mysql.db TO u1; -- grant column privileges
  ```

  The resulting account has these privileges, with the ability to perform limited operations within the restricted schema:

  ```
  mysql> SHOW GRANTS FOR u1;
  +-----------------------------------------------------------+
  | Grants for u1@%                                           |
  +-----------------------------------------------------------+
  | GRANT SELECT, INSERT, UPDATE ON *.* TO `u1`@`%`           |
  | REVOKE SELECT, INSERT, UPDATE ON `mysql`.* FROM `u1`@`%`  |
  | GRANT SELECT (`Host`, `User`) ON `mysql`.`db` TO `u1`@`%` |
  | GRANT SELECT ON `mysql`.`user` TO `u1`@`%`                |
  +-----------------------------------------------------------+
  ```

If an account has a restriction on a global privilege, the restriction is removed by any of these actions:

- Granting the privilege globally to the account by an account that has no restriction on the privilege.
- Granting the privilege at the schema level.
- Revoking the privilege globally.

Consider a user `u1` who holds several privileges globally, but with restrictions on `INSERT`, `UPDATE` and `DELETE`:

```
mysql> CREATE USER u1;
mysql> GRANT SELECT, INSERT, UPDATE, DELETE ON *.* TO u1;
mysql> REVOKE INSERT, UPDATE, DELETE ON mysql.* FROM u1;
mysql> SHOW GRANTS FOR u1;
+-----------------------------------------------------------+
| Grants for u1@%                                           |
+-----------------------------------------------------------+
| GRANT SELECT, INSERT, UPDATE, DELETE ON *.* TO `u1`@`%`   |
| REVOKE INSERT, UPDATE, DELETE ON `mysql`.* FROM `u1`@`%` |
+-----------------------------------------------------------+
```

Granting a privilege globally to `u1` from an account with no restriction removes the privilege restriction. For example, to remove the `INSERT` restriction:

```
mysql> GRANT INSERT ON *.* TO u1;
mysql> SHOW GRANTS FOR u1;
+-----------------------------------------------------------+
| Grants for u1@%                                           |
+-----------------------------------------------------------+
| GRANT SELECT, INSERT, UPDATE, DELETE ON *.* TO `u1`@`%` |
| REVOKE UPDATE, DELETE ON `mysql`.* FROM `u1`@`%`        |
+-----------------------------------------------------------+
```

Granting a privilege at the schema level to `u1` removes the privilege restriction. For example, to remove the `UPDATE` restriction:

```
mysql> GRANT UPDATE ON mysql.* TO u1;
mysql> SHOW GRANTS FOR u1;
+-----------------------------------------------------------+
| Grants for u1@%                                           |
+-----------------------------------------------------------+
| GRANT SELECT, INSERT, UPDATE, DELETE ON *.* TO `u1`@`%` |
| REVOKE DELETE ON `mysql`.* FROM `u1`@`%`                |
+-----------------------------------------------------------+
```

Revoking a global privilege removes the privilege, including any restrictions on it. For example, to remove the `DELETE` restriction (at the cost of removing all `DELETE` access):

```
mysql> REVOKE DELETE ON *.* FROM u1;
mysql> SHOW GRANTS FOR u1;
+-----------------------------------------------+
| Grants for u1@%                               |
+-----------------------------------------------+
| GRANT SELECT, INSERT, UPDATE ON *.* TO `u1`@`%` |
+-----------------------------------------------+
```

If an account has a privilege at both the global and schema levels, you must revoke it at the schema level twice to effect a partial revoke. Suppose that `u1` has these privileges, where `INSERT` is held both globally and on the `world` schema:

```
mysql> CREATE USER u1;
mysql> GRANT SELECT, INSERT ON *.* TO u1;
mysql> GRANT INSERT ON world.* TO u1;
mysql> SHOW GRANTS FOR u1;
+---------------------------------------+
| Grants for u1@%                       |
+---------------------------------------+
| GRANT SELECT, INSERT ON *.* TO `u1`@`%` |
| GRANT INSERT ON `world`.* TO `u1`@`%`   |
+---------------------------------------+
```

Revoking `INSERT` on `world` revokes the schema-level privilege (`SHOW GRANTS` no longer displays the schema-level `GRANT` statement):

```
mysql> REVOKE INSERT ON world.* FROM u1;
mysql> SHOW GRANTS FOR u1;
+---------------------------------------+
| Grants for u1@%                       |
+---------------------------------------+
| GRANT SELECT, INSERT ON *.* TO `u1`@`%` |
+---------------------------------------+
```

Revoking `INSERT` on `world` again performs a partial revoke of the global privilege (`SHOW GRANTS` now includes a schema-level `REVOKE` statement):

```
mysql> REVOKE INSERT ON world.* FROM u1;
mysql> SHOW GRANTS FOR u1;
+---------------------------------------+
| Grants for u1@%                       |
+---------------------------------------+
| GRANT SELECT, INSERT ON *.* TO `u1`@`%`  |
| REVOKE INSERT ON `world`.* FROM `u1`@`%` |
+---------------------------------------+
```

Partial Revokes Versus Explicit Schema Grants

To provide access to accounts for some schemas but not others, partial revokes provide an alternative to the approach of explicitly granting schema-level access without granting global privileges. The two approaches have different advantages and disadvantages.

Granting schema-level privileges and not global privileges:

- Adding a new schema: The schema is inaccessible to existing accounts by default. For any account to which the schema should be accessible, the DBA must grant schema-level access.
- Adding a new account: The DBA must grant schema-level access for each schema to which the account should have access.

Granting global privileges in conjunction with partial revokes:

- Adding a new schema: The schema is accessible to existing accounts that have global privileges. For any such account to which the schema should be inaccessible, the DBA must add a partial revoke.
- Adding a new account: The DBA must grant the global privileges, plus a partial revoke on each restricted schema.

The approach that uses explicit schema-level grant is more convenient for accounts for which access is limited to a few schemas. The approach that uses partial revokes is more convenient for accounts with broad access to all schemas except a few.

Disabling Partial Revokes

Once enabled, `partial_revokes` cannot be disabled if any account has privilege restrictions. If any such account exists, disabling `partial_revokes` fails:

- For attempts to disable `partial_revokes` at startup, the server logs an error message and enables `partial_revokes`.
- For attempts to disable `partial_revokes` at runtime, an error occurs and the `partial_revokes` value remains unchanged.

To disable `partial_revokes` when restrictions exist, the restrictions first must be removed:

1. Determine which accounts have partial revokes:

   ```
   SELECT User, Host, User_attributes->>'$.Restrictions'
   FROM mysql.user WHERE User_attributes->>'$.Restrictions' <> '';
   ```

2. For each such account, remove its privilege restrictions. Suppose that the previous step shows account `u1` to have these restrictions:

   ```
   [{"Database": "world", "Privileges": ["INSERT", "DELETE"]
   ```

   Restriction removal can be done various ways:

   - Grant the privileges globally, without restrictions:

     ```
     GRANT INSERT, DELETE ON *.* TO u1;
     ```

   - Grant the privileges at the schema level:

     ```
     GRANT INSERT, DELETE ON world.* TO u1;
     ```

   - Revoke the privileges globally (assuming that they are no longer needed):

     ```
     REVOKE INSERT, DELETE ON *.* FROM u1;
     ```

- Remove the account itself (assuming that it is no longer needed):

```
DROP USER u1;
```

After all privilege restrictions are removed, it is possible to disable partial revokes:

```
SET PERSIST partial_revokes = OFF;
```

Partial Revokes and Replication

In replication scenarios, if `partial_revokes` is enabled on any host, it must be enabled on all hosts. Otherwise, `REVOKE` statements to partially revoke a global privilege do not have the same effect for all hosts on which replication occurs, potentially resulting in replication inconsistencies or errors.

When `partial_revokes` is enabled, an extended syntax is recorded in the binary log for `GRANT` statements, including the current user that issued the statement and their currently active roles. If a user or a role recorded in this way does not exist on the replica, the replication applier thread stops at the `GRANT` statement with an error. Ensure that all user accounts that issue or might issue `GRANT` statements on the replication source server also exist on the replica, and have the same set of roles as they have on the source.

4.13 When Privilege Changes Take Effect

If the `mysqld` server is started without the `--skip-grant-tables` option, it reads all grant table contents into memory during its startup sequence. The in-memory tables become effective for access control at that point.

If you modify the grant tables indirectly using an account-management statement, the server notices these changes and loads the grant tables into memory again immediately. Account-management statements are described in Account Management Statements. Examples include `GRANT`, `REVOKE`, `SET PASSWORD`, and `RENAME USER`.

If you modify the grant tables directly using statements such as `INSERT`, `UPDATE`, or `DELETE` (which is not recommended), the changes have no effect on privilege checking until you either tell the server to reload the tables or restart it. Thus, if you change the grant tables directly but forget to reload them, the changes have *no effect* until you restart the server. This may leave you wondering why your changes seem to make no difference!

To tell the server to reload the grant tables, perform a flush-privileges operation. This can be done by issuing a `FLUSH PRIVILEGES` statement or by executing a `mysqladmin flush-privileges` or `mysqladmin reload` command.

A grant table reload affects privileges for each existing client session as follows:

- Table and column privilege changes take effect with the client's next request.
- Database privilege changes take effect the next time the client executes a `USE db_name` statement.

  > **Note**
  >
  > Client applications may cache the database name; thus, this effect may not be visible to them without actually changing to a different database.

- Static global privileges and passwords are unaffected for a connected client. These changes take effect only in sessions for subsequent connections. Changes to dynamic global privileges apply immediately. For information about the differences between static and dynamic privileges, see Static Versus Dynamic Privileges.)

Changes to the set of active roles within a session take effect immediately, for that session only. The `SET ROLE` statement performs session role activation and deactivation (see SET ROLE Statement).

If the server is started with the `--skip-grant-tables` option, it does not read the grant tables or implement any access control. Any user can connect and perform any operation, *which is insecure.* To cause a server thus started to read the tables and enable access checking, flush the privileges.

4.14 Assigning Account Passwords

Required credentials for clients that connect to the MySQL server can include a password. This section describes how to assign passwords for MySQL accounts.

MySQL stores credentials in the `user` table in the `mysql` system database. Operations that assign or modify passwords are permitted only to users with the `CREATE USER` privilege, or, alternatively, privileges for the `mysql` database (`INSERT` privilege to create new accounts, `UPDATE` privilege to modify existing accounts). If the `read_only` system variable is enabled, use of account-modification statements such as `CREATE USER` or `ALTER USER` additionally requires the `CONNECTION_ADMIN` privilege (or the deprecated `SUPER` privilege).

The discussion here summarizes syntax only for the most common password-assignment statements. For complete details on other possibilities, see CREATE USER Statement, ALTER USER Statement, and SET PASSWORD Statement.

MySQL uses plugins to perform client authentication; see Section 4.17, “Pluggable Authentication”. In password-assigning statements, the authentication plugin associated with an account performs any hashing required of a cleartext password specified. This enables MySQL to obfuscate passwords prior to storing them in the `mysql.user` system table. For the statements described here, MySQL automatically hashes the password specified. There are also syntax for `CREATE USER` and `ALTER USER` that permits hashed values to be specified literally. For details, see the descriptions of those statements.

To assign a password when you create a new account, use `CREATE USER` and include an `IDENTIFIED BY` clause:

```
CREATE USER 'jeffrey'@'localhost' IDENTIFIED BY 'password';
```

`CREATE USER` also supports syntax for specifying the account authentication plugin. See CREATE USER Statement.

To assign or change a password for an existing account, use the `ALTER USER` statement with an `IDENTIFIED BY` clause:

```
ALTER USER 'jeffrey'@'localhost' IDENTIFIED BY 'password';
```

If you are not connected as an anonymous user, you can change your own password without naming your own account literally:

```
ALTER USER USER() IDENTIFIED BY 'password';
```

To change an account password from the command line, use the `mysqladmin` command:

```
mysqladmin -u user_name -h host_name password "password"
```

The account for which this command sets the password is the one with a row in the `mysql.user` system table that matches *`user_name`* in the `User` column and the client host *from which you connect* in the `Host` column.

> **Warning**
>
> Setting a password using `mysqladmin` should be considered *insecure*. On some systems, your password becomes visible to system status programs such as `ps` that may be invoked by other users to display command lines. MySQL clients typically overwrite the command-line password argument with zeros during their initialization sequence. However, there is still a brief interval during which the value is visible. Also, on some systems this overwriting strategy is

> ineffective and the password remains visible to `ps`. (SystemV Unix systems and perhaps others are subject to this problem.)

If you are using MySQL Replication, be aware that, currently, a password used by a replica as part of a `CHANGE REPLICATION SOURCE TO` statement (from MySQL 8.0.23) or `CHANGE MASTER TO` statement (before MySQL 8.0.23) is effectively limited to 32 characters in length; if the password is longer, any excess characters are truncated. This is not due to any limit imposed by MySQL Server generally, but rather is an issue specific to MySQL Replication.

4.15 Password Management

MySQL supports these password-management capabilities:

- Password expiration, to require passwords to be changed periodically.
- Password reuse restrictions, to prevent old passwords from being chosen again.
- Password verification, to require that password changes also specify the current password to be replaced.
- Dual passwords, to enable clients to connect using either a primary or secondary password.
- Password strength assessment, to require strong passwords.
- Random password generation, as an alternative to requiring explicit administrator-specified literal passwords.
- Password failure tracking, to enable temporary account locking after too many consecutive incorrect-password login failures.

The following sections describe these capabilities, except password strength assessment, which is implemented using the `validate_password` component and is described in Section 6.3, “The Password Validation Component”.

- Internal Versus External Credentials Storage
- Password Expiration Policy
- Password Reuse Policy
- Password Verification-Required Policy
- Dual Password Support
- Random Password Generation
- Failed-Login Tracking and Temporary Account Locking

> **Important**
>
> MySQL implements password-management capabilities using tables in the `mysql` system database. If you upgrade MySQL from an earlier version, your system tables might not be up to date. In that case, the server writes messages similar to these to the error log during the startup process (the exact numbers may vary):
>
> ```
> [ERROR] Column count of mysql.user is wrong. Expected
> 49, found 47. The table is probably corrupted
> [Warning] ACL table mysql.password_history missing.
> Some operations may fail.
> ```
>
> To correct the issue, perform the MySQL upgrade procedure. See Upgrading MySQL. Until this is done, *password changes are not possible.*

Internal Versus External Credentials Storage

Some authentication plugins store account credentials internally to MySQL, in the `mysql.user` system table:

- `caching_sha2_password`
- `mysql_native_password` (deprecated)
- `sha256_password` (deprecated)

Most discussion in this section applies to such authentication plugins because most password-management capabilities described here are based on internal credentials storage handled by MySQL itself. Other authentication plugins store account credentials externally to MySQL. For accounts that use plugins that perform authentication against an external credentials system, password management must be handled externally against that system as well.

The exception is that the options for failed-login tracking and temporary account locking apply to all accounts, not just accounts that use internal credentials storage, because MySQL is able to assess the status of login attempts for any account no matter whether it uses internal or external credentials storage.

For information about individual authentication plugins, see Section 6.1, “Authentication Plugins”.

Password Expiration Policy

MySQL enables database administrators to expire account passwords manually, and to establish a policy for automatic password expiration. Expiration policy can be established globally, and individual accounts can be set to either defer to the global policy or override the global policy with specific per-account behavior.

To expire an account password manually, use the `ALTER USER` statement:

```
ALTER USER 'jeffrey'@'localhost' PASSWORD EXPIRE;
```

This operation marks the password expired in the corresponding row in the `mysql.user` system table.

Password expiration according to policy is automatic and is based on password age, which for a given account is assessed from the date and time of its most recent password change. The `mysql.user` system table indicates for each account when its password was last changed, and the server automatically treats the password as expired at client connection time if its age is greater than its permitted lifetime. This works with no explicit manual password expiration.

To establish automatic password-expiration policy globally, use the `default_password_lifetime` system variable. Its default value is 0, which disables automatic password expiration. If the value of `default_password_lifetime` is a positive integer *`N`*, it indicates the permitted password lifetime, such that passwords must be changed every *`N`* days.

Examples:

- To establish a global policy that passwords have a lifetime of approximately six months, start the server with these lines in a server `my.cnf` file:

  ```
  [mysqld]
  default_password_lifetime=180
  ```

- To establish a global policy such that passwords never expire, set `default_password_lifetime` to 0:

  ```
  [mysqld]
  default_password_lifetime=0
  ```

- `default_password_lifetime` can also be set and persisted at runtime:

```
SET PERSIST default_password_lifetime = 180;
SET PERSIST default_password_lifetime = 0;
```

`SET PERSIST` sets a value for the running MySQL instance. It also saves the value to carry over to subsequent server restarts; see SET Syntax for Variable Assignment. To change the value for the running MySQL instance without having it carry over to subsequent restarts, use the `GLOBAL` keyword rather than `PERSIST`.

The global password-expiration policy applies to all accounts that have not been set to override it. To establish policy for individual accounts, use the `PASSWORD EXPIRE` option of the `CREATE USER` and `ALTER USER` statements. See CREATE USER Statement, and ALTER USER Statement.

Example account-specific statements:

- Require the password to be changed every 90 days:

```
CREATE USER 'jeffrey'@'localhost' PASSWORD EXPIRE INTERVAL 90 DAY;
ALTER USER 'jeffrey'@'localhost' PASSWORD EXPIRE INTERVAL 90 DAY;
```

  This expiration option overrides the global policy for all accounts named by the statement.

- Disable password expiration:

```
CREATE USER 'jeffrey'@'localhost' PASSWORD EXPIRE NEVER;
ALTER USER 'jeffrey'@'localhost' PASSWORD EXPIRE NEVER;
```

  This expiration option overrides the global policy for all accounts named by the statement.

- Defer to the global expiration policy for all accounts named by the statement:

```
CREATE USER 'jeffrey'@'localhost' PASSWORD EXPIRE DEFAULT;
ALTER USER 'jeffrey'@'localhost' PASSWORD EXPIRE DEFAULT;
```

When a client successfully connects, the server determines whether the account password has expired:

- The server checks whether the password has been manually expired.
- Otherwise, the server checks whether the password age is greater than its permitted lifetime according to the automatic password expiration policy. If so, the server considers the password expired.

If the password is expired (whether manually or automatically), the server either disconnects the client or restricts the operations permitted to it (see Section 4.16, “Server Handling of Expired Passwords”). Operations performed by a restricted client result in an error until the user establishes a new account password:

```
mysql> SELECT 1;
ERROR 1820 (HY000): You must reset your password using ALTER USER
statement before executing this statement.
mysql> ALTER USER USER() IDENTIFIED BY 'password';
Query OK, 0 rows affected (0.01 sec)
mysql> SELECT 1;
+---+
| 1 |
+---+
| 1 |
+---+
1 row in set (0.00 sec)
```

After the client resets the password, the server restores normal access for the session, as well as for subsequent connections that use the account. It is also possible for an administrative user to reset the account password, but any existing restricted sessions for that account remain restricted. A client using the account must disconnect and reconnect before statements can be executed successfully.

> **Note**
>
> Although it is possible to “reset” an expired password by setting it to its current value, it is preferable, as a matter of good policy, to choose a different password. DBAs can enforce non-reuse by establishing an appropriate password-reuse policy. See Password Reuse Policy.

Password Reuse Policy

MySQL enables restrictions to be placed on reuse of previous passwords. Reuse restrictions can be established based on number of password changes, time elapsed, or both. Reuse policy can be established globally, and individual accounts can be set to either defer to the global policy or override the global policy with specific per-account behavior.

The password history for an account consists of passwords it has been assigned in the past. MySQL can restrict new passwords from being chosen from this history:

- If an account is restricted on the basis of number of password changes, a new password cannot be chosen from a specified number of the most recent passwords. For example, if the minimum number of password changes is set to 3, a new password cannot be the same as any of the most recent 3 passwords.
- If an account is restricted based on time elapsed, a new password cannot be chosen from passwords in the history that are newer than a specified number of days. For example, if the password reuse interval is set to 60, a new password must not be among those previously chosen within the last 60 days.

  > **Note**
  >
  > The empty password does not count in the password history and is subject to reuse at any time.

To establish password-reuse policy globally, use the `password_history` and `password_reuse_interval` system variables.

Examples:

- To prohibit reusing any of the last 6 passwords or passwords newer than 365 days, put these lines in the server `my.cnf` file:

  ```
  [mysqld]
  password_history=6
  password_reuse_interval=365
  ```

- To set and persist the variables at runtime, use statements like this:

  ```
  SET PERSIST password_history = 6;
  SET PERSIST password_reuse_interval = 365;
  ```

  `SET PERSIST` sets a value for the running MySQL instance. It also saves the value to carry over to subsequent server restarts; see SET Syntax for Variable Assignment. To change the value for the running MySQL instance without having it carry over to subsequent restarts, use the `GLOBAL` keyword rather than `PERSIST`.

The global password-reuse policy applies to all accounts that have not been set to override it. To establish policy for individual accounts, use the `PASSWORD HISTORY` and `PASSWORD REUSE INTERVAL` options of the `CREATE USER` and `ALTER USER` statements. See CREATE USER Statement, and ALTER USER Statement.

Example account-specific statements:

- Require a minimum of 5 password changes before permitting reuse:

```
CREATE USER 'jeffrey'@'localhost' PASSWORD HISTORY 5;
ALTER USER 'jeffrey'@'localhost' PASSWORD HISTORY 5;
```

This history-length option overrides the global policy for all accounts named by the statement.

- Require a minimum of 365 days elapsed before permitting reuse:

```
CREATE USER 'jeffrey'@'localhost' PASSWORD REUSE INTERVAL 365 DAY;
ALTER USER 'jeffrey'@'localhost' PASSWORD REUSE INTERVAL 365 DAY;
```

This time-elapsed option overrides the global policy for all accounts named by the statement.

- To combine both types of reuse restrictions, use `PASSWORD HISTORY` and `PASSWORD REUSE INTERVAL` together:

```
CREATE USER 'jeffrey'@'localhost'
  PASSWORD HISTORY 5
  PASSWORD REUSE INTERVAL 365 DAY;
ALTER USER 'jeffrey'@'localhost'
  PASSWORD HISTORY 5
  PASSWORD REUSE INTERVAL 365 DAY;
```

These options override both global policy reuse restrictions for all accounts named by the statement.

- Defer to the global policy for both types of reuse restrictions:

```
CREATE USER 'jeffrey'@'localhost'
  PASSWORD HISTORY DEFAULT
  PASSWORD REUSE INTERVAL DEFAULT;
ALTER USER 'jeffrey'@'localhost'
  PASSWORD HISTORY DEFAULT
  PASSWORD REUSE INTERVAL DEFAULT;
```

Password Verification-Required Policy

As of MySQL 8.0.13, it is possible to require that attempts to change an account password be verified by specifying the current password to be replaced. This enables DBAs to prevent users from changing a password without proving that they know the current password. Such changes could otherwise occur, for example, if one user walks away from a terminal session temporarily without logging out, and a malicious user uses the session to change the original user's MySQL password. This can have unfortunate consequences:

- The original user becomes unable to access MySQL until the account password is reset by an administrator.
- Until the password reset occurs, the malicious user can access MySQL with the benign user's changed credentials.

Password-verification policy can be established globally, and individual accounts can be set to either defer to the global policy or override the global policy with specific per-account behavior.

For each account, its `mysql.user` row indicates whether there is an account-specific setting requiring verification of the current password for password change attempts. The setting is established by the `PASSWORD REQUIRE` option of the `CREATE USER` and `ALTER USER` statements:

- If the account setting is `PASSWORD REQUIRE CURRENT`, password changes must specify the current password.
- If the account setting is `PASSWORD REQUIRE CURRENT OPTIONAL`, password changes may but need not specify the current password.
- If the account setting is `PASSWORD REQUIRE CURRENT DEFAULT`, the `password_require_current` system variable determines the verification-required policy for the account:

- If `password_require_current` is enabled, password changes must specify the current password.
- If `password_require_current` is disabled, password changes may but need not specify the current password.

In other words, if the account setting is not `PASSWORD REQUIRE CURRENT DEFAULT`, the account setting takes precedence over the global policy established by the `password_require_current` system variable. Otherwise, the account defers to the `password_require_current` setting.

By default, password verification is optional: `password_require_current` is disabled and accounts created with no `PASSWORD REQUIRE` option default to `PASSWORD REQUIRE CURRENT DEFAULT`.

The following table shows how per-account settings interact with `password_require_current` system variable values to determine account password verification-required policy.

Table 4.9 Password-Verification Policy

Per-Account Setting	password_require_current System Variable	Password Changes Require Current Password?
`PASSWORD REQUIRE CURRENT`	`OFF`	Yes
`PASSWORD REQUIRE CURRENT`	`ON`	Yes
`PASSWORD REQUIRE CURRENT OPTIONAL`	`OFF`	No
`PASSWORD REQUIRE CURRENT OPTIONAL`	`ON`	No
`PASSWORD REQUIRE CURRENT DEFAULT`	`OFF`	No
`PASSWORD REQUIRE CURRENT DEFAULT`	`ON`	Yes

> **Note**
>
> Privileged users can change any account password without specifying the current password, regardless of the verification-required policy. A privileged user is one who has the global `CREATE USER` privilege or the `UPDATE` privilege for the `mysql` system database.

To establish password-verification policy globally, use the `password_require_current` system variable. Its default value is `OFF`, so it is not required that account password changes specify the current password.

Examples:

- To establish a global policy that password changes must specify the current password, start the server with these lines in a server `my.cnf` file:

```
[mysqld]
password_require_current=ON
```

- To set and persist `password_require_current` at runtime, use a statement such as one of these:

```
SET PERSIST password_require_current = ON;
SET PERSIST password_require_current = OFF;
```

`SET PERSIST` sets a value for the running MySQL instance. It also saves the value to carry over to subsequent server restarts; see SET Syntax for Variable Assignment. To change the value for

the running MySQL instance without having it carry over to subsequent restarts, use the `GLOBAL` keyword rather than `PERSIST`.

The global password verification-required policy applies to all accounts that have not been set to override it. To establish policy for individual accounts, use the `PASSWORD REQUIRE` options of the `CREATE USER` and `ALTER USER` statements. See CREATE USER Statement, and ALTER USER Statement.

Example account-specific statements:

- Require that password changes specify the current password:

```
CREATE USER 'jeffrey'@'localhost' PASSWORD REQUIRE CURRENT;
ALTER USER 'jeffrey'@'localhost' PASSWORD REQUIRE CURRENT;
```

  This verification option overrides the global policy for all accounts named by the statement.

- Do not require that password changes specify the current password (the current password may but need not be given):

```
CREATE USER 'jeffrey'@'localhost' PASSWORD REQUIRE CURRENT OPTIONAL;
ALTER USER 'jeffrey'@'localhost' PASSWORD REQUIRE CURRENT OPTIONAL;
```

  This verification option overrides the global policy for all accounts named by the statement.

- Defer to the global password verification-required policy for all accounts named by the statement:

```
CREATE USER 'jeffrey'@'localhost' PASSWORD REQUIRE CURRENT DEFAULT;
ALTER USER 'jeffrey'@'localhost' PASSWORD REQUIRE CURRENT DEFAULT;
```

Verification of the current password comes into play when a user changes a password using the `ALTER USER` or `SET PASSWORD` statement. The examples use `ALTER USER`, which is preferred over `SET PASSWORD`, but the principles described here are the same for both statements.

In password-change statements, a `REPLACE` clause specifies the current password to be replaced. Examples:

- Change the current user's password:

```
ALTER USER USER() IDENTIFIED BY 'auth_string' REPLACE 'current_auth_string';
```

- Change a named user's password:

```
ALTER USER 'jeffrey'@'localhost'
  IDENTIFIED BY 'auth_string'
  REPLACE 'current_auth_string';
```

- Change a named user's authentication plugin and password:

```
ALTER USER 'jeffrey'@'localhost'
  IDENTIFIED WITH caching_sha2_password BY 'auth_string'
  REPLACE 'current_auth_string';
```

The `REPLACE` clause works like this:

- `REPLACE` must be given if password changes for the account are required to specify the current password, as verification that the user attempting to make the change actually knows the current password.
- `REPLACE` is optional if password changes for the account may but need not specify the current password.
- If `REPLACE` is specified, it must specify the correct current password, or an error occurs. This is true even if `REPLACE` is optional.

- `REPLACE` can be specified only when changing the account password for the current user. (This means that in the examples just shown, the statements that explicitly name the account for `jeffrey` fail unless the current user is `jeffrey`.) This is true even if the change is attempted for another user by a privileged user; however, such a user can change any password without specifying `REPLACE`.
- `REPLACE` is omitted from the binary log to avoid writing cleartext passwords to it.

Dual Password Support

As of MySQL 8.0.14, user accounts are permitted to have dual passwords, designated as primary and secondary passwords. Dual-password capability makes it possible to seamlessly perform credential changes in scenarios like this:

- A system has a large number of MySQL servers, possibly involving replication.
- Multiple applications connect to different MySQL servers.
- Periodic credential changes must be made to the account or accounts used by the applications to connect to the servers.

Consider how a credential change must be performed in the preceding type of scenario when an account is permitted only a single password. In this case, there must be close cooperation in the timing of when the account password change is made and propagated throughout all servers, and when all applications that use the account are updated to use the new password. This process may involve downtime during which servers or applications are unavailable.

With dual passwords, credential changes can be made more easily, in phases, without requiring close cooperation, and without downtime:

1. For each affected account, establish a new primary password on the servers, retaining the current password as the secondary password. This enables servers to recognize either the primary or secondary password for each account, while applications can continue to connect to the servers using the same password as previously (which is now the secondary password).
2. After the password change has propagated to all servers, modify applications that use any affected account to connect using the account primary password.
3. After all applications have been migrated from the secondary passwords to the primary passwords, the secondary passwords are no longer needed and can be discarded. After this change has propagated to all servers, only the primary password for each account can be used to connect. The credential change is now complete.

MySQL implements dual-password capability with syntax that saves and discards secondary passwords:

- The `RETAIN CURRENT PASSWORD` clause for the `ALTER USER` and `SET PASSWORD` statements saves an account current password as its secondary password when you assign a new primary password.
- The `DISCARD OLD PASSWORD` clause for `ALTER USER` discards an account secondary password, leaving only the primary password.

Suppose that, for the previously described credential-change scenario, an account named `'appuser1'@'host1.example.com'` is used by applications to connect to servers, and that the account password is to be changed from `'password_a'` to `'password_b'`.

To perform this change of credentials, use `ALTER USER` as follows:

1. On each server that is not a replica, establish `'password_b'` as the new `appuser1` primary password, retaining the current password as the secondary password:

```
ALTER USER 'appuser1'@'host1.example.com'
  IDENTIFIED BY 'password_b'
```

```
  RETAIN CURRENT PASSWORD;
```

2. Wait for the password change to replicate throughout the system to all replicas.
3. Modify each application that uses the `appuser1` account so that it connects to the servers using a password of `'password_b'` rather than `'password_a'`.
4. At this point, the secondary password is no longer needed. On each server that is not a replica, discard the secondary password:

```
ALTER USER 'appuser1'@'host1.example.com'
  DISCARD OLD PASSWORD;
```

5. After the discard-password change has replicated to all replicas, the credential change is complete.

The `RETAIN CURRENT PASSWORD` and `DISCARD OLD PASSWORD` clauses have the following effects:

- `RETAIN CURRENT PASSWORD` retains an account current password as its secondary password, replacing any existing secondary password. The new password becomes the primary password, but clients can use the account to connect to the server using either the primary or secondary password. (Exception: If the new password specified by the `ALTER USER` or `SET PASSWORD` statement is empty, the secondary password becomes empty as well, even if `RETAIN CURRENT PASSWORD` is given.)
- If you specify `RETAIN CURRENT PASSWORD` for an account that has an empty primary password, the statement fails.
- If an account has a secondary password and you change its primary password without specifying `RETAIN CURRENT PASSWORD`, the secondary password remains unchanged.
- For `ALTER USER`, if you change the authentication plugin assigned to the account, the secondary password is discarded. If you change the authentication plugin and also specify `RETAIN CURRENT PASSWORD`, the statement fails.
- For `ALTER USER`, `DISCARD OLD PASSWORD` discards the secondary password, if one exists. The account retains only its primary password, and clients can use the account to connect to the server only with the primary password.

Statements that modify secondary passwords require these privileges:

- The `APPLICATION_PASSWORD_ADMIN` privilege is required to use the `RETAIN CURRENT PASSWORD` or `DISCARD OLD PASSWORD` clause for `ALTER USER` and `SET PASSWORD` statements that apply to your own account. The privilege is required to manipulate your own secondary password because most users require only one password.
- If an account is to be permitted to manipulate secondary passwords for all accounts, it should be granted the `CREATE USER` privilege rather than `APPLICATION_PASSWORD_ADMIN`.

Random Password Generation

As of MySQL 8.0.18, the `CREATE USER`, `ALTER USER`, and `SET PASSWORD` statements have the capability of generating random passwords for user accounts, as an alternative to requiring explicit administrator-specified literal passwords. See the description of each statement for details about the syntax. This section describes the characteristics common to generated random passwords.

By default, generated random passwords have a length of 20 characters. This length is controlled by the `generated_random_password_length` system variable, which has a range from 5 to 255.

For each account for which a statement generates a random password, the statement stores the password in the `mysql.user` system table, hashed appropriately for the account authentication plugin. The statement also returns the cleartext password in a row of a result set to make it available to the user or application executing the statement. The result set columns are named `user`, `host`, `generated password`, and `auth_factor` indicating the user name and host name values that

identify the affected row in the `mysql.user` system table, the cleartext generated password, and the authentication factor the displayed password value applies to.

```
mysql> CREATE USER
       'u1'@'localhost' IDENTIFIED BY RANDOM PASSWORD,
       'u2'@'%.example.com' IDENTIFIED BY RANDOM PASSWORD,
       'u3'@'%.org' IDENTIFIED BY RANDOM PASSWORD;
+------+--------------+----------------------+-------------+
| user | host         | generated password   | auth_factor |
+------+--------------+----------------------+-------------+
| u1   | localhost    | iOeqf>Mh9:;XD&qn(Hl} |           1 |
| u2   | %.example.com | sXTSAEvw3St-R+_-C3Vb |           1 |
| u3   | %.org        | nEVe%Ctw/U/*Md)Exc7& |           1 |
+------+--------------+----------------------+-------------+
mysql> ALTER USER
       'u1'@'localhost' IDENTIFIED BY RANDOM PASSWORD,
       'u2'@'%.example.com' IDENTIFIED BY RANDOM PASSWORD;
+------+--------------+----------------------+-------------+
| user | host         | generated password   | auth_factor |
+------+--------------+----------------------+-------------+
| u1   | localhost    | Seiei:&cw}8]@3OA64vh |           1 |
| u2   | %.example.com | j@&diTX80l8}(NiHXSae |           1 |
+------+--------------+----------------------+-------------+
mysql> SET PASSWORD FOR 'u3'@'%.org' TO RANDOM;
+------+-------+----------------------+-------------+
| user | host  | generated password   | auth_factor |
+------+-------+----------------------+-------------+
| u3   | %.org | n&cz2xF;P3!U)+]Vw52H |           1 |
+------+-------+----------------------+-------------+
```

A `CREATE USER`, `ALTER USER`, or `SET PASSWORD` statement that generates a random password for an account is written to the binary log as a `CREATE USER` or `ALTER USER` statement with an `IDENTIFIED WITH auth_plugin AS 'auth_string'`, clause, where *`auth_plugin`* is the account authentication plugin and *`'auth_string'`* is the account hashed password value.

If the `validate_password` component is installed, the policy that it implements has no effect on generated passwords. (The purpose of password validation is to help humans create better passwords.)

Failed-Login Tracking and Temporary Account Locking

As of MySQL 8.0.19, administrators can configure user accounts such that too many consecutive login failures cause temporary account locking.

“Login failure” in this context means failure of the client to provide a correct password during a connection attempt. It does not include failure to connect for reasons such as unknown user or network issues. For accounts that have dual passwords (see Dual Password Support), either account password counts as correct.

The required number of login failures and the lock time are configurable per account, using the `FAILED_LOGIN_ATTEMPTS` and `PASSWORD_LOCK_TIME` options of the `CREATE USER` and `ALTER USER` statements. Examples:

```
CREATE USER 'u1'@'localhost' IDENTIFIED BY 'password'
  FAILED_LOGIN_ATTEMPTS 3 PASSWORD_LOCK_TIME 3;
ALTER USER 'u2'@'localhost'
  FAILED_LOGIN_ATTEMPTS 4 PASSWORD_LOCK_TIME UNBOUNDED;
```

When too many consecutive login failures occur, the client receives an error that looks like this:

```
ERROR 3957 (HY000): Access denied for user user.
Account is blocked for D day(s) (R day(s) remaining)
due to N consecutive failed logins.
```

Use the options as follows:

- `FAILED_LOGIN_ATTEMPTS N`

This option indicates whether to track account login attempts that specify an incorrect password. The number *N* specifies how many consecutive incorrect passwords cause temporary account locking.

- `PASSWORD_LOCK_TIME {N | UNBOUNDED}`

  This option indicates how long to lock the account after too many consecutive login attempts provide an incorrect password. The value is a number *N* to specify the number of days the account remains locked, or `UNBOUNDED` to specify that when an account enters the temporarily locked state, the duration of that state is unbounded and does not end until the account is unlocked. The conditions under which unlocking occurs are described later.

Permitted values of *N* for each option are in the range from 0 to 32767. A value of 0 disables the option.

Failed-login tracking and temporary account locking have these characteristics:

- For failed-login tracking and temporary locking to occur for an account, its `FAILED_LOGIN_ATTEMPTS` and `PASSWORD_LOCK_TIME` options both must be nonzero.
- For `CREATE USER`, if `FAILED_LOGIN_ATTEMPTS` or `PASSWORD_LOCK_TIME` is not specified, its implicit default value is 0 for all accounts named by the statement. This means that failed-login tracking and temporary account locking are disabled. (These implicit defaults also apply to accounts created prior to the introduction of failed-login tracking.)
- For `ALTER USER`, if `FAILED_LOGIN_ATTEMPTS` or `PASSWORD_LOCK_TIME` is not specified, its value remains unchanged for all accounts named by the statement.
- For temporary account locking to occur, password failures must be consecutive. Any successful login that occurs prior to reaching the `FAILED_LOGIN_ATTEMPTS` value for failed logins causes failure counting to reset. For example, if `FAILED_LOGIN_ATTEMPTS` is 4 and three consecutive password failures have occurred, one more failure is necessary for locking to begin. But if the next login succeeds, failed-login counting for the account is reset so that four consecutive failures are again required for locking.
- Once temporary locking begins, successful login cannot occur even with the correct password until either the lock duration has passed or the account is unlocked by one of the account-reset methods listed in the following discussion.

When the server reads the grant tables, it initializes state information for each account regarding whether failed-login tracking is enabled, whether the account is currently temporarily locked and when locking began if so, and the number of failures before temporary locking occurs if the account is not locked.

An account's state information can be reset, which means that failed-login counting is reset, and the account is unlocked if currently temporarily locked. Account resets can be global for all accounts or per account:

- A global reset of all accounts occurs for any of these conditions:
  - A server restart.
  - Execution of `FLUSH PRIVILEGES`. (Starting the server with `--skip-grant-tables` causes the grant tables not to be read, which disables failed-login tracking. In this case, the first execution of `FLUSH PRIVILEGES` causes the server to read the grant tables and enable failed-login tracking, in addition to resetting all accounts.)
- A per-account reset occurs for any of these conditions:
  - Successful login for the account.
  - The lock duration passes. In this case, failed-login counting resets at the time of the next login attempt.

- Execution of an `ALTER USER` statement for the account that sets either `FAILED_LOGIN_ATTEMPTS` or `PASSWORD_LOCK_TIME` (or both) to any value (including the current option value), or execution of an `ALTER USER ... UNLOCK` statement for the account.

  Other `ALTER USER` statements for the account have no effect on its current failed-login count or its locking state.

Failed-login tracking is tied to the login account that is used to check credentials. If user proxying is in use, tracking occurs for the proxy user, not the proxied user. That is, tracking is tied to the account indicated by `USER()`, not the account indicated by `CURRENT_USER()`. For information about the distinction between proxy and proxied users, see Section 4.19, “Proxy Users”.

4.16 Server Handling of Expired Passwords

MySQL provides password-expiration capability, which enables database administrators to require that users reset their password. Passwords can be expired manually, and on the basis of a policy for automatic expiration (see Section 4.15, “Password Management”).

The `ALTER USER` statement enables account password expiration. For example:

```
ALTER USER 'myuser'@'localhost' PASSWORD EXPIRE;
```

For each connection that uses an account with an expired password, the server either disconnects the client or restricts the client to “sandbox mode,” in which the server permits the client to perform only those operations necessary to reset the expired password. Which action is taken by the server depends on both client and server settings, as discussed later.

If the server disconnects the client, it returns an `ER_MUST_CHANGE_PASSWORD_LOGIN` error:

```
$> mysql -u myuser -p
Password: ******
ERROR 1862 (HY000): Your password has expired. To log in you must
change it using a client that supports expired passwords.
```

If the server restricts the client to sandbox mode, these operations are permitted within the client session:

- The client can reset the account password with `ALTER USER` or `SET PASSWORD`. After that has been done, the server restores normal access for the session, as well as for subsequent connections that use the account.

  > **Note**
  >
  > Although it is possible to “reset” an expired password by setting it to its current value, it is preferable, as a matter of good policy, to choose a different password. DBAs can enforce non-reuse by establishing an appropriate password-reuse policy. See Password Reuse Policy.

- Prior to MySQL 8.0.27, the client can use the `SET` statement. As of MySQL 8.0.27, this is no longer permitted.

For any operation not permitted within the session, the server returns an `ER_MUST_CHANGE_PASSWORD` error:

```
mysql> USE performance_schema;
ERROR 1820 (HY000): You must reset your password using ALTER USER
statement before executing this statement.
mysql> SELECT 1;
ERROR 1820 (HY000): You must reset your password using ALTER USER
statement before executing this statement.
```

That is what normally happens for interactive invocations of the `mysql` client because by default such invocations are put in sandbox mode. To resume normal functioning, select a new password.

For noninteractive invocations of the `mysql` client (for example, in batch mode), the server normally disconnects the client if the password is expired. To permit noninteractive `mysql` invocations to stay connected so that the password can be changed (using the statements permitted in sandbox mode), add the `--connect-expired-password` option to the `mysql` command.

As mentioned previously, whether the server disconnects an expired-password client or restricts it to sandbox mode depends on a combination of client and server settings. The following discussion describes the relevant settings and how they interact.

> **Note**
>
> This discussion applies only for accounts with expired passwords. If a client connects using a nonexpired password, the server handles the client normally.

On the client side, a given client indicates whether it can handle sandbox mode for expired passwords. For clients that use the C client library, there are two ways to do this:

- Pass the `MYSQL_OPT_CAN_HANDLE_EXPIRED_PASSWORDS` flag to `mysql_options()` prior to connecting:

```
bool arg = 1;
mysql_options(mysql,
              MYSQL_OPT_CAN_HANDLE_EXPIRED_PASSWORDS,
              &arg);
```

  This is the technique used within the `mysql` client, which enables `MYSQL_OPT_CAN_HANDLE_EXPIRED_PASSWORDS` if invoked interactively or with the `--connect-expired-password` option.

- Pass the `CLIENT_CAN_HANDLE_EXPIRED_PASSWORDS` flag to `mysql_real_connect()` at connect time:

```
MYSQL mysql;
mysql_init(&mysql);
if (!mysql_real_connect(&mysql,
                        host, user, password, db,
                        port, unix_socket,
                        CLIENT_CAN_HANDLE_EXPIRED_PASSWORDS))
{
  ... handle error ...
}
```

Other MySQL Connectors have their own conventions for indicating readiness to handle sandbox mode. See the documentation for the Connector in which you are interested.

On the server side, if a client indicates that it can handle expired passwords, the server puts it in sandbox mode.

If a client does not indicate that it can handle expired passwords (or uses an older version of the client library that cannot so indicate), the server action depends on the value of the `disconnect_on_expired_password` system variable:

- If `disconnect_on_expired_password` is enabled (the default), the server disconnects the client with an `ER_MUST_CHANGE_PASSWORD_LOGIN` error.
- If `disconnect_on_expired_password` is disabled, the server puts the client in sandbox mode.

4.17 Pluggable Authentication

When a client connects to the MySQL server, the server uses the user name provided by the client and the client host to select the appropriate account row from the `mysql.user` system table. The server then authenticates the client, determining from the account row which authentication plugin applies to the client:

- If the server cannot find the plugin, an error occurs and the connection attempt is rejected.
- Otherwise, the server invokes that plugin to authenticate the user, and the plugin returns a status to the server indicating whether the user provided the correct password and is permitted to connect.

Pluggable authentication enables these important capabilities:

- **Choice of authentication methods.** Pluggable authentication makes it easy for DBAs to choose and change the authentication method used for individual MySQL accounts.
- **External authentication.** Pluggable authentication makes it possible for clients to connect to the MySQL server with credentials appropriate for authentication methods that store credentials elsewhere than in the `mysql.user` system table. For example, plugins can be created to use external authentication methods such as PAM, Windows login IDs, LDAP, or Kerberos.
- **Proxy users:** If a user is permitted to connect, an authentication plugin can return to the server a user name different from the name of the connecting user, to indicate that the connecting user is a proxy for another user (the proxied user). While the connection lasts, the proxy user is treated, for purposes of access control, as having the privileges of the proxied user. In effect, one user impersonates another. For more information, see Section 4.19, “Proxy Users”.

  > **Note**
  >
  > If you start the server with the `--skip-grant-tables` option, authentication plugins are not used even if loaded because the server performs no client authentication and permits any client to connect. Because this is insecure, if the server is started with the `--skip-grant-tables` option, it also disables remote connections by enabling `skip_networking`.

- Available Authentication Plugins
- The Default Authentication Plugin
- Authentication Plugin Usage
- Authentication Plugin Client/Server Compatibility
- Authentication Plugin Connector-Writing Considerations
- Restrictions on Pluggable Authentication

Available Authentication Plugins

MySQL 8.0 provides these authentication plugins:

- A plugin that performs native authentication; that is, authentication based on the password hashing method in use from before the introduction of pluggable authentication in MySQL. The `mysql_native_password` plugin implements authentication based on this native password hashing method. See Section 6.1.1, “Native Pluggable Authentication”.

  > **Note**
  >
  > As of MySQL 8.0.34, the `mysql_native_password` authentication plugin is deprecated and subject to removal in a future version of MySQL.

- Plugins that perform authentication using SHA-256 password hashing. This is stronger encryption than that available with native authentication. See Section 6.1.2, “Caching SHA-2 Pluggable Authentication”, and Section 6.1.3, “SHA-256 Pluggable Authentication”.
- A client-side plugin that sends the password to the server without hashing or encryption. This plugin is used in conjunction with server-side plugins that require access to the password exactly as provided by the client user. See Section 6.1.4, “Client-Side Cleartext Pluggable Authentication”.

- A plugin that performs external authentication using PAM (Pluggable Authentication Modules), enabling MySQL Server to use PAM to authenticate MySQL users. This plugin supports proxy users as well. See Section 6.1.5, “PAM Pluggable Authentication”.
- A plugin that performs external authentication on Windows, enabling MySQL Server to use native Windows services to authenticate client connections. Users who have logged in to Windows can connect from MySQL client programs to the server based on the information in their environment without specifying an additional password. This plugin supports proxy users as well. See Section 6.1.6, “Windows Pluggable Authentication”.
- Plugins that perform authentication using LDAP (Lightweight Directory Access Protocol) to authenticate MySQL users by accessing directory services such as X.500. These plugins support proxy users as well. See Section 6.1.7, “LDAP Pluggable Authentication”.
- A plugin that performs authentication using Kerberos to authenticate MySQL users that correspond to Kerberos principals. See Section 6.1.8, “Kerberos Pluggable Authentication”.
- A plugin that prevents all client connections to any account that uses it. Use cases for this plugin include proxied accounts that should never permit direct login but are accessed only through proxy accounts and accounts that must be able to execute stored programs and views with elevated privileges without exposing those privileges to ordinary users. See Section 6.1.9, “No-Login Pluggable Authentication”.
- A plugin that authenticates clients that connect from the local host through the Unix socket file. See Section 6.1.10, “Socket Peer-Credential Pluggable Authentication”.
- A plugin that authenticates users to MySQL Server using FIDO authentication. See Section 6.1.11, “FIDO Pluggable Authentication”.

  > **Note**
  >
  > As of MySQL 8.0.35, the `authentication_fido` and `authentication_fido_client` authentication plugins are deprecated and subject to removal in a future version of MySQL.

- A test plugin that checks account credentials and logs success or failure to the server error log. This plugin is intended for testing and development purposes, and as an example of how to write an authentication plugin. See Section 6.1.12, “Test Pluggable Authentication”.

  > **Note**
  >
  > For information about current restrictions on the use of pluggable authentication, including which connectors support which plugins, see Restrictions on Pluggable Authentication.
  >
  > Third-party connector developers should read that section to determine the extent to which a connector can take advantage of pluggable authentication capabilities and what steps to take to become more compliant.

If you are interested in writing your own authentication plugins, see Writing Authentication Plugins.

The Default Authentication Plugin

The `CREATE USER` and `ALTER USER` statements have syntax for specifying how an account authenticates. Some forms of this syntax do not explicitly name an authentication plugin (there is no `IDENTIFIED WITH` clause). For example:

```
CREATE USER 'jeffrey'@'localhost' IDENTIFIED BY 'password';
```

In such cases, the server assigns the default authentication plugin to the account. Prior to MySQL 8.0.27, this default is the value of the `default_authentication_plugin` system variable.

As of MySQL 8.0.27, which introduces multifactor authentication, there can be up to three clauses that specify how an account authenticates. The rules that determine the default authentication plugin for authentication methods that name no plugin are factor-specific:

- Factor 1: If `authentication_policy` element 1 names an authentication plugin, that plugin is the default. If `authentication_policy` element 1 is `*`, the value of `default_authentication_plugin` is the default.

  Given the rules above, the following statement creates a two-factor authentication account, with the first factor authentication method determined by the `authentication_policy` or `default_authentication_plugin` setting:

  ```
  CREATE USER 'wei'@'localhost' IDENTIFIED BY 'password'
    AND IDENTIFIED WITH authentication_ldap_simple;
  ```

  In the same way, this example creates a three-factor authentication account:

  ```
  CREATE USER 'mateo'@'localhost' IDENTIFIED BY 'password'
    AND IDENTIFIED WITH authentication_ldap_simple
    AND IDENTIFIED WITH authentication_fido;
  ```

  You can use `SHOW CREATE USER` to view the applied authentication methods.

- Factor 2 or 3: If the corresponding `authentication_policy` element names an authentication plugin, that plugin is the default. If the `authentication_policy` element is `*` or empty, there is no default; attempting to define an account authentication method for the factor without naming a plugin is an error, as in the following examples:

  ```
  mysql> CREATE USER 'sofia'@'localhost' IDENTIFIED WITH authentication_ldap_simple
         AND IDENTIFIED BY 'abc';
  ERROR 1524 (HY000): Plugin '' is not loaded
  mysql> CREATE USER 'sofia'@'localhost' IDENTIFIED WITH authentication_ldap_simple
         AND IDENTIFIED BY 'abc';
  ERROR 1524 (HY000): Plugin '*' is not loaded
  ```

Authentication Plugin Usage

This section provides general instructions for installing and using authentication plugins. For instructions specific to a given plugin, see the section that describes that plugin under Section 6.1, “Authentication Plugins”.

In general, pluggable authentication uses a pair of corresponding plugins on the server and client sides, so you use a given authentication method like this:

- If necessary, install the plugin library or libraries containing the appropriate plugins. On the server host, install the library containing the server-side plugin, so that the server can use it to authenticate client connections. Similarly, on each client host, install the library containing the client-side plugin for use by client programs. Authentication plugins that are built in need not be installed.

- For each MySQL account that you create, specify the appropriate server-side plugin to use for authentication. If the account is to use the default authentication plugin, the account-creation statement need not specify the plugin explicitly. The server assigns the default authentication plugin, determined as described in The Default Authentication Plugin.

- When a client connects, the server-side plugin tells the client program which client-side plugin to use for authentication.

In the case that an account uses an authentication method that is the default for both the server and the client program, the server need not communicate to the client which client-side plugin to use, and a round trip in client/server negotiation can be avoided.

For standard MySQL clients such as `mysql` and `mysqladmin`, the `--default-auth=plugin_name` option can be specified on the command line as a hint about which client-side plugin the program can

expect to use, although the server overrides this if the server-side plugin associated with the user account requires a different client-side plugin.

If the client program does not find the client-side plugin library file, specify a `--plugin-dir=dir_name` option to indicate the plugin library directory location.

Authentication Plugin Client/Server Compatibility

Pluggable authentication enables flexibility in the choice of authentication methods for MySQL accounts, but in some cases client connections cannot be established due to authentication plugin incompatibility between the client and server.

The general compatibility principle for a successful client connection to a given account on a given server is that the client and server both must support the authentication *method* required by the account. Because authentication methods are implemented by authentication plugins, the client and server both must support the authentication *plugin* required by the account.

Authentication plugin incompatibilities can arise in various ways. Examples:

- Connect using a MySQL 5.7 client from 5.7.22 or lower to a MySQL 8.0 server account that authenticates with `caching_sha2_password`. This fails because the 5.7 client does not recognize the plugin, which was introduced in MySQL 8.0. (This issue is addressed in MySQL 5.7 as of 5.7.23, when `caching_sha2_password` client-side support was added to the MySQL client library and client programs.)
- Connect using a MySQL 5.7 client to a pre-5.7 server account that authenticates with `mysql_old_password`. This fails for multiple reasons. First, such a connection requires `--secure-auth=0`, which is no longer a supported option. Even were it supported, the 5.7 client does not recognize the plugin because it was removed in MySQL 5.7.
- Connect using a MySQL 5.7 client from a Community distribution to a MySQL 5.7 Enterprise server account that authenticates using one of the Enterprise-only LDAP authentication plugins. This fails because the Community client does not have access to the Enterprise plugin.

In general, these compatibility issues do not arise when connections are made between a client and server from the same MySQL distribution. When connections are made between a client and server from different MySQL series, issues can arise. These issues are inherent in the development process when MySQL introduces new authentication plugins or removes old ones. To minimize the potential for incompatibilities, regularly upgrade the server, clients, and connectors on a timely basis.

Authentication Plugin Connector-Writing Considerations

Various implementations of the MySQL client/server protocol exist. The `libmysqlclient` C API client library is one implementation. Some MySQL connectors (typically those not written in C) provide their own implementation. However, not all protocol implementations handle plugin authentication the same way. This section describes an authentication issue that protocol implementors should take into account.

In the client/server protocol, the server tells connecting clients which authentication plugin it considers the default. If the protocol implementation used by the client tries to load the default plugin and that plugin does not exist on the client side, the load operation fails. This is an unnecessary failure if the default plugin is not the plugin actually required by the account to which the client is trying to connect.

If a client/server protocol implementation does not have its own notion of default authentication plugin and always tries to load the default plugin specified by the server, it fails with an error if that plugin is not available.

To avoid this problem, the protocol implementation used by the client should have its own default plugin and should use it as its first choice (or, alternatively, fall back to this default in case of failure to load the default plugin specified by the server). Example:

- In MySQL 5.7, `libmysqlclient` uses as its default choice either `mysql_native_password` or the plugin specified through the `MYSQL_DEFAULT_AUTH` option for `mysql_options()`.
- When a 5.7 client tries to connect to an 8.0 server, the server specifies `caching_sha2_password` as its default authentication plugin, but the client still sends credential details per either `mysql_native_password` or whatever is specified through `MYSQL_DEFAULT_AUTH`.
- The only time the client loads the plugin specified by the server is for a change-plugin request, but in that case it can be any plugin depending on the user account. In this case, the client must try to load the plugin, and if that plugin is not available, an error is not optional.

Restrictions on Pluggable Authentication

The first part of this section describes general restrictions on the applicability of the pluggable authentication framework described at Section 4.17, “Pluggable Authentication”. The second part describes how third-party connector developers can determine the extent to which a connector can take advantage of pluggable authentication capabilities and what steps to take to become more compliant.

The term “native authentication” used here refers to authentication against passwords stored in the `mysql.user` system table. This is the same authentication method provided by older MySQL servers, before pluggable authentication was implemented. “Windows native authentication” refers to authentication using the credentials of a user who has already logged in to Windows, as implemented by the Windows Native Authentication plugin (“Windows plugin” for short).

- General Pluggable Authentication Restrictions
- Pluggable Authentication and Third-Party Connectors

General Pluggable Authentication Restrictions

- **Connector/C++:** Clients that use this connector can connect to the server only through accounts that use native authentication.

  Exception: A connector supports pluggable authentication if it was built to link to `libmysqlclient` dynamically (rather than statically) and it loads the current version of `libmysqlclient` if that version is installed, or if the connector is recompiled from source to link against the current `libmysqlclient`.

  For information about writing connectors to handle information from the server about the default server-side authentication plugin, see Authentication Plugin Connector-Writing Considerations.

- **Connector/NET:** Clients that use Connector/NET can connect to the server through accounts that use native authentication or Windows native authentication.
- **Connector/PHP:** Clients that use this connector can connect to the server only through accounts that use native authentication, when compiled using the MySQL native driver for PHP (`mysqlnd`).
- **Windows native authentication:** Connecting through an account that uses the Windows plugin requires Windows Domain setup. Without it, NTLM authentication is used and then only local connections are possible; that is, the client and server must run on the same computer.
- **Proxy users:** Proxy user support is available to the extent that clients can connect through accounts authenticated with plugins that implement proxy user capability (that is, plugins that can return a user name different from that of the connecting user). For example, the PAM and Windows plugins support proxy users. The `mysql_native_password` and `sha256_password` authentication plugins do not support proxy users by default, but can be configured to do so; see Server Support for Proxy User Mapping.
- **Replication**: Replicas can not only employ replication user accounts using native authentication, but can also connect through replication user accounts that use nonnative authentication if the required

client-side plugin is available. If the plugin is built into `libmysqlclient`, it is available by default. Otherwise, the plugin must be installed on the replica side in the directory named by the replica's `plugin_dir` system variable.

- **`FEDERATED` tables:** A `FEDERATED` table can access the remote table only through accounts on the remote server that use native authentication.

Pluggable Authentication and Third-Party Connectors

Third-party connector developers can use the following guidelines to determine readiness of a connector to take advantage of pluggable authentication capabilities and what steps to take to become more compliant:

- An existing connector to which no changes have been made uses native authentication and clients that use the connector can connect to the server only through accounts that use native authentication. *However, you should test the connector against a recent version of the server to verify that such connections still work without problem.*

  Exception: A connector might work with pluggable authentication without any changes if it links to `libmysqlclient` dynamically (rather than statically) and it loads the current version of `libmysqlclient` if that version is installed.

- To take advantage of pluggable authentication capabilities, a connector that is `libmysqlclient`-based should be relinked against the current version of `libmysqlclient`. This enables the connector to support connections though accounts that require client-side plugins now built into `libmysqlclient` (such as the cleartext plugin needed for PAM authentication and the Windows plugin needed for Windows native authentication). Linking with a current `libmysqlclient` also enables the connector to access client-side plugins installed in the default MySQL plugin directory (typically the directory named by the default value of the local server's `plugin_dir` system variable).

  If a connector links to `libmysqlclient` dynamically, it must be ensured that the newer version of `libmysqlclient` is installed on the client host and that the connector loads it at runtime.

- Another way for a connector to support a given authentication method is to implement it directly in the client/server protocol. Connector/NET uses this approach to provide support for Windows native authentication.

- If a connector should be able to load client-side plugins from a directory different from the default plugin directory, it must implement some means for client users to specify the directory. Possibilities for this include a command-line option or environment variable from which the connector can obtain the directory name. Standard MySQL client programs such as `mysql` and `mysqladmin` implement a `--plugin-dir` option. See also C API Client Plugin Interface.

- Proxy user support by a connector depends, as described earlier in this section, on whether the authentication methods that it supports permit proxy users.

4.18 Multifactor Authentication

Authentication involves one party establishing its identity to the satisfaction of a second party. Multifactor authentication (MFA) is the use of multiple authentication values (or “factors”) during the authentication process. MFA provides greater security than one-factor/single-factor authentication (1FA/SFA), which uses only one authentication method such as a password. MFA enables additional authentication methods, such as authentication using multiple passwords, or authentication using devices like smart cards, security keys, and biometric readers.

MySQL 8.0.27 and higher includes support for multifactor authentication. This capability includes forms of MFA that require up to three authentication values. That is, MySQL account management supports accounts that use 2FA or 3FA, in addition to the existing 1FA support.

When a client attempts a connection to the MySQL server using a single-factor account, the server invokes the authentication plugin indicated by the account definition and accepts or rejects the connection depending on whether the plugin reports success or failure.

For an account that has multiple authentication factors, the process is similar. The server invokes authentication plugins in the order listed in the account definition. If a plugin reports success, the server either accepts the connection if the plugin is the last one, or proceeds to invoke the next plugin if any remain. If any plugin reports failure, the server rejects the connection.

The following sections cover multifactor authentication in MySQL in more detail.

- Elements of Multifactor Authentication Support
- Configuring the Multifactor Authentication Policy
- Getting Started with Multifactor Authentication

Elements of Multifactor Authentication Support

Authentication factors commonly include these types of information:

- Something you know, such as a secret password or passphrase.
- Something you have, such as a security key or smart card.
- Something you are; that is, a biometric characteristic such as a fingerprint or facial scan.

The “something you know” factor type relies on information that is kept secret on both sides of the authentication process. Unfortunately, secrets may be subject to compromise: Someone might see you enter your password or fool you with a phishing attack, a password stored on the server side might be exposed by a security breach, and so forth. Security can be improved by using multiple passwords, but each may still be subject to compromise. Use of the other factor types enables improved security with less risk of compromise.

Implementation of multifactor authentication in MySQL comprises these elements:

- The `authentication_policy` system variable controls how many authentication factors can be used and the types of authentication permitted for each factor. That is, it places constraints on `CREATE USER` and `ALTER USER` statements with respect to multifactor authentication.
- `CREATE USER` and `ALTER USER` have syntax enabling multiple authentication methods to be specified for new accounts, and for adding, modifying, or dropping authentication methods for existing accounts. If an account uses 2FA or 3FA, the `mysql.user` system table stores information about the additional authentication factors in the `User_attributes` column.
- To enable authentication to the MySQL server using accounts that require multiple passwords, client programs have `--password1`, `--password2`, and `--password3` options that permit up to three passwords to be specified. For applications that use the C API, the `MYSQL_OPT_USER_PASSWORD` option for the `mysql_options4()` C API function enables the same capability.
- The server-side `authentication_fido` plugin (deprecated) enables authentication using devices. This server-side FIDO authentication plugin is included only in MySQL Enterprise Edition distributions. It is not included in MySQL community distributions. However, the client-side `authentication_fido_client` plugin (deprecated) is included in all distributions, including community distributions. This enables clients from any distribution to connect to accounts that use `authentication_fido` to authenticate on a server that has that plugin loaded. See Section 6.1.11, “FIDO Pluggable Authentication”.
- `authentication_fido` also enables passwordless authentication, if it is the only authentication plugin used by an account. See FIDO Passwordless Authentication.

- Multifactor authentication can use non-FIDO MySQL authentication methods, the FIDO authentication method, or a combination of both.
- These privileges enable users to perform certain restricted multifactor authentication-related operations:
  - A user who has the `AUTHENTICATION_POLICY_ADMIN` privilege is not subject to the constraints imposed by the `authentication_policy` system variable. (A warning does occur for statements that otherwise would not be permitted.)
  - The `PASSWORDLESS_USER_ADMIN` privilege enables creation of passwordless-authentication accounts and replication of operations on them.

Configuring the Multifactor Authentication Policy

The `authentication_policy` system variable defines the multifactor authentication policy. Specifically, it defines how many authentication factors accounts may have (or are required to have) and the authentication methods that can be used for each factor.

The value of `authentication_policy` is a list of 1, 2, or 3 comma-separated elements. Each element in the list corresponds to an authentication factor and can be an authentication plugin name, an asterisk (`*`), empty, or missing. (Exception: Element 1 cannot be empty or missing.) The entire list is enclosed in single quotes. For example, the following `authentication_policy` value includes an asterisk, an authentication plugin name, and an empty element:

```
authentication_policy = '*,authentication_fido,'
```

An asterisk (`*`) indicates that an authentication method is required but any method is permitted. An empty element indicates that an authentication method is optional and any method is permitted. A missing element (no asterisk, empty element, or authentication plugin name) indicates that an authentication method is not permitted. When a plugin name is specified, that authentication method is required for the respective factor when creating or modifying an account.

The default `authentication_policy` value is `'*,,'` (an asterisk and two empty elements), which requires a first factor, and optionally permits second and third factors. The default `authentication_policy` value is thus backward compatible with existing 1FA accounts, but also permits creation or modification of accounts to use 2FA or 3FA.

A user who has the `AUTHENTICATION_POLICY_ADMIN` privilege is not subject to the constraints imposed by the `authentication_policy` setting. (A warning occurs for statements that otherwise would not be permitted.)

`authentication_policy` values can be defined in an option file or specified using a `SET GLOBAL` statement:

```
SET GLOBAL authentication_policy='*,*,';
```

There are several rules that govern how the `authentication_policy` value can be defined. Refer to the `authentication_policy` system variable description for a compete account of those rules. The following table provides several `authentication_policy` example values and the policy established by each.

Table 4.10 Example authentication_policy Values

authentication_policy Value	Effective Policy
`'*'`	Permit only creating or altering accounts with one factor.
`'*,*'`	Permit only creating or altering accounts with two factors.

authentication_policy Value	Effective Policy
`'*,*,*'`	Permit only creating or altering accounts with three factors.
`'*,'`	Permit creating or altering accounts with one or two factors.
`'*,,'`	Permit creating or altering accounts with one, two, or three factors.
`'*,*,'`	Permit creating or altering accounts with two or three factors.
`'*,auth_plugin'`	Permit creating or altering accounts with two factors, where the first factor can be any authentication method, and the second factor must be the named plugin.
`'auth_plugin,*,'`	Permit creating or altering accounts with two or three factors, where the first factor must be the named plugin.
`'auth_plugin,'`	Permit creating or altering accounts with one or two factors, where the first factor must be the named plugin.
`'auth_plugin,auth_plugin,auth_plugin'`	Permits creating or altering accounts with three factors, where the factors must use the named plugins.

Getting Started with Multifactor Authentication

By default, MySQL uses a multifactor authentication policy that permits any authentication plugin for the first factor, and optionally permits second and third authentication factors. This policy is configurable; for details, see Configuring the Multifactor Authentication Policy.

> **Note**
>
> It is not permitted to use any internal credential storage plugins (`caching_sha2_password` or `mysql_native_password`) for factor 2 or 3.

Suppose that you want an account to authenticate first using the `caching_sha2_password` plugin, then using the `authentication_ldap_sasl` SASL LDAP plugin. (This assumes that LDAP authentication is already set up as described in Section 6.1.7, “LDAP Pluggable Authentication”, and that the user has an entry in the LDAP directory corresponding to the authentication string shown in the example.) Create the account using a statement like this:

```
CREATE USER 'alice'@'localhost'
  IDENTIFIED WITH caching_sha2_password
    BY 'sha2_password'
  AND IDENTIFIED WITH authentication_ldap_sasl
    AS 'uid=u1_ldap,ou=People,dc=example,dc=com';
```

To connect, the user must supply two passwords. To enable authentication to the MySQL server using accounts that require multiple passwords, client programs have `--password1`, `--password2`, and `--password3` options that permit up to three passwords to be specified. These options are similar to the `--password` option in that they can take a password value following the option on the command line (which is insecure) or if given without a password value cause the user to be prompted for one. For the account just created, factors 1 and 2 take passwords, so invoke the `mysql` client with the `--password1` and `--password2` options. `mysql` prompts for each password in turn:

```
$> mysql --user=alice --password1 --password2
Enter password: (enter factor 1 password)
Enter password: (enter factor 2 password)
```

Suppose you want to add a third authentication factor. This can be achieved by dropping and recreating the user with a third factor or by using `ALTER USER user ADD factor` syntax. Both methods are shown below:

```
DROP USER 'alice'@'localhost';

CREATE USER 'alice'@'localhost'
  IDENTIFIED WITH caching_sha2_password
    BY 'sha2_password'
  AND IDENTIFIED WITH authentication_ldap_sasl
    AS 'uid=u1_ldap,ou=People,dc=example,dc=com'
  AND IDENTIFIED WITH authentication_fido;
```

`ADD factor` syntax includes the factor number and `FACTOR` keyword:

```
ALTER USER 'alice'@'localhost' ADD 3 FACTOR IDENTIFIED WITH authentication_fido;
```

`ALTER USER user DROP factor` syntax permits dropping a factor. The following example drops the third factor (`authentication_fido`) that was added in the previous example:

```
ALTER USER 'alice'@'localhost' DROP 3 FACTOR;
```

`ALTER USER user MODIFY factor` syntax permits changing the plugin or authentication string for a particular factor, provided that the factor exists. The following example modifies the second factor, changing the authentication method from `authentication_ldap_sasl` to `authetication_fido`:

```
ALTER USER 'alice'@'localhost' MODIFY 2 FACTOR IDENTIFIED WITH authentication_fido;
```

Use `SHOW CREATE USER` to view the authentication methods defined for an account:

```
SHOW CREATE USER 'u1'@'localhost'\G
*************************** 1. row ***************************
CREATE USER for u1@localhost: CREATE USER `u1`@`localhost`
IDENTIFIED WITH 'caching_sha2_password' AS 'sha2_password'
AND IDENTIFIED WITH 'authentication_fido' REQUIRE NONE
PASSWORD EXPIRE DEFAULT ACCOUNT UNLOCK PASSWORD HISTORY
DEFAULT PASSWORD REUSE INTERVAL DEFAULT PASSWORD REQUIRE
CURRENT DEFAULT
```

4.19 Proxy Users

The MySQL server authenticates client connections using authentication plugins. The plugin that authenticates a given connection may request that the connecting (external) user be treated as a different user for privilege-checking purposes. This enables the external user to be a proxy for the second user; that is, to assume the privileges of the second user:

- The external user is a “proxy user” (a user who can impersonate or become known as another user).
- The second user is a “proxied user” (a user whose identity and privileges can be assumed by a proxy user).

This section describes how the proxy user capability works. For general information about authentication plugins, see Section 4.17, “Pluggable Authentication”. For information about specific plugins, see Section 6.1, “Authentication Plugins”. For information about writing authentication plugins that support proxy users, see Implementing Proxy User Support in Authentication Plugins.

- Requirements for Proxy User Support
- Simple Proxy User Example
- Preventing Direct Login to Proxied Accounts
- Granting and Revoking the PROXY Privilege
- Default Proxy Users

- Default Proxy User and Anonymous User Conflicts
- Server Support for Proxy User Mapping
- Proxy User System Variables

> **Note**
>
> One administrative benefit to be gained by proxying is that the DBA can set up a single account with a set of privileges and then enable multiple proxy users to have those privileges without having to assign the privileges individually to each of those users. As an alternative to proxy users, DBAs may find that roles provide a suitable way to map users onto specific sets of named privileges. Each user can be granted a given single role to, in effect, be granted the appropriate set of privileges. See Section 4.10, “Using Roles”.

Requirements for Proxy User Support

For proxying to occur for a given authentication plugin, these conditions must be satisfied:

- Proxying must be supported, either by the plugin itself, or by the MySQL server on behalf of the plugin. In the latter case, server support may need to be enabled explicitly; see Server Support for Proxy User Mapping.
- The account for the external proxy user must be set up to be authenticated by the plugin. Use the `CREATE USER` statement to associate an account with an authentication plugin, or `ALTER USER` to change its plugin.
- The account for the proxied user must exist and be granted the privileges to be assumed by the proxy user. Use the `CREATE USER` and `GRANT` statements for this.
- Normally, the proxied user is configured so that it can be used only in proxying scenarios and not for direct logins.
- The proxy user account must have the `PROXY` privilege for the proxied account. Use the `GRANT` statement for this.
- For a client connecting to the proxy account to be treated as a proxy user, the authentication plugin must return a user name different from the client user name, to indicate the user name of the proxied account that defines the privileges to be assumed by the proxy user.

  Alternatively, for plugins that are provided proxy mapping by the server, the proxied user is determined from the `PROXY` privilege held by the proxy user.

The proxy mechanism permits mapping only the external client user name to the proxied user name. There is no provision for mapping host names:

- When a client connects to the server, the server determines the proper account based on the user name passed by the client program and the host from which the client connects.
- If that account is a proxy account, the server attempts to determine the appropriate proxied account by finding a match for a proxied account using the user name returned by the authentication plugin and the host name of the proxy account. The host name in the proxied account is ignored.

Simple Proxy User Example

Consider the following account definitions:

```
-- create proxy account
CREATE USER 'employee_ext'@'localhost'
  IDENTIFIED WITH my_auth_plugin
  AS 'my_auth_string';
-- create proxied account and grant its privileges;
```

```
-- use mysql_no_login plugin to prevent direct login
CREATE USER 'employee'@'localhost'
  IDENTIFIED WITH mysql_no_login;
GRANT ALL
  ON employees.*
  TO 'employee'@'localhost';
-- grant to proxy account the
-- PROXY privilege for proxied account
GRANT PROXY
  ON 'employee'@'localhost'
  TO 'employee_ext'@'localhost';
```

When a client connects as `employee_ext` from the local host, MySQL uses the plugin named `my_auth_plugin` to perform authentication. Suppose that `my_auth_plugin` returns a user name of `employee` to the server, based on the content of `'my_auth_string'` and perhaps by consulting some external authentication system. The name `employee` differs from `employee_ext`, so returning `employee` serves as a request to the server to treat the `employee_ext` external user, for purposes of privilege checking, as the `employee` local user.

In this case, `employee_ext` is the proxy user and `employee` is the proxied user.

The server verifies that proxy authentication for `employee` is possible for the `employee_ext` user by checking whether `employee_ext` (the proxy user) has the `PROXY` privilege for `employee` (the proxied user). If this privilege has not been granted, an error occurs. Otherwise, `employee_ext` assumes the privileges of `employee`. The server checks statements executed during the client session by `employee_ext` against the privileges granted to `employee`. In this case, `employee_ext` can access tables in the `employees` database.

The proxied account, `employee`, uses the `mysql_no_login` authentication plugin to prevent clients from using the account to log in directly. (This assumes that the plugin is installed. For instructions, see Section 6.1.9, “No-Login Pluggable Authentication”.) For alternative methods of protecting proxied accounts against direct use, see Preventing Direct Login to Proxied Accounts.

When proxying occurs, the `USER()` and `CURRENT_USER()` functions can be used to see the difference between the connecting user (the proxy user) and the account whose privileges apply during the current session (the proxied user). For the example just described, those functions return these values:

```
mysql> SELECT USER(), CURRENT_USER();
+------------------------+--------------------+
| USER()                 | CURRENT_USER()     |
+------------------------+--------------------+
| employee_ext@localhost | employee@localhost |
+------------------------+--------------------+
```

In the `CREATE USER` statement that creates the proxy user account, the `IDENTIFIED WITH` clause that names the proxy-supporting authentication plugin is optionally followed by an `AS 'auth_string'` clause specifying a string that the server passes to the plugin when the user connects. If present, the string provides information that helps the plugin determine how to map the proxy (external) client user name to a proxied user name. It is up to each plugin whether it requires the `AS` clause. If so, the format of the authentication string depends on how the plugin intends to use it. Consult the documentation for a given plugin for information about the authentication string values it accepts.

Preventing Direct Login to Proxied Accounts

Proxied accounts generally are intended to be used only by means of proxy accounts. That is, clients connect using a proxy account, then are mapped onto and assume the privileges of the appropriate proxied user.

There are multiple ways to ensure that a proxied account cannot be used directly:

- Associate the account with the `mysql_no_login` authentication plugin. In this case, the account cannot be used for direct logins under any circumstances. This assumes that the plugin is installed. For instructions, see Section 6.1.9, “No-Login Pluggable Authentication”.

- Include the `ACCOUNT LOCK` option when you create the account. See CREATE USER Statement. With this method, also include a password so that if the account is unlocked later, it cannot be accessed with no password. (If the `validate_password` component is enabled, creating an account without a password is not permitted, even if the account is locked. See Section 6.3, "The Password Validation Component".)
- Create the account with a password but do not tell anyone else the password. If you do not let anyone know the password for the account, clients cannot use it to connect directly to the MySQL server.

Granting and Revoking the PROXY Privilege

The `PROXY` privilege is needed to enable an external user to connect as and have the privileges of another user. To grant this privilege, use the `GRANT` statement. For example:

```
GRANT PROXY ON 'proxied_user' TO 'proxy_user';
```

The statement creates a row in the `mysql.proxies_priv` grant table.

At connect time, *`proxy_user`* must represent a valid externally authenticated MySQL user, and *`proxied_user`* must represent a valid locally authenticated user. Otherwise, the connection attempt fails.

The corresponding `REVOKE` syntax is:

```
REVOKE PROXY ON 'proxied_user' FROM 'proxy_user';
```

MySQL `GRANT` and `REVOKE` syntax extensions work as usual. Examples:

```
-- grant PROXY to multiple accounts
GRANT PROXY ON 'a' TO 'b', 'c', 'd';
-- revoke PROXY from multiple accounts
REVOKE PROXY ON 'a' FROM 'b', 'c', 'd';
-- grant PROXY to an account and enable the account to grant
-- PROXY to the proxied account
GRANT PROXY ON 'a' TO 'd' WITH GRANT OPTION;
-- grant PROXY to default proxy account
GRANT PROXY ON 'a' TO ''@'';
```

The `PROXY` privilege can be granted in these cases:

- By a user that has `GRANT PROXY ... WITH GRANT OPTION` for *`proxied_user`*.
- By *`proxied_user`* for itself: The value of `USER()` must exactly match `CURRENT_USER()` and *`proxied_user`*, for both the user name and host name parts of the account name.

The initial `root` account created during MySQL installation has the `PROXY ... WITH GRANT OPTION` privilege for `''@''`, that is, for all users and all hosts. This enables `root` to set up proxy users, as well as to delegate to other accounts the authority to set up proxy users. For example, `root` can do this:

```
CREATE USER 'admin'@'localhost'
  IDENTIFIED BY 'admin_password';
GRANT PROXY
  ON ''@''
  TO 'admin'@'localhost'
  WITH GRANT OPTION;
```

Those statements create an `admin` user that can manage all `GRANT PROXY` mappings. For example, `admin` can do this:

```
GRANT PROXY ON sally TO joe;
```

Default Proxy Users

To specify that some or all users should connect using a given authentication plugin, create a “blank” MySQL account with an empty user name and host name (`''@''`), associate it with that plugin, and let the plugin return the real authenticated user name (if different from the blank user). Suppose that there exists a plugin named `ldap_auth` that implements LDAP authentication and maps connecting users onto either a developer or manager account. To set up proxying of users onto these accounts, use the following statements:

```
-- create default proxy account
CREATE USER ''@''
  IDENTIFIED WITH ldap_auth
  AS 'O=Oracle, OU=MySQL';
-- create proxied accounts; use
-- mysql_no_login plugin to prevent direct login
CREATE USER 'developer'@'localhost'
  IDENTIFIED WITH mysql_no_login;
CREATE USER 'manager'@'localhost'
  IDENTIFIED WITH mysql_no_login;
-- grant to default proxy account the
-- PROXY privilege for proxied accounts
GRANT PROXY
  ON 'manager'@'localhost'
  TO ''@'';
GRANT PROXY
  ON 'developer'@'localhost'
  TO ''@'';
```

Now assume that a client connects as follows:

```
$> mysql --user=myuser --password ...
Enter password: myuser_password
```

The server does not find `myuser` defined as a MySQL user, but because there is a blank user account (`''@''`) that matches the client user name and host name, the server authenticates the client against that account. The server invokes the `ldap_auth` authentication plugin and passes `myuser` and *`myuser_password`* to it as the user name and password.

If the `ldap_auth` plugin finds in the LDAP directory that *`myuser_password`* is not the correct password for `myuser`, authentication fails and the server rejects the connection.

If the password is correct and `ldap_auth` finds that `myuser` is a developer, it returns the user name `developer` to the MySQL server, rather than `myuser`. Returning a user name different from the client user name of `myuser` signals to the server that it should treat `myuser` as a proxy. The server verifies that `''@''` can authenticate as `developer` (because `''@''` has the `PROXY` privilege to do so) and accepts the connection. The session proceeds with `myuser` having the privileges of the `developer` proxied user. (These privileges should be set up by the DBA using `GRANT` statements, not shown.) The `USER()` and `CURRENT_USER()` functions return these values:

```
mysql> SELECT USER(), CURRENT_USER();
+------------------+--------------------+
| USER()           | CURRENT_USER()     |
+------------------+--------------------+
| myuser@localhost | developer@localhost |
+------------------+--------------------+
```

If the plugin instead finds in the LDAP directory that `myuser` is a manager, it returns `manager` as the user name and the session proceeds with `myuser` having the privileges of the `manager` proxied user.

```
mysql> SELECT USER(), CURRENT_USER();
+------------------+------------------+
| USER()           | CURRENT_USER()   |
+------------------+------------------+
| myuser@localhost | manager@localhost |
+------------------+------------------+
```

For simplicity, external authentication cannot be multilevel: Neither the credentials for `developer` nor those for `manager` are taken into account in the preceding example. However, they are still used if a

client tries to connect and authenticate directly as the `developer` or `manager` account, which is why those proxied accounts should be protected against direct login (see Preventing Direct Login to Proxied Accounts).

Default Proxy User and Anonymous User Conflicts

If you intend to create a default proxy user, check for other existing “match any user” accounts that take precedence over the default proxy user because they can prevent that user from working as intended.

In the preceding discussion, the default proxy user account has `''` in the host part, which matches any host. If you set up a default proxy user, take care to also check whether nonproxy accounts exist with the same user part and `'%'` in the host part, because `'%'` also matches any host, but has precedence over `''` by the rules that the server uses to sort account rows internally (see Section 4.6, “Access Control, Stage 1: Connection Verification”).

Suppose that a MySQL installation includes these two accounts:

```
-- create default proxy account
CREATE USER ''@''
  IDENTIFIED WITH some_plugin
  AS 'some_auth_string';
-- create anonymous account
CREATE USER ''@'%'
  IDENTIFIED BY 'anon_user_password';
```

The first account (`''@''`) is intended as the default proxy user, used to authenticate connections for users who do not otherwise match a more-specific account. The second account (`''@'%'`) is an anonymous-user account, which might have been created, for example, to enable users without their own account to connect anonymously.

Both accounts have the same user part (`''`), which matches any user. And each account has a host part that matches any host. Nevertheless, there is a priority in account matching for connection attempts because the matching rules sort a host of `'%'` ahead of `''`. For accounts that do not match any more-specific account, the server attempts to authenticate them against `''@'%'` (the anonymous user) rather than `''@''` (the default proxy user). As a result, the default proxy account is never used.

To avoid this problem, use one of the following strategies:

- Remove the anonymous account so that it does not conflict with the default proxy user.
- Use a more-specific default proxy user that matches ahead of the anonymous user. For example, to permit only `localhost` proxy connections, use `''@'localhost'`:

  ```
  CREATE USER ''@'localhost'
    IDENTIFIED WITH some_plugin
    AS 'some_auth_string';
  ```

  In addition, modify any `GRANT PROXY` statements to name `''@'localhost'` rather than `''@''` as the proxy user.

  Be aware that this strategy prevents anonymous-user connections from `localhost`.
- Use a named default account rather than an anonymous default account. For an example of this technique, consult the instructions for using the `authentication_windows` plugin. See Section 6.1.6, “Windows Pluggable Authentication”.
- Create multiple proxy users, one for local connections and one for “everything else” (remote connections). This can be useful particularly when local users should have different privileges from remote users.

  Create the proxy users:

  ```
  -- create proxy user for local connections
  CREATE USER ''@'localhost'
  ```

```
  IDENTIFIED WITH some_plugin
  AS 'some_auth_string';
-- create proxy user for remote connections
CREATE USER ''@'%'
  IDENTIFIED WITH some_plugin
  AS 'some_auth_string';
```

Create the proxied users:

```
-- create proxied user for local connections
CREATE USER 'developer'@'localhost'
  IDENTIFIED WITH mysql_no_login;
-- create proxied user for remote connections
CREATE USER 'developer'@'%'
  IDENTIFIED WITH mysql_no_login;
```

Grant to each proxy account the `PROXY` privilege for the corresponding proxied account:

```
GRANT PROXY
  ON 'developer'@'localhost'
  TO ''@'localhost';
GRANT PROXY
  ON 'developer'@'%'
  TO ''@'%';
```

Finally, grant appropriate privileges to the local and remote proxied users (not shown).

Assume that the `some_plugin`/`'some_auth_string'` combination causes `some_plugin` to map the client user name to `developer`. Local connections match the `''@'localhost'` proxy user, which maps to the `'developer'@'localhost'` proxied user. Remote connections match the `''@'%'` proxy user, which maps to the `'developer'@'%'` proxied user.

Server Support for Proxy User Mapping

Some authentication plugins implement proxy user mapping for themselves (for example, the PAM and Windows authentication plugins). Other authentication plugins do not support proxy users by default. Of these, some can request that the MySQL server itself map proxy users according to granted proxy privileges: `mysql_native_password`, `sha256_password`. If the `check_proxy_users` system variable is enabled, the server performs proxy user mapping for any authentication plugins that make such a request:

- By default, `check_proxy_users` is disabled, so the server performs no proxy user mapping even for authentication plugins that request server support for proxy users.
- If `check_proxy_users` is enabled, it may also be necessary to enable a plugin-specific system variable to take advantage of server proxy user mapping support:
  - For the `mysql_native_password` plugin, enable `mysql_native_password_proxy_users`.
  - For the `sha256_password` plugin, enable `sha256_password_proxy_users`.

For example, to enable all the preceding capabilities, start the server with these lines in the `my.cnf` file:

```
[mysqld]
check_proxy_users=ON
mysql_native_password_proxy_users=ON
sha256_password_proxy_users=ON
```

Assuming that the relevant system variables have been enabled, create the proxy user as usual using `CREATE USER`, then grant it the `PROXY` privilege to a single other account to be treated as the proxied user. When the server receives a successful connection request for the proxy user, it finds that the user has the `PROXY` privilege and uses it to determine the proper proxied user.

```
-- create proxy account
```

```
CREATE USER 'proxy_user'@'localhost'
  IDENTIFIED WITH mysql_native_password
  BY 'password';
-- create proxied account and grant its privileges;
-- use mysql_no_login plugin to prevent direct login
CREATE USER 'proxied_user'@'localhost'
  IDENTIFIED WITH mysql_no_login;
-- grant privileges to proxied account
GRANT ...
  ON ...
  TO 'proxied_user'@'localhost';
-- grant to proxy account the
-- PROXY privilege for proxied account
GRANT PROXY
  ON 'proxied_user'@'localhost'
  TO 'proxy_user'@'localhost';
```

To use the proxy account, connect to the server using its name and password:

```
$> mysql -u proxy_user -p
Enter password: (enter proxy_user password here)
```

Authentication succeeds, the server finds that `proxy_user` has the `PROXY` privilege for `proxied_user`, and the session proceeds with `proxy_user` having the privileges of `proxied_user`.

Proxy user mapping performed by the server is subject to these restrictions:

- The server does not proxy to or from an anonymous user, even if the associated `PROXY` privilege is granted.
- When a single account has been granted proxy privileges for more than one proxied account, server proxy user mapping is nondeterministic. Therefore, granting to a single account proxy privileges for multiple proxied accounts is discouraged.

Proxy User System Variables

Two system variables help trace the proxy login process:

- `proxy_user`: This value is `NULL` if proxying is not used. Otherwise, it indicates the proxy user account. For example, if a client authenticates through the `''@''` proxy account, this variable is set as follows:

  ```
  mysql> SELECT @@proxy_user;
  +--------------+
  | @@proxy_user |
  +--------------+
  | ''@''        |
  +--------------+
  ```

- `external_user`: Sometimes the authentication plugin may use an external user to authenticate to the MySQL server. For example, when using Windows native authentication, a plugin that authenticates using the windows API does not need the login ID passed to it. However, it still uses a Windows user ID to authenticate. The plugin may return this external user ID (or the first 512 UTF-8 bytes of it) to the server using the `external_user` read-only session variable. If the plugin does not set this variable, its value is `NULL`.

4.20 Account Locking

MySQL supports locking and unlocking user accounts using the `ACCOUNT LOCK` and `ACCOUNT UNLOCK` clauses for the `CREATE USER` and `ALTER USER` statements:

- When used with `CREATE USER`, these clauses specify the initial locking state for a new account. In the absence of either clause, the account is created in an unlocked state.

If the `validate_password` component is enabled, creating an account without a password is not permitted, even if the account is locked. See Section 6.3, “The Password Validation Component”.

- When used with `ALTER USER`, these clauses specify the new locking state for an existing account. In the absence of either clause, the account locking state remains unchanged.

  As of MySQL 8.0.19, `ALTER USER ... UNLOCK` unlocks any account named by the statement that is temporarily locked due to too many failed logins. See Section 4.15, “Password Management”.

Account locking state is recorded in the `account_locked` column of the `mysql.user` system table. The output from `SHOW CREATE USER` indicates whether an account is locked or unlocked.

If a client attempts to connect to a locked account, the attempt fails. The server increments the `Locked_connects` status variable that indicates the number of attempts to connect to a locked account, returns an `ER_ACCOUNT_HAS_BEEN_LOCKED` error, and writes a message to the error log:

```
Access denied for user 'user_name'@'host_name'.
Account is locked.
```

Locking an account does not affect being able to connect using a proxy user that assumes the identity of the locked account. It also does not affect the ability to execute stored programs or views that have a `DEFINER` attribute naming the locked account. That is, the ability to use a proxied account or stored programs or views is not affected by locking the account.

The account-locking capability depends on the presence of the `account_locked` column in the `mysql.user` system table. For upgrades from MySQL versions older than 5.7.6, perform the MySQL upgrade procedure to ensure that this column exists. See Upgrading MySQL. For nonupgraded installations that have no `account_locked` column, the server treats all accounts as unlocked, and using the `ACCOUNT LOCK` or `ACCOUNT UNLOCK` clauses produces an error.

4.21 Setting Account Resource Limits

One means of restricting client use of MySQL server resources is to set the global `max_user_connections` system variable to a nonzero value. This limits the number of simultaneous connections that can be made by any given account, but places no limits on what a client can do once connected. In addition, setting `max_user_connections` does not enable management of individual accounts. Both types of control are of interest to MySQL administrators.

To address such concerns, MySQL permits limits for individual accounts on use of these server resources:

- The number of queries an account can issue per hour
- The number of updates an account can issue per hour
- The number of times an account can connect to the server per hour
- The number of simultaneous connections to the server by an account

Any statement that a client can issue counts against the query limit. Only statements that modify databases or tables count against the update limit.

An “account” in this context corresponds to a row in the `mysql.user` system table. That is, a connection is assessed against the `User` and `Host` values in the `user` table row that applies to the connection. For example, an account `'usera'@'%.example.com'` corresponds to a row in the `user` table that has `User` and `Host` values of `usera` and `%.example.com`, to permit `usera` to connect from any host in the `example.com` domain. In this case, the server applies resource limits in this row collectively to all connections by `usera` from any host in the `example.com` domain because all such connections use the same account.

Before MySQL 5.0, an “account” was assessed against the actual host from which a user connects. This older method of accounting may be selected by starting the server with the `--old-style-user-limits` option. In this case, if `usera` connects simultaneously from `host1.example.com` and `host2.example.com`, the server applies the account resource limits separately to each connection. If `usera` connects again from `host1.example.com`, the server applies the limits for that connection together with the existing connection from that host.

> **Note**
>
> The `--old-style-user-limits` option is deprecated in MySQL 8.0.30, and is subject to removal in a future release of MySQL. Use of this option on the command line or in an option file in MySQL 8.0.30 or later causes the server to raise a warning.

To establish resource limits for an account at account-creation time, use the `CREATE USER` statement. To modify the limits for an existing account, use `ALTER USER`. Provide a `WITH` clause that names each resource to be limited. The default value for each limit is zero (no limit). For example, to create a new account that can access the `customer` database, but only in a limited fashion, issue these statements:

```
mysql> CREATE USER 'francis'@'localhost' IDENTIFIED BY 'frank'
    ->     WITH MAX_QUERIES_PER_HOUR 20
    ->          MAX_UPDATES_PER_HOUR 10
    ->          MAX_CONNECTIONS_PER_HOUR 5
    ->          MAX_USER_CONNECTIONS 2;
```

The limit types need not all be named in the `WITH` clause, but those named can be present in any order. The value for each per-hour limit should be an integer representing a count per hour. For `MAX_USER_CONNECTIONS`, the limit is an integer representing the maximum number of simultaneous connections by the account. If this limit is set to zero, the global `max_user_connections` system variable value determines the number of simultaneous connections. If `max_user_connections` is also zero, there is no limit for the account.

To modify limits for an existing account, use an `ALTER USER` statement. The following statement changes the query limit for `francis` to 100:

```
mysql> ALTER USER 'francis'@'localhost' WITH MAX_QUERIES_PER_HOUR 100;
```

The statement modifies only the limit value specified and leaves the account otherwise unchanged.

To remove a limit, set its value to zero. For example, to remove the limit on how many times per hour `francis` can connect, use this statement:

```
mysql> ALTER USER 'francis'@'localhost' WITH MAX_CONNECTIONS_PER_HOUR 0;
```

As mentioned previously, the simultaneous-connection limit for an account is determined from the `MAX_USER_CONNECTIONS` limit and the `max_user_connections` system variable. Suppose that the global `max_user_connections` value is 10 and three accounts have individual resource limits specified as follows:

```
ALTER USER 'user1'@'localhost' WITH MAX_USER_CONNECTIONS 0;
ALTER USER 'user2'@'localhost' WITH MAX_USER_CONNECTIONS 5;
ALTER USER 'user3'@'localhost' WITH MAX_USER_CONNECTIONS 20;
```

`user1` has a connection limit of 10 (the global `max_user_connections` value) because it has a `MAX_USER_CONNECTIONS` limit of zero. `user2` and `user3` have connection limits of 5 and 20, respectively, because they have nonzero `MAX_USER_CONNECTIONS` limits.

The server stores resource limits for an account in the `user` table row corresponding to the account. The `max_questions`, `max_updates`, and `max_connections` columns store the per-hour limits, and the `max_user_connections` column stores the `MAX_USER_CONNECTIONS` limit. (See Section 4.3, “Grant Tables”.)

Resource-use counting takes place when any account has a nonzero limit placed on its use of any of the resources.

As the server runs, it counts the number of times each account uses resources. If an account reaches its limit on number of connections within the last hour, the server rejects further connections for the account until that hour is up. Similarly, if the account reaches its limit on the number of queries or updates, the server rejects further queries or updates until the hour is up. In all such cases, the server issues appropriate error messages.

Resource counting occurs per account, not per client. For example, if your account has a query limit of 50, you cannot increase your limit to 100 by making two simultaneous client connections to the server. Queries issued on both connections are counted together.

The current per-hour resource-use counts can be reset globally for all accounts, or individually for a given account:

- To reset the current counts to zero for all accounts, issue a `FLUSH USER_RESOURCES` statement. The counts also can be reset by reloading the grant tables (for example, with a `FLUSH PRIVILEGES` statement or a `mysqladmin reload` command).
- The counts for an individual account can be reset to zero by setting any of its limits again. Specify a limit value equal to the value currently assigned to the account.

Per-hour counter resets do not affect the `MAX_USER_CONNECTIONS` limit.

All counts begin at zero when the server starts. Counts do not carry over through server restarts.

For the `MAX_USER_CONNECTIONS` limit, an edge case can occur if the account currently has open the maximum number of connections permitted to it: A disconnect followed quickly by a connect can result in an error (`ER_TOO_MANY_USER_CONNECTIONS` or `ER_USER_LIMIT_REACHED`) if the server has not fully processed the disconnect by the time the connect occurs. When the server finishes disconnect processing, another connection is once more permitted.

4.22 Troubleshooting Problems Connecting to MySQL

If you encounter problems when you try to connect to the MySQL server, the following items describe some courses of action you can take to correct the problem.

- Make sure that the server is running. If it is not, clients cannot connect to it. For example, if an attempt to connect to the server fails with a message such as one of those following, one cause might be that the server is not running:

```
$> mysql
ERROR 2003: Can't connect to MySQL server on 'host_name' (111)
$> mysql
ERROR 2002: Can't connect to local MySQL server through socket
'/tmp/mysql.sock' (111)
```

- It might be that the server is running, but you are trying to connect using a TCP/IP port, named pipe, or Unix socket file different from the one on which the server is listening. To correct this when you invoke a client program, specify a `--port` option to indicate the proper port number, or a `--socket` option to indicate the proper named pipe or Unix socket file. To find out where the socket file is, you can use this command:

```
$> netstat -ln | grep mysql
```

- Make sure that the server has not been configured to ignore network connections or (if you are attempting to connect remotely) that it has not been configured to listen only locally on its network interfaces. If the server was started with the `skip_networking` system variable enabled, no TCP/IP connections are accepted. If the server was started with the `bind_address` system variable set to `127.0.0.1`, it listens for TCP/IP connections only locally on the loopback interface and does not accept remote connections.
- Check to make sure that there is no firewall blocking access to MySQL. Your firewall may be configured on the basis of the application being executed, or the port number used by MySQL for

communication (3306 by default). Under Linux or Unix, check your IP tables (or similar) configuration to ensure that the port has not been blocked. Under Windows, applications such as ZoneAlarm or Windows Firewall may need to be configured not to block the MySQL port.

- The grant tables must be properly set up so that the server can use them for access control. For some distribution types (such as binary distributions on Windows, or RPM and DEB distributions on Linux), the installation process initializes the MySQL data directory, including the `mysql` system database containing the grant tables. For distributions that do not do this, you must initialize the data directory manually. For details, see Chapter 3, *Postinstallation Setup and Testing*.

  To determine whether you need to initialize the grant tables, look for a `mysql` directory under the data directory. (The data directory normally is named `data` or `var` and is located under your MySQL installation directory.) Make sure that you have a file named `user.MYD` in the `mysql` database directory. If not, initialize the data directory. After doing so and starting the server, you should be able to connect to the server.

- After a fresh installation, if you try to log on to the server as `root` without using a password, you might get the following error message.

  ```
  $> mysql -u root
  ERROR 1045 (28000): Access denied for user 'root'@'localhost' (using password: NO)
  ```

  It means a root password has already been assigned during installation and it has to be supplied. See Section 3.4, “Securing the Initial MySQL Account” on the different ways the password could have been assigned and, in some cases, how to find it. If you need to reset the root password, see instructions in How to Reset the Root Password. After you have found or reset your password, log on again as `root` using the `--password` (or `-p`) option:

  ```
  $> mysql -u root -p
  Enter password:
  ```

  However, the server is going to let you connect as `root` without using a password if you have initialized MySQL using `mysqld --initialize-insecure` (see Section 3.1, “Initializing the Data Directory” for details). That is a security risk, so you should set a password for the `root` account; see Section 3.4, “Securing the Initial MySQL Account” for instructions.

- If you have updated an existing MySQL installation to a newer version, did you perform the MySQL upgrade procedure? If not, do so. The structure of the grant tables changes occasionally when new capabilities are added, so after an upgrade you should always make sure that your tables have the current structure. For instructions, see Upgrading MySQL.

- If a client program receives the following error message when it tries to connect, it means that the server expects passwords in a newer format than the client is capable of generating:

  ```
  $> mysql
  Client does not support authentication protocol requested
  by server; consider upgrading MySQL client
  ```

- Remember that client programs use connection parameters specified in option files or environment variables. If a client program seems to be sending incorrect default connection parameters when you have not specified them on the command line, check any applicable option files and your environment. For example, if you get `Access denied` when you run a client without any options, make sure that you have not specified an old password in any of your option files!

  You can suppress the use of option files by a client program by invoking it with the `--no-defaults` option. For example:

  ```
  $> mysqladmin --no-defaults -u root version
  ```

  The option files that clients use are listed in Using Option Files. Environment variables are listed in Environment Variables.

- If you get the following error, it means that you are using an incorrect `root` password:

```
$> mysqladmin -u root -pxxxx ver
Access denied for user 'root'@'localhost' (using password: YES)
```

If the preceding error occurs even when you have not specified a password, it means that you have an incorrect password listed in some option file. Try the `--no-defaults` option as described in the previous item.

For information on changing passwords, see Section 4.14, “Assigning Account Passwords”.

If you have lost or forgotten the `root` password, see How to Reset the Root Password.

- `localhost` is a synonym for your local host name, and is also the default host to which clients try to connect if you specify no host explicitly.

  You can use a `--host=127.0.0.1` option to name the server host explicitly. This causes a TCP/IP connection to the local `mysqld` server. You can also use TCP/IP by specifying a `--host` option that uses the actual host name of the local host. In this case, the host name must be specified in a `user` table row on the server host, even though you are running the client program on the same host as the server.

- The `Access denied` error message tells you who you are trying to log in as, the client host from which you are trying to connect, and whether you were using a password. Normally, you should have one row in the `user` table that exactly matches the host name and user name that were given in the error message. For example, if you get an error message that contains `using password: NO`, it means that you tried to log in without a password.

- If you get an `Access denied` error when trying to connect to the database with `mysql -u` *`user_name`*, you may have a problem with the `user` table. Check this by executing `mysql -u root mysql` and issuing this SQL statement:

  ```
  SELECT * FROM user;
  ```

  The result should include a row with the `Host` and `User` columns matching your client's host name and your MySQL user name.

- If the following error occurs when you try to connect from a host other than the one on which the MySQL server is running, it means that there is no row in the `user` table with a `Host` value that matches the client host:

  ```
  Host ... is not allowed to connect to this MySQL server
  ```

  You can fix this by setting up an account for the combination of client host name and user name that you are using when trying to connect.

  If you do not know the IP address or host name of the machine from which you are connecting, you should put a row with `'%'` as the `Host` column value in the `user` table. After trying to connect from the client machine, use a `SELECT USER()` query to see how you really did connect. Then change the `'%'` in the `user` table row to the actual host name that shows up in the log. Otherwise, your system is left insecure because it permits connections from any host for the given user name.

  On Linux, another reason that this error might occur is that you are using a binary MySQL version that is compiled with a different version of the `glibc` library than the one you are using. In this case, you should either upgrade your operating system or `glibc`, or download a source distribution of MySQL version and compile it yourself. A source RPM is normally trivial to compile and install, so this is not a big problem.

- If you specify a host name when trying to connect, but get an error message where the host name is not shown or is an IP address, it means that the MySQL server got an error when trying to resolve the IP address of the client host to a name:

  ```
  $> mysqladmin -u root -pxxxx -h some_hostname ver
  Access denied for user 'root'@'' (using password: YES)
  ```

If you try to connect as `root` and get the following error, it means that you do not have a row in the `user` table with a `User` column value of `'root'` and that `mysqld` cannot resolve the host name for your client:

```
Access denied for user ''@'unknown'
```

These errors indicate a DNS problem. To fix it, execute `mysqladmin flush-hosts` to reset the internal DNS host cache. See DNS Lookups and the Host Cache.

Some permanent solutions are:

- Determine what is wrong with your DNS server and fix it.
- Specify IP addresses rather than host names in the MySQL grant tables.
- Put an entry for the client machine name in `/etc/hosts` on Unix or `\windows\hosts` on Windows.
- Start `mysqld` with the `skip_name_resolve` system variable enabled.
- Start `mysqld` with the `--skip-host-cache` option.
- On Unix, if you are running the server and the client on the same machine, connect to `localhost`. For connections to `localhost`, MySQL programs attempt to connect to the local server by using a Unix socket file, unless there are connection parameters specified to ensure that the client makes a TCP/IP connection. For more information, see Connecting to the MySQL Server Using Command Options.
- On Windows, if you are running the server and the client on the same machine and the server supports named pipe connections, connect to the host name `.` (period). Connections to `.` use a named pipe rather than TCP/IP.

- If `mysql -u root` works but `mysql -h your_hostname -u root` results in `Access denied` (where *`your_hostname`* is the actual host name of the local host), you may not have the correct name for your host in the `user` table. A common problem here is that the `Host` value in the `user` table row specifies an unqualified host name, but your system's name resolution routines return a fully qualified domain name (or vice versa). For example, if you have a row with host `'pluto'` in the `user` table, but your DNS tells MySQL that your host name is `'pluto.example.com'`, the row does not work. Try adding a row to the `user` table that contains the IP address of your host as the `Host` column value. (Alternatively, you could add a row to the `user` table with a `Host` value that contains a wildcard (for example, `'pluto.%'`). However, use of `Host` values ending with `%` is *insecure* and is *not* recommended!)

- If `mysql -u user_name` works but `mysql -u user_name some_db` does not, you have not granted access to the given user for the database named *`some_db`*.

- If `mysql -u user_name` works when executed on the server host, but `mysql -h host_name -u user_name` does not work when executed on a remote client host, you have not enabled access to the server for the given user name from the remote host.

- If you cannot figure out why you get `Access denied`, remove from the `user` table all rows that have `Host` values containing wildcards (rows that contain `'%'` or `'_'` characters). A very common error is to insert a new row with `Host='%'` and `User='some_user'`, thinking that this enables you to specify `localhost` to connect from the same machine. The reason that this does not work is that the default privileges include a row with `Host='localhost'` and `User=''`. Because that row has a `Host` value `'localhost'` that is more specific than `'%'`, it is used in preference to the new row when connecting from `localhost`! The correct procedure is to insert a second row with `Host='localhost'` and `User='some_user'`, or to delete the row with `Host='localhost'` and `User=''`. After deleting the row, remember to issue a `FLUSH PRIVILEGES` statement to reload the grant tables. See also Section 4.6, “Access Control, Stage 1: Connection Verification”.

- If you are able to connect to the MySQL server, but get an `Access denied` message whenever you issue a `SELECT ... INTO OUTFILE` or `LOAD DATA` statement, your row in the `user` table does not have the `FILE` privilege enabled.
- If you change the grant tables directly (for example, by using `INSERT`, `UPDATE`, or `DELETE` statements) and your changes seem to be ignored, remember that you must execute a `FLUSH PRIVILEGES` statement or a `mysqladmin flush-privileges` command to cause the server to reload the privilege tables. Otherwise, your changes have no effect until the next time the server is restarted. Remember that after you change the `root` password with an `UPDATE` statement, you do not need to specify the new password until after you flush the privileges, because the server does not know until then that you have changed the password.
- If your privileges seem to have changed in the middle of a session, it may be that a MySQL administrator has changed them. Reloading the grant tables affects new client connections, but it also affects existing connections as indicated in Section 4.13, “When Privilege Changes Take Effect”.
- If you have access problems with a Perl, PHP, Python, or ODBC program, try to connect to the server with `mysql -u user_name db_name` or `mysql -u user_name -ppassword db_name`. If you are able to connect using the `mysql` client, the problem lies with your program, not with the access privileges. (There is no space between `-p` and the password; you can also use the `--password=password` syntax to specify the password. If you use the `-p` or `--password` option with no password value, MySQL prompts you for the password.)
- For testing purposes, start the `mysqld` server with the `--skip-grant-tables` option. Then you can change the MySQL grant tables and use the `SHOW GRANTS` statement to check whether your modifications have the desired effect. When you are satisfied with your changes, execute `mysqladmin flush-privileges` to tell the `mysqld` server to reload the privileges. This enables you to begin using the new grant table contents without stopping and restarting the server.
- If everything else fails, start the `mysqld` server with a debugging option (for example, `--debug=d,general,query`). This prints host and user information about attempted connections, as well as information about each command issued. See The DBUG Package.
- If you have any other problems with the MySQL grant tables and ask on the MySQL Community Slack, always provide a dump of the MySQL grant tables. You can dump the tables with the `mysqldump mysql` command. To file a bug report, see the instructions at How to Report Bugs or Problems. In some cases, you may need to restart `mysqld` with `--skip-grant-tables` to run `mysqldump`.

4.23 SQL-Based Account Activity Auditing

Applications can use the following guidelines to perform SQL-based auditing that ties database activity to MySQL accounts.

MySQL accounts correspond to rows in the `mysql.user` system table. When a client connects successfully, the server authenticates the client to a particular row in this table. The `User` and `Host` column values in this row uniquely identify the account and correspond to the `'user_name'@'host_name'` format in which account names are written in SQL statements.

The account used to authenticate a client determines which privileges the client has. Normally, the `CURRENT_USER()` function can be invoked to determine which account this is for the client user. Its value is constructed from the `User` and `Host` columns of the `user` table row for the account.

However, there are circumstances under which the `CURRENT_USER()` value corresponds not to the client user but to a different account. This occurs in contexts when privilege checking is not based the client's account:

- Stored routines (procedures and functions) defined with the `SQL SECURITY DEFINER` characteristic
- Views defined with the `SQL SECURITY DEFINER` characteristic

- Triggers and events

In those contexts, privilege checking is done against the `DEFINER` account and `CURRENT_USER()` refers to that account, not to the account for the client who invoked the stored routine or view or who caused the trigger to activate. To determine the invoking user, you can call the `USER()` function, which returns a value indicating the actual user name provided by the client and the host from which the client connected. However, this value does not necessarily correspond directly to an account in the `user` table, because the `USER()` value never contains wildcards, whereas account values (as returned by `CURRENT_USER()`) may contain user name and host name wildcards.

For example, a blank user name matches any user, so an account of `''@'localhost'` enables clients to connect as an anonymous user from the local host with any user name. In this case, if a client connects as `user1` from the local host, `USER()` and `CURRENT_USER()` return different values:

```
mysql> SELECT USER(), CURRENT_USER();
+----------------+----------------+
| USER()         | CURRENT_USER() |
+----------------+----------------+
| user1@localhost | @localhost     |
+----------------+----------------+
```

The host name part of an account can also contain wildcards. If the host name contains a `'%'` or `'_'` pattern character or uses netmask notation, the account can be used for clients connecting from multiple hosts and the `CURRENT_USER()` value does not indicate which one. For example, the account `'user2'@'%.example.com'` can be used by `user2` to connect from any host in the `example.com` domain. If `user2` connects from `remote.example.com`, `USER()` and `CURRENT_USER()` return different values:

```
mysql> SELECT USER(), CURRENT_USER();
+--------------------------+---------------------+
| USER()                   | CURRENT_USER()      |
+--------------------------+---------------------+
| user2@remote.example.com | user2@%.example.com |
+--------------------------+---------------------+
```

If an application must invoke `USER()` for user auditing (for example, if it does auditing from within triggers) but must also be able to associate the `USER()` value with an account in the `user` table, it is necessary to avoid accounts that contain wildcards in the `User` or `Host` column. Specifically, do not permit `User` to be empty (which creates an anonymous-user account), and do not permit pattern characters or netmask notation in `Host` values. All accounts must have a nonempty `User` value and literal `Host` value.

With respect to the previous examples, the `''@'localhost'` and `'user2'@'%.example.com'` accounts should be changed not to use wildcards:

```
RENAME USER ''@'localhost' TO 'user1'@'localhost';
RENAME USER 'user2'@'%.example.com' TO 'user2'@'remote.example.com';
```

If `user2` must be able to connect from several hosts in the `example.com` domain, there should be a separate account for each host.

To extract the user name or host name part from a `CURRENT_USER()` or `USER()` value, use the `SUBSTRING_INDEX()` function:

```
mysql> SELECT SUBSTRING_INDEX(CURRENT_USER(),'@',1);
+---------------------------------------+
| SUBSTRING_INDEX(CURRENT_USER(),'@',1) |
+---------------------------------------+
| user1                                 |
+---------------------------------------+
mysql> SELECT SUBSTRING_INDEX(CURRENT_USER(),'@',-1);
+----------------------------------------+
| SUBSTRING_INDEX(CURRENT_USER(),'@',-1) |
+----------------------------------------+
| localhost                              |
```

```
+--------------------------------------+
```

Chapter 5 Using Encrypted Connections

Table of Contents

5.1 Configuring MySQL to Use Encrypted Connections 134
5.2 Encrypted Connection TLS Protocols and Ciphers 141
5.3 Creating SSL and RSA Certificates and Keys 150
5.3.1 Creating SSL and RSA Certificates and Keys using MySQL 150
5.3.2 Creating SSL Certificates and Keys Using openssl 153
5.3.3 Creating RSA Keys Using openssl 158
5.4 Connecting to MySQL Remotely from Windows with SSH 159
5.5 Reusing SSL Sessions 159

With an unencrypted connection between the MySQL client and the server, someone with access to the network could watch all your traffic and inspect the data being sent or received between client and server.

When you must move information over a network in a secure fashion, an unencrypted connection is unacceptable. To make any kind of data unreadable, use encryption. Encryption algorithms must include security elements to resist many kinds of known attacks such as changing the order of encrypted messages or replaying data twice.

MySQL supports encrypted connections between clients and the server using the TLS (Transport Layer Security) protocol. TLS is sometimes referred to as SSL (Secure Sockets Layer) but MySQL does not actually use the SSL protocol for encrypted connections because its encryption is weak (see Section 5.2, “Encrypted Connection TLS Protocols and Ciphers”).

TLS uses encryption algorithms to ensure that data received over a public network can be trusted. It has mechanisms to detect data change, loss, or replay. TLS also incorporates algorithms that provide identity verification using the X.509 standard.

X.509 makes it possible to identify someone on the Internet. In basic terms, there should be some entity called a “Certificate Authority” (or CA) that assigns electronic certificates to anyone who needs them. Certificates rely on asymmetric encryption algorithms that have two encryption keys (a public key and a secret key). A certificate owner can present the certificate to another party as proof of identity. A certificate consists of its owner's public key. Any data encrypted using this public key can be decrypted only using the corresponding secret key, which is held by the owner of the certificate.

Support for encrypted connections in MySQL is provided using OpenSSL. For information about the encryption protocols and ciphers that OpenSSL supports, see Section 5.2, “Encrypted Connection TLS Protocols and Ciphers”.

By default, MySQL instances link to an available installed OpenSSL library at runtime for support of encrypted connections and other encryption-related operations. You may compile MySQL from source and use the `WITH_SSL` `CMake` option to specify the path to a particular installed OpenSSL version or an alternative OpenSSL system package. In that case, MySQL selects that version. For instructions to do this, see Configuring SSL Library Support.

From MySQL 8.0.11 to 8.0.17, it was possible to compile MySQL using wolfSSL as an alternative to OpenSSL. As of MySQL 8.0.18, support for wolfSSL is removed and all MySQL builds use OpenSSL.

You can check what version of the OpenSSL library is in use at runtime using the `Tls_library_version` system status variable, which is available from MySQL 8.0.30.

If you compile MySQL with one version of OpenSSL and want to change to a different version without recompiling, you may do this by editing the dynamic library loader path (`LD_LIBRARY_PATH` on Unix systems or `PATH` on Windows systems). Remove the path to the compiled version of OpenSSL, and add the path to the replacement version, placing it before any other OpenSSL libraries on the path.

At startup, when MySQL cannot find the version of OpenSSL specified with `WITH_SSL` on the path, it uses the first version specified on the path instead.

By default, MySQL programs attempt to connect using encryption if the server supports encrypted connections, falling back to an unencrypted connection if an encrypted connection cannot be established. For information about options that affect use of encrypted connections, see Section 5.1, “Configuring MySQL to Use Encrypted Connections” and Command Options for Encrypted Connections.

MySQL performs encryption on a per-connection basis, and use of encryption for a given user can be optional or mandatory. This enables you to choose an encrypted or unencrypted connection according to the requirements of individual applications. For information on how to require users to use encrypted connections, see the discussion of the `REQUIRE` clause of the `CREATE USER` statement in CREATE USER Statement. See also the description of the `require_secure_transport` system variable at Server System Variables

Encrypted connections can be used between source and replica servers. See Setting Up Replication to Use Encrypted Connections.

For information about using encrypted connections from the MySQL C API, see Support for Encrypted Connections.

It is also possible to connect using encryption from within an SSH connection to the MySQL server host. For an example, see Section 5.4, “Connecting to MySQL Remotely from Windows with SSH”.

5.1 Configuring MySQL to Use Encrypted Connections

Several configuration parameters are available to indicate whether to use encrypted connections, and to specify the appropriate certificate and key files. This section provides general guidance about configuring the server and clients for encrypted connections:

- Server-Side Startup Configuration for Encrypted Connections
- Server-Side Runtime Configuration and Monitoring for Encrypted Connections
- Client-Side Configuration for Encrypted Connections
- Configuring Encrypted Connections as Mandatory

Encrypted connections also can be used in other contexts, as discussed in these additional sections:

- Between source and replica replication servers. See Setting Up Replication to Use Encrypted Connections.
- Among Group Replication servers. See Securing Group Communication Connections with Secure Socket Layer (SSL).
- By client programs that are based on the MySQL C API. See Support for Encrypted Connections.

Instructions for creating any required certificate and key files are available in Section 5.3, “Creating SSL and RSA Certificates and Keys”.

Server-Side Startup Configuration for Encrypted Connections

On the server side, the `--ssl` option specifies that the server permits but does not require encrypted connections. This option is enabled by default, so it need not be specified explicitly.

To require that clients connect using encrypted connections, enable the `require_secure_transport` system variable. See Configuring Encrypted Connections as Mandatory.

These system variables on the server side specify the certificate and key files the server uses when permitting clients to establish encrypted connections:

- `ssl_ca`: The path name of the Certificate Authority (CA) certificate file. (`ssl_capath` is similar but specifies the path name of a directory of CA certificate files.)
- `ssl_cert`: The path name of the server public key certificate file. This certificate can be sent to the client and authenticated against the CA certificate that it has.
- `ssl_key`: The path name of the server private key file.

For example, to enable the server for encrypted connections, start it with these lines in the `my.cnf` file, changing the file names as necessary:

```
[mysqld]
ssl_ca=ca.pem
ssl_cert=server-cert.pem
ssl_key=server-key.pem
```

To specify in addition that clients are required to use encrypted connections, enable the `require_secure_transport` system variable:

```
[mysqld]
ssl_ca=ca.pem
ssl_cert=server-cert.pem
ssl_key=server-key.pem
require_secure_transport=ON
```

Each certificate and key system variable names a file in PEM format. Should you need to create the required certificate and key files, see Section 5.3, “Creating SSL and RSA Certificates and Keys”. MySQL servers compiled using OpenSSL can generate missing certificate and key files automatically at startup. See Section 5.3.1, “Creating SSL and RSA Certificates and Keys using MySQL”. Alternatively, if you have a MySQL source distribution, you can test your setup using the demonstration certificate and key files in its `mysql-test/std_data` directory.

The server performs certificate and key file autodiscovery. If no explicit encrypted-connection options are given other than `--ssl` (possibly along with `ssl_cipher`) to configure encrypted connections, the server attempts to enable encrypted-connection support automatically at startup:

- If the server discovers valid certificate and key files named `ca.pem`, `server-cert.pem`, and `server-key.pem` in the data directory, it enables support for encrypted connections by clients. (The files need not have been generated automatically; what matters is that they have those names and are valid.)
- If the server does not find valid certificate and key files in the data directory, it continues executing but without support for encrypted connections.

If the server automatically enables encrypted connection support, it writes a note to the error log. If the server discovers that the CA certificate is self-signed, it writes a warning to the error log. (The certificate is self-signed if created automatically by the server or manually using `mysql_ssl_rsa_setup`.)

MySQL also provides these system variables for server-side encrypted-connection control:

- `ssl_cipher`: The list of permissible ciphers for connection encryption.
- `ssl_crl`: The path name of the file containing certificate revocation lists. (`ssl_crlpath` is similar but specifies the path name of a directory of certificate revocation-list files.)
- `tls_version`, `tls_ciphersuites`: Which encryption protocols and ciphersuites the server permits for encrypted connections; see Section 5.2, “Encrypted Connection TLS Protocols and Ciphers”. For example, you can configure `tls_version` to prevent clients from using less-secure protocols.

If the server cannot create a valid TLS context from the system variables for server-side encrypted-connection control, the server executes without support for encrypted connections.

Server-Side Runtime Configuration and Monitoring for Encrypted Connections

Prior to MySQL 8.0.16, the `tls_xxx` and `ssl_xxx` system variables that configure encrypted-connection support can be set only at server startup. These system variables therefore determine the TLS context the server uses for all new connections.

As of MySQL 8.0.16, the `tls_xxx` and `ssl_xxx` system variables are dynamic and can be set at runtime, not just at startup. If changed with `SET GLOBAL`, the new values apply only until server restart. If changed with `SET PERSIST`, the new values also carry over to subsequent server restarts. See SET Syntax for Variable Assignment. However, runtime changes to these variables do not immediately affect the TLS context for new connections, as explained later in this section.

Along with the change in MySQL 8.0.16 that enables runtime changes to the TLS context-related system variables, the server enables runtime updates to the actual TLS context used for new connections. This capability may be useful, for example, to avoid restarting a MySQL server that has been running so long that its SSL certificate has expired.

To create the initial TLS context, the server uses the values that the context-related system variables have at startup. To expose the context values, the server also initializes a set of corresponding status variables. The following table shows the system variables that define the TLS context and the corresponding status variables that expose the currently active context values.

Table 5.1 System and Status Variables for Server Main Connection Interface TLS Context

System Variable Name	Corresponding Status Variable Name
`ssl_ca`	`Current_tls_ca`
`ssl_capath`	`Current_tls_capath`
`ssl_cert`	`Current_tls_cert`
`ssl_cipher`	`Current_tls_cipher`
`ssl_crl`	`Current_tls_crl`
`ssl_crlpath`	`Current_tls_crlpath`
`ssl_key`	`Current_tls_key`
`tls_ciphersuites`	`Current_tls_ciphersuites`
`tls_version`	`Current_tls_version`

As of MySQL 8.0.21, those active TLS context values are also exposed as properties in the Performance Schema `tls_channel_status` table, along with the properties for any other active TLS contexts.

To reconfigure the TLS context at runtime, use this procedure:

1. Set each TLS context-related system variable that should be changed to its new value.
2. Execute `ALTER INSTANCE RELOAD TLS`. This statement reconfigures the active TLS context from the current values of the TLS context-related system variables. It also sets the context-related status variables to reflect the new active context values. The statement requires the `CONNECTION_ADMIN` privilege.
3. New connections established after execution of `ALTER INSTANCE RELOAD TLS` use the new TLS context. Existing connections remain unaffected. If existing connections should be terminated, use the `KILL` statement.

The members of each pair of system and status variables may have different values temporarily due to the way the reconfiguration procedure works:

- Changes to the system variables prior to `ALTER INSTANCE RELOAD TLS` do not change the TLS context. At this point, those changes have no effect on new connections, and corresponding

context-related system and status variables may have different values. This enables you to make any changes required to individual system variables, then update the active TLS context atomically with `ALTER INSTANCE RELOAD TLS` after all system variable changes have been made.

- After `ALTER INSTANCE RELOAD TLS`, corresponding system and status variables have the same values. This remains true until the next change to the system variables.

In some cases, `ALTER INSTANCE RELOAD TLS` by itself may suffice to reconfigure the TLS context, without changing any system variables. Suppose that the certificate in the file named by `ssl_cert` has expired. It is sufficient to replace the existing file contents with a nonexpired certificate and execute `ALTER INSTANCE RELOAD TLS` to cause the new file contents to be read and used for new connections.

As of MySQL 8.0.21, the server implements independent connection-encryption configuration for the administrative connection interface. See Administrative Interface Support for Encrypted Connections. In addition, `ALTER INSTANCE RELOAD TLS` is extended with a `FOR CHANNEL` clause that enables specifying the channel (interface) for which to reload the TLS context. See ALTER INSTANCE Statement. There are no status variables to expose the administrative interface TLS context, but the Performance Schema `tls_channel_status` table exposes TLS properties for both the main and administrative interfaces. See The tls_channel_status Table.

Updating the main interface TLS context has these effects:

- The update changes the TLS context used for new connections on the main connection interface.
- The update also changes the TLS context used for new connections on the administrative interface unless some nondefault TLS parameter value is configured for that interface.
- The update does not affect the TLS context used by other enabled server plugins or components such as Group Replication or X Plugin:
  - To apply the main interface reconfiguration to Group Replication's group communication connections, which take their settings from the server's TLS context-related system variables, you must execute `STOP GROUP_REPLICATION` followed by `START GROUP_REPLICATION` to stop and restart Group Replication.
  - X Plugin initializes its TLS context at plugin initialization as described at Using Encrypted Connections with X Plugin. This context does not change thereafter.

By default, the `RELOAD TLS` action rolls back with an error and has no effect if the configuration values do not permit creation of the new TLS context. The previous context values continue to be used for new connections. If the optional `NO ROLLBACK ON ERROR` clause is given and the new context cannot be created, rollback does not occur. Instead, a warning is generated and encryption is disabled for new connections on the interface to which the statement applies.

Options that enable or disable encrypted connections on a connection interface have an effect only at startup. For example, the `--ssl` and `--admin-ssl` options affect only at startup whether the main and administrative interfaces support encrypted connections. Such options are ignored and have no effect on the operation of `ALTER INSTANCE RELOAD TLS` at runtime. For example, you can use `--ssl=OFF` to start the server with encrypted connections disabled on the main interface, then reconfigure TLS and execute `ALTER INSTANCE RELOAD TLS` to enable encrypted connections at runtime.

Client-Side Configuration for Encrypted Connections

For a complete list of client options related to establishment of encrypted connections, see Command Options for Encrypted Connections.

By default, MySQL client programs attempt to establish an encrypted connection if the server supports encrypted connections, with further control available through the `--ssl-mode` option:

- In the absence of an `--ssl-mode` option, clients attempt to connect using encryption, falling back to an unencrypted connection if an encrypted connection cannot be established. This is also the behavior with an explicit `--ssl-mode=PREFERRED` option.
- With `--ssl-mode=REQUIRED`, clients require an encrypted connection and fail if one cannot be established.
- With `--ssl-mode=DISABLED`, clients use an unencrypted connection.
- With `--ssl-mode=VERIFY_CA` or `--ssl-mode=VERIFY_IDENTITY`, clients require an encrypted connection, and also perform verification against the server CA certificate and (with `VERIFY_IDENTITY`) against the server host name in its certificate.

  > **Important**
  >
  > The default setting, `--ssl-mode=PREFERRED`, produces an encrypted connection if the other default settings are unchanged. However, to help prevent sophisticated man-in-the-middle attacks, it is important for the client to verify the server's identity. The settings `--ssl-mode=VERIFY_CA` and `--ssl-mode=VERIFY_IDENTITY` are a better choice than the default setting to help prevent this type of attack. `VERIFY_CA` makes the client check that the server's certificate is valid. `VERIFY_IDENTITY` makes the client check that the server's certificate is valid, and also makes the client check that the host name the client is using matches the identity in the server's certificate. To implement one of these settings, you must first ensure that the CA certificate for the server is reliably available to all the clients that use it in your environment, otherwise availability issues will result. For this reason, they are not the default setting.

Attempts to establish an unencrypted connection fail if the `require_secure_transport` system variable is enabled on the server side to cause the server to require encrypted connections. See Configuring Encrypted Connections as Mandatory.

The following options on the client side identify the certificate and key files clients use when establishing encrypted connections to the server. They are similar to the `ssl_ca`, `ssl_cert`, and `ssl_key` system variables used on the server side, but `--ssl-cert` and `--ssl-key` identify the client public and private key:

- `--ssl-ca`: The path name of the Certificate Authority (CA) certificate file. This option, if used, must specify the same certificate used by the server. (`--ssl-capath` is similar but specifies the path name of a directory of CA certificate files.)
- `--ssl-cert`: The path name of the client public key certificate file.
- `--ssl-key`: The path name of the client private key file.

For additional security relative to that provided by the default encryption, clients can supply a CA certificate matching the one used by the server and enable host name identity verification. In this way, the server and client place their trust in the same CA certificate and the client verifies that the host to which it connected is the one intended:

- To specify the CA certificate, use `--ssl-ca` (or `--ssl-capath`), and specify `--ssl-mode=VERIFY_CA`.
- To enable host name identity verification as well, use `--ssl-mode=VERIFY_IDENTITY` rather than `--ssl-mode=VERIFY_CA`.

  > **Note**
  >
  > Host name identity verification with `VERIFY_IDENTITY` does not work with self-signed certificates that are created automatically by the server or manually using `mysql_ssl_rsa_setup` (see Section 5.3.1, "Creating SSL and RSA

> Certificates and Keys using MySQL"). Such self-signed certificates do not contain the server name as the Common Name value.
>
> Prior to MySQL 8.0.12, host name identity verification also does not work with certificates that specify the Common Name using wildcards because that name is compared verbatim to the server name.

MySQL also provides these options for client-side encrypted-connection control:

- `--ssl-cipher`: The list of permissible ciphers for connection encryption.
- `--ssl-crl`: The path name of the file containing certificate revocation lists. (`--ssl-crlpath` is similar but specifies the path name of a directory of certificate revocation-list files.)
- `--tls-version`, `--tls-ciphersuites`: The permitted encryption protocols and ciphersuites; see Section 5.2, "Encrypted Connection TLS Protocols and Ciphers".

Depending on the encryption requirements of the MySQL account used by a client, the client may be required to specify certain options to connect using encryption to the MySQL server.

Suppose that you want to connect using an account that has no special encryption requirements or that was created using a `CREATE USER` statement that included the `REQUIRE SSL` clause. Assuming that the server supports encrypted connections, a client can connect using encryption with no `--ssl-mode` option or with an explicit `--ssl-mode=PREFERRED` option:

```
mysql
```

Or:

```
mysql --ssl-mode=PREFERRED
```

For an account created with a `REQUIRE SSL` clause, the connection attempt fails if an encrypted connection cannot be established. For an account with no special encryption requirements, the attempt falls back to an unencrypted connection if an encrypted connection cannot be established. To prevent fallback and fail if an encrypted connection cannot be obtained, connect like this:

```
mysql --ssl-mode=REQUIRED
```

If the account has more stringent security requirements, other options must be specified to establish an encrypted connection:

- For accounts created with a `REQUIRE X509` clause, clients must specify at least `--ssl-cert` and `--ssl-key`. In addition, `--ssl-ca` (or `--ssl-capath`) is recommended so that the public certificate provided by the server can be verified. For example (enter the command on a single line):

  ```
  mysql --ssl-ca=ca.pem
        --ssl-cert=client-cert.pem
        --ssl-key=client-key.pem
  ```

- For accounts created with a `REQUIRE ISSUER` or `REQUIRE SUBJECT` clause, the encryption requirements are the same as for `REQUIRE X509`, but the certificate must match the issue or subject, respectively, specified in the account definition.

For additional information about the `REQUIRE` clause, see CREATE USER Statement.

MySQL servers can generate client certificate and key files that clients can use to connect to MySQL server instances. See Section 5.3, "Creating SSL and RSA Certificates and Keys".

> **Important**
>
> If a client connecting to a MySQL server instance uses an SSL certificate with the `extendedKeyUsage` extension (an X.509 v3 extension), the extended key

> usage must include client authentication (`clientAuth`). If the SSL certificate is only specified for server authentication (`serverAuth`) and other non-client certificate purposes, certificate verification fails and the client connection to the MySQL server instance fails. There is no `extendedKeyUsage` extension in SSL certificates generated by MySQL Server (as described in Section 5.3.1, "Creating SSL and RSA Certificates and Keys using MySQL"), and SSL certificates created using the `openssl` command following the instructions in Section 5.3.2, "Creating SSL Certificates and Keys Using openssl". If you use your own client certificate created in another way, ensure any `extendedKeyUsage` extension includes client authentication.

To prevent use of encryption and override other `--ssl-xxx` options, invoke the client program with `--ssl-mode=DISABLED`:

```
mysql --ssl-mode=DISABLED
```

To determine whether the current connection with the server uses encryption, check the session value of the `Ssl_cipher` status variable. If the value is empty, the connection is not encrypted. Otherwise, the connection is encrypted and the value indicates the encryption cipher. For example:

```
mysql> SHOW SESSION STATUS LIKE 'Ssl_cipher';
+---------------+--------------------------+
| Variable_name | Value                    |
+---------------+--------------------------+
| Ssl_cipher    | DHE-RSA-AES128-GCM-SHA256 |
+---------------+--------------------------+
```

For the `mysql` client, an alternative is to use the `STATUS` or `\s` command and check the `SSL` line:

```
mysql> \s
...
SSL: Not in use
...
```

Or:

```
mysql> \s
...
SSL: Cipher in use is DHE-RSA-AES128-GCM-SHA256
...
```

Configuring Encrypted Connections as Mandatory

For some MySQL deployments it may be not only desirable but mandatory to use encrypted connections (for example, to satisfy regulatory requirements). This section discusses configuration settings that enable you to do this. These levels of control are available:

- You can configure the server to require that clients connect using encrypted connections.
- You can invoke individual client programs to require an encrypted connection, even if the server permits but does not require encryption.
- You can configure individual MySQL accounts to be usable only over encrypted connections.

To require that clients connect using encrypted connections, enable the `require_secure_transport` system variable. For example, put these lines in the server `my.cnf` file:

```
[mysqld]
require_secure_transport=ON
```

Alternatively, to set and persist the value at runtime, use this statement:

```
SET PERSIST require_secure_transport=ON;
```

`SET PERSIST` sets a value for the running MySQL instance. It also saves the value, causing it to be used for subsequent server restarts. See SET Syntax for Variable Assignment.

With `require_secure_transport` enabled, client connections to the server are required to use some form of secure transport, and the server permits only TCP/IP connections that use SSL, or connections that use a socket file (on Unix) or shared memory (on Windows). The server rejects nonsecure connection attempts, which fail with an `ER_SECURE_TRANSPORT_REQUIRED` error.

To invoke a client program such that it requires an encrypted connection whether or not the server requires encryption, use an `--ssl-mode` option value of `REQUIRED`, `VERIFY_CA`, or `VERIFY_IDENTITY`. For example:

```
mysql --ssl-mode=REQUIRED
mysqldump --ssl-mode=VERIFY_CA
mysqladmin --ssl-mode=VERIFY_IDENTITY
```

To configure a MySQL account to be usable only over encrypted connections, include a `REQUIRE` clause in the `CREATE USER` statement that creates the account, specifying in that clause the encryption characteristics you require. For example, to require an encrypted connection and the use of a valid X.509 certificate, use `REQUIRE X509`:

```
CREATE USER 'jeffrey'@'localhost' REQUIRE X509;
```

For additional information about the `REQUIRE` clause, see CREATE USER Statement.

To modify existing accounts that have no encryption requirements, use the `ALTER USER` statement.

5.2 Encrypted Connection TLS Protocols and Ciphers

MySQL supports multiple TLS protocols and ciphers, and enables configuring which protocols and ciphers to permit for encrypted connections. It is also possible to determine which protocol and cipher the current session uses.

- Supported TLS Protocols
- Removal of Support for the TLSv1 and TLSv1.1 Protocols
- Connection TLS Protocol Configuration
- Connection Cipher Configuration
- Connection TLS Protocol Negotiation
- Monitoring Current Client Session TLS Protocol and Cipher

Supported TLS Protocols

The set of protocols permitted for connections to a given MySQL server instance is subject to multiple factors as follows:

MySQL Server release

- Up to and including MySQL 8.0.15, MySQL supports the TLSv1, TLSv1.1, and TLSv1.2 protocols.
- As of MySQL 8.0.16, MySQL also supports the TLSv1.3 protocol. To use TLSv1.3, both the MySQL server and the client application must be compiled using OpenSSL 1.1.1 or higher. The Group Replication component supports TLSv1.3 from MySQL 8.0.18 (for details, see Securing Group Communication Connections with Secure Socket Layer (SSL)).
- As of MySQL 8.0.26, the TLSv1 and TLSv1.1 protocols are deprecated. These protocol versions are old, released in 1996 and 2006, respectively, and the algorithms used are weak and

outdated. For background, refer to the IETF memo Deprecating TLSv1.0 and TLSv1.1.

- As of MySQL 8.0.28, MySQL no longer supports the TLSv1 and TLSv1.1 protocols. From this release, clients cannot make a TLS/SSL connection with the protocol set to TLSv1 or TLSv1.1. For more details, see Removal of Support for the TLSv1 and TLSv1.1 Protocols.

Table 5.2 MySQL Server TLS Protocol Support

MySQL Server Release	TLS Protocols Supported
MySQL 8.0.15 and below	TLSv1, TLSv1.1, TLSv1.2
MySQL 8.0.16 and MySQL 8.0.17	TLSv1, TLSv1.1, TLSv1.2, TLSv1.3 (except Group Replication)
MySQL 8.0.18 through MySQL 8.0.25	TLSv1, TLSv1.1, TLSv1.2, TLSv1.3 (including Group Replication)
MySQL 8.0.26 and MySQL 8.0.27	TLSv1 (deprecated), TLSv1.1 (deprecated), TLSv1.2, TLSv1.3
MySQL 8.0.28 and above	TLSv1.2, TLSv1.3

SSL library

If the SSL library does not support a particular protocol, neither does MySQL, and any parts of the following discussion that specify that protocol do not apply. In particular, note that to use TLSv1.3, both the MySQL server and the client application must be compiled using OpenSSL 1.1.1 or higher. MySQL Server checks the version of OpenSSL at startup, and if it is lower than 1.1.1, TLSv1.3 is removed from the default value for the server system variables relating to TLS versions (`tls_version`, `admin_tls_version`, and `group_replication_recovery_tls_version`).

MySQL instance configuration

Permitted TLS protocols can be configured on both the server side and client side to include only a subset of the supported TLS protocols. The configuration on both sides must include at least one protocol in common or connection attempts cannot negotiate a protocol to use. For details, see Connection TLS Protocol Negotiation.

System-wide host configuration

The host system may permit only certain TLS protocols, which means that MySQL connections cannot use nonpermitted protocols even if MySQL itself permits them:

- Suppose that MySQL configuration permits TLSv1, TLSv1.1, and TLSv1.2, but your host system configuration permits only connections that use TLSv1.2 or higher. In this case, you cannot establish MySQL connections that use TLSv1 or TLSv1.1, even though MySQL is configured to permit them, because the host system does not permit them.
- If MySQL configuration permits TLSv1, TLSv1.1, and TLSv1.2, but your host system configuration permits only connections that use TLSv1.3 or higher, you cannot establish MySQL connections at all, because no protocol permitted by MySQL is permitted by the host system.

Workarounds for this issue include:

- Change the system-wide host configuration to permit additional TLS protocols. Consult your operating system documentation for instructions. For example, your system may have an `/etc/ssl/openssl.cnf` file that contains these lines to restrict TLS protocols to TLSv1.2 or higher:

  ```
  [system_default_sect]
  MinProtocol = TLSv1.2
  ```

  Changing the value to a lower protocol version or `None` makes the system more permissive. This workaround has the disadvantage that permitting lower (less secure) protocols may have adverse security consequences.

- If you cannot or prefer not to change the host system TLS configuration, change MySQL applications to use higher (more secure) TLS protocols that are permitted by the host system. This may not be possible for older versions of MySQL that support only lower protocol versions. For example, TLSv1 is the only supported protocol prior to MySQL 5.6.46, so attempts to connect to a pre-5.6.46 server fail even if the client is from a newer MySQL version that supports higher protocol versions. In such cases, an upgrade to a version of MySQL that supports additional TLS versions may be required.

Removal of Support for the TLSv1 and TLSv1.1 Protocols

Support for the TLSv1 and TLSv1.1 connection protocols is removed as of MySQL 8.0.28. The protocols were deprecated from MySQL 8.0.26. For background information, refer to RFC 8996 (Deprecating TLS 1.0 and TLS 1.1). It is recommended that connections be made using the more-secure TLSv1.2 and TLSv1.3 protocols. TLSv1.3 requires that both the MySQL server and the client application are compiled with OpenSSL 1.1.1.

Support for TLSv1 and TLSv1.1 is removed because those protocol versions are old, released in 1996 and 2006, respectively. The algorithms used are weak and outdated. Unless you are using very old versions of MySQL Server or connectors, you are unlikely to have connections using TLSv1.0 or TLSv1.1. MySQL connectors and clients select the highest TLS version available by default.

In the releases where the TLSv1 and TLSv1.1 connection protocols are unsupported (from MySQL 8.0.28 onwards), clients, including MySQL Shell, that support a `--tls-version` option for specifying TLS protocols for connections to the MySQL server cannot make a TLS/SSL connection with the protocol set to TLSv1 or TLSv1.1. If a client attempts to connect using these protocols, for TCP connections, the connection fails, and an error is returned to the client. For socket connections, if `--ssl-mode` is set to `REQUIRED`, the connection fails, otherwise the connection is made but with TLS/SSL disabled.

On the server side, the following settings are changed from MySQL 8.0.28:

- The default values of the server's `tls_version` and `admin_tls_version` system variables no longer include TLSv1 and TLSv1.1.
- The default value of the Group Replication system variable `group_replication_recovery_tls_version` no longer includes TLSv1 and TLSv1.1.
- For asynchronous replication, replicas cannot set the protocol for connections to the source server to TLSv1 or TLSv1.1 (the `SOURCE_TLS_VERSION` option of the `CHANGE REPLICATION SOURCE TO` statement).

In the releases where the TLSv1 and TLSv1.1 connection protocols are deprecated (MySQL 8.0.26 and MySQL 8.0.27), the server writes a warning to the error log if they are included in the values of the

`tls_version` or `admin_tls_version` system variable, and if a client successfully connects using them. A warning is also returned if you set the deprecated protocols at runtime and implement them using the `ALTER INSTANCE RELOAD TLS` statement. Clients, including replicas that specify TLS protocols for connections to the source server and Group Replication group members that specify TLS protocols for distributed recovery connections, do not issue warnings if they are configured to permit a deprecated TLS protocol.

For more information, see Does MySQL 8.0 support TLS 1.0 and 1.1?

Connection TLS Protocol Configuration

On the server side, the value of the `tls_version` system variable determines which TLS protocols a MySQL server permits for encrypted connections. The `tls_version` value applies to connections from clients, regular source/replica replication connections where this server instance is the source, Group Replication group communication connections, and Group Replication distributed recovery connections where this server instance is the donor. The administrative connection interface is configured similarly, but uses the `admin_tls_version` system variable (see Administrative Connection Management). This discussion applies to `admin_tls_version` as well.

The `tls_version` value is a list of one or more comma-separated TLS protocol versions, which is not case-sensitive. By default, this variable lists all protocols that are supported by the SSL library used to compile MySQL and by the MySQL Server release. The default settings are therefore as shown in Table 5.3, “MySQL Server TLS Protocol Default Settings”.

Table 5.3 MySQL Server TLS Protocol Default Settings

MySQL Server Release	`tls_version` Default Setting
MySQL 8.0.15 and below	`TLSv1,TLSv1.1,TLSv1.2`

MySQL 8.0.16 and MySQL 8.0.17	`TLSv1,TLSv1.1,TLSv1.2,TLSv1.3 (with OpenSSL 1.1.1)`
	
	`TLSv1,TLSv1.1,TLSv1.2 (otherwise)`
	
	Group Replication does not support TLSv1.3

MySQL 8.0.18 through MySQL 8.0.25	`TLSv1,TLSv1.1,TLSv1.2,TLSv1.3 (with OpenSSL 1.1.1)`
	
	`TLSv1,TLSv1.1,TLSv1.2 (otherwise)`
	
	Group Replication supports TLSv1.3

MySQL 8.0.26 and MySQL 8.0.27	`TLSv1,TLSv1.1,TLSv1.2,TLSv1.3 (with OpenSSL 1.1.1)`
	
	`TLSv1,TLSv1.1,TLSv1.2 (otherwise)`
	
	TLSv1 and TLSv1.1 are deprecated

MySQL 8.0.28 and above	`TLSv1.2,TLSv1.3`

To determine the value of `tls_version` at runtime, use this statement:

```
mysql> SHOW GLOBAL VARIABLES LIKE 'tls_version';
+---------------+-----------------------+
| Variable_name | Value                 |
+---------------+-----------------------+
| tls_version   | TLSv1.2,TLSv1.3       |
+---------------+-----------------------+
```

To change the value of `tls_version`, set it at server startup. For example, to permit connections that use the TLSv1.2 or TLSv1.3 protocol, but prohibit connections that use the less-secure TLSv1 and TLSv1.1 protocols, use these lines in the server `my.cnf` file:

```
[mysqld]
tls_version=TLSv1.2,TLSv1.3
```

To be even more restrictive and permit only TLSv1.3 connections, set `tls_version` like this:

```
[mysqld]
tls_version=TLSv1.3
```

As of MySQL 8.0.16, `tls_version` can be changed at runtime. See Server-Side Runtime Configuration and Monitoring for Encrypted Connections.

On the client side, the `--tls-version` option specifies which TLS protocols a client program permits for connections to the server. The format of the option value is the same as for the `tls_version` system variable described previously (a list of one or more comma-separated protocol versions).

For source/replica replication connections where this server instance is the replica, the `SOURCE_TLS_VERSION` | `MASTER_TLS_VERSION` option for the `CHANGE REPLICATION SOURCE TO` statement (from MySQL 8.0.23) or `CHANGE MASTER TO` statement (before MySQL 8.0.23) specifies which TLS protocols the replica permits for connections to the source. The format of the option value is the same as for the `tls_version` system variable described previously. See Setting Up Replication to Use Encrypted Connections.

The protocols that can be specified for `SOURCE_TLS_VERSION` | `MASTER_TLS_VERSION` depend on the SSL library. This option is independent of and not affected by the server `tls_version` value. For example, a server that acts as a replica can be configured with `tls_version` set to TLSv1.3 to permit only incoming connections that use TLSv1.3, but also configured with `SOURCE_TLS_VERSION` | `MASTER_TLS_VERSION` set to TLSv1.2 to permit only TLSv1.2 for outgoing replica connections to the source.

For Group Replication distributed recovery connections where this server instance is the joining member that initiates distributed recovery (that is, the client), the `group_replication_recovery_tls_version` system variable specifies which protocols are permitted by the client. Again, this option is independent of and not affected by the server `tls_version` value, which applies when this server instance is the donor. A Group Replication server generally participates in distributed recovery both as a donor and as a joining member over the course of its group membership, so both these system variables should be set. See Securing Group Communication Connections with Secure Socket Layer (SSL).

TLS protocol configuration affects which protocol a given connection uses, as described in Connection TLS Protocol Negotiation.

Permitted protocols should be chosen such as not to leave “holes” in the list. For example, these server configuration values do not have holes:

```
tls_version=TLSv1,TLSv1.1,TLSv1.2,TLSv1.3
tls_version=TLSv1.1,TLSv1.2,TLSv1.3
tls_version=TLSv1.2,TLSv1.3
tls_version=TLSv1.3
```

These values do have holes and should not be used:

```
tls_version=TLSv1,TLSv1.2       (TLSv1.1 is missing)
tls_version=TLSv1.1,TLSv1.3     (TLSv1.2 is missing)
```

The prohibition on holes also applies in other configuration contexts, such as for clients or replicas.

Unless you intend to disable encrypted connections, the list of permitted protocols should not be empty. If you set a TLS version parameter to the empty string, encrypted connections cannot be established:

- `tls_version`: The server does not permit encrypted incoming connections.
- `--tls-version`: The client does not permit encrypted outgoing connections to the server.

- `SOURCE_TLS_VERSION` | `MASTER_TLS_VERSION`: The replica does not permit encrypted outgoing connections to the source.
- `group_replication_recovery_tls_version`: The joining member does not permit encrypted connections to the distributed recovery connection.

Connection Cipher Configuration

A default set of ciphers applies to encrypted connections, which can be overridden by explicitly configuring the permitted ciphers. During connection establishment, both sides of a connection must permit some cipher in common or the connection fails. Of the permitted ciphers common to both sides, the SSL library chooses the one supported by the provided certificate that has the highest priority.

To specify a cipher or ciphers applicable for encrypted connections that use TLS protocols up through TLSv1.2:

- Set the `ssl_cipher` system variable on the server side, and use the `--ssl-cipher` option for client programs.
- For regular source/replica replication connections, where this server instance is the source, set the `ssl_cipher` system variable. Where this server instance is the replica, use the `SOURCE_SSL_CIPHER` | `MASTER_SSL_CIPHER` option for the `CHANGE REPLICATION SOURCE TO` statement (from MySQL 8.0.23) or `CHANGE MASTER TO` statement (before MySQL 8.0.23). See Setting Up Replication to Use Encrypted Connections.
- For a Group Replication group member, for Group Replication group communication connections and also for Group Replication distributed recovery connections where this server instance is the donor, set the `ssl_cipher` system variable. For Group Replication distributed recovery connections where this server instance is the joining member, use the `group_replication_recovery_ssl_cipher` system variable. See Securing Group Communication Connections with Secure Socket Layer (SSL).

For encrypted connections that use TLSv1.3, OpenSSL 1.1.1 and higher supports the following ciphersuites, the first three of which are enabled by default:

```
TLS_AES_128_GCM_SHA256
TLS_AES_256_GCM_SHA384
TLS_CHACHA20_POLY1305_SHA256
TLS_AES_128_CCM_SHA256
```

> **Note**
>
> Prior to MySQL 8.0.35, `TLS_AES_128_CCM_8_SHA256` was supported for use with server system variables `--tls-ciphersuites` or `--admin-tls-ciphersuites`. `TLS_AES_128_CCM_8_SHA256` generates a deprecation warning if configured for MySQL 8.0.35 and higher.

To configure the permitted TLSv1.3 ciphersuites explicitly, set the following parameters. In each case, the configuration value is a list of zero or more colon-separated ciphersuite names.

- On the server side, use the `tls_ciphersuites` system variable. If this variable is not set, its default value is `NULL`, which means that the server permits the default set of ciphersuites. If the variable is set to the empty string, no ciphersuites are enabled and encrypted connections cannot be established.
- On the client side, use the `--tls-ciphersuites` option. If this option is not set, the client permits the default set of ciphersuites. If the option is set to the empty string, no ciphersuites are enabled and encrypted connections cannot be established.
- For regular source/replica replication connections, where this server instance is the source, use the `tls_ciphersuites` system variable. Where this server instance is the replica, use

the `SOURCE_TLS_CIPHERSUITES` | `MASTER_TLS_CIPHERSUITES` option for the `CHANGE REPLICATION SOURCE TO` statement (from MySQL 8.0.23) or `CHANGE MASTER TO` statement (before MySQL 8.0.23). See Setting Up Replication to Use Encrypted Connections.

- For a Group Replication group member, for Group Replication group communication connections and also for Group Replication distributed recovery connections where this server instance is the donor, use the `tls_ciphersuites` system variable. For Group Replication distributed recovery connections where this server instance is the joining member, use the `group_replication_recovery_tls_ciphersuites` system variable. See Securing Group Communication Connections with Secure Socket Layer (SSL).

  > **Note**
  >
  > Ciphersuite support is available as of MySQL 8.0.16, but requires that both the MySQL server and the client application be compiled using OpenSSL 1.1.1 or higher.
  >
  > In MySQL 8.0.16 through 8.0.18, the `group_replication_recovery_tls_ciphersuites` system variable and the `SOURCE_TLS_CIPHERSUITES` | `MASTER_TLS_CIPHERSUITES` option for the `CHANGE REPLICATION SOURCE TO` statement (from MySQL 8.0.23) or `CHANGE MASTER TO` statement (before MySQL 8.0.23) are not available. In these releases, if TLSv1.3 is used for source/replica replication connections, or in Group Replication for distributed recovery (supported from MySQL 8.0.18), the replication source or Group Replication donor servers must permit the use of at least one TLSv1.3 ciphersuite that is enabled by default. From MySQL 8.0.19, you can use the options to configure client support for any selection of ciphersuites, including only non-default ciphersuites if you want.

A given cipher may work only with particular TLS protocols, which affects the TLS protocol negotiation process. See Connection TLS Protocol Negotiation.

To determine which ciphers a given server supports, check the session value of the `Ssl_cipher_list` status variable:

```
SHOW SESSION STATUS LIKE 'Ssl_cipher_list';
```

The `Ssl_cipher_list` status variable lists the possible SSL ciphers (empty for non-SSL connections). If MySQL supports TLSv1.3, the value includes the possible TLSv1.3 ciphersuites.

> **Note**
>
> ECDSA ciphers only work in combination with an SSL certificate that uses ECDSA for the digital signature, and they do not work with certificates that use RSA. MySQL Server's automatic generation process for SSL certificates does not generate ECDSA signed certificates, it generates only RSA signed certificates. Do not select ECDSA ciphers unless you have an ECDSA certificate available to you.

For encrypted connections that use TLS.v1.3, MySQL uses the SSL library default ciphersuite list.

For encrypted connections that use TLS protocols up through TLSv1.2, MySQL passes the following default cipher list to the SSL library.

```
ECDHE-ECDSA-AES128-GCM-SHA256
ECDHE-ECDSA-AES256-GCM-SHA384
ECDHE-RSA-AES128-GCM-SHA256
ECDHE-RSA-AES256-GCM-SHA384
ECDHE-ECDSA-CHACHA20-POLY1305
ECDHE-RSA-CHACHA20-POLY1305
ECDHE-ECDSA-AES256-CCM
ECDHE-ECDSA-AES128-CCM
```

```
DHE-RSA-AES128-GCM-SHA256
DHE-RSA-AES256-GCM-SHA384
DHE-RSA-AES256-CCM
DHE-RSA-AES128-CCM
DHE-RSA-CHACHA20-POLY1305
```

These cipher restrictions are in place:

- As of MySQL 8.0.35, the following ciphers are deprecated and produce a warning when used with the server system variables `--ssl-cipher` and `--admin-ssl-cipher`:

```
ECDHE-ECDSA-AES128-SHA256
ECDHE-RSA-AES128-SHA256
ECDHE-ECDSA-AES256-SHA384
ECDHE-RSA-AES256-SHA384
DHE-DSS-AES128-GCM-SHA256
DHE-RSA-AES128-SHA256
DHE-DSS-AES128-SHA256
DHE-DSS-AES256-GCM-SHA384
DHE-RSA-AES256-SHA256
DHE-DSS-AES256-SHA256
ECDHE-RSA-AES128-SHA
ECDHE-ECDSA-AES128-SHA
ECDHE-RSA-AES256-SHA
ECDHE-ECDSA-AES256-SHA
DHE-DSS-AES128-SHA
DHE-RSA-AES128-SHA
TLS_DHE_DSS_WITH_AES_256_CBC_SHA
DHE-RSA-AES256-SHA
AES128-GCM-SHA256
DH-DSS-AES128-GCM-SHA256
ECDH-ECDSA-AES128-GCM-SHA256
AES256-GCM-SHA384
DH-DSS-AES256-GCM-SHA384
ECDH-ECDSA-AES256-GCM-SHA384
AES128-SHA256
DH-DSS-AES128-SHA256
ECDH-ECDSA-AES128-SHA256
AES256-SHA256
DH-DSS-AES256-SHA256
ECDH-ECDSA-AES256-SHA384
AES128-SHA
DH-DSS-AES128-SHA
ECDH-ECDSA-AES128-SHA
AES256-SHA
DH-DSS-AES256-SHA
ECDH-ECDSA-AES256-SHA
DH-RSA-AES128-GCM-SHA256
ECDH-RSA-AES128-GCM-SHA256
DH-RSA-AES256-GCM-SHA384
ECDH-RSA-AES256-GCM-SHA384
DH-RSA-AES128-SHA256
ECDH-RSA-AES128-SHA256
DH-RSA-AES256-SHA256
ECDH-RSA-AES256-SHA384
ECDHE-RSA-AES128-SHA
ECDHE-ECDSA-AES128-SHA
ECDHE-RSA-AES256-SHA
ECDHE-ECDSA-AES256-SHA
DHE-DSS-AES128-SHA
DHE-RSA-AES128-SHA
TLS_DHE_DSS_WITH_AES_256_CBC_SHA
DHE-RSA-AES256-SHA
AES128-SHA
DH-DSS-AES128-SHA
ECDH-ECDSA-AES128-SHA
AES256-SHA
DH-DSS-AES256-SHA
ECDH-ECDSA-AES256-SHA
DH-RSA-AES128-SHA
ECDH-RSA-AES128-SHA
DH-RSA-AES256-SHA
```

```
ECDH-RSA-AES256-SHA
DES-CBC3-SHA
```

- The following ciphers are permanently restricted:

```
!DHE-DSS-DES-CBC3-SHA
!DHE-RSA-DES-CBC3-SHA
!ECDH-RSA-DES-CBC3-SHA
!ECDH-ECDSA-DES-CBC3-SHA
!ECDHE-RSA-DES-CBC3-SHA
!ECDHE-ECDSA-DES-CBC3-SHA
```

- The following categories of ciphers are permanently restricted:

```
!aNULL
!eNULL
!EXPORT
!LOW
!MD5
!DES
!RC2
!RC4
!PSK
!SSLv3
```

If the server is started with the `ssl_cert` system variable set to a certificate that uses any of the preceding restricted ciphers or cipher categories, the server starts with support for encrypted connections disabled.

Connection TLS Protocol Negotiation

Connection attempts in MySQL negotiate use of the highest TLS protocol version available on both sides for which a protocol-compatible encryption cipher is available on both sides. The negotiation process depends on factors such as the SSL library used to compile the server and client, the TLS protocol and encryption cipher configuration, and which key size is used:

- For a connection attempt to succeed, the server and client TLS protocol configuration must permit some protocol in common.
- Similarly, the server and client encryption cipher configuration must permit some cipher in common. A given cipher may work only with particular TLS protocols, so a protocol available to the negotiation process is not chosen unless there is also a compatible cipher.
- If TLSv1.3 is available, it is used if possible. (This means that server and client configuration both must permit TLSv1.3, and both must also permit some TLSv1.3-compatible encryption cipher.) Otherwise, MySQL continues through the list of available protocols, using TLSv1.2 if possible, and so forth. Negotiation proceeds from more secure protocols to less secure. Negotiation order is independent of the order in which protocols are configured. For example, negotiation order is the same regardless of whether `tls_version` has a value of `TLSv1,TLSv1.1,TLSv1.2,TLSv1.3` or `TLSv1.3,TLSv1.2,TLSv1.1,TLSv1`.
- TLSv1.2 does not work with all ciphers that have a key size of 512 bits or less. To use this protocol with such a key, set the `ssl_cipher` system variable on the server side or use the `--ssl-cipher` client option to specify the cipher name explicitly:

```
AES128-SHA
AES128-SHA256
AES256-SHA
AES256-SHA256
CAMELLIA128-SHA
CAMELLIA256-SHA
DES-CBC3-SHA
DHE-RSA-AES256-SHA
RC4-MD5
RC4-SHA
SEED-SHA
```

- For better security, use a certificate with an RSA key size of at least 2048 bits.

If the server and client do not have a permitted protocol in common, and a protocol-compatible cipher in common, the server terminates the connection request. Examples:

- If the server is configured with `tls_version=TLSv1.1,TLSv1.2`:
  - Connection attempts fail for clients invoked with `--tls-version=TLSv1`, and for older clients that support only TLSv1.
  - Similarly, connection attempts fail for replicas configured with `MASTER_TLS_VERSION = 'TLSv1'`, and for older replicas that support only TLSv1.
- If the server is configured with `tls_version=TLSv1` or is an older server that supports only TLSv1:
  - Connection attempts fail for clients invoked with `--tls-version=TLSv1.1,TLSv1.2`.
  - Similarly, connection attempts fail for replicas configured with `MASTER_TLS_VERSION = 'TLSv1.1,TLSv1.2'`.

MySQL permits specifying a list of protocols to support. This list is passed directly down to the underlying SSL library and is ultimately up to that library what protocols it actually enables from the supplied list. Please refer to the MySQL source code and the OpenSSL `SSL_CTX_new()` documentation for information about how the SSL library handles this.

Monitoring Current Client Session TLS Protocol and Cipher

To determine which encryption TLS protocol and cipher the current client session uses, check the session values of the `Ssl_version` and `Ssl_cipher` status variables:

```
mysql> SELECT * FROM performance_schema.session_status
       WHERE VARIABLE_NAME IN ('Ssl_version','Ssl_cipher');
+---------------+-------------------------+
| VARIABLE_NAME | VARIABLE_VALUE          |
+---------------+-------------------------+
| Ssl_cipher    | DHE-RSA-AES128-GCM-SHA256 |
| Ssl_version   | TLSv1.2                 |
+---------------+-------------------------+
```

If the connection is not encrypted, both variables have an empty value.

5.3 Creating SSL and RSA Certificates and Keys

The following discussion describes how to create the files required for SSL and RSA support in MySQL. File creation can be performed using facilities provided by MySQL itself, or by invoking the `openssl` command directly.

SSL certificate and key files enable MySQL to support encrypted connections using SSL. See Section 5.1, “Configuring MySQL to Use Encrypted Connections”.

RSA key files enable MySQL to support secure password exchange over unencrypted connections for accounts authenticated by the `sha256_password` or `caching_sha2_password` plugin. See Section 6.1.3, “SHA-256 Pluggable Authentication”, and Section 6.1.2, “Caching SHA-2 Pluggable Authentication”.

5.3.1 Creating SSL and RSA Certificates and Keys using MySQL

MySQL provides these ways to create the SSL certificate and key files and RSA key-pair files required to support encrypted connections using SSL and secure password exchange using RSA over unencrypted connections, if those files are missing:

- The server can autogenerate these files at startup, for MySQL distributions.

- Users can invoke the `mysql_ssl_rsa_setup` utility manually (deprecated as of MySQL 8.0.34).
- For some distribution types, such as RPM and DEB packages, `mysql_ssl_rsa_setup` invocation occurs during data directory initialization. In this case, the MySQL distribution need not have been compiled using OpenSSL as long as the `openssl` command is available.

> **Important**
>
> Server autogeneration and `mysql_ssl_rsa_setup` help lower the barrier to using SSL by making it easier to generate the required files. However, certificates generated by these methods are self-signed, which may not be very secure. After you gain experience using such files, consider obtaining certificate/key material from a registered certificate authority.

> **Important**
>
> If a client connecting to a MySQL server instance uses an SSL certificate with the `extendedKeyUsage` extension (an X.509 v3 extension), the extended key usage must include client authentication (`clientAuth`). If the SSL certificate is only specified for server authentication (`serverAuth`) and other non-client certificate purposes, certificate verification fails and the client connection to the MySQL server instance fails. There is no `extendedKeyUsage` extension in SSL certificates generated by MySQL Server. If you use your own client certificate created in another way, ensure any `extendedKeyUsage` extension includes client authentication.

- Automatic SSL and RSA File Generation
- Manual SSL and RSA File Generation Using mysql_ssl_rsa_setup
- SSL and RSA File Characteristics

Automatic SSL and RSA File Generation

For MySQL distributions compiled using OpenSSL, the MySQL server has the capability of automatically generating missing SSL and RSA files at startup. The `auto_generate_certs`, `sha256_password_auto_generate_rsa_keys`, and `caching_sha2_password_auto_generate_rsa_keys` system variables control automatic generation of these files. These variables are enabled by default. They can be enabled at startup and inspected but not set at runtime.

At startup, the server automatically generates server-side and client-side SSL certificate and key files in the data directory if the `auto_generate_certs` system variable is enabled, no SSL options other than `--ssl` are specified, and the server-side SSL files are missing from the data directory. These files enable encrypted client connections using SSL; see Section 5.1, “Configuring MySQL to Use Encrypted Connections”.

1. The server checks the data directory for SSL files with the following names:

```
ca.pem
server-cert.pem
server-key.pem
```

2. If any of those files are present, the server creates no SSL files. Otherwise, it creates them, plus some additional files:

```
ca.pem               Self-signed CA certificate
ca-key.pem           CA private key
server-cert.pem      Server certificate
server-key.pem       Server private key
client-cert.pem      Client certificate
client-key.pem       Client private key
```

3. If the server autogenerates SSL files, it uses the names of the `ca.pem`, `server-cert.pem`, and `server-key.pem` files to set the corresponding system variables (`ssl_ca`, `ssl_cert`, `ssl_key`).

At startup, the server automatically generates RSA private/public key-pair files in the data directory if all of these conditions are true: The `sha256_password_auto_generate_rsa_keys` or `caching_sha2_password_auto_generate_rsa_keys` system variable is enabled; no RSA options are specified; the RSA files are missing from the data directory. These key-pair files enable secure password exchange using RSA over unencrypted connections for accounts authenticated by the `sha256_password` or `caching_sha2_password` plugin; see Section 6.1.3, "SHA-256 Pluggable Authentication", and Section 6.1.2, "Caching SHA-2 Pluggable Authentication".

1. The server checks the data directory for RSA files with the following names:

```
private_key.pem      Private member of private/public key pair
public_key.pem       Public member of private/public key pair
```

2. If any of these files are present, the server creates no RSA files. Otherwise, it creates them.

3. If the server autogenerates the RSA files, it uses their names to set the corresponding system variables (`sha256_password_private_key_path` and `sha256_password_public_key_path`; `caching_sha2_password_private_key_path` and `caching_sha2_password_public_key_path`).

Manual SSL and RSA File Generation Using mysql_ssl_rsa_setup

MySQL distributions include a `mysql_ssl_rsa_setup` utility (deprecated as of MySQL 8.0.34) that can be invoked manually to generate SSL and RSA files. This utility is included with all MySQL distributions, but it does require that the `openssl` command be available. For usage instructions, see mysql_ssl_rsa_setup — Create SSL/RSA Files.

SSL and RSA File Characteristics

SSL and RSA files created automatically by the server or by invoking `mysql_ssl_rsa_setup` have these characteristics:

- SSL and RSA have a size of 2048 bits.
- The SSL CA certificate is self signed.
- The SSL server and client certificates are signed with the CA certificate and key, using the `sha256WithRSAEncryption` signature algorithm.
- SSL certificates use these Common Name (CN) values, with the appropriate certificate type (CA, Server, Client):

```
ca.pem:         MySQL_Server_suffix_Auto_Generated_CA_Certificate
server-cert.pm: MySQL_Server_suffix_Auto_Generated_Server_Certificate
client-cert.pm: MySQL_Server_suffix_Auto_Generated_Client_Certificate
```

  The *`suffix`* value is based on the MySQL version number. For files generated by `mysql_ssl_rsa_setup`, the suffix can be specified explicitly using the `--suffix` option.

  For files generated by the server, if the resulting CN values exceed 64 characters, the *`_suffix`* portion of the name is omitted.

- SSL files have blank values for Country (C), State or Province (ST), Organization (O), Organization Unit Name (OU) and email address.
- SSL files created by the server or by `mysql_ssl_rsa_setup` are valid for ten years from the time of generation.
- RSA files do not expire.

- SSL files have different serial numbers for each certificate/key pair (1 for CA, 2 for Server, 3 for Client).
- Files created automatically by the server are owned by the account that runs the server. Files created using `mysql_ssl_rsa_setup` are owned by the user who invoked that program. This can be changed on systems that support the `chown()` system call if the program is invoked by `root` and the `--uid` option is given to specify the user who should own the files.
- On Unix and Unix-like systems, the file access mode is 644 for certificate files (that is, world readable) and 600 for key files (that is, accessible only by the account that runs the server).

To see the contents of an SSL certificate (for example, to check the range of dates over which it is valid), invoke `openssl` directly:

```
openssl x509 -text -in ca.pem
openssl x509 -text -in server-cert.pem
openssl x509 -text -in client-cert.pem
```

It is also possible to check SSL certificate expiration information using this SQL statement:

```
mysql> SHOW STATUS LIKE 'Ssl_server_not%';
+-----------------------+--------------------------+
| Variable_name         | Value                    |
+-----------------------+--------------------------+
| Ssl_server_not_after  | Apr 28 14:16:39 2027 GMT |
| Ssl_server_not_before | May  1 14:16:39 2017 GMT |
+-----------------------+--------------------------+
```

5.3.2 Creating SSL Certificates and Keys Using openssl

This section describes how to use the `openssl` command to set up SSL certificate and key files for use by MySQL servers and clients. The first example shows a simplified procedure such as you might use from the command line. The second shows a script that contains more detail. The first two examples are intended for use on Unix and both use the `openssl` command that is part of OpenSSL. The third example describes how to set up SSL files on Windows.

> **Note**
>
> There are easier alternatives to generating the files required for SSL than the procedure described here: Let the server autogenerate them or use the `mysql_ssl_rsa_setup` program (deprecated as of 8.0.34). See Section 5.3.1, “Creating SSL and RSA Certificates and Keys using MySQL”.

> **Important**
>
> Whatever method you use to generate the certificate and key files, the Common Name value used for the server and client certificates/keys must each differ from the Common Name value used for the CA certificate. Otherwise, the certificate and key files do not work for servers compiled using OpenSSL. A typical error in this case is:
>
> ```
> ERROR 2026 (HY000): SSL connection error:
> error:00000001:lib(0):func(0):reason(1)
> ```

> **Important**
>
> If a client connecting to a MySQL server instance uses an SSL certificate with the `extendedKeyUsage` extension (an X.509 v3 extension), the extended key usage must include client authentication (`clientAuth`). If the SSL certificate is only specified for server authentication (`serverAuth`) and other non-client certificate purposes, certificate verification fails and the client connection to the MySQL server instance fails. There is no `extendedKeyUsage` extension in SSL certificates created using the `openssl` command following the instructions

in this topic. If you use your own client certificate created in another way, ensure any `extendedKeyUsage` extension includes client authentication.

- Example 1: Creating SSL Files from the Command Line on Unix
- Example 2: Creating SSL Files Using a Script on Unix
- Example 3: Creating SSL Files on Windows

Example 1: Creating SSL Files from the Command Line on Unix

The following example shows a set of commands to create MySQL server and client certificate and key files. You must respond to several prompts by the `openssl` commands. To generate test files, you can press Enter to all prompts. To generate files for production use, you should provide nonempty responses.

```
# Create clean environment
rm -rf newcerts
mkdir newcerts && cd newcerts
# Create CA certificate
openssl genrsa 2048 > ca-key.pem
openssl req -new -x509 -nodes -days 3600 \
        -key ca-key.pem -out ca.pem
# Create server certificate, remove passphrase, and sign it
# server-cert.pem = public key, server-key.pem = private key
openssl req -newkey rsa:2048 -days 3600 \
        -nodes -keyout server-key.pem -out server-req.pem
openssl rsa -in server-key.pem -out server-key.pem
openssl x509 -req -in server-req.pem -days 3600 \
        -CA ca.pem -CAkey ca-key.pem -set_serial 01 -out server-cert.pem
# Create client certificate, remove passphrase, and sign it
# client-cert.pem = public key, client-key.pem = private key
openssl req -newkey rsa:2048 -days 3600 \
        -nodes -keyout client-key.pem -out client-req.pem
openssl rsa -in client-key.pem -out client-key.pem
openssl x509 -req -in client-req.pem -days 3600 \
        -CA ca.pem -CAkey ca-key.pem -set_serial 01 -out client-cert.pem
```

After generating the certificates, verify them:

```
openssl verify -CAfile ca.pem server-cert.pem client-cert.pem
```

You should see a response like this:

```
server-cert.pem: OK
client-cert.pem: OK
```

To see the contents of a certificate (for example, to check the range of dates over which a certificate is valid), invoke `openssl` like this:

```
openssl x509 -text -in ca.pem
openssl x509 -text -in server-cert.pem
openssl x509 -text -in client-cert.pem
```

Now you have a set of files that can be used as follows:

- `ca.pem`: Use this to set the `ssl_ca` system variable on the server side and the `--ssl-ca` option on the client side. (The CA certificate, if used, must be the same on both sides.)
- `server-cert.pem`, `server-key.pem`: Use these to set the `ssl_cert` and `ssl_key` system variables on the server side.
- `client-cert.pem`, `client-key.pem`: Use these as the arguments to the `--ssl-cert` and `--ssl-key` options on the client side.

For additional usage instructions, see Section 5.1, “Configuring MySQL to Use Encrypted Connections”.

Example 2: Creating SSL Files Using a Script on Unix

Here is an example script that shows how to set up SSL certificate and key files for MySQL. After executing the script, use the files for SSL connections as described in Section 5.1, “Configuring MySQL to Use Encrypted Connections”.

```
DIR=`pwd`/openssl
PRIV=$DIR/private
mkdir $DIR $PRIV $DIR/newcerts
cp /usr/share/ssl/openssl.cnf $DIR
replace ./demoCA $DIR -- $DIR/openssl.cnf
# Create necessary files: $database, $serial and $new_certs_dir
# directory (optional)
touch $DIR/index.txt
echo "01" > $DIR/serial
#
# Generation of Certificate Authority(CA)
#
openssl req -new -x509 -keyout $PRIV/cakey.pem -out $DIR/ca.pem \
    -days 3600 -config $DIR/openssl.cnf
# Sample output:
# Using configuration from /home/jones/openssl/openssl.cnf
# Generating a 1024 bit RSA private key
# ................++++++
# .........++++++
# writing new private key to '/home/jones/openssl/private/cakey.pem'
# Enter PEM pass phrase:
# Verifying password - Enter PEM pass phrase:
# -----
# You are about to be asked to enter information to be
# incorporated into your certificate request.
# What you are about to enter is what is called a Distinguished Name
# or a DN.
# There are quite a few fields but you can leave some blank
# For some fields there will be a default value,
# If you enter '.', the field will be left blank.
# -----
# Country Name (2 letter code) [AU]:FI
# State or Province Name (full name) [Some-State]:.
# Locality Name (eg, city) []:
# Organization Name (eg, company) [Internet Widgits Pty Ltd]:MySQL AB
# Organizational Unit Name (eg, section) []:
# Common Name (eg, YOUR name) []:MySQL admin
# Email Address []:
#
# Create server request and key
#
openssl req -new -keyout $DIR/server-key.pem -out \
    $DIR/server-req.pem -days 3600 -config $DIR/openssl.cnf
# Sample output:
# Using configuration from /home/jones/openssl/openssl.cnf
# Generating a 1024 bit RSA private key
# ..++++++
# ..........++++++
# writing new private key to '/home/jones/openssl/server-key.pem'
# Enter PEM pass phrase:
# Verifying password - Enter PEM pass phrase:
# -----
# You are about to be asked to enter information that will be
# incorporated into your certificate request.
# What you are about to enter is what is called a Distinguished Name
# or a DN.
# There are quite a few fields but you can leave some blank
# For some fields there will be a default value,
# If you enter '.', the field will be left blank.
# -----
# Country Name (2 letter code) [AU]:FI
# State or Province Name (full name) [Some-State]:.
# Locality Name (eg, city) []:
# Organization Name (eg, company) [Internet Widgits Pty Ltd]:MySQL AB
# Organizational Unit Name (eg, section) []:
```

```
# Common Name (eg, YOUR name) []:MySQL server
# Email Address []:
#
# Please enter the following 'extra' attributes
# to be sent with your certificate request
# A challenge password []:
# An optional company name []:
#
# Remove the passphrase from the key
#
openssl rsa -in $DIR/server-key.pem -out $DIR/server-key.pem
#
# Sign server cert
#
openssl ca -cert $DIR/ca.pem -policy policy_anything \
    -out $DIR/server-cert.pem -config $DIR/openssl.cnf \
    -infiles $DIR/server-req.pem
# Sample output:
# Using configuration from /home/jones/openssl/openssl.cnf
# Enter PEM pass phrase:
# Check that the request matches the signature
# Signature ok
# The Subjects Distinguished Name is as follows
# countryName           :PRINTABLE:'FI'
# organizationName      :PRINTABLE:'MySQL AB'
# commonName            :PRINTABLE:'MySQL admin'
# Certificate is to be certified until Sep 13 14:22:46 2003 GMT
# (365 days)
# Sign the certificate? [y/n]:y
#
#
# 1 out of 1 certificate requests certified, commit? [y/n]y
# Write out database with 1 new entries
# Data Base Updated
#
# Create client request and key
#
openssl req -new -keyout $DIR/client-key.pem -out \
    $DIR/client-req.pem -days 3600 -config $DIR/openssl.cnf
# Sample output:
# Using configuration from /home/jones/openssl/openssl.cnf
# Generating a 1024 bit RSA private key
# ....................................++++++
# ..........................................++++++
# writing new private key to '/home/jones/openssl/client-key.pem'
# Enter PEM pass phrase:
# Verifying password - Enter PEM pass phrase:
# -----
# You are about to be asked to enter information that will be
# incorporated into your certificate request.
# What you are about to enter is what is called a Distinguished Name
# or a DN.
# There are quite a few fields but you can leave some blank
# For some fields there will be a default value,
# If you enter '.', the field will be left blank.
# -----
# Country Name (2 letter code) [AU]:FI
# State or Province Name (full name) [Some-State]:.
# Locality Name (eg, city) []:
# Organization Name (eg, company) [Internet Widgits Pty Ltd]:MySQL AB
# Organizational Unit Name (eg, section) []:
# Common Name (eg, YOUR name) []:MySQL user
# Email Address []:
#
# Please enter the following 'extra' attributes
# to be sent with your certificate request
# A challenge password []:
# An optional company name []:
#
# Remove the passphrase from the key
#
openssl rsa -in $DIR/client-key.pem -out $DIR/client-key.pem
```

```
#
# Sign client cert
#
openssl ca -cert $DIR/ca.pem -policy policy_anything \
    -out $DIR/client-cert.pem -config $DIR/openssl.cnf \
    -infiles $DIR/client-req.pem
# Sample output:
# Using configuration from /home/jones/openssl/openssl.cnf
# Enter PEM pass phrase:
# Check that the request matches the signature
# Signature ok
# The Subjects Distinguished Name is as follows
# countryName           :PRINTABLE:'FI'
# organizationName      :PRINTABLE:'MySQL AB'
# commonName            :PRINTABLE:'MySQL user'
# Certificate is to be certified until Sep 13 16:45:17 2003 GMT
# (365 days)
# Sign the certificate? [y/n]:y
#
#
# 1 out of 1 certificate requests certified, commit? [y/n]y
# Write out database with 1 new entries
# Data Base Updated
#
# Create a my.cnf file that you can use to test the certificates
#
cat <<EOF > $DIR/my.cnf
[client]
ssl-ca=$DIR/ca.pem
ssl-cert=$DIR/client-cert.pem
ssl-key=$DIR/client-key.pem
[mysqld]
ssl_ca=$DIR/ca.pem
ssl_cert=$DIR/server-cert.pem
ssl_key=$DIR/server-key.pem
EOF
```

Example 3: Creating SSL Files on Windows

Download OpenSSL for Windows if it is not installed on your system. An overview of available packages can be seen here:

```
http://www.slproweb.com/products/Win32OpenSSL.html
```

Choose the Win32 OpenSSL Light or Win64 OpenSSL Light package, depending on your architecture (32-bit or 64-bit). The default installation location is `C:\OpenSSL-Win32` or `C:\OpenSSL-Win64`, depending on which package you downloaded. The following instructions assume a default location of `C:\OpenSSL-Win32`. Modify this as necessary if you are using the 64-bit package.

If a message occurs during setup indicating `'...critical component is missing: Microsoft Visual C++ 2019 Redistributables'`, cancel the setup and download one of the following packages as well, again depending on your architecture (32-bit or 64-bit):

- Visual C++ 2008 Redistributables (x86), available at:

  ```
  http://www.microsoft.com/downloads/details.aspx?familyid=9B2DA534-3E03-4391-8A4D-074B9F2BC1BF
  ```

- Visual C++ 2008 Redistributables (x64), available at:

  ```
  http://www.microsoft.com/downloads/details.aspx?familyid=bd2a6171-e2d6-4230-b809-9a8d7548c1b6
  ```

After installing the additional package, restart the OpenSSL setup procedure.

During installation, leave the default `C:\OpenSSL-Win32` as the install path, and also leave the default option `'Copy OpenSSL DLL files to the Windows system directory'` selected.

When the installation has finished, add `C:\OpenSSL-Win32\bin` to the Windows System Path variable of your server (depending on your version of Windows, the following path-setting instructions might differ slightly):

1. On the Windows desktop, right-click the **My Computer** icon, and select **Properties**.
2. Select the **Advanced** tab from the **System Properties** menu that appears, and click the **Environment Variables** button.
3. Under **System Variables**, select **Path**, then click the **Edit** button. The **Edit System Variable** dialogue should appear.
4. Add `';C:\OpenSSL-Win32\bin'` to the end (notice the semicolon).
5. Press OK 3 times.
6. Check that OpenSSL was correctly integrated into the Path variable by opening a new command console (`Start>Run>cmd.exe`) and verifying that OpenSSL is available:

```
Microsoft Windows [Version ...]
Copyright (c) 2006 Microsoft Corporation. All rights reserved.
C:\Windows\system32>cd \
C:\>openssl
OpenSSL> exit <<< If you see the OpenSSL prompt, installation was successful.
C:\>
```

After OpenSSL has been installed, use instructions similar to those from Example 1 (shown earlier in this section), with the following changes:

- Change the following Unix commands:

```
# Create clean environment
rm -rf newcerts
mkdir newcerts && cd newcerts
```

  On Windows, use these commands instead:

```
# Create clean environment
md c:\newcerts
cd c:\newcerts
```

- When a `'\'` character is shown at the end of a command line, this `'\'` character must be removed and the command lines entered all on a single line.

After generating the certificate and key files, to use them for SSL connections, see Section 5.1, “Configuring MySQL to Use Encrypted Connections”.

5.3.3 Creating RSA Keys Using openssl

This section describes how to use the `openssl` command to set up the RSA key files that enable MySQL to support secure password exchange over unencrypted connections for accounts authenticated by the `sha256_password` and `caching_sha2_password` plugins.

> **Note**
>
> There are easier alternatives to generating the files required for RSA than the procedure described here: Let the server autogenerate them or use the `mysql_ssl_rsa_setup` program (deprecated as of MySQL 8.0.34). See Section 5.3.1, “Creating SSL and RSA Certificates and Keys using MySQL”.

To create the RSA private and public key-pair files, run these commands while logged into the system account used to run the MySQL server so that the files are owned by that account:

```
openssl genrsa -out private_key.pem 2048
openssl rsa -in private_key.pem -pubout -out public_key.pem
```

Those commands create 2,048-bit keys. To create stronger keys, use a larger value.

Then set the access modes for the key files. The private key should be readable only by the server, whereas the public key can be freely distributed to client users:

```
chmod 400 private_key.pem
chmod 444 public_key.pem
```

5.4 Connecting to MySQL Remotely from Windows with SSH

This section describes how to get an encrypted connection to a remote MySQL server with SSH. The information was provided by David Carlson <dcarlson@mplcomm.com>.

1. Install an SSH client on your Windows machine. For a comparison of SSH clients, see http://en.wikipedia.org/wiki/Comparison_of_SSH_clients.
2. Start your Windows SSH client. Set `Host_Name = yourmysqlserver_URL_or_IP`. Set `userid=your_userid` to log in to your server. This `userid` value might not be the same as the user name of your MySQL account.
3. Set up port forwarding. Either do a remote forward (Set `local_port: 3306`, `remote_host: yourmysqlservername_or_ip`, `remote_port: 3306`) or a local forward (Set `port: 3306`, `host: localhost`, `remote port: 3306`).
4. Save everything, otherwise you must redo it the next time.
5. Log in to your server with the SSH session you just created.
6. On your Windows machine, start some ODBC application (such as Access).
7. Create a new file in Windows and link to MySQL using the ODBC driver the same way you normally do, except type in `localhost` for the MySQL host server, not `yourmysqlservername`.

At this point, you should have an ODBC connection to MySQL, encrypted using SSH.

5.5 Reusing SSL Sessions

As of MySQL 8.0.29, MySQL client programs may elect to resume a prior SSL session, provided that the server has the session in its runtime cache. This section describes the conditions that are favorable for SSL session reuse, the server variables used for managing and monitoring the session cache, and the client command-line options for storing and reusing session data.

- Server-Side Runtime Configuration and Monitoring for SSL Session Reuse
- Client-Side Configuration for SSL Session Reuse

Each full TLS exchange can be costly both in terms of computation and network overhead, less costly if TLSv1.3 is used. By extracting a session ticket from an established session and then submitting that ticket while establishing the next connection, the overall cost is reduced if the session can be reused. For example, consider the benefit of having web pages that can open multiple connections and generate faster.

In general, the following conditions must be satisfied before SSL sessions can be reused:

- The server must keep its session cache in memory.
- The server-side session cache timeout must not have expired.
- Each client has to maintain a cache of active sessions and keep it secure.

C applications can use the C API capabilities to enable session reuse for encrypted connections (see SSL Session Reuse).

Server-Side Runtime Configuration and Monitoring for SSL Session Reuse

To create the initial TLS context, the server uses the values that the context-related system variables have at startup. To expose the context values, the server also initializes a set of corresponding status variables. The following table shows the system variables that define the server's runtime session cache and the corresponding status variables that expose the currently active session-cache values.

Table 5.4 System and Status Variables for Session Reuse

System Variable Name	Corresponding Status Variable Name
`ssl_session_cache_mode`	`Ssl_session_cache_mode`
`ssl_session_cache_timeout`	`Ssl_session_cache_timeout`

> **Note**
>
> When the value of the `ssl_session_cache_mode` server variable is `ON`, which is the default mode, the value of the `Ssl_session_cache_mode` status variable is `SERVER`.

SSL session cache variables apply to both the `mysql_main` and `mysql_admin` TLS channels. Their values are also exposed as properties in the Performance Schema `tls_channel_status` table, along with the properties for any other active TLS contexts.

To reconfigure the SSL session cache at runtime, use this procedure:

1. Set each cache-related system variable that should be changed to its new value. For example, change the cache timeout value from the default (300 seconds) to 600 seconds:

   ```
   mysql> SET GLOBAL ssl_session_cache_timeout = 600;
   ```

   The members of each pair of system and status variables may have different values temporarily due to the way the reconfiguration procedure works.

   ```
   mysql> SHOW VARIABLES LIKE 'ssl_session_cache_timeout';
   +---------------------------+-------+
   | Variable_name             | Value |
   +---------------------------+-------+
   | ssl_session_cache_timeout | 600   |
   +---------------------------+-------+
   1 row in set (0.00 sec)
   mysql> SHOW STATUS LIKE 'Ssl_session_cache_timeout';
   +---------------------------+-------+
   | Variable_name             | Value |
   +---------------------------+-------+
   | Ssl_session_cache_timeout | 300   |
   +---------------------------+-------+
   1 row in set (0.00 sec)
   ```

   For additional information about setting variable values, see System Variable Assignment.

2. Execute `ALTER INSTANCE RELOAD TLS`. This statement reconfigures the active TLS context from the current values of the cache-related system variables. It also sets the cache-related status variables to reflect the new active cache values. The statement requires the `CONNECTION_ADMIN` privilege.

   ```
   mysql> ALTER INSTANCE RELOAD TLS;
   Query OK, 0 rows affected (0.01 sec)

   mysql> SHOW VARIABLES LIKE 'ssl_session_cache_timeout';
   +---------------------------+-------+
   | Variable_name             | Value |
   +---------------------------+-------+
   | ssl_session_cache_timeout | 600   |
   +---------------------------+-------+
   ```

```
1 row in set (0.00 sec)
mysql> SHOW STATUS LIKE 'Ssl_session_cache_timeout';
+---------------------------+-------+
| Variable_name             | Value |
+---------------------------+-------+
| Ssl_session_cache_timeout | 600   |
+---------------------------+-------+
1 row in set (0.00 sec)
```

New connections established after execution of `ALTER INSTANCE RELOAD TLS` use the new TLS context. Existing connections remain unaffected.

Client-Side Configuration for SSL Session Reuse

All MySQL client programs are capable of reusing a prior session for new encrypted connections made to the same server, provided that you stored the session data while the original connection was still active. Session data are stored to a file and you specify this file when you invoke the client again.

To store and reuse SSL session data, use this procedure:

1. Invoke `mysql` to establish an encrypted connection to a server running MySQL 8.0.29 or higher.
2. Use the `ssl_session_data_print` command to specify the path to a file where you can store the currently active session data securely. For example:

   ```
   mysql> ssl_session_data_print ~/private-dir/session.txt
   ```

   Session data are obtained in the form of a null-terminated, PEM encoded ANSI string. If you omit the path and file name, the string prints to standard output.
3. From the prompt of your command interpreter, invoke any MySQL client program to establish a new encrypted connection to the same server. To reuse the session data, specify the `--ssl-session-data` command-line option and the file argument.

   For example, establish a new connection using `mysql`:

   ```
   mysql -u admin -p --ssl-session-data=~/private-dir/session.txt
   ```

   and then `mysqlshow` client:

   ```
   mysqlshow -u admin -p --ssl-session-data=~/private-dir/session.txt
   Enter password: *****
   +--------------------+
   |     Databases      |
   +--------------------+
   | information_schema |
   | mysql              |
   | performance_schema |
   | sys                |
   | world              |
   +--------------------+
   ```

   In each example, the client attempts to resume the original session while it establishes a new connection to the same server.

   To confirm whether `mysql` reused a session, see the output from the `status` command. If the currently active `mysql` connection did resume the session, the status information includes `SSL session reused: true`.

In addition to `mysql` and `mysqlshow`, SSL session reuse applies to `mysqladmin`, `mysqlbinlog`, `mysqlcheck`, `mysqldump`, `mysqlimport`, `mysqlpump`, `mysqlslap`, `mysqltest`, `mysql_migrate_keyring`, `mysql_secure_installation`, and `mysql_upgrade`.

Several conditions may prevent the successful retrieval of session data. For instance, if the session is not fully connected, it is not an SSL session, the server has not yet sent the session data, or the SSL

session is simply not reusable. Even with properly stored session data, the server's session cache can time out. Regardless of the cause, an error is returned by default if you specify `--ssl-session-data` but the session cannot be reused. For example:

```
mysqlshow -u admin -p --ssl-session-data=~/private-dir/session.txt
Enter password: *****
ERROR:
--ssl-session-data specified but the session was not reused.
```

To suppress the error message, and to establish the connection by silently creating a new session instead, specify `--ssl-session-data-continue-on-failed-reuse` on the command line, along with `--ssl-session-data` . If the server's cache timeout has expired, you can store the session data again to the same file. The default server cache timeout can be extended (see Server-Side Runtime Configuration and Monitoring for SSL Session Reuse).

Chapter 6 Security Components and Plugins

Table of Contents

6.1 Authentication Plugins 164
6.1.1 Native Pluggable Authentication 165
6.1.2 Caching SHA-2 Pluggable Authentication 165
6.1.3 SHA-256 Pluggable Authentication 171
6.1.4 Client-Side Cleartext Pluggable Authentication 175
6.1.5 PAM Pluggable Authentication 175
6.1.6 Windows Pluggable Authentication 186
6.1.7 LDAP Pluggable Authentication 190
6.1.8 Kerberos Pluggable Authentication 210
6.1.9 No-Login Pluggable Authentication 222
6.1.10 Socket Peer-Credential Pluggable Authentication 225
6.1.11 FIDO Pluggable Authentication 227
6.1.12 Test Pluggable Authentication 233
6.1.13 Pluggable Authentication System Variables 235
6.2 Connection Control Plugins 253
6.2.1 Connection Control Plugin Installation 253
6.2.2 Connection Control Plugin System and Status Variables 257
6.3 The Password Validation Component 259
6.3.1 Password Validation Component Installation and Uninstallation 261
6.3.2 Password Validation Options and Variables 261
6.3.3 Transitioning to the Password Validation Component 270
6.4 The MySQL Keyring 271
6.4.1 Keyring Components Versus Keyring Plugins 273
6.4.2 Keyring Component Installation 273
6.4.3 Keyring Plugin Installation 276
6.4.4 Using the component_keyring_file File-Based Keyring Component 278
6.4.5 Using the component_keyring_encrypted_file Encrypted File-Based Keyring Component 281
6.4.6 Using the keyring_file File-Based Keyring Plugin 284
6.4.7 Using the keyring_encrypted_file Encrypted File-Based Keyring Plugin 285
6.4.8 Using the keyring_okv KMIP Plugin 286
6.4.9 Using the keyring_aws Amazon Web Services Keyring Plugin 291
6.4.10 Using the HashiCorp Vault Keyring Plugin 295
6.4.11 Using the Oracle Cloud Infrastructure Vault Keyring Component 302
6.4.12 Using the Oracle Cloud Infrastructure Vault Keyring Plugin 306
6.4.13 Supported Keyring Key Types and Lengths 309
6.4.14 Migrating Keys Between Keyring Keystores 310
6.4.15 General-Purpose Keyring Key-Management Functions 317
6.4.16 Plugin-Specific Keyring Key-Management Functions 324
6.4.17 Keyring Metadata 325
6.4.18 Keyring Command Options 325
6.4.19 Keyring System Variables 328
6.5 MySQL Enterprise Audit 343
6.5.1 Elements of MySQL Enterprise Audit 344
6.5.2 Installing or Uninstalling MySQL Enterprise Audit 344
6.5.3 MySQL Enterprise Audit Security Considerations 346
6.5.4 Audit Log File Formats 347
6.5.5 Configuring Audit Logging Characteristics 367
6.5.6 Reading Audit Log Files 377
6.5.7 Audit Log Filtering 381
6.5.8 Writing Audit Log Filter Definitions 385
6.5.9 Disabling Audit Logging 403

6.5.10 Legacy Mode Audit Log Filtering 404
6.5.11 Audit Log Reference 406
6.5.12 Audit Log Restrictions 427
6.6 The Audit Message Component 428
6.7 MySQL Enterprise Firewall 430
6.7.1 Elements of MySQL Enterprise Firewall 431
6.7.2 Installing or Uninstalling MySQL Enterprise Firewall 432
6.7.3 Using MySQL Enterprise Firewall 435
6.7.4 MySQL Enterprise Firewall Reference 448

MySQL includes several components and plugins that implement security features:

- Plugins for authenticating attempts by clients to connect to MySQL Server. Plugins are available for several authentication protocols. For general discussion of the authentication process, see Section 4.17, “Pluggable Authentication”. For characteristics of specific authentication plugins, see Section 6.1, “Authentication Plugins”.
- A password-validation component for implementing password strength policies and assessing the strength of potential passwords. See Section 6.3, “The Password Validation Component”.
- Keyring plugins that provide secure storage for sensitive information. See Section 6.4, “The MySQL Keyring”.
- (MySQL Enterprise Edition only) MySQL Enterprise Audit, implemented using a server plugin, uses the open MySQL Audit API to enable standard, policy-based monitoring and logging of connection and query activity executed on specific MySQL servers. Designed to meet the Oracle audit specification, MySQL Enterprise Audit provides an out of box, easy to use auditing and compliance solution for applications that are governed by both internal and external regulatory guidelines. See Section 6.5, “MySQL Enterprise Audit”.
- A function enables applications to add their own message events to the audit log. See Section 6.6, “The Audit Message Component”.
- (MySQL Enterprise Edition only) MySQL Enterprise Firewall, an application-level firewall that enables database administrators to permit or deny SQL statement execution based on matching against lists of accepted statement patterns. This helps harden MySQL Server against attacks such as SQL injection or attempts to exploit applications by using them outside of their legitimate query workload characteristics. See Section 6.7, “MySQL Enterprise Firewall”.
- (MySQL Enterprise Edition only) MySQL Enterprise Data Masking and De-Identification, implemented as a plugin library containing a plugin and a set of functions. Data masking hides sensitive information by replacing real values with substitutes. MySQL Enterprise Data Masking and De-Identification functions enable masking existing data using several methods such as obfuscation (removing identifying characteristics), generation of formatted random data, and data replacement or substitution. See MySQL Enterprise Data Masking and De-Identification.

6.1 Authentication Plugins

> **Note**
>
> If you are looking for information about the `authentication_oci` plugin, it is MySQL HeatWave Service only. See authentication_oci plugin, in the *MySQL HeatWave Service* manual.

The following sections describe pluggable authentication methods available in MySQL and the plugins that implement these methods. For general discussion of the authentication process, see Section 4.17, “Pluggable Authentication”.

The default authentication plugin is determined as described in The Default Authentication Plugin.

6.1.1 Native Pluggable Authentication

MySQL includes a `mysql_native_password` plugin that implements native authentication; that is, authentication based on the password hashing method in use from before the introduction of pluggable authentication.

> **Note**
>
> The `mysql_native_password` authentication plugin is deprecated as of MySQL 8.0.34, disabled by default in MySQL 8.4, and removed as of MySQL 9.0.0.

The following table shows the plugin names on the server and client sides.

Table 6.1 Plugin and Library Names for Native Password Authentication

Plugin or File	Plugin or File Name
Server-side plugin	`mysql_native_password`
Client-side plugin	`mysql_native_password`
Library file	None (plugins are built in)

The following sections provide installation and usage information specific to native pluggable authentication:

- Installing Native Pluggable Authentication
- Using Native Pluggable Authentication

For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”.

Installing Native Pluggable Authentication

The `mysql_native_password` plugin exists in server and client forms:

- The server-side plugin is built into the server, need not be loaded explicitly, and cannot be disabled by unloading it.
- The client-side plugin is built into the `libmysqlclient` client library and is available to any program linked against `libmysqlclient`.

Using Native Pluggable Authentication

MySQL client programs use `mysql_native_password` by default. The `--default-auth` option can be used as a hint about which client-side plugin the program can expect to use:

```
$> mysql --default-auth=mysql_native_password ...
```

6.1.2 Caching SHA-2 Pluggable Authentication

MySQL provides two authentication plugins that implement SHA-256 hashing for user account passwords:

- `caching_sha2_password`: Implements SHA-256 authentication (like the deprecated `sha256_password`), but uses caching on the server side for better performance and has additional features for wider applicability.
- `sha256_password`: Implements basic SHA-256 authentication. This is deprecated as of MySQL 8.0.16 and subject to removal in the future.

This section describes the caching SHA-2 authentication plugin. For information about the original basic (noncaching) plugin, see Section 6.1.3, “SHA-256 Pluggable Authentication”.

> **Important**
>
> In MySQL 8.0, `caching_sha2_password` is the default authentication plugin rather than `mysql_native_password`. For information about the implications of this change for server operation and compatibility of the server with clients and connectors, see caching_sha2_password as the Preferred Authentication Plugin.

> **Important**
>
> To connect to the server using an account that authenticates with the `caching_sha2_password` plugin, you must use either a secure connection or an unencrypted connection that supports password exchange using an RSA key pair, as described later in this section. Either way, the `caching_sha2_password` plugin uses MySQL's encryption capabilities. See Chapter 5, *Using Encrypted Connections*.

> **Note**
>
> In the name `sha256_password`, “sha256” refers to the 256-bit digest length the plugin uses for encryption. In the name `caching_sha2_password`, “sha2” refers more generally to the SHA-2 class of encryption algorithms, of which 256-bit encryption is one instance. The latter name choice leaves room for future expansion of possible digest lengths without changing the plugin name.

The `caching_sha2_password` plugin has these advantages, compared to `sha256_password`:

- On the server side, an in-memory cache enables faster reauthentication of users who have connected previously when they connect again.
- RSA-based password exchange is available regardless of the SSL library against which MySQL is linked.
- Support is provided for client connections that use the Unix socket-file and shared-memory protocols.

The following table shows the plugin names on the server and client sides.

Table 6.2 Plugin and Library Names for SHA-2 Authentication

Plugin or File	Plugin or File Name
Server-side plugin	`caching_sha2_password`
Client-side plugin	`caching_sha2_password`
Library file	None (plugins are built in)

The following sections provide installation and usage information specific to caching SHA-2 pluggable authentication:

- Installing SHA-2 Pluggable Authentication
- Using SHA-2 Pluggable Authentication
- Cache Operation for SHA-2 Pluggable Authentication

For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”.

Installing SHA-2 Pluggable Authentication

The `caching_sha2_password` plugin exists in server and client forms:

- The server-side plugin is built into the server, need not be loaded explicitly, and cannot be disabled by unloading it.
- The client-side plugin is built into the `libmysqlclient` client library and is available to any program linked against `libmysqlclient`.

The server-side plugin uses the `sha2_cache_cleaner` audit plugin as a helper to perform password cache management. `sha2_cache_cleaner`, like `caching_sha2_password`, is built in and need not be installed.

Using SHA-2 Pluggable Authentication

To set up an account that uses the `caching_sha2_password` plugin for SHA-256 password hashing, use the following statement, where *`password`* is the desired account password:

```
CREATE USER 'sha2user'@'localhost'
IDENTIFIED WITH caching_sha2_password BY 'password';
```

The server assigns the `caching_sha2_password` plugin to the account and uses it to encrypt the password using SHA-256, storing those values in the `plugin` and `authentication_string` columns of the `mysql.user` system table.

The preceding instructions do not assume that `caching_sha2_password` is the default authentication plugin. If `caching_sha2_password` is the default authentication plugin, a simpler `CREATE USER` syntax can be used.

To start the server with the default authentication plugin set to `caching_sha2_password`, put these lines in the server option file:

```
[mysqld]
default_authentication_plugin=caching_sha2_password
```

That causes the `caching_sha2_password` plugin to be used by default for new accounts. As a result, it is possible to create the account and set its password without naming the plugin explicitly:

```
CREATE USER 'sha2user'@'localhost' IDENTIFIED BY 'password';
```

Another consequence of setting `default_authentication_plugin` to `caching_sha2_password` is that, to use some other plugin for account creation, you must specify that plugin explicitly. For example, to use the deprecated `mysql_native_password` plugin, use this statement:

```
CREATE USER 'nativeuser'@'localhost'
IDENTIFIED WITH mysql_native_password BY 'password';
```

`caching_sha2_password` supports connections over secure transport. If you follow the RSA configuration procedure given later in this section, it also supports encrypted password exchange using RSA over unencrypted connections. RSA support has these characteristics:

- On the server side, two system variables name the RSA private and public key-pair files: `caching_sha2_password_private_key_path` and `caching_sha2_password_public_key_path`. The database administrator must set these variables at server startup if the key files to use have names that differ from the system variable default values.
- The server uses the `caching_sha2_password_auto_generate_rsa_keys` system variable to determine whether to automatically generate the RSA key-pair files. See Section 5.3, “Creating SSL and RSA Certificates and Keys”.
- The `Caching_sha2_password_rsa_public_key` status variable displays the RSA public key value used by the `caching_sha2_password` authentication plugin.
- Clients that are in possession of the RSA public key can perform RSA key pair-based password exchange with the server during the connection process, as described later.

- For connections by accounts that authenticate with `caching_sha2_password` and RSA key pair-based password exchange, the server does not send the RSA public key to clients by default. Clients can use a client-side copy of the required public key, or request the public key from the server.

  Use of a trusted local copy of the public key enables the client to avoid a round trip in the client/server protocol, and is more secure than requesting the public key from the server. On the other hand, requesting the public key from the server is more convenient (it requires no management of a client-side file) and may be acceptable in secure network environments.

  - For command-line clients, use the `--server-public-key-path` option to specify the RSA public key file. Use the `--get-server-public-key` option to request the public key from the server. The following programs support the two options: `mysql`, `mysqlsh`, `mysqladmin`, `mysqlbinlog`, `mysqlcheck`, `mysqldump`, `mysqlimport`, `mysqlpump`, `mysqlshow`, `mysqlslap`, `mysqltest`, `mysql_upgrade`.

  - For programs that use the C API, call `mysql_options()` to specify the RSA public key file by passing the `MYSQL_SERVER_PUBLIC_KEY` option and the name of the file, or request the public key from the server by passing the `MYSQL_OPT_GET_SERVER_PUBLIC_KEY` option.

  - For replicas, use the `CHANGE REPLICATION SOURCE TO` statement (from MySQL 8.0.23) or `CHANGE MASTER TO` statement (before MySQL 8.0.23) with the `SOURCE_PUBLIC_KEY_PATH` | `MASTER_PUBLIC_KEY_PATH` option to specify the RSA public key file, or the `GET_SOURCE_PUBLIC_KEY` | `GET_MASTER_PUBLIC_KEY` option to request the public key from the source. For Group Replication, the `group_replication_recovery_public_key_path` and `group_replication_recovery_get_public_key` system variables serve the same purpose.

  In all cases, if the option is given to specify a valid public key file, it takes precedence over the option to request the public key from the server.

For clients that use the `caching_sha2_password` plugin, passwords are never exposed as cleartext when connecting to the server. How password transmission occurs depends on whether a secure connection or RSA encryption is used:

- If the connection is secure, an RSA key pair is unnecessary and is not used. This applies to TCP connections encrypted using TLS, as well as Unix socket-file and shared-memory connections. The password is sent as cleartext but cannot be snooped because the connection is secure.

- If the connection is not secure, an RSA key pair is used. This applies to TCP connections not encrypted using TLS and named-pipe connections. RSA is used only for password exchange between client and server, to prevent password snooping. When the server receives the encrypted password, it decrypts it. A scramble is used in the encryption to prevent repeat attacks.

To enable use of an RSA key pair for password exchange during the client connection process, use the following procedure:

1. Create the RSA private and public key-pair files using the instructions in Section 5.3, “Creating SSL and RSA Certificates and Keys”.

2. If the private and public key files are located in the data directory and are named `private_key.pem` and `public_key.pem` (the default values of the `caching_sha2_password_private_key_path` and `caching_sha2_password_public_key_path` system variables), the server uses them automatically at startup.

   Otherwise, to name the key files explicitly, set the system variables to the key file names in the server option file. If the files are located in the server data directory, you need not specify their full path names:

   ```
   [mysqld]
   caching_sha2_password_private_key_path=myprivkey.pem
   ```

```
caching_sha2_password_public_key_path=mypubkey.pem
```

If the key files are not located in the data directory, or to make their locations explicit in the system variable values, use full path names:

```
[mysqld]
caching_sha2_password_private_key_path=/usr/local/mysql/myprivkey.pem
caching_sha2_password_public_key_path=/usr/local/mysql/mypubkey.pem
```

3. If you want to change the number of hash rounds used by `caching_sha2_password` during password generation, set the `caching_sha2_password_digest_rounds` system variable. For example:

```
[mysqld]
caching_sha2_password_digest_rounds=10000
```

4. Restart the server, then connect to it and check the `Caching_sha2_password_rsa_public_key` status variable value. The value actually displayed differs from that shown here, but should be nonempty:

```
mysql> SHOW STATUS LIKE 'Caching_sha2_password_rsa_public_key'\G
*************************** 1. row ***************************
Variable_name: Caching_sha2_password_rsa_public_key
        Value: -----BEGIN PUBLIC KEY-----
MIGfMA0GCSqGSIb3DQEBAQUAA4GNADCBiQKBgQDO9nRUDd+KvSZgY7cNBZMNpwX6
MvE1PbJFXO7u18nJ9lwc99Du/E7lw6CVXw7VKrXPeHbVQUzGyUNkf45Nz/ckaaJa
aLgJOBCIDmNVnyU54OT/1lcs2xiyfaDMe8fCJ64ZwTnKbY2gkt1IMjUAB5Ogd5kJ
g8aV7EtKwyhHb0c30QIDAQAB
-----END PUBLIC KEY-----
```

If the value is empty, the server found some problem with the key files. Check the error log for diagnostic information.

After the server has been configured with the RSA key files, accounts that authenticate with the `caching_sha2_password` plugin have the option of using those key files to connect to the server. As mentioned previously, such accounts can use either a secure connection (in which case RSA is not used) or an unencrypted connection that performs password exchange using RSA. Suppose that an unencrypted connection is used. For example:

```
$> mysql --ssl-mode=DISABLED -u sha2user -p
Enter password: password
```

For this connection attempt by `sha2user`, the server determines that `caching_sha2_password` is the appropriate authentication plugin and invokes it (because that was the plugin specified at `CREATE USER` time). The plugin finds that the connection is not encrypted and thus requires the password to be transmitted using RSA encryption. However, the server does not send the public key to the client, and the client provided no public key, so it cannot encrypt the password and the connection fails:

```
ERROR 2061 (HY000): Authentication plugin 'caching_sha2_password'
reported error: Authentication requires secure connection.
```

To request the RSA public key from the server, specify the `--get-server-public-key` option:

```
$> mysql --ssl-mode=DISABLED -u sha2user -p --get-server-public-key
Enter password: password
```

In this case, the server sends the RSA public key to the client, which uses it to encrypt the password and returns the result to the server. The plugin uses the RSA private key on the server side to decrypt the password and accepts or rejects the connection based on whether the password is correct.

Alternatively, if the client has a file containing a local copy of the RSA public key required by the server, it can specify the file using the `--server-public-key-path` option:

```
$> mysql --ssl-mode=DISABLED -u sha2user -p --server-public-key-path=file_name
Enter password: password
```

In this case, the client uses the public key to encrypt the password and returns the result to the server. The plugin uses the RSA private key on the server side to decrypt the password and accepts or rejects the connection based on whether the password is correct.

The public key value in the file named by the `--server-public-key-path` option should be the same as the key value in the server-side file named by the `caching_sha2_password_public_key_path` system variable. If the key file contains a valid public key value but the value is incorrect, an access-denied error occurs. If the key file does not contain a valid public key, the client program cannot use it.

Client users can obtain the RSA public key two ways:

- The database administrator can provide a copy of the public key file.
- A client user who can connect to the server some other way can use a `SHOW STATUS LIKE 'Caching_sha2_password_rsa_public_key'` statement and save the returned key value in a file.

Cache Operation for SHA-2 Pluggable Authentication

On the server side, the `caching_sha2_password` plugin uses an in-memory cache for faster authentication of clients who have connected previously. Entries consist of account-name/password-hash pairs. The cache works like this:

1. When a client connects, `caching_sha2_password` checks whether the client and password match some cache entry. If so, authentication succeeds.
2. If there is no matching cache entry, the plugin attempts to verify the client against the credentials in the `mysql.user` system table. If this succeeds, `caching_sha2_password` adds an entry for the client to the hash. Otherwise, authentication fails and the connection is rejected.

In this way, when a client first connects, authentication against the `mysql.user` system table occurs. When the client connects subsequently, faster authentication against the cache occurs.

Password cache operations other than adding entries are handled by the `sha2_cache_cleaner` audit plugin, which performs these actions on behalf of `caching_sha2_password`:

- It clears the cache entry for any account that is renamed or dropped, or any account for which the credentials or authentication plugin are changed.
- It empties the cache when the `FLUSH PRIVILEGES` statement is executed.
- It empties the cache at server shutdown. (This means the cache is not persistent across server restarts.)

Cache clearing operations affect the authentication requirements for subsequent client connections. For each user account, the first client connection for the user after any of the following operations must use a secure connection (made using TCP using TLS credentials, a Unix socket file, or shared memory) or RSA key pair-based password exchange:

- After account creation.
- After a password change for the account.
- After `RENAME USER` for the account.
- After `FLUSH PRIVILEGES`.

`FLUSH PRIVILEGES` clears the entire cache and affects all accounts that use the `caching_sha2_password` plugin. The other operations clear specific cache entries and affect only accounts that are part of the operation.

Once the user authenticates successfully, the account is entered into the cache and subsequent connections do not require a secure connection or the RSA key pair, until another cache clearing

event occurs that affects the account. (When the cache can be used, the server uses a challenge-response mechanism that does not use cleartext password transmission and does not require a secure connection.)

6.1.3 SHA-256 Pluggable Authentication

MySQL provides two authentication plugins that implement SHA-256 hashing for user account passwords:

- `caching_sha2_password`: Implements SHA-256 authentication (like the deprecated `sha256_password`), but uses caching on the server side for better performance and has additional features for wider applicability.
- `sha256_password`: Implements basic SHA-256 authentication. This is deprecated as of MySQL 8.0.16 and subject to removal in the future.

This section describes the original noncaching SHA-2 authentication plugin. For information about the caching plugin, see Section 6.1.2, “Caching SHA-2 Pluggable Authentication”.

> **Important**
>
> In MySQL 8.0, `caching_sha2_password` is the default authentication plugin rather than `mysql_native_password`. For information about the implications of this change for server operation and compatibility of the server with clients and connectors, see caching_sha2_password as the Preferred Authentication Plugin.
>
> Because `caching_sha2_password` is the default authentication plugin in MySQL 8.0 and provides a superset of the capabilities of the `sha256_password` authentication plugin, `sha256_password` is deprecated; expect it to be removed in a future version of MySQL. MySQL accounts that authenticate using `sha256_password` should be migrated to use `caching_sha2_password` instead.

> **Important**
>
> To connect to the server using an account that authenticates with the `sha256_password` plugin, you must use either a TLS connection or an unencrypted connection that supports password exchange using an RSA key pair, as described later in this section. Either way, the `sha256_password` plugin uses MySQL's encryption capabilities. See Chapter 5, *Using Encrypted Connections*.

> **Note**
>
> In the name `sha256_password`, “sha256” refers to the 256-bit digest length the plugin uses for encryption. In the name `caching_sha2_password`, “sha2” refers more generally to the SHA-2 class of encryption algorithms, of which 256-bit encryption is one instance. The latter name choice leaves room for future expansion of possible digest lengths without changing the plugin name.

The following table shows the plugin names on the server and client sides.

Table 6.3 Plugin and Library Names for SHA-256 Authentication

Plugin or File	Plugin or File Name
Server-side plugin	`sha256_password`
Client-side plugin	`sha256_password`
Library file	None (plugins are built in)

The following sections provide installation and usage information specific to SHA-256 pluggable authentication:

- Installing SHA-256 Pluggable Authentication
- Using SHA-256 Pluggable Authentication

For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”.

Installing SHA-256 Pluggable Authentication

The `sha256_password` plugin exists in server and client forms:

- The server-side plugin is built into the server, need not be loaded explicitly, and cannot be disabled by unloading it.
- The client-side plugin is built into the `libmysqlclient` client library and is available to any program linked against `libmysqlclient`.

Using SHA-256 Pluggable Authentication

To set up an account that uses the deprecated `sha256_password` plugin for SHA-256 password hashing, use the following statement, where *`password`* is the desired account password:

```
CREATE USER 'sha256user'@'localhost'
IDENTIFIED WITH sha256_password BY 'password';
```

The server assigns the `sha256_password` plugin to the account and uses it to encrypt the password using SHA-256, storing those values in the `plugin` and `authentication_string` columns of the `mysql.user` system table.

The preceding instructions do not assume that `sha256_password` is the default authentication plugin. If `sha256_password` is the default authentication plugin, a simpler `CREATE USER` syntax can be used.

To start the server with the default authentication plugin set to `sha256_password`, put these lines in the server option file:

```
[mysqld]
default_authentication_plugin=sha256_password
```

That causes the `sha256_password` plugin to be used by default for new accounts. As a result, it is possible to create the account and set its password without naming the plugin explicitly:

```
CREATE USER 'sha256user'@'localhost' IDENTIFIED BY 'password';
```

Another consequence of setting `default_authentication_plugin` to `sha256_password` is that, to use some other plugin for account creation, you must specify that plugin explicitly. For example, to use the `mysql_native_password` plugin, use this statement:

```
CREATE USER 'nativeuser'@'localhost'
IDENTIFIED WITH mysql_native_password BY 'password';
```

`sha256_password` supports connections over secure transport. `sha256_password` also supports encrypted password exchange using RSA over unencrypted connections if MySQL is compiled using OpenSSL, and the MySQL server to which you wish to connect is configured to support RSA (using the RSA configuration procedure given later in this section).

RSA support has these characteristics:

- On the server side, two system variables name the RSA private and public key-pair files: `sha256_password_private_key_path` and `sha256_password_public_key_path`. The database administrator must set these variables at server startup if the key files to use have names that differ from the system variable default values.

- The server uses the `sha256_password_auto_generate_rsa_keys` system variable to determine whether to automatically generate the RSA key-pair files. See Section 5.3, “Creating SSL and RSA Certificates and Keys”.
- The `Rsa_public_key` status variable displays the RSA public key value used by the `sha256_password` authentication plugin.
- Clients that are in possession of the RSA public key can perform RSA key pair-based password exchange with the server during the connection process, as described later.
- For connections by accounts that authenticate with `sha256_password` and RSA public key pair-based password exchange, the server sends the RSA public key to the client as needed. However, if a copy of the public key is available on the client host, the client can use it to save a round trip in the client/server protocol:
  - For these command-line clients, use the `--server-public-key-path` option to specify the RSA public key file: `mysql`, `mysqladmin`, `mysqlbinlog`, `mysqlcheck`, `mysqldump`, `mysqlimport`, `mysqlpump`, `mysqlshow`, `mysqlslap`, `mysqltest`, `mysql_upgrade`.
  - For programs that use the C API, call `mysql_options()` to specify the RSA public key file by passing the `MYSQL_SERVER_PUBLIC_KEY` option and the name of the file.
  - For replicas, use the `CHANGE REPLICATION SOURCE TO` statement (from MySQL 8.0.23) or `CHANGE MASTER TO` statement (before MySQL 8.0.23) with the `SOURCE_PUBLIC_KEY_PATH` | `MASTER_PUBLIC_KEY_PATH` option to specify the RSA public key file. For Group Replication, the `group_replication_recovery_get_public_key` system variable serves the same purpose.

For clients that use the `sha256_password` plugin, passwords are never exposed as cleartext when connecting to the server. How password transmission occurs depends on whether a secure connection or RSA encryption is used:

- If the connection is secure, an RSA key pair is unnecessary and is not used. This applies to connections encrypted using TLS. The password is sent as cleartext but cannot be snooped because the connection is secure.

  > **Note**
  >
  > Unlike `caching_sha2_password`, the `sha256_password` plugin does not treat shared-memory connections as secure, even though share-memory transport is secure by default.

- If the connection is not secure, and an RSA key pair is available, the connection remains unencrypted. This applies to connections not encrypted using TLS. RSA is used only for password exchange between client and server, to prevent password snooping. When the server receives the encrypted password, it decrypts it. A scramble is used in the encryption to prevent repeat attacks.
- If a secure connection is not used and RSA encryption is not available, the connection attempt fails because the password cannot be sent without being exposed as cleartext.

  > **Note**
  >
  > To use RSA password encryption with `sha256_password`, the client and server both must be compiled using OpenSSL, not just one of them.

Assuming that MySQL has been compiled using OpenSSL, use the following procedure to enable use of an RSA key pair for password exchange during the client connection process:

1. Create the RSA private and public key-pair files using the instructions in Section 5.3, “Creating SSL and RSA Certificates and Keys”.
2. If the private and public key files are located in the data directory and are named `private_key.pem` and `public_key.pem` (the default values of the

`sha256_password_private_key_path` and `sha256_password_public_key_path` system variables), the server uses them automatically at startup.

Otherwise, to name the key files explicitly, set the system variables to the key file names in the server option file. If the files are located in the server data directory, you need not specify their full path names:

```
[mysqld]
sha256_password_private_key_path=myprivkey.pem
sha256_password_public_key_path=mypubkey.pem
```

If the key files are not located in the data directory, or to make their locations explicit in the system variable values, use full path names:

```
[mysqld]
sha256_password_private_key_path=/usr/local/mysql/myprivkey.pem
sha256_password_public_key_path=/usr/local/mysql/mypubkey.pem
```

3. Restart the server, then connect to it and check the `Rsa_public_key` status variable value. The value actually displayed differs from that shown here, but should be nonempty:

```
mysql> SHOW STATUS LIKE 'Rsa_public_key'\G
*************************** 1. row ***************************
Variable_name: Rsa_public_key
        Value: -----BEGIN PUBLIC KEY-----
MIGfMA0GCSqGSIb3DQEBAQUAA4GNADCBiQKBgQDO9nRUDd+KvSZgY7cNBZMNpwX6
MvE1PbJFXO7u18nJ9lwc99Du/E7lw6CVXw7VKrXPeHbVQUzGyUNkf45Nz/ckaaJa
aLgJOBCIDmNVnyU54OT/1lcs2xiyfaDMe8fCJ64ZwTnKbY2gkt1IMjUAB5Ogd5kJ
g8aV7EtKwyhHb0c30QIDAQAB
-----END PUBLIC KEY-----
```

If the value is empty, the server found some problem with the key files. Check the error log for diagnostic information.

After the server has been configured with the RSA key files, accounts that authenticate with the `sha256_password` plugin have the option of using those key files to connect to the server. As mentioned previously, such accounts can use either a secure connection (in which case RSA is not used) or an unencrypted connection that performs password exchange using RSA. Suppose that an unencrypted connection is used. For example:

```
$> mysql --ssl-mode=DISABLED -u sha256user -p
Enter password: password
```

For this connection attempt by `sha256user`, the server determines that `sha256_password` is the appropriate authentication plugin and invokes it (because that was the plugin specified at `CREATE USER` time). The plugin finds that the connection is not encrypted and thus requires the password to be transmitted using RSA encryption. In this case, the plugin sends the RSA public key to the client, which uses it to encrypt the password and returns the result to the server. The plugin uses the RSA private key on the server side to decrypt the password and accepts or rejects the connection based on whether the password is correct.

The server sends the RSA public key to the client as needed. However, if the client has a file containing a local copy of the RSA public key required by the server, it can specify the file using the `--server-public-key-path` option:

```
$> mysql --ssl-mode=DISABLED -u sha256user -p --server-public-key-path=file_name
Enter password: password
```

The public key value in the file named by the `--server-public-key-path` option should be the same as the key value in the server-side file named by the `sha256_password_public_key_path` system variable. If the key file contains a valid public key value but the value is incorrect, an access-denied error occurs. If the key file does not contain a valid public key, the client program cannot use it. In this case, the `sha256_password` plugin sends the public key to the client as if no `--server-public-key-path` option had been specified.

Client users can obtain the RSA public key two ways:

- The database administrator can provide a copy of the public key file.
- A client user who can connect to the server some other way can use a `SHOW STATUS LIKE 'Rsa_public_key'` statement and save the returned key value in a file.

6.1.4 Client-Side Cleartext Pluggable Authentication

A client-side authentication plugin is available that enables clients to send passwords to the server as cleartext, without hashing or encryption. This plugin is built into the MySQL client library.

The following table shows the plugin name.

Table 6.4 Plugin and Library Names for Cleartext Authentication

Plugin or File	Plugin or File Name
Server-side plugin	None, see discussion
Client-side plugin	`mysql_clear_password`
Library file	None (plugin is built in)

Many client-side authentication plugins perform hashing or encryption of a password before the client sends it to the server. This enables clients to avoid sending passwords as cleartext.

Hashing or encryption cannot be done for authentication schemes that require the server to receive the password as entered on the client side. In such cases, the client-side `mysql_clear_password` plugin is used, which enables the client to send the password to the server as cleartext. There is no corresponding server-side plugin. Rather, `mysql_clear_password` can be used on the client side in concert with any server-side plugin that needs a cleartext password. (Examples are the PAM and simple LDAP authentication plugins; see Section 6.1.5, “PAM Pluggable Authentication”, and Section 6.1.7, “LDAP Pluggable Authentication”.)

The following discussion provides usage information specific to cleartext pluggable authentication. For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”.

> **Note**
>
> Sending passwords as cleartext may be a security problem in some configurations. To avoid problems if there is any possibility that the password would be intercepted, clients should connect to MySQL Server using a method that protects the password. Possibilities include SSL (see Chapter 5, *Using Encrypted Connections*), IPsec, or a private network.

To make inadvertent use of the `mysql_clear_password` plugin less likely, MySQL clients must explicitly enable it. This can be done in several ways:

- Set the `LIBMYSQL_ENABLE_CLEARTEXT_PLUGIN` environment variable to a value that begins with `1`, `Y`, or `y`. This enables the plugin for all client connections.
- The `mysql`, `mysqladmin`, `mysqlcheck`, `mysqldump`, `mysqlshow`, and `mysqlslap` client programs support an `--enable-cleartext-plugin` option that enables the plugin on a per-invocation basis.
- The `mysql_options()` C API function supports a `MYSQL_ENABLE_CLEARTEXT_PLUGIN` option that enables the plugin on a per-connection basis. Also, any program that uses `libmysqlclient` and reads option files can enable the plugin by including an `enable-cleartext-plugin` option in an option group read by the client library.

6.1.5 PAM Pluggable Authentication

> **Note**
>
> PAM pluggable authentication is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

MySQL Enterprise Edition supports an authentication method that enables MySQL Server to use PAM (Pluggable Authentication Modules) to authenticate MySQL users. PAM enables a system to use a standard interface to access various kinds of authentication methods, such as traditional Unix passwords or an LDAP directory.

PAM pluggable authentication provides these capabilities:

- External authentication: PAM authentication enables MySQL Server to accept connections from users defined outside the MySQL grant tables and that authenticate using methods supported by PAM.
- Proxy user support: PAM authentication can return to MySQL a user name different from the external user name passed by the client program, based on the PAM groups the external user is a member of and the authentication string provided. This means that the plugin can return the MySQL user that defines the privileges the external PAM-authenticated user should have. For example, an operating system user named `joe` can connect and have the privileges of a MySQL user named `developer`.

PAM pluggable authentication has been tested on Linux and macOS; note that Windows does not support PAM.

The following table shows the plugin and library file names. The file name suffix might differ on your system. The file must be located in the directory named by the `plugin_dir` system variable. For installation information, see Installing PAM Pluggable Authentication.

Table 6.5 Plugin and Library Names for PAM Authentication

Plugin or File	Plugin or File Name
Server-side plugin	`authentication_pam`
Client-side plugin	`mysql_clear_password`
Library file	`authentication_pam.so`

The client-side `mysql_clear_password` cleartext plugin that communicates with the server-side PAM plugin is built into the `libmysqlclient` client library and is included in all distributions, including community distributions. Inclusion of the client-side cleartext plugin in all MySQL distributions enables clients from any distribution to connect to a server that has the server-side PAM plugin loaded.

The following sections provide installation and usage information specific to PAM pluggable authentication:

- How PAM Authentication of MySQL Users Works
- Installing PAM Pluggable Authentication
- Uninstalling PAM Pluggable Authentication
- Using PAM Pluggable Authentication
- PAM Unix Password Authentication without Proxy Users
- PAM LDAP Authentication without Proxy Users
- PAM Unix Password Authentication with Proxy Users and Group Mapping
- PAM Authentication Access to Unix Password Store
- PAM Authentication Debugging

For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”. For information about the `mysql_clear_password` plugin, see Section 6.1.4, “Client-Side Cleartext Pluggable Authentication”. For proxy user information, see Section 4.19, “Proxy Users”.

How PAM Authentication of MySQL Users Works

This section provides an overview of how MySQL and PAM work together to authenticate MySQL users. For examples showing how to set up MySQL accounts to use specific PAM services, see Using PAM Pluggable Authentication.

1. The client program and the server communicate, with the client sending to the server the client user name (the operating system user name by default) and password:
   - The client user name is the external user name.
   - For accounts that use the PAM server-side authentication plugin, the corresponding client-side plugin is `mysql_clear_password`. This client-side plugin performs no password hashing, with the result that the client sends the password to the server as cleartext.
2. The server finds a matching MySQL account based on the external user name and the host from which the client connects. The PAM plugin uses the information passed to it by MySQL Server (such as user name, host name, password, and authentication string). When you define a MySQL account that authenticates using PAM, the authentication string contains:
   - A PAM service name, which is a name that the system administrator can use to refer to an authentication method for a particular application. There can be multiple applications associated with a single database server instance, so the choice of service name is left to the SQL application developer.
   - Optionally, if proxying is to be used, a mapping from PAM groups to MySQL user names.
3. The plugin uses the PAM service named in the authentication string to check the user credentials and returns `'Authentication succeeded, Username is user_name'` or `'Authentication failed'`. The password must be appropriate for the password store used by the PAM service. Examples:
   - For traditional Unix passwords, the service looks up passwords stored in the `/etc/shadow` file.
   - For LDAP, the service looks up passwords stored in an LDAP directory.

   If the credentials check fails, the server refuses the connection.
4. Otherwise, the authentication string indicates whether proxying occurs. If the string contains no PAM group mapping, proxying does not occur. In this case, the MySQL user name is the same as the external user name.
5. Otherwise, proxying is indicated based on the PAM group mapping, with the MySQL user name determined based on the first matching group in the mapping list. The meaning of “PAM group” depends on the PAM service. Examples:
   - For traditional Unix passwords, groups are Unix groups defined in the `/etc/group` file, possibly supplemented with additional PAM information in a file such as `/etc/security/group.conf`.
   - For LDAP, groups are LDAP groups defined in an LDAP directory.

   If the proxy user (the external user) has the `PROXY` privilege for the proxied MySQL user name, proxying occurs, with the proxy user assuming the privileges of the proxied user.

Installing PAM Pluggable Authentication

This section describes how to install the server-side PAM authentication plugin. For general information about installing plugins, see Installing and Uninstalling Plugins.

To be usable by the server, the plugin library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

The plugin library file base name is `authentication_pam`, and is typically compiled with the `.so` suffix.

To load the plugin at server startup, use the `--plugin-load-add` option to name the library file that contains it. With this plugin-loading method, the option must be given each time the server starts. For example, put these lines in the server `my.cnf` file:

```
[mysqld]
plugin-load-add=authentication_pam.so
```

After modifying `my.cnf`, restart the server to cause the new settings to take effect.

Alternatively, to load the plugin at runtime, use this statement, adjusting the `.so` suffix as necessary:

```
INSTALL PLUGIN authentication_pam SONAME 'authentication_pam.so';
```

`INSTALL PLUGIN` loads the plugin immediately, and also registers it in the `mysql.plugins` system table to cause the server to load it for each subsequent normal startup without the need for `--plugin-load-add`.

To verify plugin installation, examine the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
       FROM INFORMATION_SCHEMA.PLUGINS
       WHERE PLUGIN_NAME LIKE '%pam%';
+--------------------+---------------+
| PLUGIN_NAME        | PLUGIN_STATUS |
+--------------------+---------------+
| authentication_pam | ACTIVE        |
+--------------------+---------------+
```

If the plugin fails to initialize, check the server error log for diagnostic messages.

To associate MySQL accounts with the PAM plugin, see Using PAM Pluggable Authentication.

Uninstalling PAM Pluggable Authentication

The method used to uninstall the PAM authentication plugin depends on how you installed it:

- If you installed the plugin at server startup using a `--plugin-load-add` option, restart the server without the option.
- If you installed the plugin at runtime using an `INSTALL PLUGIN` statement, it remains installed across server restarts. To uninstall it, use `UNINSTALL PLUGIN`:

  ```
  UNINSTALL PLUGIN authentication_pam;
  ```

Using PAM Pluggable Authentication

This section describes in general terms how to use the PAM authentication plugin to connect from MySQL client programs to the server. The following sections provide instructions for using PAM authentication in specific ways. It is assumed that the server is running with the server-side PAM plugin enabled, as described in Installing PAM Pluggable Authentication.

To refer to the PAM authentication plugin in the `IDENTIFIED WITH` clause of a `CREATE USER` statement, use the name `authentication_pam`. For example:

```
CREATE USER user
  IDENTIFIED WITH authentication_pam
  AS 'auth_string';
```

The authentication string specifies the following types of information:

- The PAM service name (see How PAM Authentication of MySQL Users Works). Examples in the following discussion use a service name of `mysql-unix` for authentication using traditional Unix passwords, and `mysql-ldap` for authentication using LDAP.
- For proxy support, PAM provides a way for a PAM module to return to the server a MySQL user name other than the external user name passed by the client program when it connects to the server. Use the authentication string to control the mapping from external user names to MySQL user names. If you want to take advantage of proxy user capabilities, the authentication string must include this kind of mapping.

For example, if an account uses the `mysql-unix` PAM service name and should map operating system users in the `root` and `users` PAM groups to the `developer` and `data_entry` MySQL users, respectively, use a statement like this:

```
CREATE USER user
  IDENTIFIED WITH authentication_pam
  AS 'mysql-unix, root=developer, users=data_entry';
```

Authentication string syntax for the PAM authentication plugin follows these rules:

- The string consists of a PAM service name, optionally followed by a PAM group mapping list consisting of one or more keyword/value pairs each specifying a PAM group name and a MySQL user name:

  ```
  pam_service_name[,pam_group_name=mysql_user_name]...
  ```

  The plugin parses the authentication string for each connection attempt that uses the account. To minimize overhead, keep the string as short as possible.
- Each *`pam_group_name=mysql_user_name`* pair must be preceded by a comma.
- Leading and trailing spaces not inside double quotation marks are ignored.
- Unquoted *`pam_service_name`*, *`pam_group_name`*, and *`mysql_user_name`* values can contain anything except equal sign, comma, or space.
- If a *`pam_service_name`*, *`pam_group_name`*, or *`mysql_user_name`* value is quoted with double quotation marks, everything between the quotation marks is part of the value. This is necessary, for example, if the value contains space characters. All characters are legal except double quotation mark and backslash (`\`). To include either character, escape it with a backslash.

If the plugin successfully authenticates the external user name (the name passed by the client), it looks for a PAM group mapping list in the authentication string and, if present, uses it to return a different MySQL user name to the MySQL server based on which PAM groups the external user is a member of:

- If the authentication string contains no PAM group mapping list, the plugin returns the external name.
- If the authentication string does contain a PAM group mapping list, the plugin examines each *`pam_group_name=mysql_user_name`* pair in the list from left to right and tries to find a match for the *`pam_group_name`* value in a non-MySQL directory of the groups assigned to the authenticated user and returns *`mysql_user_name`* for the first match it finds. If the plugin finds no match for any PAM group, it returns the external name. If the plugin is not capable of looking up a group in a directory, it ignores the PAM group mapping list and returns the external name.

The following sections describe how to set up several authentication scenarios that use the PAM authentication plugin:

- No proxy users. This uses PAM only to check login names and passwords. Every external user permitted to connect to MySQL Server should have a matching MySQL account that is defined to use PAM authentication. (For a MySQL account of *`'user_name'@'host_name'`* to match the external user, *`user_name`* must be the external user name and *`host_name`* must match the host from which the client connects.) Authentication can be performed by various PAM-supported

methods. Later discussion shows how to authenticate client credentials using traditional Unix passwords, and passwords in LDAP.

PAM authentication, when not done through proxy users or PAM groups, requires the MySQL user name to be same as the operating system user name. MySQL user names are limited to 32 characters (see Section 4.3, “Grant Tables”), which limits PAM nonproxy authentication to Unix accounts with names of at most 32 characters.

- Proxy users only, with PAM group mapping. For this scenario, create one or more MySQL accounts that define different sets of privileges. (Ideally, nobody should connect using those accounts directly.) Then define a default user authenticating through PAM that uses some mapping scheme (usually based on the external PAM groups the users are members of) to map all the external user names to the few MySQL accounts holding the privilege sets. Any client who connects and specifies an external user name as the client user name is mapped to one of the MySQL accounts and uses its privileges. The discussion shows how to set this up using traditional Unix passwords, but other PAM methods such as LDAP could be used instead.

Variations on these scenarios are possible:

- You can permit some users to log in directly (without proxying) but require others to connect through proxy accounts.
- You can use one PAM authentication method for some users, and another method for other users, by using differing PAM service names among your PAM-authenticated accounts. For example, you can use the `mysql-unix` PAM service for some users, and `mysql-ldap` for others.

The examples make the following assumptions. You might need to make some adjustments if your system is set up differently.

- The login name and password are `antonio` and *`antonio_password`*, respectively. Change these to correspond to the user you want to authenticate.
- The PAM configuration directory is `/etc/pam.d`.
- The PAM service name corresponds to the authentication method (`mysql-unix` or `mysql-ldap` in this discussion). To use a given PAM service, you must set up a PAM file with the same name in the PAM configuration directory (creating the file if it does not exist). In addition, you must name the PAM service in the authentication string of the `CREATE USER` statement for any account that authenticates using that PAM service.

The PAM authentication plugin checks at initialization time whether the `AUTHENTICATION_PAM_LOG` environment value is set in the server's startup environment. If so, the plugin enables logging of diagnostic messages to the standard output. Depending on how your server is started, the message might appear on the console or in the error log. These messages can be helpful for debugging PAM-related issues that occur when the plugin performs authentication. For more information, see PAM Authentication Debugging.

PAM Unix Password Authentication without Proxy Users

This authentication scenario uses PAM to check external users defined in terms of operating system user names and Unix passwords, without proxying. Every such external user permitted to connect to MySQL Server should have a matching MySQL account that is defined to use PAM authentication through traditional Unix password store.

> **Note**
>
> Traditional Unix passwords are checked using the `/etc/shadow` file. For information regarding possible issues related to this file, see PAM Authentication Access to Unix Password Store.

1. Verify that Unix authentication permits logins to the operating system with the user name `antonio` and password *`antonio_password`*.

2. Set up PAM to authenticate MySQL connections using traditional Unix passwords by creating a `mysql-unix` PAM service file named `/etc/pam.d/mysql-unix`. The file contents are system dependent, so check existing login-related files in the `/etc/pam.d` directory to see what they look like. On Linux, the `mysql-unix` file might look like this:

```
#%PAM-1.0
auth            include         password-auth
account         include         password-auth
```

   For macOS, use `login` rather than `password-auth`.

   The PAM file format might differ on some systems. For example, on Ubuntu and other Debian-based systems, use these file contents instead:

```
@include common-auth
@include common-account
@include common-session-noninteractive
```

3. Create a MySQL account with the same user name as the operating system user name and define it to authenticate using the PAM plugin and the `mysql-unix` PAM service:

```
CREATE USER 'antonio'@'localhost'
  IDENTIFIED WITH authentication_pam
  AS 'mysql-unix';
GRANT ALL PRIVILEGES
  ON mydb.*
  TO 'antonio'@'localhost';
```

   Here, the authentication string contains only the PAM service name, `mysql-unix`, which authenticates Unix passwords.

4. Use the `mysql` command-line client to connect to the MySQL server as `antonio`. For example:

```
$> mysql --user=antonio --password --enable-cleartext-plugin
Enter password: antonio_password
```

   The server should permit the connection and the following query returns output as shown:

```
mysql> SELECT USER(), CURRENT_USER(), @@proxy_user;
+-------------------+-------------------+--------------+
| USER()            | CURRENT_USER()    | @@proxy_user |
+-------------------+-------------------+--------------+
| antonio@localhost | antonio@localhost | NULL         |
+-------------------+-------------------+--------------+
```

   This demonstrates that the `antonio` operating system user is authenticated to have the privileges granted to the `antonio` MySQL user, and that no proxying has occurred.

> **Note**
>
> The client-side `mysql_clear_password` authentication plugin leaves the password untouched, so client programs send it to the MySQL server as cleartext. This enables the password to be passed as is to PAM. A cleartext password is necessary to use the server-side PAM library, but may be a security problem in some configurations. These measures minimize the risk:
>
> - To make inadvertent use of the `mysql_clear_password` plugin less likely, MySQL clients must explicitly enable it (for example, with the `--enable-cleartext-plugin` option). See Section 6.1.4, “Client-Side Cleartext Pluggable Authentication”.
> - To avoid password exposure with the `mysql_clear_password` plugin enabled, MySQL clients should connect to the MySQL server using an encrypted connection. See Section 5.1, “Configuring MySQL to Use Encrypted Connections”.

PAM LDAP Authentication without Proxy Users

This authentication scenario uses PAM to check external users defined in terms of operating system user names and LDAP passwords, without proxying. Every such external user permitted to connect to MySQL Server should have a matching MySQL account that is defined to use PAM authentication through LDAP.

To use PAM LDAP pluggable authentication for MySQL, these prerequisites must be satisfied:

- An LDAP server must be available for the PAM LDAP service to communicate with.
- Each LDAP user to be authenticated by MySQL must be present in the directory managed by the LDAP server.

> **Note**
>
> Another way to use LDAP for MySQL user authentication is to use the LDAP-specific authentication plugins. See Section 6.1.7, “LDAP Pluggable Authentication”.

Configure MySQL for PAM LDAP authentication as follows:

1. Verify that Unix authentication permits logins to the operating system with the user name `antonio` and password *`antonio_password`*.
2. Set up PAM to authenticate MySQL connections using LDAP by creating a `mysql-ldap` PAM service file named `/etc/pam.d/mysql-ldap`. The file contents are system dependent, so check existing login-related files in the `/etc/pam.d` directory to see what they look like. On Linux, the `mysql-ldap` file might look like this:

   ```
   #%PAM-1.0
   auth        required    pam_ldap.so
   account     required    pam_ldap.so
   ```

   If PAM object files have a suffix different from `.so` on your system, substitute the correct suffix.

   The PAM file format might differ on some systems.
3. Create a MySQL account with the same user name as the operating system user name and define it to authenticate using the PAM plugin and the `mysql-ldap` PAM service:

   ```
   CREATE USER 'antonio'@'localhost'
     IDENTIFIED WITH authentication_pam
     AS 'mysql-ldap';
   GRANT ALL PRIVILEGES
     ON mydb.*
     TO 'antonio'@'localhost';
   ```

   Here, the authentication string contains only the PAM service name, `mysql-ldap`, which authenticates using LDAP.
4. Connecting to the server is the same as described in PAM Unix Password Authentication without Proxy Users.

PAM Unix Password Authentication with Proxy Users and Group Mapping

The authentication scheme described here uses proxying and PAM group mapping to map connecting MySQL users who authenticate using PAM onto other MySQL accounts that define different sets of privileges. Users do not connect directly through the accounts that define the privileges. Instead, they connect through a default proxy account authenticated using PAM, such that all the external users are mapped to the MySQL accounts that hold the privileges. Any user who connects using the proxy account is mapped to one of those MySQL accounts, the privileges for which determine the database operations permitted to the external user.

The procedure shown here uses Unix password authentication. To use LDAP instead, see the early steps of PAM LDAP Authentication without Proxy Users.

> **Note**
>
> Traditional Unix passwords are checked using the `/etc/shadow` file. For information regarding possible issues related to this file, see PAM Authentication Access to Unix Password Store.

1. Verify that Unix authentication permits logins to the operating system with the user name `antonio` and password *`antonio_password`*.

2. Verify that `antonio` is a member of the `root` or `users` PAM group.

3. Set up PAM to authenticate the `mysql-unix` PAM service through operating system users by creating a file named `/etc/pam.d/mysql-unix`. The file contents are system dependent, so check existing login-related files in the `/etc/pam.d` directory to see what they look like. On Linux, the `mysql-unix` file might look like this:

   ```
   #%PAM-1.0
   auth            include         password-auth
   account         include         password-auth
   ```

   For macOS, use `login` rather than `password-auth`.

   The PAM file format might differ on some systems. For example, on Ubuntu and other Debian-based systems, use these file contents instead:

   ```
   @include common-auth
   @include common-account
   @include common-session-noninteractive
   ```

4. Create a default proxy user (`''@''`) that maps external PAM users to the proxied accounts:

   ```
   CREATE USER ''@''
     IDENTIFIED WITH authentication_pam
     AS 'mysql-unix, root=developer, users=data_entry';
   ```

   Here, the authentication string contains the PAM service name, `mysql-unix`, which authenticates Unix passwords. The authentication string also maps external users in the `root` and `users` PAM groups to the `developer` and `data_entry` MySQL user names, respectively.

   The PAM group mapping list following the PAM service name is required when you set up proxy users. Otherwise, the plugin cannot tell how to perform mapping from external user names to the proper proxied MySQL user names.

   > **Note**
   >
   > If your MySQL installation has anonymous users, they might conflict with the default proxy user. For more information about this issue, and ways of dealing with it, see Default Proxy User and Anonymous User Conflicts.

5. Create the proxied accounts and grant to each one the privileges it should have:

   ```
   CREATE USER 'developer'@'localhost'
     IDENTIFIED WITH mysql_no_login;
   CREATE USER 'data_entry'@'localhost'
     IDENTIFIED WITH mysql_no_login;
   GRANT ALL PRIVILEGES
     ON mydevdb.*
     TO 'developer'@'localhost';
   GRANT ALL PRIVILEGES
     ON mydb.*
     TO 'data_entry'@'localhost';
   ```

The proxied accounts use the `mysql_no_login` authentication plugin to prevent clients from using the accounts to log in directly to the MySQL server. Instead, users who authenticate using PAM are expected to use the `developer` or `data_entry` account by proxy based on their PAM group. (This assumes that the plugin is installed. For instructions, see Section 6.1.9, "No-Login Pluggable Authentication".) For alternative methods of protecting proxied accounts against direct use, see Preventing Direct Login to Proxied Accounts.

6. Grant to the proxy account the `PROXY` privilege for each proxied account:

```
GRANT PROXY
  ON 'developer'@'localhost'
  TO ''@'';
GRANT PROXY
  ON 'data_entry'@'localhost'
  TO ''@'';
```

7. Use the `mysql` command-line client to connect to the MySQL server as `antonio`.

```
$> mysql --user=antonio --password --enable-cleartext-plugin
Enter password: antonio_password
```

The server authenticates the connection using the default `''@''` proxy account. The resulting privileges for `antonio` depend on which PAM groups `antonio` is a member of. If `antonio` is a member of the `root` PAM group, the PAM plugin maps `root` to the `developer` MySQL user name and returns that name to the server. The server verifies that `''@''` has the `PROXY` privilege for `developer` and permits the connection. The following query returns output as shown:

```
mysql> SELECT USER(), CURRENT_USER(), @@proxy_user;
+-------------------+--------------------+--------------+
| USER()            | CURRENT_USER()     | @@proxy_user |
+-------------------+--------------------+--------------+
| antonio@localhost | developer@localhost | ''@''        |
+-------------------+--------------------+--------------+
```

This demonstrates that the `antonio` operating system user is authenticated to have the privileges granted to the `developer` MySQL user, and that proxying occurs through the default proxy account.

If `antonio` is not a member of the `root` PAM group but is a member of the `users` PAM group, a similar process occurs, but the plugin maps `user` PAM group membership to the `data_entry` MySQL user name and returns that name to the server:

```
mysql> SELECT USER(), CURRENT_USER(), @@proxy_user;
+-------------------+---------------------+--------------+
| USER()            | CURRENT_USER()      | @@proxy_user |
+-------------------+---------------------+--------------+
| antonio@localhost | data_entry@localhost | ''@''        |
+-------------------+---------------------+--------------+
```

This demonstrates that the `antonio` operating system user is authenticated to have the privileges of the `data_entry` MySQL user, and that proxying occurs through the default proxy account.

> **Note**
>
> The client-side `mysql_clear_password` authentication plugin leaves the password untouched, so client programs send it to the MySQL server as cleartext. This enables the password to be passed as is to PAM. A cleartext password is necessary to use the server-side PAM library, but may be a security problem in some configurations. These measures minimize the risk:
>
> - To make inadvertent use of the `mysql_clear_password` plugin less likely, MySQL clients must explicitly enable it (for example, with the `--enable-`

cleartext-plugin option). See Section 6.1.4, “Client-Side Cleartext Pluggable Authentication”.

- To avoid password exposure with the mysql_clear_password plugin enabled, MySQL clients should connect to the MySQL server using an encrypted connection. See Section 5.1, “Configuring MySQL to Use Encrypted Connections”.

PAM Authentication Access to Unix Password Store

On some systems, Unix authentication uses a password store such as /etc/shadow, a file that typically has restricted access permissions. This can cause MySQL PAM-based authentication to fail. Unfortunately, the PAM implementation does not permit distinguishing “password could not be checked” (due, for example, to inability to read /etc/shadow) from “password does not match.” If you are using Unix password store for PAM authentication, you may be able to enable access to it from MySQL using one of the following methods:

- Assuming that the MySQL server is run from the mysql operating system account, put that account in the shadow group that has /etc/shadow access:

  1. Create a shadow group in /etc/group.
  2. Add the mysql operating system user to the shadow group in /etc/group.
  3. Assign /etc/group to the shadow group and enable the group read permission:

     ```
     chgrp shadow /etc/shadow
     chmod g+r /etc/shadow
     ```

  4. Restart the MySQL server.

- If you are using the pam_unix module and the unix_chkpwd utility, enable password store access as follows:

  ```
  chmod u-s /usr/sbin/unix_chkpwd
  setcap cap_dac_read_search+ep /usr/sbin/unix_chkpwd
  ```

  Adjust the path to unix_chkpwd as necessary for your platform.

PAM Authentication Debugging

The PAM authentication plugin checks at initialization time whether the AUTHENTICATION_PAM_LOG environment value is set. In MySQL 8.0.35 and earlier, the value does not matter. If so, the plugin enables logging of diagnostic messages to the standard output. These messages may be helpful for debugging PAM-related issues that occur when the plugin performs authentication. You should be aware that, in these versions, passwords are included in these messages.

Beginning with MySQL 8.0.36, setting AUTHENTICATION_PAM_LOG=1 (or some other arbitrary value) produces the same diagnostic messages, but does *not* include any passwords. If you wish to include passwords in these messages, set AUTHENTICATION_PAM_LOG=PAM_LOG_WITH_SECRET_INFO.

Some messages include reference to PAM plugin source files and line numbers, which enables plugin actions to be tied more closely to the location in the code where they occur.

Another technique for debugging connection failures and determining what is happening during connection attempts is to configure PAM authentication to permit all connections, then check the system log files. This technique should be used only on a *temporary* basis, and not on a production server.

Configure a PAM service file named /etc/pam.d/mysql-any-password with these contents (the format may differ on some systems):

```
#%PAM-1.0
auth        required    pam_permit.so
account     required    pam_permit.so
```

Create an account that uses the PAM plugin and names the `mysql-any-password` PAM service:

```
CREATE USER 'testuser'@'localhost'
  IDENTIFIED WITH authentication_pam
  AS 'mysql-any-password';
```

The `mysql-any-password` service file causes any authentication attempt to return true, even for incorrect passwords. If an authentication attempt fails, that tells you the configuration problem is on the MySQL side. Otherwise, the problem is on the operating system/PAM side. To see what might be happening, check system log files such as `/var/log/secure`, `/var/log/audit.log`, `/var/log/syslog`, or `/var/log/messages`.

After determining what the problem is, remove the `mysql-any-password` PAM service file to disable any-password access.

6.1.6 Windows Pluggable Authentication

> **Note**
>
> Windows pluggable authentication is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

MySQL Enterprise Edition for Windows supports an authentication method that performs external authentication on Windows, enabling MySQL Server to use native Windows services to authenticate client connections. Users who have logged in to Windows can connect from MySQL client programs to the server based on the information in their environment without specifying an additional password.

The client and server exchange data packets in the authentication handshake. As a result of this exchange, the server creates a security context object that represents the identity of the client in the Windows OS. This identity includes the name of the client account. Windows pluggable authentication uses the identity of the client to check whether it is a given account or a member of a group. By default, negotiation uses Kerberos to authenticate, then NTLM if Kerberos is unavailable.

Windows pluggable authentication provides these capabilities:

- External authentication: Windows authentication enables MySQL Server to accept connections from users defined outside the MySQL grant tables who have logged in to Windows.
- Proxy user support: Windows authentication can return to MySQL a user name different from the external user name passed by the client program. This means that the plugin can return the MySQL user that defines the privileges the external Windows-authenticated user should have. For example, a Windows user named `joe` can connect and have the privileges of a MySQL user named `developer`.

The following table shows the plugin and library file names. The file must be located in the directory named by the `plugin_dir` system variable.

Table 6.6 Plugin and Library Names for Windows Authentication

Plugin or File	Plugin or File Name
Server-side plugin	`authentication_windows`
Client-side plugin	`authentication_windows_client`
Library file	`authentication_windows.dll`

The library file includes only the server-side plugin. The client-side plugin is built into the `libmysqlclient` client library.

The server-side Windows authentication plugin is included only in MySQL Enterprise Edition. It is not included in MySQL community distributions. The client-side plugin is included in all distributions, including community distributions. This enables clients from any distribution to connect to a server that has the server-side plugin loaded.

The following sections provide installation and usage information specific to Windows pluggable authentication:

- Installing Windows Pluggable Authentication
- Uninstalling Windows Pluggable Authentication
- Using Windows Pluggable Authentication

For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”. For proxy user information, see Section 4.19, “Proxy Users”.

Installing Windows Pluggable Authentication

This section describes how to install the server-side Windows authentication plugin. For general information about installing plugins, see Installing and Uninstalling Plugins.

To be usable by the server, the plugin library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

To load the plugin at server startup, use the `--plugin-load-add` option to name the library file that contains it. With this plugin-loading method, the option must be given each time the server starts. For example, put these lines in the server `my.cnf` file:

```
[mysqld]
plugin-load-add=authentication_windows.dll
```

After modifying `my.cnf`, restart the server to cause the new settings to take effect.

Alternatively, to load the plugin at runtime, use this statement:

```
INSTALL PLUGIN authentication_windows SONAME 'authentication_windows.dll';
```

`INSTALL PLUGIN` loads the plugin immediately, and also registers it in the `mysql.plugins` system table to cause the server to load it for each subsequent normal startup without the need for `--plugin-load-add`.

To verify plugin installation, examine the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
       FROM INFORMATION_SCHEMA.PLUGINS
       WHERE PLUGIN_NAME LIKE '%windows%';
+-----------------------+---------------+
| PLUGIN_NAME           | PLUGIN_STATUS |
+-----------------------+---------------+
| authentication_windows | ACTIVE        |
+-----------------------+---------------+
```

If the plugin fails to initialize, check the server error log for diagnostic messages.

To associate MySQL accounts with the Windows authentication plugin, see Using Windows Pluggable Authentication. Additional plugin control is provided by the `authentication_windows_use_principal_name` and `authentication_windows_log_level` system variables. See Server System Variables.

Uninstalling Windows Pluggable Authentication

The method used to uninstall the Windows authentication plugin depends on how you installed it:

- If you installed the plugin at server startup using a `--plugin-load-add` option, restart the server without the option.
- If you installed the plugin at runtime using an `INSTALL PLUGIN` statement, it remains installed across server restarts. To uninstall it, use `UNINSTALL PLUGIN`:

```
UNINSTALL PLUGIN authentication_windows;
```

In addition, remove any startup options that set Windows plugin-related system variables.

Using Windows Pluggable Authentication

The Windows authentication plugin supports the use of MySQL accounts such that users who have logged in to Windows can connect to the MySQL server without having to specify an additional password. It is assumed that the server is running with the server-side plugin enabled, as described in Installing Windows Pluggable Authentication. Once the DBA has enabled the server-side plugin and set up accounts to use it, clients can connect using those accounts with no other setup required on their part.

To refer to the Windows authentication plugin in the `IDENTIFIED WITH` clause of a `CREATE USER` statement, use the name `authentication_windows`. Suppose that the Windows users `Rafal` and `Tasha` should be permitted to connect to MySQL, as well as any users in the `Administrators` or `Power Users` group. To set this up, create a MySQL account named `sql_admin` that uses the Windows plugin for authentication:

```
CREATE USER sql_admin
  IDENTIFIED WITH authentication_windows
  AS 'Rafal, Tasha, Administrators, "Power Users"';
```

The plugin name is `authentication_windows`. The string following the `AS` keyword is the authentication string. It specifies that the Windows users named `Rafal` or `Tasha` are permitted to authenticate to the server as the MySQL user `sql_admin`, as are any Windows users in the `Administrators` or `Power Users` group. The latter group name contains a space, so it must be quoted with double quote characters.

After you create the `sql_admin` account, a user who has logged in to Windows can attempt to connect to the server using that account:

```
C:\> mysql --user=sql_admin
```

No password is required here. The `authentication_windows` plugin uses the Windows security API to check which Windows user is connecting. If that user is named `Rafal` or `Tasha`, or is a member of the `Administrators` or `Power Users` group, the server grants access and the client is authenticated as `sql_admin` and has whatever privileges are granted to the `sql_admin` account. Otherwise, the server denies access.

Authentication string syntax for the Windows authentication plugin follows these rules:

- The string consists of one or more user mappings separated by commas.
- Each user mapping associates a Windows user or group name with a MySQL user name:

```
win_user_or_group_name=mysql_user_name
win_user_or_group_name
```

For the latter syntax, with no *`mysql_user_name`* value given, the implicit value is the MySQL user created by the `CREATE USER` statement. Thus, these statements are equivalent:

```
CREATE USER sql_admin
  IDENTIFIED WITH authentication_windows
  AS 'Rafal, Tasha, Administrators, "Power Users"';
CREATE USER sql_admin
  IDENTIFIED WITH authentication_windows
  AS 'Rafal=sql_admin, Tasha=sql_admin, Administrators=sql_admin,
      "Power Users"=sql_admin';
```

- Each backslash character (\) in a value must be doubled because backslash is the escape character in MySQL strings.
- Leading and trailing spaces not inside double quotation marks are ignored.
- Unquoted *win_user_or_group_name* and *mysql_user_name* values can contain anything except equal sign, comma, or space.
- If a *win_user_or_group_name* and or *mysql_user_name* value is quoted with double quotation marks, everything between the quotation marks is part of the value. This is necessary, for example, if the name contains space characters. All characters within double quotes are legal except double quotation mark and backslash. To include either character, escape it with a backslash.
- *win_user_or_group_name* values use conventional syntax for Windows principals, either local or in a domain. Examples (note the doubling of backslashes):

```
domain\\user
.\\user
domain\\group
.\\group
BUILTIN\\WellKnownGroup
```

When invoked by the server to authenticate a client, the plugin scans the authentication string left to right for a user or group match to the Windows user. If there is a match, the plugin returns the corresponding *mysql_user_name* to the MySQL server. If there is no match, authentication fails.

A user name match takes preference over a group name match. Suppose that the Windows user named `win_user` is a member of `win_group` and the authentication string looks like this:

```
'win_group = sql_user1, win_user = sql_user2'
```

When `win_user` connects to the MySQL server, there is a match both to `win_group` and to `win_user`. The plugin authenticates the user as `sql_user2` because the more-specific user match takes precedence over the group match, even though the group is listed first in the authentication string.

Windows authentication always works for connections from the same computer on which the server is running. For cross-computer connections, both computers must be registered with Microsoft Active Directory. If they are in the same Windows domain, it is unnecessary to specify a domain name. It is also possible to permit connections from a different domain, as in this example:

```
CREATE USER sql_accounting
  IDENTIFIED WITH authentication_windows
  AS 'SomeDomain\\Accounting';
```

Here `SomeDomain` is the name of the other domain. The backslash character is doubled because it is the MySQL escape character within strings.

MySQL supports the concept of proxy users whereby a client can connect and authenticate to the MySQL server using one account but while connected has the privileges of another account (see Section 4.19, “Proxy Users”). Suppose that you want Windows users to connect using a single user name but be mapped based on their Windows user and group names onto specific MySQL accounts as follows:

- The `local_user` and `MyDomain\domain_user` local and domain Windows users should map to the `local_wlad` MySQL account.
- Users in the `MyDomain\Developers` domain group should map to the `local_dev` MySQL account.
- Local machine administrators should map to the `local_admin` MySQL account.

To set this up, create a proxy account for Windows users to connect to, and configure this account so that users and groups map to the appropriate MySQL accounts (`local_wlad`, `local_dev`,

`local_admin`). In addition, grant the MySQL accounts the privileges appropriate to the operations they need to perform. The following instructions use `win_proxy` as the proxy account, and `local_wlad`, `local_dev`, and `local_admin` as the proxied accounts.

1. Create the proxy MySQL account:

```
CREATE USER win_proxy
  IDENTIFIED WITH  authentication_windows
  AS 'local_user = local_wlad,
      MyDomain\\domain_user = local_wlad,
      MyDomain\\Developers = local_dev,
      BUILTIN\\Administrators = local_admin';
```

2. For proxying to work, the proxied accounts must exist, so create them:

```
CREATE USER local_wlad
  IDENTIFIED WITH mysql_no_login;
CREATE USER local_dev
  IDENTIFIED WITH mysql_no_login;
CREATE USER local_admin
  IDENTIFIED WITH mysql_no_login;
```

   The proxied accounts use the `mysql_no_login` authentication plugin to prevent clients from using the accounts to log in directly to the MySQL server. Instead, users who authenticate using Windows are expected to use the `win_proxy` proxy account. (This assumes that the plugin is installed. For instructions, see Section 6.1.9, “No-Login Pluggable Authentication”.) For alternative methods of protecting proxied accounts against direct use, see Preventing Direct Login to Proxied Accounts.

   You should also execute `GRANT` statements (not shown) that grant each proxied account the privileges required for MySQL access.

3. Grant to the proxy account the `PROXY` privilege for each proxied account:

```
GRANT PROXY ON local_wlad TO win_proxy;
GRANT PROXY ON local_dev TO win_proxy;
GRANT PROXY ON local_admin TO win_proxy;
```

Now the Windows users `local_user` and `MyDomain\domain_user` can connect to the MySQL server as `win_proxy` and when authenticated have the privileges of the account given in the authentication string (in this case, `local_wlad`). A user in the `MyDomain\Developers` group who connects as `win_proxy` has the privileges of the `local_dev` account. A user in the `BUILTIN\Administrators` group has the privileges of the `local_admin` account.

To configure authentication so that all Windows users who do not have their own MySQL account go through a proxy account, substitute the default proxy account (`''@''`) for `win_proxy` in the preceding instructions. For information about default proxy accounts, see Section 4.19, “Proxy Users”.

> **Note**
>
> If your MySQL installation has anonymous users, they might conflict with the default proxy user. For more information about this issue, and ways of dealing with it, see Default Proxy User and Anonymous User Conflicts.

To use the Windows authentication plugin with Connector/NET connection strings in Connector/NET 8.0 and higher, see Connector/NET Authentication.

6.1.7 LDAP Pluggable Authentication

> **Note**
>
> LDAP pluggable authentication is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

MySQL Enterprise Edition supports an authentication method that enables MySQL Server to use LDAP (Lightweight Directory Access Protocol) to authenticate MySQL users by accessing directory services such as X.500. MySQL uses LDAP to fetch user, credential, and group information.

LDAP pluggable authentication provides these capabilities:

- External authentication: LDAP authentication enables MySQL Server to accept connections from users defined outside the MySQL grant tables in LDAP directories.
- Proxy user support: LDAP authentication can return to MySQL a user name different from the external user name passed by the client program, based on the LDAP groups the external user is a member of. This means that an LDAP plugin can return the MySQL user that defines the privileges the external LDAP-authenticated user should have. For example, an LDAP user named `joe` can connect and have the privileges of a MySQL user named `developer`, if the LDAP group for `joe` is `developer`.
- Security: Using TLS, connections to the LDAP server can be secure.

Server and client plugins are available for simple and SASL-based LDAP authentication. On Microsoft Windows, the server plugin for SASL-based LDAP authentication is not supported, but the client plugin is.

The following tables show the plugin and library file names for simple and SASL-based LDAP authentication. The file name suffix might differ on your system. The files must be located in the directory named by the `plugin_dir` system variable.

Table 6.7 Plugin and Library Names for Simple LDAP Authentication

Plugin or File	Plugin or File Name
Server-side plugin name	`authentication_ldap_simple`
Client-side plugin name	`mysql_clear_password`
Library file name	`authentication_ldap_simple.so`

Table 6.8 Plugin and Library Names for SASL-Based LDAP Authentication

Plugin or File	Plugin or File Name
Server-side plugin name	`authentication_ldap_sasl`
Client-side plugin name	`authentication_ldap_sasl_client`
Library file names	`authentication_ldap_sasl.so`, `authentication_ldap_sasl_client.so`

The library files include only the `authentication_ldap_XXX` authentication plugins. The client-side `mysql_clear_password` plugin is built into the `libmysqlclient` client library.

Each server-side LDAP plugin works with a specific client-side plugin:

- The server-side `authentication_ldap_simple` plugin performs simple LDAP authentication. For connections by accounts that use this plugin, client programs use the client-side `mysql_clear_password` plugin, which sends the password to the server as cleartext. No password hashing or encryption is used, so a secure connection between the MySQL client and server is recommended to prevent password exposure.
- The server-side `authentication_ldap_sasl` plugin performs SASL-based LDAP authentication. For connections by accounts that use this plugin, client programs use the client-side `authentication_ldap_sasl_client` plugin. The client-side and server-side SASL LDAP plugins use SASL messages for secure transmission of credentials within the LDAP protocol, to avoid sending the cleartext password between the MySQL client and server.

> **Note**
>
> On Microsoft Windows, the server plugin for SASL-based LDAP authentication is not supported, but the client plugin is supported. On other platforms, both the server and client plugins are supported.

The server-side LDAP authentication plugins are included only in MySQL Enterprise Edition. They are not included in MySQL community distributions. The client-side SASL LDAP plugin is included in all distributions, including community distributions, and, as mentioned previously, the client-side `mysql_clear_password` plugin is built into the `libmysqlclient` client library, which also is included in all distributions. This enables clients from any distribution to connect to a server that has the appropriate server-side plugin loaded.

The following sections provide installation and usage information specific to LDAP pluggable authentication:

- Prerequisites for LDAP Pluggable Authentication
- How LDAP Authentication of MySQL Users Works
- Installing LDAP Pluggable Authentication
- Uninstalling LDAP Pluggable Authentication
- LDAP Pluggable Authentication and ldap.conf
- Using LDAP Pluggable Authentication
- Simple LDAP Authentication (Without Proxying)
- SASL-Based LDAP Authentication (Without Proxying)
- LDAP Authentication with Proxying
- LDAP Authentication Group Preference and Mapping Specification
- LDAP Authentication User DN Suffixes
- LDAP Authentication Methods
- The GSSAPI/Kerberos Authentication Method
- LDAP Search Referral

For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”. For information about the `mysql_clear_password` plugin, see Section 6.1.4, “Client-Side Cleartext Pluggable Authentication”. For proxy user information, see Section 4.19, “Proxy Users”.

> **Note**
>
> If your system supports PAM and permits LDAP as a PAM authentication method, another way to use LDAP for MySQL user authentication is to use the server-side `authentication_pam` plugin. See Section 6.1.5, “PAM Pluggable Authentication”.

Prerequisites for LDAP Pluggable Authentication

To use LDAP pluggable authentication for MySQL, these prerequisites must be satisfied:

- An LDAP server must be available for the LDAP authentication plugins to communicate with.
- LDAP users to be authenticated by MySQL must be present in the directory managed by the LDAP server.

- An LDAP client library must be available on systems where the server-side `authentication_ldap_sasl` or `authentication_ldap_simple` plugin is used. Currently, supported libraries are the Windows native LDAP library, or the OpenLDAP library on non-Windows systems.
- To use SASL-based LDAP authentication:
  - The LDAP server must be configured to communicate with a SASL server.
  - A SASL client library must be available on systems where the client-side `authentication_ldap_sasl_client` plugin is used. Currently, the only supported library is the Cyrus SASL library.
  - To use a particular SASL authentication method, any other services required by that method must be available. For example, to use GSSAPI/Kerberos, a GSSAPI library and Kerberos services must be available.

How LDAP Authentication of MySQL Users Works

This section provides an overview of how MySQL and LDAP work together to authenticate MySQL users. For examples showing how to set up MySQL accounts to use specific LDAP authentication plugins, see Using LDAP Pluggable Authentication. For information about authentication methods available to the LDAP plugins, see LDAP Authentication Methods.

The client connects to the MySQL server, providing the MySQL client user name and a password:

- For simple LDAP authentication, the client-side and server-side plugins communicate the password as cleartext. A secure connection between the MySQL client and server is recommended to prevent password exposure.
- For SASL-based LDAP authentication, the client-side and server-side plugins avoid sending the cleartext password between the MySQL client and server. For example, the plugins might use SASL messages for secure transmission of credentials within the LDAP protocol. For the GSSAPI authentication method, the client-side and server-side plugins communicate securely using Kerberos without using LDAP messages directly.

If the client user name and host name match no MySQL account, the connection is rejected.

If there is a matching MySQL account, authentication against LDAP occurs. The LDAP server looks for an entry matching the user and authenticates the entry against the LDAP password:

- If the MySQL account names an LDAP user distinguished name (DN), LDAP authentication uses that value and the LDAP password provided by the client. (To associate an LDAP user DN with a MySQL account, include a `BY` clause that specifies an authentication string in the `CREATE USER` statement that creates the account.)
- If the MySQL account names no LDAP user DN, LDAP authentication uses the user name and LDAP password provided by the client. In this case, the authentication plugin first binds to the LDAP server using the root DN and password as credentials to find the user DN based on the client user name, then authenticates that user DN against the LDAP password. This bind using the root credentials fails if the root DN and password are set to incorrect values, or are empty (not set) and the LDAP server does not permit anonymous connections.

If the LDAP server finds no match or multiple matches, authentication fails and the client connection is rejected.

If the LDAP server finds a single match, LDAP authentication succeeds (assuming that the password is correct), the LDAP server returns the LDAP entry, and the authentication plugin determines the name of the authenticated user based on that entry:

- If the LDAP entry has a group attribute (by default, the `cn` attribute), the plugin returns its value as the authenticated user name.

- If the LDAP entry has no group attribute, the authentication plugin returns the client user name as the authenticated user name.

The MySQL server compares the client user name with the authenticated user name to determine whether proxying occurs for the client session:

- If the names are the same, no proxying occurs: The MySQL account matching the client user name is used for privilege checking.
- If the names differ, proxying occurs: MySQL looks for an account matching the authenticated user name. That account becomes the proxied user, which is used for privilege checking. The MySQL account that matched the client user name is treated as the external proxy user.

Installing LDAP Pluggable Authentication

This section describes how to install the server-side LDAP authentication plugins. For general information about installing plugins, see Installing and Uninstalling Plugins.

To be usable by the server, the plugin library files must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

The server-side plugin library file base names are `authentication_ldap_simple` and `authentication_ldap_sasl`. The file name suffix differs per platform (for example, `.so` for Unix and Unix-like systems, `.dll` for Windows).

> **Note**
>
> On Microsoft Windows, the server plugin for SASL-based LDAP authentication is not supported, but the client plugin is supported. On other platforms, both the server and client plugins are supported.

To load the plugins at server startup, use `--plugin-load-add` options to name the library files that contain them. With this plugin-loading method, the options must be given each time the server starts. Also, specify values for any plugin-provided system variables you wish to configure.

Each server-side LDAP plugin exposes a set of system variables that enable its operation to be configured. Setting most of these is optional, but you must set the variables that specify the LDAP server host (so the plugin knows where to connect) and base distinguished name for LDAP bind operations (to limit the scope of searches and obtain faster searches). For details about all LDAP system variables, see Section 6.1.13, “Pluggable Authentication System Variables”.

To load the plugins and set the LDAP server host and base distinguished name for LDAP bind operations, put lines such as these in your `my.cnf` file, adjusting the `.so` suffix for your platform as necessary:

```
[mysqld]
plugin-load-add=authentication_ldap_simple.so
authentication_ldap_simple_server_host=127.0.0.1
authentication_ldap_simple_bind_base_dn="dc=example,dc=com"
plugin-load-add=authentication_ldap_sasl.so
authentication_ldap_sasl_server_host=127.0.0.1
authentication_ldap_sasl_bind_base_dn="dc=example,dc=com"
```

After modifying `my.cnf`, restart the server to cause the new settings to take effect.

Alternatively, to load the plugins at runtime, use these statements, adjusting the `.so` suffix for your platform as necessary:

```
INSTALL PLUGIN authentication_ldap_simple
  SONAME 'authentication_ldap_simple.so';
INSTALL PLUGIN authentication_ldap_sasl
```

```
  SONAME 'authentication_ldap_sasl.so';
```

`INSTALL PLUGIN` loads the plugin immediately, and also registers it in the `mysql.plugins` system table to cause the server to load it for each subsequent normal startup without the need for `--plugin-load-add`.

After installing the plugins at runtime, the system variables that they expose become available and you can add settings for them to your `my.cnf` file to configure the plugins for subsequent restarts. For example:

```
[mysqld]
authentication_ldap_simple_server_host=127.0.0.1
authentication_ldap_simple_bind_base_dn="dc=example,dc=com"
authentication_ldap_sasl_server_host=127.0.0.1
authentication_ldap_sasl_bind_base_dn="dc=example,dc=com"
```

After modifying `my.cnf`, restart the server to cause the new settings to take effect.

To set and persist each value at runtime rather than at startup, use these statements:

```
SET PERSIST authentication_ldap_simple_server_host='127.0.0.1';
SET PERSIST authentication_ldap_simple_bind_base_dn='dc=example,dc=com';
SET PERSIST authentication_ldap_sasl_server_host='127.0.0.1';
SET PERSIST authentication_ldap_sasl_bind_base_dn='dc=example,dc=com';
```

`SET PERSIST` sets a value for the running MySQL instance. It also saves the value, causing it to carry over to subsequent server restarts. To change a value for the running MySQL instance without having it carry over to subsequent restarts, use the `GLOBAL` keyword rather than `PERSIST`. See SET Syntax for Variable Assignment.

To verify plugin installation, examine the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
       FROM INFORMATION_SCHEMA.PLUGINS
       WHERE PLUGIN_NAME LIKE '%ldap%';
+----------------------------+---------------+
| PLUGIN_NAME                | PLUGIN_STATUS |
+----------------------------+---------------+
| authentication_ldap_sasl   | ACTIVE        |
| authentication_ldap_simple | ACTIVE        |
+----------------------------+---------------+
```

If a plugin fails to initialize, check the server error log for diagnostic messages.

To associate MySQL accounts with an LDAP plugin, see Using LDAP Pluggable Authentication.

> **Additional Notes for SELinux**
>
> On systems running EL6 or EL that have SELinux enabled, changes to the SELinux policy are required to enable the MySQL LDAP plugins to communicate with the LDAP service:
>
> 1. Create a file `mysqlldap.te` with these contents:
>
>    ```
>    module mysqlldap 1.0;
>    require {
>            type ldap_port_t;
>            type mysqld_t;
>            class tcp_socket name_connect;
>    }
>    #============= mysqld_t ==============
>    allow mysqld_t ldap_port_t:tcp_socket name_connect;
>    ```
>
> 2. Compile the security policy module into a binary representation:
>
>    ```
>    checkmodule -M -m mysqlldap.te -o mysqlldap.mod
>    ```

3. Create an SELinux policy module package:

```
semodule_package -m mysqlldap.mod  -o mysqlldap.pp
```

4. Install the module package:

```
semodule -i mysqlldap.pp
```

5. When the SELinux policy changes have been made, restart the MySQL server:

```
service mysqld restart
```

Uninstalling LDAP Pluggable Authentication

The method used to uninstall the LDAP authentication plugins depends on how you installed them:

- If you installed the plugins at server startup using `--plugin-load-add` options, restart the server without those options.
- If you installed the plugins at runtime using `INSTALL PLUGIN`, they remain installed across server restarts. To uninstall them, use `UNINSTALL PLUGIN`:

```
UNINSTALL PLUGIN authentication_ldap_simple;
UNINSTALL PLUGIN authentication_ldap_sasl;
```

In addition, remove from your `my.cnf` file any startup options that set LDAP plugin-related system variables. If you used `SET PERSIST` to persist LDAP system variables, use `RESET PERSIST` to remove the settings.

LDAP Pluggable Authentication and ldap.conf

For installations that use OpenLDAP, the `ldap.conf` file provides global defaults for LDAP clients. Options can be set in this file to affect LDAP clients, including the LDAP authentication plugins. OpenLDAP uses configuration options in this order of precedence:

- Configuration specified by the LDAP client.
- Configuration specified in the `ldap.conf` file. To disable use of this file, set the `LDAPNOINIT` environment variable.
- OpenLDAP library built-in defaults.

If the library defaults or `ldap.conf` values do not yield appropriate option values, an LDAP authentication plugin may be able to set related variables to affect the LDAP configuration directly. For example, LDAP plugins can override `ldap.conf` for parameters such as these:

- TLS configuration: System variables are available to enable TLS and control CA configuration, such as `authentication_ldap_simple_tls` and `authentication_ldap_simple_ca_path` for simple LDAP authentication, and `authentication_ldap_sasl_tls` and `authentication_ldap_sasl_ca_path` for SASL LDAP authentication.
- LDAP referral. See LDAP Search Referral.

For more information about `ldap.conf` consult the `ldap.conf(5)` man page.

Using LDAP Pluggable Authentication

This section describes how to enable MySQL accounts to connect to the MySQL server using LDAP pluggable authentication. It is assumed that the server is running with the appropriate server-side plugins enabled, as described in Installing LDAP Pluggable Authentication, and that the appropriate client-side plugins are available on the client host.

This section does not describe LDAP configuration or administration. You are assumed to be familiar with those topics.

The two server-side LDAP plugins each work with a specific client-side plugin:

- The server-side `authentication_ldap_simple` plugin performs simple LDAP authentication. For connections by accounts that use this plugin, client programs use the client-side `mysql_clear_password` plugin, which sends the password to the server as cleartext. No password hashing or encryption is used, so a secure connection between the MySQL client and server is recommended to prevent password exposure.
- The server-side `authentication_ldap_sasl` plugin performs SASL-based LDAP authentication. For connections by accounts that use this plugin, client programs use the client-side `authentication_ldap_sasl_client` plugin. The client-side and server-side SASL LDAP plugins use SASL messages for secure transmission of credentials within the LDAP protocol, to avoid sending the cleartext password between the MySQL client and server.

Overall requirements for LDAP authentication of MySQL users:

- There must be an LDAP directory entry for each user to be authenticated.
- There must be a MySQL user account that specifies a server-side LDAP authentication plugin and optionally names the associated LDAP user distinguished name (DN). (To associate an LDAP user DN with a MySQL account, include a `BY` clause in the `CREATE USER` statement that creates the account.) If an account names no LDAP string, LDAP authentication uses the user name specified by the client to find the LDAP entry.
- Client programs connect using the connection method appropriate for the server-side authentication plugin the MySQL account uses. For LDAP authentication, connections require the MySQL user name and LDAP password. In addition, for accounts that use the server-side `authentication_ldap_simple` plugin, invoke client programs with the `--enable-cleartext-plugin` option to enable the client-side `mysql_clear_password` plugin.

The instructions here assume the following scenario:

- MySQL users `betsy` and `boris` authenticate to the LDAP entries for `betsy_ldap` and `boris_ldap`, respectively. (It is not necessary that the MySQL and LDAP user names differ. The use of different names in this discussion helps clarify whether an operation context is MySQL or LDAP.)
- LDAP entries use the `uid` attribute to specify user names. This may vary depending on LDAP server. Some LDAP servers use the `cn` attribute for user names rather than `uid`. To change the attribute, modify the `authentication_ldap_simple_user_search_attr` or `authentication_ldap_sasl_user_search_attr` system variable appropriately.
- These LDAP entries are available in the directory managed by the LDAP server, to provide distinguished name values that uniquely identify each user:

```
uid=betsy_ldap,ou=People,dc=example,dc=com
uid=boris_ldap,ou=People,dc=example,dc=com
```

- `CREATE USER` statements that create MySQL accounts name an LDAP user in the `BY` clause, to indicate which LDAP entry the MySQL account authenticates against.

The instructions for setting up an account that uses LDAP authentication depend on which server-side LDAP plugin is used. The following sections describe several usage scenarios.

Simple LDAP Authentication (Without Proxying)

The procedure outlined in this section requires that `authentication_ldap_simple_group_search_attr` be set to an empty string, like this:

```
SET GLOBAL.authentication_ldap_simple_group_search_attr='';
```

Otherwise, proxying is used by default.

To set up a MySQL account for simple LDAP authentication, use a `CREATE USER` statement to specify the `authentication_ldap_simple` plugin, optionally including the LDAP user distinguished name (DN), as shown here:

```
CREATE USER user
  IDENTIFIED WITH authentication_ldap_simple
  [BY 'LDAP user DN'];
```

Suppose that MySQL user `betsy` has this entry in the LDAP directory:

```
uid=betsy_ldap,ou=People,dc=example,dc=com
```

Then the statement to create the MySQL account for `betsy` looks like this:

```
CREATE USER 'betsy'@'localhost'
  IDENTIFIED WITH authentication_ldap_simple
  AS 'uid=betsy_ldap,ou=People,dc=example,dc=com';
```

The authentication string specified in the `BY` clause does not include the LDAP password. That must be provided by the client user at connect time.

Clients connect to the MySQL server by providing the MySQL user name and LDAP password, and by enabling the client-side `mysql_clear_password` plugin:

```
$> mysql --user=betsy --password --enable-cleartext-plugin
Enter password: betsy_ldap_password
```

> **Note**
>
> The client-side `mysql_clear_password` authentication plugin leaves the password untouched, so client programs send it to the MySQL server as cleartext. This enables the password to be passed as is to the LDAP server. A cleartext password is necessary to use the server-side LDAP library without SASL, but may be a security problem in some configurations. These measures minimize the risk:
>
> - To make inadvertent use of the `mysql_clear_password` plugin less likely, MySQL clients must explicitly enable it (for example, with the `--enable-cleartext-plugin` option). See Section 6.1.4, “Client-Side Cleartext Pluggable Authentication”.
> - To avoid password exposure with the `mysql_clear_password` plugin enabled, MySQL clients should connect to the MySQL server using an encrypted connection. See Section 5.1, “Configuring MySQL to Use Encrypted Connections”.

The authentication process occurs as follows:

1. The client-side plugin sends `betsy` and *`betsy_password`* as the client user name and LDAP password to the MySQL server.
2. The connection attempt matches the `'betsy'@'localhost'` account. The server-side LDAP plugin finds that this account has an authentication string of `'uid=betsy_ldap,ou=People,dc=example,dc=com'` to name the LDAP user DN. The plugin sends this string and the LDAP password to the LDAP server.
3. The LDAP server finds the LDAP entry for `betsy_ldap` and the password matches, so LDAP authentication succeeds.

4. The LDAP entry has no group attribute, so the server-side plugin returns the client user name (`betsy`) as the authenticated user. This is the same user name supplied by the client, so no proxying occurs and the client session uses the `'betsy'@'localhost'` account for privilege checking.

Had the `CREATE USER` statement contained no `BY` clause to specify the `betsy_ldap` LDAP distinguished name, authentication attempts would use the user name provided by the client (in this case, `betsy`). In the absence of an LDAP entry for `betsy`, authentication would fail.

SASL-Based LDAP Authentication (Without Proxying)

The procedure outlined in this section requires that `authentication_ldap_sasl_group_search_attr` be set to an empty string, like this:

```
SET GLOBAL.authentication_ldap_sasl_group_search_attr='';
```

Otherwise, proxying is used by default.

To set up a MySQL account for SALS LDAP authentication, use a `CREATE USER` statement to specify the `authentication_ldap_sasl` plugin, optionally including the LDAP user distinguished name (DN), as shown here:

```
CREATE USER user
  IDENTIFIED WITH authentication_ldap_sasl
  [BY 'LDAP user DN'];
```

Suppose that MySQL user `boris` has this entry in the LDAP directory:

```
uid=boris_ldap,ou=People,dc=example,dc=com
```

Then the statement to create the MySQL account for `boris` looks like this:

```
CREATE USER 'boris'@'localhost'
  IDENTIFIED WITH authentication_ldap_sasl
  AS 'uid=boris_ldap,ou=People,dc=example,dc=com';
```

The authentication string specified in the `BY` clause does not include the LDAP password. That must be provided by the client user at connect time.

Clients connect to the MySQL server by providing the MySQL user name and LDAP password:

```
$> mysql --user=boris --password
Enter password: boris_ldap_password
```

For the server-side `authentication_ldap_sasl` plugin, clients use the client-side `authentication_ldap_sasl_client` plugin. If a client program does not find the client-side plugin, specify a `--plugin-dir` option that names the directory where the plugin library file is installed.

The authentication process for `boris` is similar to that previously described for `betsy` with simple LDAP authentication, except that the client-side and server-side SASL LDAP plugins use SASL messages for secure transmission of credentials within the LDAP protocol, to avoid sending the cleartext password between the MySQL client and server.

LDAP Authentication with Proxying

LDAP authentication plugins support proxying, enabling a user to connect to the MySQL server as one user but assume the privileges of a different user. This section describes basic LDAP plugin proxy support. The LDAP plugins also support specification of group preference and proxy user mapping; see LDAP Authentication Group Preference and Mapping Specification.

The proxying implementation described here is based on use of LDAP group attribute values to map connecting MySQL users who authenticate using LDAP onto other MySQL accounts that define different sets of privileges. Users do not connect directly through the accounts that define the

privileges. Instead, they connect through a default proxy account authenticated with LDAP, such that all external logins are mapped to the proxied MySQL accounts that hold the privileges. Any user who connects using the proxy account is mapped to one of those proxied MySQL accounts, the privileges for which determine the database operations permitted to the external user.

The instructions here assume the following scenario:

- LDAP entries use the `uid` and `cn` attributes to specify user name and group values, respectively. To use different user and group attribute names, set the appropriate plugin-specific system variables:
  - For the `authentication_ldap_simple` plugin: Set `authentication_ldap_simple_user_search_attr` and `authentication_ldap_simple_group_search_attr`.
  - For the `authentication_ldap_sasl` plugin: Set `authentication_ldap_sasl_user_search_attr` and `authentication_ldap_sasl_group_search_attr`.
- These LDAP entries are available in the directory managed by the LDAP server, to provide distinguished name values that uniquely identify each user:

  ```
  uid=basha,ou=People,dc=example,dc=com,cn=accounting
  uid=basil,ou=People,dc=example,dc=com,cn=front_office
  ```

  At connect time, the group attribute values become the authenticated user names, so they name the `accounting` and `front_office` proxied accounts.
- The examples assume use of SASL LDAP authentication. Make the appropriate adjustments for simple LDAP authentication.

Create the default proxy MySQL account:

```
CREATE USER ''@'%'
  IDENTIFIED WITH authentication_ldap_sasl;
```

The proxy account definition has no `AS 'auth_string'` clause to name an LDAP user DN. Thus:

- When a client connects, the client user name becomes the LDAP user name to search for.
- The matching LDAP entry is expected to include a group attribute naming the proxied MySQL account that defines the privileges the client should have.

> **Note**
>
> If your MySQL installation has anonymous users, they might conflict with the default proxy user. For more information about this issue, and ways of dealing with it, see Default Proxy User and Anonymous User Conflicts.

Create the proxied accounts and grant to each one the privileges it should have:

```
CREATE USER 'accounting'@'localhost'
  IDENTIFIED WITH mysql_no_login;
CREATE USER 'front_office'@'localhost'
  IDENTIFIED WITH mysql_no_login;
GRANT ALL PRIVILEGES
  ON accountingdb.*
  TO 'accounting'@'localhost';
GRANT ALL PRIVILEGES
  ON frontdb.*
  TO 'front_office'@'localhost';
```

The proxied accounts use the `mysql_no_login` authentication plugin to prevent clients from using the accounts to log in directly to the MySQL server. Instead, users who authenticate using LDAP are expected to use the default `''@'%'` proxy account. (This assumes that the `mysql_no_login` plugin

is installed. For instructions, see Section 6.1.9, “No-Login Pluggable Authentication”.) For alternative methods of protecting proxied accounts against direct use, see Preventing Direct Login to Proxied Accounts.

Grant to the proxy account the PROXY privilege for each proxied account:

```
GRANT PROXY
  ON 'accounting'@'localhost'
  TO ''@'%';
GRANT PROXY
  ON 'front_office'@'localhost'
  TO ''@'%';
```

Use the mysql command-line client to connect to the MySQL server as basha.

```
$> mysql --user=basha --password
Enter password: basha_password (basha LDAP password)
```

Authentication occurs as follows:

1. The server authenticates the connection using the default ''@'%' proxy account, for client user basha.

2. The matching LDAP entry is:

   ```
   uid=basha,ou=People,dc=example,dc=com,cn=accounting
   ```

3. The matching LDAP entry has group attribute cn=accounting, so accounting becomes the authenticated proxied user.

4. The authenticated user differs from the client user name basha, with the result that basha is treated as a proxy for accounting, and basha assumes the privileges of the proxied accounting account. The following query returns output as shown:

   ```
   mysql> SELECT USER(), CURRENT_USER(), @@proxy_user;
   +----------------+---------------------+--------------+
   | USER()         | CURRENT_USER()      | @@proxy_user |
   +----------------+---------------------+--------------+
   | basha@localhost | accounting@localhost | ''@'%'       |
   +----------------+---------------------+--------------+
   ```

This demonstrates that basha uses the privileges granted to the proxied accounting MySQL account, and that proxying occurs through the default proxy user account.

Now connect as basil instead:

```
$> mysql --user=basil --password
Enter password: basil_password (basil LDAP password)
```

The authentication process for basil is similar to that previously described for basha:

1. The server authenticates the connection using the default ''@'%' proxy account, for client user basil.

2. The matching LDAP entry is:

   ```
   uid=basil,ou=People,dc=example,dc=com,cn=front_office
   ```

3. The matching LDAP entry has group attribute cn=front_office, so front_office becomes the authenticated proxied user.

4. The authenticated user differs from the client user name basil, with the result that basil is treated as a proxy for front_office, and basil assumes the privileges of the proxied front_office account. The following query returns output as shown:

   ```
   mysql> SELECT USER(), CURRENT_USER(), @@proxy_user;
   ```

```
+-----------------+------------------------+--------------+
| USER()          | CURRENT_USER()         | @@proxy_user |
+-----------------+------------------------+--------------+
| basil@localhost | front_office@localhost | ''@'%'       |
+-----------------+------------------------+--------------+
```

This demonstrates that `basil` uses the privileges granted to the proxied `front_office` MySQL account, and that proxying occurs through the default proxy user account.

LDAP Authentication Group Preference and Mapping Specification

As described in LDAP Authentication with Proxying, basic LDAP authentication proxying works by the principle that the plugin uses the first group name returned by the LDAP server as the MySQL proxied user account name. This simple capability does not enable specifying any preference about which group name to use if the LDAP server returns multiple group names, or specifying any name other than the group name as the proxied user name.

As of MySQL 8.0.14, for MySQL accounts that use LDAP authentication, the authentication string can specify the following information to enable greater proxying flexibility:

- A list of groups in preference order, such that the plugin uses the first group name in the list that matches a group returned by the LDAP server.
- A mapping from group names to proxied user names, such that a group name when matched can provide a specified name to use as the proxied user. This provides an alternative to using the group name as the proxied user.

Consider the following MySQL proxy account definition:

```
CREATE USER ''@'%'
  IDENTIFIED WITH authentication_ldap_sasl
  AS '+ou=People,dc=example,dc=com#grp1=usera,grp2,grp3=userc';
```

The authentication string has a user DN suffix `ou=People,dc=example,dc=com` prefixed by the `+` character. Thus, as described in LDAP Authentication User DN Suffixes, the full user DN is constructed from the user DN suffix as specified, plus the client user name as the `uid` attribute.

The remaining part of the authentication string begins with `#`, which signifies the beginning of group preference and mapping information. This part of the authentication string lists group names in the order `grp1`, `grp2`, `grp3`. The LDAP plugin compares that list with the set of group names returned by the LDAP server, looking in list order for a match against the returned names. The plugin uses the first match, or if there is no match, authentication fails.

Suppose that the LDAP server returns groups `grp3`, `grp2`, and `grp7`. The LDAP plugin uses `grp2` because it is the first group in the authentication string that matches, even though it is not the first group returned by the LDAP server. If the LDAP server returns `grp4`, `grp2`, and `grp1`, the plugin uses `grp1` even though `grp2` also matches. `grp1` has a precedence higher than `grp2` because it is listed earlier in the authentication string.

Assuming that the plugin finds a group name match, it performs mapping from that group name to the MySQL proxied user name, if there is one. For the example proxy account, mapping occurs as follows:

- If the matching group name is `grp1` or `grp3`, those are associated in the authentication string with user names `usera` and `userc`, respectively. The plugin uses the corresponding associated user name as the proxied user name.
- If the matching group name is `grp2`, there is no associated user name in the authentication string. The plugin uses `grp2` as the proxied user name.

If the LDAP server returns a group in DN format, the LDAP plugin parses the group DN to extract the group name from it.

To specify LDAP group preference and mapping information, these principles apply:

- Begin the group preference and mapping part of the authentication string with a # prefix character.
- The group preference and mapping specification is a list of one or more items, separated by commas. Each item has the form *group_name=user_name* or *group_name*. Items should be listed in group name preference order. For a group name selected by the plugin as a match from set of group names returned by the LDAP server, the two syntaxes differ in effect as follows:
  - For an item specified as *group_name=user_name* (with a user name), the group name maps to the user name, which is used as the MySQL proxied user name.
  - For an item specified as *group_name* (with no user name), the group name is used as the MySQL proxied user name.
- To quote a group or user name that contains special characters such as space, surround it by double quote (") characters. For example, if an item has group and user names of `my group name` and `my user name`, it must be written in a group mapping using quotes:

  ```
  "my group name"="my user name"
  ```

  If an item has group and user names of `my_group_name` and `my_user_name` (which contain no special characters), it may but need not be written using quotes. Any of the following are valid:

  ```
  my_group_name=my_user_name
  my_group_name="my_user_name"
  "my_group_name"=my_user_name
  "my_group_name"="my_user_name"
  ```

- To escape a character, precede it by a backslash (\). This is useful particularly to include a literal double quote or backslash, which are otherwise not included literally.
- A user DN need not be present in the authentication string, but if present, it must precede the group preference and mapping part. A user DN can be given as a full user DN, or as a user DN suffix with a + prefix character. (See LDAP Authentication User DN Suffixes.)

LDAP Authentication User DN Suffixes

LDAP authentication plugins permit the authentication string that provides user DN information to begin with a + prefix character:

- In the absence of a + character, the authentication string value is treated as is without modification.
- If the authentication string begins with +, the plugin constructs the full user DN value from the user name sent by the client, together with the DN specified in the authentication string (with the + removed). In the constructed DN, the client user name becomes the value of the attribute that specifies LDAP user names. This is `uid` by default; to change the attribute, modify the appropriate system variable (`authentication_ldap_simple_user_search_attr` or `authentication_ldap_sasl_user_search_attr`). The authentication string is stored as given in the `mysql.user` system table, with the full user DN constructed on the fly before authentication.

This account authentication string does not have + at the beginning, so it is taken as the full user DN:

```
CREATE USER 'baldwin'
  IDENTIFIED WITH authentication_ldap_simple
  AS 'uid=admin,ou=People,dc=example,dc=com';
```

The client connects with the user name specified in the account (`baldwin`). In this case, that name is not used because the authentication string has no prefix and thus fully specifies the user DN.

This account authentication string does have + at the beginning, so it is taken as just part of the user DN:

```
CREATE USER 'accounting'
  IDENTIFIED WITH authentication_ldap_simple
```

```
  AS '+ou=People,dc=example,dc=com';
```

The client connects with the user name specified in the account (`accounting`), which in this case is used as the `uid` attribute together with the authentication string to construct the user DN: `uid=accounting,ou=People,dc=example,dc=com`

The accounts in the preceding examples have a nonempty user name, so the client always connects to the MySQL server using the same name as specified in the account definition. If an account has an empty user name, such as the default anonymous `''@'%'` proxy account described in LDAP Authentication with Proxying, clients might connect to the MySQL server with varying user names. But the principle is the same: If the authentication string begins with `+`, the plugin uses the user name sent by the client together with the authentication string to construct the user DN.

LDAP Authentication Methods

The LDAP authentication plugins use a configurable authentication method. The appropriate system variable and available method choices are plugin-specific:

- For the `authentication_ldap_simple` plugin: Set the `authentication_ldap_simple_auth_method_name` system variable to configure the method. The permitted choices are `SIMPLE` and `AD-FOREST`.
- For the `authentication_ldap_sasl` plugin: Set the `authentication_ldap_sasl_auth_method_name` system variable to configure the method. The permitted choices are `SCRAM-SHA-1`, `SCRAM-SHA-256`, and `GSSAPI`. (To determine which SASL LDAP methods are actually available on the host system, check the value of the `Authentication_ldap_sasl_supported_methods` status variable.)

See the system variable descriptions for information about each permitted method. Also, depending on the method, additional configuration may be needed, as described in the following sections.

The GSSAPI/Kerberos Authentication Method

Generic Security Service Application Program Interface (GSSAPI) is a security abstraction interface. Kerberos is an instance of a specific security protocol that can be used through that abstract interface. Using GSSAPI, applications authenticate to Kerberos to obtain service credentials, then use those credentials in turn to enable secure access to other services.

One such service is LDAP, which is used by the client-side and server-side SASL LDAP authentication plugins. When the `authentication_ldap_sasl_auth_method_name` system variable is set to `GSSAPI`, these plugins use the GSSAPI/Kerberos authentication method. In this case, the plugins communicate securely using Kerberos without using LDAP messages directly. The server-side plugin then communicates with the LDAP server to interpret LDAP authentication messages and retrieve LDAP groups.

GSSAPI/Kerberos is supported as an LDAP authentication method for MySQL servers and clients on Linux. It is useful in Linux environments where applications have access to LDAP through Microsoft Active Directory, which has Kerberos enabled by default.

The following discussion provides information about the configuration requirements for using the GSSAPI method. Familiarity is assumed with Kerberos concepts and operation. The following list briefly defines several common Kerberos terms. You may also find the Glossary section of RFC 4120 helpful.

- Principal: A named entity, such as a user or server.
- KDC: The key distribution center, comprising the AS and TGS:
  - AS: The authentication server; provides the initial ticket-granting ticket needed to obtain additional tickets.

  - TGS: The ticket-granting server; provides additional tickets to Kerberos clients that possess a valid TGT.
- TGT: The ticket-granting ticket; presented to the TGS to obtain service tickets for service access.

LDAP authentication using Kerberos requires both a KDC server and an LDAP server. This requirement can be satisfied in different ways:

- Active Directory includes both servers, with Kerberos authentication enabled by default in the Active Directory LDAP server.
- OpenLDAP provides an LDAP server, but a separate KDC server may be needed, with additional Kerberos setup required.

Kerberos must also be available on the client host. A client contacts the AS using a password to obtain a TGT. The client then uses the TGT to obtain access from the TGS to other services, such as LDAP.

The following sections discuss the configuration steps to use GSSAPI/Kerberos for SASL LDAP authentication in MySQL:

- Verify Kerberos and LDAP Availability
- Configure the Server-Side SASL LDAP Authentication Plugin for GSSAPI/Kerberos
- Create a MySQL Account That Uses GSSAPI/Kerberos for LDAP Authentication
- Use the MySQL Account to Connect to the MySQL Server
- Client Configuration Parameters for LDAP Authentication

Verify Kerberos and LDAP Availability

The following example shows how to test availability of Kerberos in Active Directory. The example makes these assumptions:

- Active Directory is running on the host named `ldap_auth.example.com` with IP address `198.51.100.10`.
- MySQL-related Kerberos authentication and LDAP lookups use the `MYSQL.LOCAL` domain.
- A principal named `bredon@MYSQL.LOCAL` is registered with the KDC. (In later discussion, this principal name is also associated with the MySQL account that authenticates to the MySQL server using GSSAPI/Kerberos.)

With those assumptions satisfied, follow this procedure:

1. Verify that the Kerberos library is installed and configured correctly in the operating system. For example, to configure a `MYSQL.LOCAL` domain for use during MySQL authentication, the `/etc/krb5.conf` Kerberos configuration file should contain something like this:

   ```
   [realms]
     MYSQL.LOCAL = {
       kdc = ldap_auth.example.com
       admin_server = ldap_auth.example.com
       default_domain = MYSQL.LOCAL
     }
   ```

2. You may need to add an entry to `/etc/hosts` for the server host:

   ```
   198.51.100.10 ldap_auth ldap_auth.example.com
   ```

3. Check whether Kerberos authentication works correctly:

   a. Use `kinit` to authenticate to Kerberos:

```
$> kinit bredon@MYSQL.LOCAL
Password for bredon@MYSQL.LOCAL: (enter password here)
```

The command authenticates for the Kerberos principal named `bredon@MYSQL.LOCAL`. Enter the principal's password when the command prompts for it. The KDC returns a TGT that is cached on the client side for use by other Kerberos-aware applications.

b. Use `klist` to check whether the TGT was obtained correctly. The output should be similar to this:

```
$> klist
Ticket cache: FILE:/tmp/krb5cc_244306
Default principal: bredon@MYSQL.LOCAL
Valid starting       Expires              Service principal
03/23/2021 08:18:33  03/23/2021 18:18:33  krbtgt/MYSQL.LOCAL@MYSQL.LOCAL
```

4. Check whether `ldapsearch` works with the Kerberos TGT using this command, which searches for users in the `MYSQL.LOCAL` domain:

```
ldapsearch -h 198.51.100.10 -Y GSSAPI -b "dc=MYSQL,dc=LOCAL"
```

Configure the Server-Side SASL LDAP Authentication Plugin for GSSAPI/Kerberos

Assuming that the LDAP server is accessible through Kerberos as just described, configure the server-side SASL LDAP authentication plugin to use the GSSAPI/Kerberos authentication method. (For general LDAP plugin installation information, see Installing LDAP Pluggable Authentication.) Here is an example of plugin-related settings the server `my.cnf` file might contain:

```
[mysqld]
plugin-load-add=authentication_ldap_sasl.so
authentication_ldap_sasl_auth_method_name="GSSAPI"
authentication_ldap_sasl_server_host=198.51.100.10
authentication_ldap_sasl_server_port=389
authentication_ldap_sasl_bind_root_dn="cn=admin,cn=users,dc=MYSQL,dc=LOCAL"
authentication_ldap_sasl_bind_root_pwd="password"
authentication_ldap_sasl_bind_base_dn="cn=users,dc=MYSQL,dc=LOCAL"
authentication_ldap_sasl_user_search_attr="sAMAccountName"
```

Those option file settings configure the SASL LDAP plugin as follows:

- The `--plugin-load-add` option loads the plugin (adjust the `.so` suffix for your platform as necessary). If you loaded the plugin previously using an `INSTALL PLUGIN` statement, this option is unnecessary.
- `authentication_ldap_sasl_auth_method_name` must be set to `GSSAPI` to use GSSAPI/Kerberos as the SASL LDAP authentication method.
- `authentication_ldap_sasl_server_host` and `authentication_ldap_sasl_server_port` indicate the IP address and port number of the Active Directory server host for authentication.
- `authentication_ldap_sasl_bind_root_dn` and `authentication_ldap_sasl_bind_root_pwd` configure the root DN and password for group search capability. This capability is required, but users may not have privileges to search. In such cases, it is necessary to provide root DN information:
  - In the DN option value, `admin` should be the name of an administrative LDAP account that has privileges to perform user searches.
  - In the password option value, *`password`* should be the `admin` account password.
- `authentication_ldap_sasl_bind_base_dn` indicates the user DN base path, so that searches look for users in the `MYSQL.LOCAL` domain.

- `authentication_ldap_sasl_user_search_attr` specifies a standard Active Directory search attribute, `sAMAccountName`. This attribute is used in searches to match logon names; attribute values are not the same as the user DN values.

Create a MySQL Account That Uses GSSAPI/Kerberos for LDAP Authentication

MySQL authentication using the SASL LDAP authentication plugin with the GSSAPI/Kerberos method is based on a user that is a Kerberos principal. The following discussion uses a principal named `bredon@MYSQL.LOCAL` as this user, which must be registered in several places:

- The Kerberos administrator should register the user name as a Kerberos principal. This name should include a domain name. Clients use the principal name and password to authenticate with Kerberos and obtain a TGT.
- The LDAP administrator should register the user name in an LDAP entry. For example:

```
uid=bredon,dc=MYSQL,dc=LOCAL
```

> **Note**
>
> In Active Directory (which uses Kerberos as the default authentication method), creating a user creates both the Kerberos principal and the LDAP entry.

- The MySQL DBA should create an account that has the Kerberos principal name as the user name and that authenticates using the SASL LDAP plugin.

Assume that the Kerberos principal and LDAP entry have been registered by the appropriate service administrators, and that, as previously described in Installing LDAP Pluggable Authentication, and Configure the Server-Side SASL LDAP Authentication Plugin for GSSAPI/Kerberos, the MySQL server has been started with appropriate configuration settings for the server-side SASL LDAP plugin. The MySQL DBA then creates a MySQL account that corresponds to the Kerberos principal name, including the domain name.

> **Note**
>
> The SASL LDAP plugin uses a constant user DN for Kerberos authentication and ignores any user DN configured from MySQL. This has certain implications:
>
> - For any MySQL account that uses GSSAPI/Kerberos authentication, the authentication string in `CREATE USER` or `ALTER USER` statements should contain no user DN because it has no effect.
> - Because the authentication string contains no user DN, it should contain group mapping information, to enable the user to be handled as a proxy user that is mapped onto the desired proxied user. For information about proxying with the LDAP authentication plugin, see LDAP Authentication with Proxying.

The following statements create a proxy user named `bredon@MYSQL.LOCAL` that assumes the privileges of the proxied user named `proxied_krb_usr`. Other GSSAPI/Kerberos users that should have the same privileges can similarly be created as proxy users for the same proxied user.

```
-- create proxy account
CREATE USER 'bredon@MYSQL.LOCAL'
  IDENTIFIED WITH authentication_ldap_sasl
  BY '#krb_grp=proxied_krb_user';
-- create proxied account and grant its privileges;
-- use mysql_no_login plugin to prevent direct login
CREATE USER 'proxied_krb_user'
  IDENTIFIED WITH mysql_no_login;
GRANT ALL
  ON krb_user_db.*
  TO 'proxied_krb_user';
-- grant to proxy account the
```

```
-- PROXY privilege for proxied account
GRANT PROXY
  ON 'proxied_krb_user'
  TO 'bredon@MYSQL.LOCAL';
```

Observe closely the quoting for the proxy account name in the first `CREATE USER` statement and the `GRANT PROXY` statement:

- For most MySQL accounts, the user and host are separate parts of the account name, and thus are quoted separately as `'user_name'@'host_name'`.
- For LDAP Kerberos authentication, the user part of the account name includes the principal domain, so `'bredon@MYSQL.LOCAL'` is quoted as a single value. Because no host part is given, the full MySQL account name uses the default of `'%'` as the host part: `'bredon@MYSQL.LOCAL'@'%'`

> **Note**
>
> When creating an account that authenticates using the `authentication_ldap_sasl` SASL LDAP authentication plugin with the GSSAPI/Kerberos authentication method, the `CREATE USER` statement includes the realm as part of the user name. This differs from creating accounts that use the `authentication_kerberos` Kerberos plugin. For such accounts, the `CREATE USER` statement does not include the realm as part of the user name. Instead, specify the realm as the authentication string in the `BY` clause. See Create a MySQL Account That Uses Kerberos Authentication.

The proxied account uses the `mysql_no_login` authentication plugin to prevent clients from using the account to log in directly to the MySQL server. Instead, it is expected that users who authenticate using LDAP use the `bredon@MYSQL.LOCAL` proxy account. (This assumes that the `mysql_no_login` plugin is installed. For instructions, see Section 6.1.9, “No-Login Pluggable Authentication”.) For alternative methods of protecting proxied accounts against direct use, see Preventing Direct Login to Proxied Accounts.

Use the MySQL Account to Connect to the MySQL Server

After a MySQL account that authenticates using GSSAPI/Kerberos has been set up, clients can use it to connect to the MySQL server. Kerberos authentication can take place either prior to or at the time of MySQL client program invocation:

- Prior to invoking the MySQL client program, the client user can obtain a TGT from the KDC independently of MySQL. For example, the client user can use `kinit` to authenticate to Kerberos by providing a Kerberos principal name and the principal password:

  ```
  $> kinit bredon@MYSQL.LOCAL
  Password for bredon@MYSQL.LOCAL: (enter password here)
  ```

  The resulting TGT is cached and becomes available for use by other Kerberos-aware applications, such as programs that use the client-side SASL LDAP authentication plugin. In this case, the MySQL client program authenticates to the MySQL server using the TGT, so invoke the client without specifying a user name or password:

  ```
  mysql --default-auth=authentication_ldap_sasl_client
  ```

  As just described, when the TGT is cached, user-name and password options are not needed in the client command. If the command includes them anyway, they are handled as follows:

  - If the command includes a user name, authentication fails if that name does not match the principal name in the TGT.
  - If the command includes a password, the client-side plugin ignores it. Because authentication is based on the TGT, it can succeed *even if the user-provided password is incorrect*. For this reason, the plugin produces a warning if a valid TGT is found that causes a password to be ignored.

- If the Kerberos cache contains no TGT, the client-side SASL LDAP authentication plugin itself can obtain the TGT from the KDC. Invoke the client with options for the name and password of the Kerberos principal associated with the MySQL account (enter the command on a single line, then enter the principal password when prompted):

```
mysql --default-auth=authentication_ldap_sasl_client
  --user=bredon@MYSQL.LOCAL
  --password
```

- If the Kerberos cache contains no TGT and the client command specifies no principal name as the user name, authentication fails.

If you are uncertain whether a TGT exists, you can use `klist` to check.

Authentication occurs as follows:

1. The client uses the TGT to authenticate using Kerberos.
2. The server finds the LDAP entry for the principal and uses it to authenticate the connection for the `bredon@MYSQL.LOCAL` MySQL proxy account.
3. The group mapping information in the proxy account authentication string (`'#krb_grp=proxied_krb_user'`) indicates that the authenticated proxied user should be `proxied_krb_user`.
4. `bredon@MYSQL.LOCAL` is treated as a proxy for `proxied_krb_user`, and the following query returns output as shown:

```
mysql> SELECT USER(), CURRENT_USER(), @@proxy_user;
+------------------------------+--------------------+--------------------------+
| USER()                       | CURRENT_USER()     | @@proxy_user             |
+------------------------------+--------------------+--------------------------+
| bredon@MYSQL.LOCAL@localhost | proxied_krb_user@% | 'bredon@MYSQL.LOCAL'@'%' |
+------------------------------+--------------------+--------------------------+
```

   The `USER()` value indicates the user name used for the client command (`bredon@MYSQL.LOCAL`) and the host from which the client connected (`localhost`).

   The `CURRENT_USER()` value is the full name of the proxied user account, which consists of the `proxied_krb_user` user part and the `%` host part.

   The `@@proxy_user` value indicates the full name of the account used to make the connection to the MySQL server, which consists of the `bredon@MYSQL.LOCAL` user part and the `%` host part.

   This demonstrates that proxying occurs through the `bredon@MYSQL.LOCAL` proxy user account, and that `bredon@MYSQL.LOCAL` assumes the privileges granted to the `proxied_krb_user` proxied user account.

A TGT once obtained is cached on the client side and can be used until it expires without specifying the password again. However the TGT is obtained, the client-side plugin uses it to acquire service tickets and communicate with the server-side plugin.

> **Note**
>
> When the client-side authentication plugin itself obtains the TGT, the client user may not want the TGT to be reused. As described in Client Configuration Parameters for LDAP Authentication, the local `/etc/krb5.conf` file can be used to cause the client-side plugin to destroy the TGT when done with it.

The server-side plugin has no access to the TGT itself or the Kerberos password used to obtain it.

The LDAP authentication plugins have no control over the caching mechanism (storage in a local file, in memory, and so forth), but Kerberos utilities such as `kswitch` may be available for this purpose.

Client Configuration Parameters for LDAP Authentication

The `authentication_ldap_sasl_client` client-side SASL LDAP plugin reads the local `/etc/krb5.conf` file. If this file is missing or inaccessible, an error occurs. Assuming that the file is accessible, it can include an optional `[appdefaults]` section to provide information used by the plugin. Place the information within the `mysql` part of the section. For example:

```
[appdefaults]
  mysql = {
    ldap_server_host = "ldap_host.example.com"
    ldap_destroy_tgt = true
  }
```

The client-side plugin recognizes these parameters in the `mysql` section:

- The `ldap_server_host` value specifies the LDAP server host and can be useful when that host differs from the KDC server host specified in the `[realms]` section. By default, the plugin uses the KDC server host as the LDAP server host.
- The `ldap_destroy_tgt` value indicates whether the client-side plugin destroys the TGT after obtaining and using it. By default, `ldap_destroy_tgt` is `false`, but can be set to `true` to avoid TGT reuse. (This setting applies only to TGTs created by the client-side plugin, not TGTs created by other plugins or externally to MySQL.)

LDAP Search Referral

An LDAP server can be configured to delegate LDAP searches to another LDAP server, a functionality known as LDAP referral. Suppose that the server `a.example.com` holds a `"dc=example,dc=com"` root DN and wishes to delegate searches to another server `b.example.com`. To enable this, `a.example.com` would be configured with a named referral object having these attributes:

```
dn: dc=subtree,dc=example,dc=com
objectClass: referral
objectClass: extensibleObject
dc: subtree
ref: ldap://b.example.com/dc=subtree,dc=example,dc=com
```

An issue with enabling LDAP referral is that searches can fail with LDAP operation errors when the search base DN is the root DN, and referral objects are not set. A MySQL DBA might wish to avoid such referral errors for the LDAP authentication plugins, even though LDAP referral might be set globally in the `ldap.conf` configuration file. To configure on a plugin-specific basis whether the LDAP server should use LDAP referral when communicating with each plugin, set the `authentication_ldap_simple_referral` and `authentication_ldap_sasl_referral` system variables. Setting either variable to `ON` or `OFF` causes the corresponding LDAP authentication plugin to tell the LDAP server whether to use referral during MySQL authentication. Each variable has a plugin-specific effect and does not affect other applications that communicate with the LDAP server. Both variables are `OFF` by default.

6.1.8 Kerberos Pluggable Authentication

> **Note**
>
> Kerberos pluggable authentication is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

MySQL Enterprise Edition supports an authentication method that enables users to authenticate to MySQL Server using Kerberos, provided that appropriate Kerberos tickets are available or can be obtained.

This authentication method is available in MySQL 8.0.26 and higher, for MySQL servers and clients on Linux. It is useful in Linux environments where applications have access to Microsoft Active Directory,

which has Kerberos enabled by default. As of MySQL 8.0.27 (MySQL 8.0.32 for MIT Kerberos), the client-side plugin is supported on Windows as well. The server-side plugin is still supported only on Linux.

> **Note**
>
> Neither MySQL Shell nor MySQL Router currently support creating internally managed MySQL accounts for use with Kerberos. However, MySQL Shell supports using Kerberos to connect to MySQL Server. MySQL Router supports the use of an existing Kerberos-authenticated MySQL account for bootstrap and metadata access. MySQL Router also supports application connections that use Kerberos to authenticate to MySQL Server.

Kerberos pluggable authentication provides these capabilities:

- External authentication: Kerberos authentication enables MySQL Server to accept connections from users defined outside the MySQL grant tables who have obtained the proper Kerberos tickets.
- Security: Kerberos uses tickets together with symmetric-key cryptography, enabling authentication without sending passwords over the network. Kerberos authentication supports userless and passwordless scenarios.

The following table shows the plugin and library file names. The file name suffix might differ on your system. The file must be located in the directory named by the `plugin_dir` system variable. For installation information, see Installing Kerberos Pluggable Authentication.

Table 6.9 Plugin and Library Names for Kerberos Authentication

Plugin or File	Plugin or File Name
Server-side plugin	`authentication_kerberos`
Client-side plugin	`authentication_kerberos_client`
Library file	`authentication_kerberos.so`, `authentication_kerberos_client.so`

The server-side Kerberos authentication plugin is included only in MySQL Enterprise Edition. It is not included in MySQL community distributions. The client-side plugin is included in all distributions, including community distributions. This enables clients from any distribution to connect to a server that has the server-side plugin loaded.

The following sections provide installation and usage information specific to Kerberos pluggable authentication:

- Prerequisites for Kerberos Pluggable Authentication
- How Kerberos Authentication of MySQL Users Works
- Installing Kerberos Pluggable Authentication
- Using Kerberos Pluggable Authentication
- Kerberos Authentication Debugging

For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”.

Prerequisites for Kerberos Pluggable Authentication

To use Kerberos pluggable authentication for MySQL, these prerequisites must be satisfied:

- A Kerberos service must be available for the Kerberos authentication plugins to communicate with.
- Each Kerberos user (principal) to be authenticated by MySQL must be present in the database managed by the KDC server.
- A Kerberos client library must be available on systems where either the server-side or client-side Kerberos authentication plugin is used. In addition, GSSAPI is used as the interface for accessing Kerberos authentication, so a GSSAPI library must be available.

How Kerberos Authentication of MySQL Users Works

This section provides an overview of how MySQL and Kerberos work together to authenticate MySQL users. For examples showing how to set up MySQL accounts to use the Kerberos authentication plugins, see Using Kerberos Pluggable Authentication.

Familiarity is assumed here with Kerberos concepts and operation. The following list briefly defines several common Kerberos terms. You may also find the Glossary section of RFC 4120 helpful.

- Principal: A named entity, such as a user or server. In this discussion, certain principal-related terms occur frequently:
  - SPN: Service principal name; the name of a principal that represents a service.
  - UPN: User principal name; the name of a principal that represents a user.
- KDC: The key distribution center, comprising the AS and TGS:
  - AS: The authentication server; provides the initial ticket-granting ticket needed to obtain additional tickets.
  - TGS: The ticket-granting server; provides additional tickets to Kerberos clients that possess a valid TGT.
- TGT: The ticket-granting ticket; presented to the TGS to obtain service tickets for service access.
- ST: A service ticket; provides access to a service such as that offered by a MySQL server.

Authentication using Kerberos requires a KDC server, for example, as provided by Microsoft Active Directory.

Kerberos authentication in MySQL uses Generic Security Service Application Program Interface (GSSAPI), which is a security abstraction interface. Kerberos is an instance of a specific security protocol that can be used through that abstract interface. Using GSSAPI, applications authenticate to Kerberos to obtain service credentials, then use those credentials in turn to enable secure access to other services.

On Windows, the `authentication_kerberos_client` authentication plugin supports two modes, which the client user can set at runtime or specify in an option file:

- `SSPI` mode: Security Support Provider Interface (SSPI) implements GSSAPI (see Commands for Windows Clients in SSPI Mode). SSPI, while being compatible with GSSAPI at the wire level, only supports the Windows single sign-on scenario and specifically refers to the logged-on user. SSPI is the default mode on most Windows clients.
- `GSSAPI` mode: Supports GSSAPI through the MIT Kerberos library on Windows (see Commands for Windows Clients in GSSAPI Mode).

With the Kerberos authentication plugins, applications and MySQL servers are able to use the Kerberos authentication protocol to mutually authenticate users and MySQL services. This way both the user and the server are able to verify each other's identity. No passwords are sent over the network and Kerberos protocol messages are protected against eavesdropping and replay attacks.

Kerberos authentication follows these steps, where the server-side and client-side parts are performed using the `authentication_kerberos` and `authentication_kerberos_client` authentication plugins, respectively:

1. The MySQL server sends to the client application its service principal name. This SPN must be registered in the Kerberos system, and is configured on the server side using the `authentication_kerberos_service_principal` system variable.
2. Using GSSAPI, the client application creates a Kerberos client-side authentication session and exchanges Kerberos messages with the Kerberos KDC:
   - The client obtains a ticket-granting ticket from the authentication server.
   - Using the TGT, the client obtains a service ticket for MySQL from the ticket-granting service.

   This step can be skipped or partially skipped if the TGT, ST, or both are already cached locally. The client optionally may use a client keytab file to obtain a TGT and ST without supplying a password.
3. Using GSSAPI, the client application presents the MySQL ST to the MySQL server.
4. Using GSSAPI, the MySQL server creates a Kerberos server-side authentication session. The server validates the user identity and the validity of the user request. It authenticates the ST using the service key configured in its service keytab file to determine whether authentication succeeds or fails, and returns the authentication result to the client.

Applications are able to authenticate using a provided user name and password, or using a locally cached TGT or ST (for example, created using `kinit` or similar). This design therefore covers use cases ranging from completely userless and passwordless connections, where Kerberos service tickets are obtained from a locally stored Kerberos cache, to connections where both user name and password are provided and used to obtain a valid Kerberos service ticket from a KDC, to send to the MySQL server.

As indicated in the preceding description, MySQL Kerberos authentication uses two kinds of keytab files:

- On the client host, a client keytab file may be used to obtain a TGT and ST without supplying a password. See Client Configuration Parameters for Kerberos Authentication.
- On the MySQL server host, a server-side service keytab file is used to verify service tickets received by the MySQL server from clients. The keytab file name is configured using the `authentication_kerberos_service_key_tab` system variable.

For information about keytab files, see https://web.mit.edu/kerberos/krb5-latest/doc/basic/keytab_def.html.

Installing Kerberos Pluggable Authentication

This section describes how to install the server-side Kerberos authentication plugin. For general information about installing plugins, see Installing and Uninstalling Plugins.

> **Note**
>
> The server-side plugin is supported only on Linux systems. On Windows systems, only the client-side plugin is supported (as of MySQL 8.0.27), which can be used on a Windows system to connect to a Linux server that uses Kerberos authentication.

To be usable by the server, the plugin library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

The server-side plugin library file base name is `authentication_kerberos`. The file name suffix for Unix and Unix-like systems is `.so`.

To load the plugin at server startup, use the `--plugin-load-add` option to name the library file that contains it. With this plugin-loading method, the option must be given each time the server starts. Also, specify values for any plugin-provided system variables you wish to configure. The plugin exposes these system variables, enabling its operation to be configured:

- `authentication_kerberos_service_principal`: The MySQL service principal name (SPN). This name is sent to clients that attempt to authenticate using Kerberos. The SPN must be present in the database managed by the KDC server. The default is `mysql/host_name@realm_name`.
- `authentication_kerberos_service_key_tab`: The keytab file for authenticating tickets received from clients. This file must exist and contain a valid key for the SPN or authentication of clients will fail. The default is `mysql.keytab` in the data directory.

For details about all Kerberos authentication system variables, see Section 6.1.13, “Pluggable Authentication System Variables”.

To load the plugin and configure it, put lines such as these in your `my.cnf` file, using values for the system variables that are appropriate for your installation:

```
[mysqld]
plugin-load-add=authentication_kerberos.so
authentication_kerberos_service_principal=mysql/krbauth.example.com@MYSQL.LOCAL
authentication_kerberos_service_key_tab=/var/mysql/data/mysql.keytab
```

After modifying `my.cnf`, restart the server to cause the new settings to take effect.

Alternatively, to load the plugin at runtime, use this statement:

```
INSTALL PLUGIN authentication_kerberos
  SONAME 'authentication_kerberos.so';
```

`INSTALL PLUGIN` loads the plugin immediately, and also registers it in the `mysql.plugins` system table to cause the server to load it for each subsequent normal startup without the need for `--plugin-load-add`.

When you install the plugin at runtime without configuring its system variables in the `my.cnf` file, the system variable `authentication_kerberos_service_key_tab` is set to the default value of `mysql.keytab` in the data directory. The value of this system variable cannot be changed at runtime, so if you need to specify a different file, you need to add the setting to your `my.cnf` file then restart the MySQL server. For example:

```
[mysqld]
authentication_kerberos_service_key_tab=/var/mysql/data/mysql.keytab
```

If the keytab file is not in the correct place or does not contain a valid SPN key, the MySQL server does not validate this, but clients return authentication errors until you fix the issue.

The `authentication_kerberos_service_principal` system variable can be set and persisted at runtime without restarting the server, by using a `SET PERSIST` statement:

```
SET PERSIST authentication_kerberos_service_principal='mysql/krbauth.example.com@MYSQL.LOCAL';
```

`SET PERSIST` sets a value for the running MySQL instance. It also saves the value, causing it to carry over to subsequent server restarts. To change a value for the running MySQL instance without having it carry over to subsequent restarts, use the `GLOBAL` keyword rather than `PERSIST`. See SET Syntax for Variable Assignment.

To verify plugin installation, examine the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
```

```
      FROM INFORMATION_SCHEMA.PLUGINS
      WHERE PLUGIN_NAME = 'authentication_kerberos';
+-------------------------+---------------+
| PLUGIN_NAME             | PLUGIN_STATUS |
+-------------------------+---------------+
| authentication_kerberos | ACTIVE        |
+-------------------------+---------------+
```

If a plugin fails to initialize, check the server error log for diagnostic messages.

To associate MySQL accounts with the Kerberos plugin, see Using Kerberos Pluggable Authentication.

Using Kerberos Pluggable Authentication

This section describes how to enable MySQL accounts to connect to the MySQL server using Kerberos pluggable authentication. It is assumed that the server is running with the server-side plugin enabled, as described in Installing Kerberos Pluggable Authentication, and that the client-side plugin is available on the client host.

- Verify Kerberos Availability
- Create a MySQL Account That Uses Kerberos Authentication
- Use the MySQL Account to Connect to the MySQL Server
- Client Configuration Parameters for Kerberos Authentication

Verify Kerberos Availability

The following example shows how to test availability of Kerberos in Active Directory. The example makes these assumptions:

- Active Directory is running on the host named `krbauth.example.com` with IP address `198.51.100.11`.
- MySQL-related Kerberos authentication uses the `MYSQL.LOCAL` domain, and also uses `MYSQL.LOCAL` as the realm name.
- A principal named `karl@MYSQL.LOCAL` is registered with the KDC. (In later discussion, this principal name is associated with the MySQL account that authenticates to the MySQL server using Kerberos.)

With those assumptions satisfied, follow this procedure:

1. Verify that the Kerberos library is installed and configured correctly in the operating system. For example, to configure a `MYSQL.LOCAL` domain and realm for use during MySQL authentication, the `/etc/krb5.conf` Kerberos configuration file should contain something like this:

   ```
   [realms]
     MYSQL.LOCAL = {
       kdc = krbauth.example.com
       admin_server = krbauth.example.com
       default_domain = MYSQL.LOCAL
     }
   ```

2. You may need to add an entry to `/etc/hosts` for the server host:

   ```
   198.51.100.11 krbauth krbauth.example.com
   ```

3. Check whether Kerberos authentication works correctly:

   a. Use `kinit` to authenticate to Kerberos:

      ```
      $> kinit karl@MYSQL.LOCAL
      Password for karl@MYSQL.LOCAL: (enter password here)
      ```

The command authenticates for the Kerberos principal named `karl@MYSQL.LOCAL`. Enter the principal's password when the command prompts for it. The KDC returns a TGT that is cached on the client side for use by other Kerberos-aware applications.

b. Use `klist` to check whether the TGT was obtained correctly. The output should be similar to this:

```
$> klist
Ticket cache: FILE:/tmp/krb5cc_244306
Default principal: karl@MYSQL.LOCAL
Valid starting       Expires              Service principal
03/23/2021 08:18:33  03/23/2021 18:18:33  krbtgt/MYSQL.LOCAL@MYSQL.LOCAL
```

Create a MySQL Account That Uses Kerberos Authentication

MySQL authentication using the `authentication_kerberos` authentication plugin is based on a Kerberos user principal name (UPN). The instructions here assume that a MySQL user named `karl` authenticates to MySQL using Kerberos, that the Kerberos realm is named `MYSQL.LOCAL`, and that the user principal name is `karl@MYSQL.LOCAL`. This UPN must be registered in several places:

- The Kerberos administrator should register the user name as a Kerberos principal. This name includes a realm name. Clients use the principal name and password to authenticate with Kerberos and obtain a ticket-granting ticket (TGT).
- The MySQL DBA should create an account that corresponds to the Kerberos principal name and that authenticates using the Kerberos plugin.

Assume that the Kerberos user principal name has been registered by the appropriate service administrator, and that, as previously described in Installing Kerberos Pluggable Authentication, the MySQL server has been started with appropriate configuration settings for the server-side Kerberos plugin. To create a MySQL account that corresponds to a Kerberos UPN of *`user@realm_name`*, the MySQL DBA uses a statement like this:

```
CREATE USER user
  IDENTIFIED WITH authentication_kerberos
  BY 'realm_name';
```

The account named by *`user`* can include or omit the host name part. If the host name is omitted, it defaults to `%` as usual. The *`realm_name`* is stored as the `authentication_string` value for the account in the `mysql.user` system table.

To create a MySQL account that corresponds to the UPN `karl@MYSQL.LOCAL`, use this statement:

```
CREATE USER 'karl'
  IDENTIFIED WITH authentication_kerberos
  BY 'MYSQL.LOCAL';
```

If MySQL must construct the UPN for this account, for example, to obtain or validate tickets (TGTs or STs), it does so by combining the account name (ignoring any host name part) and the realm name. For example, the full account name resulting from the preceding `CREATE USER` statement is `'karl'@'%'`. MySQL constructs the UPN from the user name part `karl` (ignoring the host name part) and the realm name `MYSQL.LOCAL` to produce `karl@MYSQL.LOCAL`.

> **Note**
>
> Observe that when creating an account that authenticates using `authentication_kerberos`, the `CREATE USER` statement does not include the UPN realm as part of the user name. Instead, specify the realm (`MYSQL.LOCAL` in this case) as the authentication string in the `BY` clause. This differs from creating accounts that use the `authentication_ldap_sasl` SASL LDAP authentication plugin with the GSSAPI/Kerberos authentication method. For such accounts, the `CREATE USER` statement does include the

UPN realm as part of the user name. See Create a MySQL Account That Uses GSSAPI/Kerberos for LDAP Authentication.

With the account set up, clients can use it to connect to the MySQL server. The procedure depends on whether the client host runs Linux or Windows, as indicated in the following discussion.

Use of `authentication_kerberos` is subject to the restriction that UPNs with the same user part but a different realm part are not supported. For example, you cannot create MySQL accounts that correspond to both these UPNs:

```
kate@MYSQL.LOCAL
kate@EXAMPLE.COM
```

Both UPNs have a user part of `kate` but differ in the realm part (`MYSQL.LOCAL` versus `EXAMPLE.COM`). This is disallowed.

Use the MySQL Account to Connect to the MySQL Server

After a MySQL account that authenticates using Kerberos has been set up, clients can use it to connect to the MySQL server as follows:

1. Authenticate to Kerberos with the user principal name (UPN) and its password to obtain a ticket-granting ticket (TGT).
2. Use the TGT to obtain a service ticket (ST) for MySQL.
3. Authenticate to the MySQL server by presenting the MySQL ST.

The first step (authenticating to Kerberos) can be performed various ways:

- Prior to connecting to MySQL:
  - On Linux or on Windows in `GSSAPI` mode, invoke `kinit` to obtain the TGT and save it in the Kerberos credentials cache.
  - On Windows in `SSPI` mode, authentication may already have been done at login time, which saves the TGT for the logged-in user in the Windows in-memory cache. `kinit` is not used and there is no Kerberos cache.
- When connecting to MySQL, the client program itself can obtain the TGT, if it can determine the required Kerberos UPN and password:
  - That information can come from sources such as command options or the operating system.
  - On Linux, clients also can use a keytab file or the `/etc/krb5.conf` configuration file. Windows clients in `GSSAPI` mode use a configuration file. Windows clients in `SSPI` mode use neither.

Details of the client commands for connecting to the MySQL server differ for Linux and Windows, so each host type is discussed separately, but these command properties apply regardless of host type:

- Each command shown includes the following options, but each one may be omitted under certain conditions:
  - The `--default-auth` option specifies the name of the client-side authentication plugin (`authentication_kerberos_client`). This option may be omitted when the `--user` option is specified because in that case MySQL can determine the plugin from the user account information sent by MySQL server.
  - The `--plugin-dir` option indicates to the client program the location of the `authentication_kerberos_client` plugin. This option may be omitted if the plugin is installed in the default (compiled-in) location.
- Commands should also include any other options such as `--host` or `--port` that are required to specify which MySQL server to connect to.

- Enter each command on a single line. If the command includes a `--password` option to solicit a password, enter the password of the Kerberos UPN associated with the MySQL user when prompted.

Connection Commands for Linux Clients

On Linux, the appropriate client command for connecting to the MySQL server varies depending on whether the command authenticates using a TGT from the Kerberos cache, or based on command options for the MySQL user name and the UPN password:

- Prior to invoking the MySQL client program, the client user can obtain a TGT from the KDC independently of MySQL. For example, the client user can use `kinit` to authenticate to Kerberos by providing a Kerberos user principal name and the principal password:

```
$> kinit karl@MYSQL.LOCAL
Password for karl@MYSQL.LOCAL: (enter password here)
```

  The resulting TGT for the UPN is cached and becomes available for use by other Kerberos-aware applications, such as programs that use the client-side Kerberos authentication plugin. In this case, invoke the client without specifying a user-name or password option:

```
mysql
  --default-auth=authentication_kerberos_client
  --plugin-dir=path/to/plugin/directory
```

  The client-side plugin finds the TGT in the cache, uses it to obtain a MySQL ST, and uses the ST to authenticate to the MySQL server.

  As just described, when the TGT for the UPN is cached, user-name and password options are not needed in the client command. If the command includes them anyway, they are handled as follows:

  - This command includes a user-name option:

```
mysql
  --default-auth=authentication_kerberos_client
  --plugin-dir=path/to/plugin/directory
  --user=karl
```

    In this case, authentication fails if the user name specified by the option does not match the user name part of the UPN in the TGT.

  - This command includes a password option, which you enter when prompted:

```
mysql
  --default-auth=authentication_kerberos_client
  --plugin-dir=path/to/plugin/directory
  --password
```

    In this case, the client-side plugin ignores the password. Because authentication is based on the TGT, it can succeed *even if the user-provided password is incorrect*. For this reason, the plugin produces a warning if a valid TGT is found that causes a password to be ignored.

- If the Kerberos cache contains no TGT, the client-side Kerberos authentication plugin itself can obtain the TGT from the KDC. Invoke the client with options for the MySQL user name and the password, then enter the UPN password when prompted:

```
mysql --default-auth=authentication_kerberos_client
  --plugin-dir=path/to/plugin/directory
  --user=karl
  --password
```

  The client-side Kerberos authentication plugin combines the user name (`karl`) and the realm specified in the user account (`MYSQL.LOCAL`) to construct the UPN (`karl@MYSQL.LOCAL`). The client-side plugin uses the UPN and password to obtain a TGT, uses the TGT to obtain a MySQL ST, and uses the ST to authenticate to the MySQL server.

Or, suppose that the Kerberos cache contains no TGT and the command specifies a password option but no user-name option:

```
mysql --default-auth=authentication_kerberos_client
  --plugin-dir=path/to/plugin/directory
  --password
```

The client-side Kerberos authentication plugin uses the operating system login name as the MySQL user name. It combines that user name and the realm in the user' MySQL account to construct the UPN. The client-side plugin uses the UPN and the password to obtain a TGT, uses the TGT to obtain a MySQL ST, and uses the ST to authenticate to the MySQL server.

If you are uncertain whether a TGT exists, you can use `klist` to check.

> **Note**
>
> When the client-side Kerberos authentication plugin itself obtains the TGT, the client user may not want the TGT to be reused. As described in Client Configuration Parameters for Kerberos Authentication, the local `/etc/krb5.conf` file can be used to cause the client-side plugin to destroy the TGT when done with it.

Connection Commands for Windows Clients in SSPI Mode

On Windows, using the default client-side plugin option (SSPI), the appropriate client command for connecting to the MySQL server varies depending on whether the command authenticates based on command options for the MySQL user name and the UPN password, or instead uses a TGT from the Windows in-memory cache. For details about GSSAPI mode on Windows, see Commands for Windows Clients in GSSAPI Mode.

A command can explicitly specify options for the MySQL user name and the UPN password, or the command can omit those options:

- This command includes options for the MySQL user name and UPN password:

  ```
  mysql --default-auth=authentication_kerberos_client
    --plugin-dir=path/to/plugin/directory
    --user=karl
    --password
  ```

  The client-side Kerberos authentication plugin combines the user name (`karl`) and the realm specified in the user account (`MYSQL.LOCAL`) to construct the UPN (`karl@MYSQL.LOCAL`). The client-side plugin uses the UPN and password to obtain a TGT, uses the TGT to obtain a MySQL ST, and uses the ST to authenticate to the MySQL server.

  Any information in the Windows in-memory cache is ignored; the user-name and password option values take precedence.

- This command includes an option for the UPN password but not for the MySQL user name:

  ```
  mysql
    --default-auth=authentication_kerberos_client
    --plugin-dir=path/to/plugin/directory
    --password
  ```

  The client-side Kerberos authentication plugin uses the logged-in user name as the MySQL user name and combines that user name and the realm in the user's MySQL account to construct the UPN. The client-side plugin uses the UPN and the password to obtain a TGT, uses the TGT to obtain a MySQL ST, and uses the ST to authenticate to the MySQL server.

- This command includes no options for the MySQL user name or UPN password:

  ```
  mysql
  ```

```
  --default-auth=authentication_kerberos_client
  --plugin-dir=path/to/plugin/directory
```

The client-side plugin obtains the TGT from the Windows in-memory cache, uses the TGT to obtain a MySQL ST, and uses the ST to authenticate to the MySQL server.

This approach requires the client host to be part of the Windows Server Active Directory (AD) domain. If that is not the case, help the MySQL client discover the IP address for the AD domain by manually entering the AD server and realm as the DNS server and prefix:

1. Start `console.exe` and select **Network and Sharing Center**.
2. From the sidebar of the Network and Sharing Center window, select **Change adapter settings**.
3. In the Network Connections window, right-click the network or VPN connection to configure and select **Properties**.
4. From the **Network** tab, locate and click **Internet Protocol Version 4 (TCP/IPv4)**, and then click **Properties**.
5. Click **Advanced** in the Internet Protocol Version 4 (TCP/IPv4) Properties dialog. The Advanced TCP/IP Settings dialog opens.
6. From the **DNS** tab, add the Active Directory server and realm as a DNS server and prefix.

- This command includes an option for the MySQL user name but not for the UPN password:

```
mysql
  --default-auth=authentication_kerberos_client
  --plugin-dir=path/to/plugin/directory
  --user=karl
```

The client-side Kerberos authentication plugin compares the name specified by the user-name option against the logged-in user name. If the names are the same, the plugin uses the logged-in user TGT for authentication. If the names differ, authentication fails.

Connection Commands for Windows Clients in GSSAPI Mode

On Windows, the client user must specify `GSSAPI` mode explicitly using the `plugin_authentication_kerberos_client_mode` plugin option to enable support through the MIT Kerberos library. The default mode is `SSPI` (see Commands for Windows Clients in SSPI Mode).

It is possible to specify `GSSAPI` mode:

- Prior to invoking the MySQL client program in an option file. The plugin variable name is valid using either underscores or dashes:

```
[mysql]
plugin_authentication_kerberos_client_mode=GSSAPI
```

Or:

```
[mysql]
plugin-authentication-kerberos-client-mode=GSSAPI
```

- At runtime from the command line using the `mysql` or `mysqldump` client programs. For example, the following commands (with underscores or dashes) causes `mysql` to connect to the server through the MIT Kerberos library on Windows.

```
mysql [connection-options] --plugin_authentication_kerberos_client_mode=GSSAPI
```

Or:

```
mysql [connection-options] --plugin-authentication-kerberos-client-mode=GSSAPI
```

- Client users can select `GSSAPI` mode from MySQL Workbench and some MySQL connectors. On client hosts running Windows, you can override the default location of:
  - The Kerberos configuration file by setting the `KRB5_CONFIG` environment variable.
  - The default credential cache name with the `KRB5CCNAME` environment variable (for example, `KRB5CCNAME=DIR:/mydir/`).

  For specific client-side plugin information, see the documentation at https://dev.mysql.com/doc/.

The appropriate client command for connecting to the MySQL server varies depending on whether the command authenticates using a TGT from the MIT Kerberos cache, or based on command options for the MySQL user name and the UPN password. GSSAPI support through the MIT library on Windows is similar to GSSAPI on Linux (see Commands for Linux Clients), with the following exceptions:

- Tickets are always retrieved from or placed into the MIT Kerberos cache on hosts running Windows.
- `kinit` runs with Functional Accounts on Windows that have narrow permissions and specific roles. The client user does not know the `kinit` password. For an overview, see https://docs.oracle.com/en/java/javase/11/tools/kinit.html.
- If the client user supplies a password, the MIT Kerberos library on Windows decides whether to use it or rely on the existing ticket.
- The `destroy_tickets` parameter, described in Client Configuration Parameters for Kerberos Authentication, is not supported because the MIT Kerberos library on Windows does not support the required API member (`get_profile_boolean`) to read its value from configuration file.

Client Configuration Parameters for Kerberos Authentication

This section applies only for client hosts running Linux, not client hosts running Windows.

> **Note**
>
> A client host running Windows with the `authentication_kerberos_client` client-side Kerberos plugin set to `GSSAPI` mode does support client configuration parameters, in general, but the MIT Kerberos library on Windows does not support the `destroy_tickets` parameter described in this section.

If no valid ticket-granting ticket (TGT) exists at the time of MySQL client application invocation, the application itself may obtain and cache the TGT. If during the Kerberos authentication process the client application causes a TGT to be cached, any such TGT that was added can be destroyed after it is no longer needed, by setting the appropriate configuration parameter.

The `authentication_kerberos_client` client-side Kerberos plugin reads the local `/etc/krb5.conf` file. If this file is missing or inaccessible, an error occurs. Assuming that the file is accessible, it can include an optional `[appdefaults]` section to provide information used by the plugin. Place the information within the `mysql` part of the section. For example:

```
[appdefaults]
  mysql = {
    destroy_tickets = true
  }
```

The client-side plugin recognizes these parameters in the `mysql` section:

- The `destroy_tickets` value indicates whether the client-side plugin destroys the TGT after obtaining and using it. By default, `destroy_tickets` is `false`, but can be set to `true` to avoid TGT reuse. (This setting applies only to TGTs created by the client-side plugin, not TGTs created by other plugins or externally to MySQL.)

On the client host, a client keytab file may be used to obtain a TGT and TS without supplying a password. For information about keytab files, see https://web.mit.edu/kerberos/krb5-latest/doc/basic/keytab_def.html.

Kerberos Authentication Debugging

The `AUTHENTICATION_KERBEROS_CLIENT_LOG` environment variable enables or disables debug output for Kerberos authentication.

> **Note**
>
> Despite `CLIENT` in the name `AUTHENTICATION_KERBEROS_CLIENT_LOG`, the same environment variable applies to the server-side plugin as well as the client-side plugin.

On the server side, the permitted values are 0 (off) and 1 (on). Log messages are written to the server error log, subject to the server error-logging verbosity level. For example, if you are using priority-based log filtering, the `log_error_verbosity` system variable controls verbosity, as described in Priority-Based Error Log Filtering (log_filter_internal).

On the client side, the permitted values are from 1 to 5 and are written to the standard error output. The following table shows the meaning of each log-level value.

Log Level	Meaning
1 or not set	No logging
2	Error messages
3	Error and warning messages
4	Error, warning, and information messages
5	Error, warning, information, and debug messages

6.1.9 No-Login Pluggable Authentication

The `mysql_no_login` server-side authentication plugin prevents all client connections to any account that uses it. Use cases for this plugin include:

- Accounts that must be able to execute stored programs and views with elevated privileges without exposing those privileges to ordinary users.
- Proxied accounts that should never permit direct login but are intended to be accessed only through proxy accounts.

The following table shows the plugin and library file names. The file name suffix might differ on your system. The file must be located in the directory named by the `plugin_dir` system variable.

Table 6.10 Plugin and Library Names for No-Login Authentication

Plugin or File	Plugin or File Name
Server-side plugin	`mysql_no_login`
Client-side plugin	None
Library file	`mysql_no_login.so`

The following sections provide installation and usage information specific to no-login pluggable authentication:

- Installing No-Login Pluggable Authentication

- Uninstalling No-Login Pluggable Authentication
- Using No-Login Pluggable Authentication

For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”. For proxy user information, see Section 4.19, “Proxy Users”.

Installing No-Login Pluggable Authentication

This section describes how to install the no-login authentication plugin. For general information about installing plugins, see Installing and Uninstalling Plugins.

To be usable by the server, the plugin library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

The plugin library file base name is `mysql_no_login`. The file name suffix differs per platform (for example, `.so` for Unix and Unix-like systems, `.dll` for Windows).

To load the plugin at server startup, use the `--plugin-load-add` option to name the library file that contains it. With this plugin-loading method, the option must be given each time the server starts. For example, put these lines in the server `my.cnf` file, adjusting the `.so` suffix for your platform as necessary:

```
[mysqld]
plugin-load-add=mysql_no_login.so
```

After modifying `my.cnf`, restart the server to cause the new settings to take effect.

Alternatively, to load the plugin at runtime, use this statement, adjusting the `.so` suffix for your platform as necessary:

```
INSTALL PLUGIN mysql_no_login SONAME 'mysql_no_login.so';
```

`INSTALL PLUGIN` loads the plugin immediately, and also registers it in the `mysql.plugins` system table to cause the server to load it for each subsequent normal startup without the need for `--plugin-load-add`.

To verify plugin installation, examine the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
       FROM INFORMATION_SCHEMA.PLUGINS
       WHERE PLUGIN_NAME LIKE '%login%';
+----------------+---------------+
| PLUGIN_NAME    | PLUGIN_STATUS |
+----------------+---------------+
| mysql_no_login | ACTIVE        |
+----------------+---------------+
```

If the plugin fails to initialize, check the server error log for diagnostic messages.

To associate MySQL accounts with the no-login plugin, see Using No-Login Pluggable Authentication.

Uninstalling No-Login Pluggable Authentication

The method used to uninstall the no-login authentication plugin depends on how you installed it:

- If you installed the plugin at server startup using a `--plugin-load-add` option, restart the server without the option.
- If you installed the plugin at runtime using an `INSTALL PLUGIN` statement, it remains installed across server restarts. To uninstall it, use `UNINSTALL PLUGIN`:

```
UNINSTALL PLUGIN mysql_no_login;
```

Using No-Login Pluggable Authentication

This section describes how to use the no-login authentication plugin to prevent accounts from being used for connecting from MySQL client programs to the server. It is assumed that the server is running with the no-login plugin enabled, as described in Installing No-Login Pluggable Authentication.

To refer to the no-login authentication plugin in the `IDENTIFIED WITH` clause of a `CREATE USER` statement, use the name `mysql_no_login`.

An account that authenticates using `mysql_no_login` may be used as the `DEFINER` for stored program and view objects. If such an object definition also includes `SQL SECURITY DEFINER`, it executes with that account's privileges. DBAs can use this behavior to provide access to confidential or sensitive data that is exposed only through well-controlled interfaces.

The following example illustrates these principles. It defines an account that does not permit client connections, and associates with it a view that exposes only certain columns of the `mysql.user` system table:

```
CREATE DATABASE nologindb;
CREATE USER 'nologin'@'localhost'
  IDENTIFIED WITH mysql_no_login;
GRANT ALL ON nologindb.*
  TO 'nologin'@'localhost';
GRANT SELECT ON mysql.user
  TO 'nologin'@'localhost';
CREATE DEFINER = 'nologin'@'localhost'
  SQL SECURITY DEFINER
  VIEW nologindb.myview
  AS SELECT User, Host FROM mysql.user;
```

To provide protected access to the view to an ordinary user, do this:

```
GRANT SELECT ON nologindb.myview
  TO 'ordinaryuser'@'localhost';
```

Now the ordinary user can use the view to access the limited information it presents:

```
SELECT * FROM nologindb.myview;
```

Attempts by the user to access columns other than those exposed by the view result in an error, as do attempts to select from the view by users not granted access to it.

> **Note**
>
> Because the `nologin` account cannot be used directly, the operations required to set up objects that it uses must be performed by `root` or similar account that has the privileges required to create the objects and set `DEFINER` values.

The `mysql_no_login` plugin is also useful in proxying scenarios. (For a discussion of concepts involved in proxying, see Section 4.19, “Proxy Users”.) An account that authenticates using `mysql_no_login` may be used as a proxied user for proxy accounts:

```
-- create proxied account
CREATE USER 'proxied_user'@'localhost'
  IDENTIFIED WITH mysql_no_login;
-- grant privileges to proxied account
GRANT ...
  ON ...
  TO 'proxied_user'@'localhost';
-- permit proxy_user to be a proxy account for proxied account
GRANT PROXY
  ON 'proxied_user'@'localhost'
```

```
  TO 'proxy_user'@'localhost';
```

This enables clients to access MySQL through the proxy account (`proxy_user`) but not to bypass the proxy mechanism by connecting directly as the proxied user (`proxied_user`). A client who connects using the `proxy_user` account has the privileges of the `proxied_user` account, but `proxied_user` itself cannot be used to connect.

For alternative methods of protecting proxied accounts against direct use, see Preventing Direct Login to Proxied Accounts.

6.1.10 Socket Peer-Credential Pluggable Authentication

The server-side `auth_socket` authentication plugin authenticates clients that connect from the local host through the Unix socket file. The plugin uses the `SO_PEERCRED` socket option to obtain information about the user running the client program. Thus, the plugin can be used only on systems that support the `SO_PEERCRED` option, such as Linux.

The source code for this plugin can be examined as a relatively simple example demonstrating how to write a loadable authentication plugin.

The following table shows the plugin and library file names. The file must be located in the directory named by the `plugin_dir` system variable.

Table 6.11 Plugin and Library Names for Socket Peer-Credential Authentication

Plugin or File	Plugin or File Name
Server-side plugin	`auth_socket`
Client-side plugin	None, see discussion
Library file	`auth_socket.so`

The following sections provide installation and usage information specific to socket pluggable authentication:

- Installing Socket Pluggable Authentication
- Uninstalling Socket Pluggable Authentication
- Using Socket Pluggable Authentication

For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”.

Installing Socket Pluggable Authentication

This section describes how to install the socket authentication plugin. For general information about installing plugins, see Installing and Uninstalling Plugins.

To be usable by the server, the plugin library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

To load the plugin at server startup, use the `--plugin-load-add` option to name the library file that contains it. With this plugin-loading method, the option must be given each time the server starts. For example, put these lines in the server `my.cnf` file:

```
[mysqld]
plugin-load-add=auth_socket.so
```

After modifying `my.cnf`, restart the server to cause the new settings to take effect.

Alternatively, to load the plugin at runtime, use this statement:

```
INSTALL PLUGIN auth_socket SONAME 'auth_socket.so';
```

`INSTALL PLUGIN` loads the plugin immediately, and also registers it in the `mysql.plugins` system table to cause the server to load it for each subsequent normal startup without the need for `--plugin-load-add`.

To verify plugin installation, examine the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
       FROM INFORMATION_SCHEMA.PLUGINS
       WHERE PLUGIN_NAME LIKE '%socket%';
+-------------+---------------+
| PLUGIN_NAME | PLUGIN_STATUS |
+-------------+---------------+
| auth_socket | ACTIVE        |
+-------------+---------------+
```

If the plugin fails to initialize, check the server error log for diagnostic messages.

To associate MySQL accounts with the socket plugin, see Using Socket Pluggable Authentication.

Uninstalling Socket Pluggable Authentication

The method used to uninstall the socket authentication plugin depends on how you installed it:

- If you installed the plugin at server startup using a `--plugin-load-add` option, restart the server without the option.
- If you installed the plugin at runtime using an `INSTALL PLUGIN` statement, it remains installed across server restarts. To uninstall it, use `UNINSTALL PLUGIN`:

  ```
  UNINSTALL PLUGIN auth_socket;
  ```

Using Socket Pluggable Authentication

The socket plugin checks whether the socket user name (the operating system user name) matches the MySQL user name specified by the client program to the server. If the names do not match, the plugin checks whether the socket user name matches the name specified in the `authentication_string` column of the `mysql.user` system table row. If a match is found, the plugin permits the connection. The `authentication_string` value can be specified using an `IDENTIFIED ...AS` clause with `CREATE USER` or `ALTER USER`.

Suppose that a MySQL account is created for an operating system user named `valerie` who is to be authenticated by the `auth_socket` plugin for connections from the local host through the socket file:

```
CREATE USER 'valerie'@'localhost' IDENTIFIED WITH auth_socket;
```

If a user on the local host with a login name of `stefanie` invokes `mysql` with the option `--user=valerie` to connect through the socket file, the server uses `auth_socket` to authenticate the client. The plugin determines that the `--user` option value (`valerie`) differs from the client user's name (`stephanie`) and refuses the connection. If a user named `valerie` tries the same thing, the plugin finds that the user name and the MySQL user name are both `valerie` and permits the connection. However, the plugin refuses the connection even for `valerie` if the connection is made using a different protocol, such as TCP/IP.

To permit both the `valerie` and `stephanie` operating system users to access MySQL through socket file connections that use the account, this can be done two ways:

- Name both users at account-creation time, one following `CREATE USER`, and the other in the authentication string:

```
CREATE USER 'valerie'@'localhost' IDENTIFIED WITH auth_socket AS 'stephanie';
```

- If you have already used `CREATE USER` to create the account for a single user, use `ALTER USER` to add the second user:

```
CREATE USER 'valerie'@'localhost' IDENTIFIED WITH auth_socket;
ALTER USER 'valerie'@'localhost' IDENTIFIED WITH auth_socket AS 'stephanie';
```

To access the account, both `valerie` and `stephanie` specify `--user=valerie` at connect time.

6.1.11 FIDO Pluggable Authentication

> **Note**
>
> FIDO pluggable authentication is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

MySQL Enterprise Edition supports an authentication method that enables users to authenticate to MySQL Server using FIDO authentication. This authentication method is deprecated as of MySQL 8.0.35 and is subject to removal in a future MySQL release. For similar capabilities, consider upgrading to MySQL 8.2 (or higher) where users can authenticate to MySQL Server using WebAuthn authentication. You need to understand the release model for MySQL innovation and long-term support (LTS) versions before you proceed with an upgrade. For more information, see Upgrade Paths.

FIDO stands for Fast Identity Online, which provides standards for authentication that does not require use of passwords.

FIDO pluggable authentication provides these capabilities:

- FIDO enables authentication to MySQL Server using devices such as smart cards, security keys, and biometric readers.
- Because authentication can occur other than by providing a password, FIDO enables passwordless authentication.
- On the other hand, device authentication is often used in conjunction with password authentication, so FIDO authentication can be used to good effect for MySQL accounts that use multifactor authentication; see Section 4.18, “Multifactor Authentication”.

The following table shows the plugin and library file names. The file name suffix might differ on your system. Common suffixes are `.so` for Unix and Unix-like systems, and `.dll` for Windows. The file must be located in the directory named by the `plugin_dir` system variable. For installation information, see Installing FIDO Pluggable Authentication.

Table 6.12 Plugin and Library Names for FIDO Authentication

Plugin or File	Plugin or File Name
Server-side plugin	`authentication_fido`
Client-side plugin	`authentication_fido_client`
Library file	`authentication_fido.so`, `authentication_fido_client.so`

> **Note**
>
> A `libfido2` library must be available on systems where either the server-side or client-side FIDO authentication plugin is used. If a host machine has more than one FIDO device, the `libfido2` library decides which device to use for

registration and authentication. The `libfido2` library does not provide a facility for device selection.

The server-side FIDO authentication plugin is included only in MySQL Enterprise Edition. It is not included in MySQL community distributions. The client-side plugin is included in all distributions, including community distributions, which enables clients from any distribution to connect to a server that has the server-side plugin loaded.

The following sections provide installation and usage information specific to FIDO pluggable authentication:

- Installing FIDO Pluggable Authentication
- Using FIDO Authentication
- FIDO Passwordless Authentication
- FIDO Device Unregistration
- How FIDO Authentication of MySQL Users Works

For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”.

Installing FIDO Pluggable Authentication

This section describes how to install the server-side FIDO authentication plugin. For general information about installing plugins, see Installing and Uninstalling Plugins.

To be usable by the server, the plugin library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

The server-side plugin library file base name is `authentication_fido`. The file name suffix differs per platform (for example, `.so` for Unix and Unix-like systems, `.dll` for Windows).

To load the plugin at server startup, use the `--plugin-load-add` option to name the library file that contains it. With this plugin-loading method, the option must be given each time the server starts.

To load the plugin, put a line such as this in your `my.cnf` file, adjusting the `.so` suffix for your platform as necessary:

```
[mysqld]
plugin-load-add=authentication_fido.so
```

After modifying `my.cnf`, restart the server to cause the new setting to take effect.

Alternatively, to load the plugin at runtime, use this statement, adjusting the `.so` suffix for your platform as necessary:

```
INSTALL PLUGIN authentication_fido
  SONAME 'authentication_fido.so';
```

`INSTALL PLUGIN` loads the plugin immediately, and also registers it in the `mysql.plugins` system table to cause the server to load it for each subsequent normal startup without the need for `--plugin-load-add`.

To verify plugin installation, examine the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
```

```
      FROM INFORMATION_SCHEMA.PLUGINS
      WHERE PLUGIN_NAME = 'authentication_fido';
+--------------------+---------------+
| PLUGIN_NAME        | PLUGIN_STATUS |
+--------------------+---------------+
| authentication_fido | ACTIVE        |
+--------------------+---------------+
```

If a plugin fails to initialize, check the server error log for diagnostic messages.

To associate MySQL accounts with the FIDO authentication plugin, see Using FIDO Authentication.

Using FIDO Authentication

FIDO authentication typically is used in the context of multifactor authentication (see Section 4.18, “Multifactor Authentication”). This section shows how to incorporate FIDO device-based authentication into a multifactor account, using the `authentication_fido` plugin.

It is assumed in the following discussion that the server is running with the server-side FIDO authentication plugin enabled, as described in Installing FIDO Pluggable Authentication, and that the client-side FIDO plugin is available in the plugin directory on the client host.

> **Note**
>
> On Windows, FIDO authentication functions only if the client process runs as a user with administrator privileges.

It is also assumed that FIDO authentication is used in conjunction with non-FIDO authentication (which implies a 2FA or 3FA account). FIDO can also be used by itself to create 1FA accounts that authenticate in a passwordless manner. In this case, the setup process differs somewhat. For instructions, see FIDO Passwordless Authentication.

An account that is configured to use the `authentication_fido` plugin is associated with a FIDO device. Because of this, a one-time device registration step is required before FIDO authentication can occur. The device registration process has these characteristics:

- Any FIDO device associated with an account must be registered before the account can be used.
- Registration requires that a FIDO device be available on the client host, or registration fails.
- The user is expected to perform the appropriate FIDO device action when prompted during registration (for example, touching the device or performing a biometric scan).
- To perform device registration, the client user must invoke the `mysql` client program or MySQL Shell and specify the `--fido-register-factor` option to specify the factor or factors for which a device is being registered. For example, if the account is set to use FIDO as the second authentication factor, the user invokes `mysql` with the `--fido-register-factor=2` option.
- If the user account is configured with the `authentication_fido` plugin set as the second or third factor, authentication for all preceding factors must succeed before the registration step can proceed.
- The server knows from the information in the user account whether the FIDO device requires registration or has already been registered. When the client program connects, the server places the client session in sandbox mode if the device must be registered, so that registration must occur before anything else can be done. Sandbox mode used for FIDO device registration is similar to that used for handling of expired passwords. See Section 4.16, “Server Handling of Expired Passwords”.
- In sandbox mode, no statements other than `ALTER USER` are permitted. Registration is performed using forms of this statement. When invoked with the `--fido-register-factor` option, the `mysql` client generates the `ALTER USER` statements required to perform registration. After registration has been accomplished, the server switches the session out of sandbox mode, and the

client can proceed normally. For information about the generated `ALTER USER` statements, refer to the `--fido-register-factor` description.

- When device registration has been performed for the account, the server updates the `mysql.user` system table row for that account to update the device registration status and to store the public key and credential ID.
- The registration step can be performed only by the user named by the account. If one user attempts to perform registration for another user, an error occurs.
- The user should use the same FIDO device during registration and authentication. If, after registering a FIDO device on the client host, the device is reset or a different device is inserted, authentication fails. In this case, the device associated with the account must be unregistered and registration must be done again.

Suppose that you want an account to authenticate first using the `caching_sha2_password` plugin, then using the `authentication_fido` plugin. Create a multifactor account using a statement like this:

```
CREATE USER 'u2'@'localhost'
  IDENTIFIED WITH caching_sha2_password
    BY 'sha2_password'
  AND IDENTIFIED WITH authentication_fido;
```

To connect, supply the factor 1 password to satisfy authentication for that factor, and to initiate registration of the FIDO device, set the `--fido-register-factor` to factor 2.

```
$> mysql --user=u2 --password1 --fido-register-factor=2
Enter password: (enter factor 1 password)
```

Once the factor 1 password is accepted, the client session enters sandbox mode so that device registration can be performed for factor 2. During registration, you are prompted to perform the appropriate FIDO device action, such as touching the device or performing a biometric scan.

When the registration process is complete, the connection to the server is permitted.

> **Note**
>
> The connection to the server is permitted following registration regardless of additional authentication factors in the account's authentication chain. For example, if the account in the preceding example was defined with a third authentication factor (using non-FIDO authentication), the connection would be permitted after a successful registration without authenticating the third factor. However, subsequent connections would require authenticating all three factors.

FIDO Passwordless Authentication

This section describes how FIDO can be used by itself to create 1FA accounts that authenticate in a passwordless manner. In this context, “passwordless” means that authentication occurs but uses a method other than a password, such as a security key or biometric scan. It does not refer to an account that uses a password-based authentication plugin for which the password is empty. That kind of “passwordless” is completely insecure and is not recommended.

The following prerequisites apply when using the `authentication_fido` plugin to achieve passwordless authentication:

- The user that creates a passwordless-authentication account requires the `PASSWORDLESS_USER_ADMIN` privilege in addition to the `CREATE USER` privilege.
- The first element of the `authentication_policy` value must be an asterisk (`*`) and not a plugin name. For example, the default `authentication_policy` value supports enabling passwordless authentication because the first element is an asterisk:

```
authentication_policy='*,,'
```

For information about configuring the `authentication_policy` value, see Configuring the Multifactor Authentication Policy.

To use `authentication_fido` as a passwordless authentication method, the account must be created with `authentication_fido` as the first factor authentication method. The `INITIAL AUTHENTICATION IDENTIFIED BY` clause must also be specified for the first factor (it is not supported with 2nd or 3rd factors). This clause specifies whether a randomly generated or user-specified password will be used for FIDO device registration. After device registration, the server deletes the password and modifies the account to make `authentication_fido` the sole authentication method (the 1FA method).

The required `CREATE USER` syntax is as follows:

```
CREATE USER user
  IDENTIFIED WITH authentication_fido
  INITIAL AUTHENTICATION IDENTIFIED BY {RANDOM PASSWORD | 'auth_string'};
```

The following example uses the `RANDOM PASSWORD` syntax:

```
mysql> CREATE USER 'u1'@'localhost'
         IDENTIFIED WITH authentication_fido
         INITIAL AUTHENTICATION IDENTIFIED BY RANDOM PASSWORD;
+------+-----------+----------------------+-------------+
| user | host      | generated password   | auth_factor |
+------+-----------+----------------------+-------------+
| u1   | localhost | 9XHK]M{l2rnD;VXyHzeF |           1 |
+------+-----------+----------------------+-------------+
```

To perform registration, the user must authenticate to the server with the password associated with the `INITIAL AUTHENTICATION IDENTIFIED BY` clause, either the randomly generated password, or the `'auth_string'` value. If the account was created as just shown, the user executes this command and pastes in the preceding randomly generated password (`9XHK]M{l2rnD;VXyHzeF`) at the prompt:

```
$> mysql --user=u1 --password --fido-register-factor=2
Enter password:
```

The option `--fido-register-factor=2` is used because the `INITIAL AUTHENTICATION IDENTIFIED BY` clause is currently acting as the first factor authentication method. The user must therefore provide the temporary password by using the second factor. On a successful registration, the server removes the temporary password and revises the account entry in the `mysql.user` system table to list `authentication_fido` as the sole (1FA) authentication method.

When creating a passwordless-authentication account, it is important to include the `INITIAL AUTHENTICATION IDENTIFIED BY` clause in the `CREATE USER` statement. The server will accept a statement without the clause, but the resulting account is unusable because there is no way to connect to the server to register the device. Suppose that you execute a statement like this:

```
CREATE USER 'u2'@'localhost'
  IDENTIFIED WITH authentication_fido;
```

Subsequent attempts to use the account to connect fail like this:

```
$> mysql --user=u2 --skip-password
Failed to open FIDO device.
ERROR 1 (HY000): Unknown MySQL error
```

> **Note**
>
> Passwordless authentication is achieved using the Universal 2nd Factor (U2F) protocol, which does not support additional security measures such as setting a

PIN on the device to be registered. It is therefore the responsibility of the device holder to ensure the device is handled in a secure manner.

FIDO Device Unregistration

It is possible to unregister FIDO devices associated with a MySQL account. This might be desirable or necessary under multiple circumstances:

- A FIDO device is to be replaced with a different device. The previous device must be unregistered and the new device registered.

  In this case, the account owner or any user who has the `CREATE USER` privilege can unregister the device. The account owner can register the new device.

- A FIDO device is reset or lost. Authentication attempts will fail until the current device is unregistered and a new registration is performed.

  In this case, the account owner, being unable to authenticate, cannot unregister the current device and must contact the DBA (or any user who has the `CREATE USER` privilege) to do so. Then the account owner can reregister the reset device or register a new device.

Unregistering a FIDO device can be done by the account owner or by any user who has the `CREATE USER` privilege. Use this syntax:

```
ALTER USER user {2 | 3} FACTOR UNREGISTER;
```

To re-register a device or perform a new registration, refer to the instructions in Using FIDO Authentication.

How FIDO Authentication of MySQL Users Works

This section provides an overview of how MySQL and FIDO work together to authenticate MySQL users. For examples showing how to set up MySQL accounts to use the FIDO authentication plugins, see Using FIDO Authentication.

An account that uses FIDO authentication must perform an initial device registration step before it can connect to the server. After the device has been registered, authentication can proceed. FIDO device registration process is as follows:

1. The server sends a random challenge, user ID, and relying party ID (which uniquely identifies a server) to the client. The relying party ID is defined by the `authentication_fido_rp_id` system variable. The default value is `MySQL`.

2. The client receives that information and sends it to the client-side FIDO authentication plugin, which in turn provides it to the FIDO device.

3. After the user has performed the appropriate device action (for example, touching the device or performing a biometric scan) the FIDO device generates a public/private key pair, a key handle, an X.509 certificate, and a signature, which is returned to the server.

4. The server-side FIDO authentication plugin verifies the signature. Upon successful verification, the server stores the credential ID and public key in the `mysql.user` system table.

After registration has been performed successfully, FIDO authentication follows this process:

1. The server sends a random challenge, user ID, relying party ID and credentials to the client.

2. The client sends the same information to the FIDO device.

3. The FIDO device prompts the user to perform the appropriate device action, based on the selection made during registration.

4. This action unlocks the private key and the challenge is signed.
5. This signed challenge is returned to the server.
6. The server-side FIDO authentication plugin verifies the signature with the public key and responds to indicate authentication success or failure.

6.1.12 Test Pluggable Authentication

MySQL includes a test plugin that checks account credentials and logs success or failure to the server error log. This is a loadable plugin (not built in) and must be installed prior to use.

The test plugin source code is separate from the server source, unlike the built-in native plugin, so it can be examined as a relatively simple example demonstrating how to write a loadable authentication plugin.

> **Note**
>
> This plugin is intended for testing and development purposes, and is not for use in production environments or on servers that are exposed to public networks.

The following table shows the plugin and library file names. The file name suffix might differ on your system. The file must be located in the directory named by the `plugin_dir` system variable.

Table 6.13 Plugin and Library Names for Test Authentication

Plugin or File	Plugin or File Name
Server-side plugin	`test_plugin_server`
Client-side plugin	`auth_test_plugin`
Library file	`auth_test_plugin.so`

The following sections provide installation and usage information specific to test pluggable authentication:

- Installing Test Pluggable Authentication
- Uninstalling Test Pluggable Authentication
- Using Test Pluggable Authentication

For general information about pluggable authentication in MySQL, see Section 4.17, “Pluggable Authentication”.

Installing Test Pluggable Authentication

This section describes how to install the server-side test authentication plugin. For general information about installing plugins, see Installing and Uninstalling Plugins.

To be usable by the server, the plugin library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

To load the plugin at server startup, use the `--plugin-load-add` option to name the library file that contains it. With this plugin-loading method, the option must be given each time the server starts. For example, put these lines in the server `my.cnf` file, adjusting the `.so` suffix for your platform as necessary:

```
[mysqld]
```

```
plugin-load-add=auth_test_plugin.so
```

After modifying `my.cnf`, restart the server to cause the new settings to take effect.

Alternatively, to load the plugin at runtime, use this statement, adjusting the `.so` suffix for your platform as necessary:

```
INSTALL PLUGIN test_plugin_server SONAME 'auth_test_plugin.so';
```

`INSTALL PLUGIN` loads the plugin immediately, and also registers it in the `mysql.plugins` system table to cause the server to load it for each subsequent normal startup without the need for `--plugin-load-add`.

To verify plugin installation, examine the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
       FROM INFORMATION_SCHEMA.PLUGINS
       WHERE PLUGIN_NAME LIKE '%test_plugin%';
+--------------------+---------------+
| PLUGIN_NAME        | PLUGIN_STATUS |
+--------------------+---------------+
| test_plugin_server | ACTIVE        |
+--------------------+---------------+
```

If the plugin fails to initialize, check the server error log for diagnostic messages.

To associate MySQL accounts with the test plugin, see Using Test Pluggable Authentication.

Uninstalling Test Pluggable Authentication

The method used to uninstall the test authentication plugin depends on how you installed it:

- If you installed the plugin at server startup using a `--plugin-load-add` option, restart the server without the option.
- If you installed the plugin at runtime using an `INSTALL PLUGIN` statement, it remains installed across server restarts. To uninstall it, use `UNINSTALL PLUGIN`:

  ```
  UNINSTALL PLUGIN test_plugin_server;
  ```

Using Test Pluggable Authentication

To use the test authentication plugin, create an account and name that plugin in the `IDENTIFIED WITH` clause:

```
CREATE USER 'testuser'@'localhost'
IDENTIFIED WITH test_plugin_server
BY 'testpassword';
```

The test authentication plugin also requires creating a proxy user as follows:

```
CREATE USER testpassword@localhost;
GRANT PROXY ON testpassword@localhost TO testuser@localhost;
```

Then provide the `--user` and `--password` options for that account when you connect to the server. For example:

```
$> mysql --user=testuser --password
Enter password: testpassword
```

The plugin fetches the password as received from the client and compares it with the value stored in the `authentication_string` column of the account row in the `mysql.user` system table. If the two values match, the plugin returns the `authentication_string` value as the new effective user ID.

You can look in the server error log for a message indicating whether authentication succeeded (notice that the password is reported as the “user”):

```
[Note] Plugin test_plugin_server reported:
'successfully authenticated user testpassword'
```

6.1.13 Pluggable Authentication System Variables

These variables are unavailable unless the appropriate server-side plugin is installed:

- `authentication_ldap_sasl` for system variables with names of the form `authentication_ldap_sasl_xxx`
- `authentication_ldap_simple` for system variables with names of the form `authentication_ldap_simple_xxx`

Table 6.14 Authentication Plugin System Variable Summary

Name	Cmd-Line	Option File	System Var	Status Var	Var Scope	Dynamic
authentication_fido_rp_id	Yes	Yes	Yes		Global	Yes
authentication_kerberos_service_key_tab	Yes	Yes	Yes		Global	No
authentication_kerberos_service_principal	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_auth_method_name	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_bind_base_dn	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_bind_root_dn	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_bind_root_pwd	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_ca_path	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_group_search_attr	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_group_search_filter	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_init_pool_size	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_log_status	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_max_pool_size	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_referral	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_server_host	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_server_port	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_tls	Yes	Yes	Yes		Global	Yes
authentication_ldap_sasl_user_search_attr	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_auth_method_name	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_bind_base_dn	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_bind_root_dn	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_bind_root_pwd	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_ca_path	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_group_search_attr	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_group_search_filter	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_init_pool_size	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_log_status	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_max_pool_size	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_referral	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_server_host	Yes	Yes	Yes		Global	Yes

Name	Cmd-Line	Option File	System Var	Status Var	Var Scope	Dynamic
authentication_ldap_simple_server_port	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_tls	Yes	Yes	Yes		Global	Yes
authentication_ldap_simple_user_search_attr	Yes	Yes	Yes		Global	Yes
authentication_policy	Yes	Yes	Yes		Global	Yes
authentication_windows_log_level	Yes	Yes	Yes		Global	No
authentication_windows_use_principal_name	Yes	Yes	Yes		Global	No

- `authentication_fido_rp_id`

Command-Line Format	`--authentication-fido-rp-id=value`
Deprecated	Yes
System Variable	`authentication_fido_rp_id`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`MySQL`

This variable specifies the relying party ID used for FIDO device registration and FIDO authentication. If FIDO authentication is attempted and this value is not the one expected by the FIDO device, the device assumes that it is not talking to the correct server and an error occurs. The maximum value length is 255 characters.

> **Note**
>
> As of MySQL 8.0.35, this plugin variable is deprecated and subject to removal in a future MySQL release.

- `authentication_kerberos_service_key_tab`

Command-Line Format	`--authentication-kerberos-service-key-tab=file_name`
System Variable	`authentication_kerberos_service_key_tab`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	File name
Default Value	`datadir/mysql.keytab`

The name of the server-side key-table (“keytab”) file containing Kerberos service keys to authenticate MySQL service tickets received from clients. The file name should be given as an absolute path name. If this variable is not set, the default is `mysql.keytab` in the data directory.

The file must exist and contain a valid key for the service principal name (SPN) or authentication of clients will fail. (The SPN and same key also must be created in the Kerberos server.) The file may contain multiple service principal names and their respective key combinations.

The file must be generated by the Kerberos server administrator and be copied to a location accessible by the MySQL server. The file can be validated to make sure that it is correct and was copied properly using this command:

```
klist -k file_name
```

For information about keytab files, see https://web.mit.edu/kerberos/krb5-latest/doc/basic/keytab_def.html.

- `authentication_kerberos_service_principal`

Command-Line Format	`--authentication-kerberos-service-principal=name`
System Variable	`authentication_kerberos_service_principal`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`mysql/host_name@realm_name`

The Kerberos service principal name (SPN) that the MySQL server sends to clients.

The value is composed from the service name (`mysql`), a host name, and a realm name. The default value is `mysql/host_name@realm_name`. The realm in the service principal name enables retrieving the exact service key.

To use a nondefault value, set the value using the same format. For example, to use a host name of `krbauth.example.com` and a realm of `MYSQL.LOCAL`, set `authentication_kerberos_service_principal` to `mysql/krbauth.example.com@MYSQL.LOCAL`.

The service principal name and service key must already be present in the database managed by the KDC server.

There can be service principal names that differ only by realm name.

- `authentication_ldap_sasl_auth_method_name`

Command-Line Format	`--authentication-ldap-sasl-auth-method-name=value`
System Variable	`authentication_ldap_sasl_auth_method_name`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`SCRAM-SHA-1`

Valid Values	`SCRAM-SHA-1`
	
	`SCRAM-SHA-256`

	`GSSAPI`

For SASL LDAP authentication, the authentication method name. Communication between the authentication plugin and the LDAP server occurs according to this authentication method to ensure password security.

These authentication method values are permitted:

- `SCRAM-SHA-1`: Use a SASL challenge-response mechanism.

  The client-side `authentication_ldap_sasl_client` plugin communicates with the SASL server, using the password to create a challenge and obtain a SASL request buffer, then passes this buffer to the server-side `authentication_ldap_sasl` plugin. The client-side and server-side SASL LDAP plugins use SASL messages for secure transmission of credentials within the LDAP protocol, to avoid sending the cleartext password between the MySQL client and server.

- `SCRAM-SHA-256`: Use a SASL challenge-response mechanism.

  This method is similar to `SCRAM-SHA-1`, but is more secure. It is available in MySQL 8.0.23 and higher. It requires an OpenLDAP server built using Cyrus SASL 2.1.27 or higher.

- `GSSAPI`: Use Kerberos, a passwordless and ticket-based protocol.

  GSSAPI/Kerberos is supported as an authentication method for MySQL clients and servers only on Linux. It is useful in Linux environments where applications access LDAP using Microsoft Active Directory, which has Kerberos enabled by default.

  The client-side `authentication_ldap_sasl_client` plugin obtains a service ticket using the ticket-granting ticket (TGT) from Kerberos, but does not use LDAP services directly. The server-side `authentication_ldap_sasl` plugin routes Kerberos messages between the client-side plugin and the LDAP server. Using the credentials thus obtained, the server-side plugin then communicates with the LDAP server to interpret LDAP authentication messages and retrieve LDAP groups.

- `authentication_ldap_sasl_bind_base_dn`

Command-Line Format	`--authentication-ldap-sasl-bind-base-dn=value`
System Variable	`authentication_ldap_sasl_bind_base_dn`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String

Default Value	NULL

For SASL LDAP authentication, the base distinguished name (DN). This variable can be used to limit the scope of searches by anchoring them at a certain location (the “base”) within the search tree.

Suppose that members of one set of LDAP user entries each have this form:

```
uid=user_name,ou=People,dc=example,dc=com
```

And that members of another set of LDAP user entries each have this form:

```
uid=user_name,ou=Admin,dc=example,dc=com
```

Then searches work like this for different base DN values:

- If the base DN is `ou=People,dc=example,dc=com`: Searches find user entries only in the first set.
- If the base DN is `ou=Admin,dc=example,dc=com`: Searches find user entries only in the second set.
- If the base DN is `ou=dc=example,dc=com`: Searches find user entries in the first or second set.

In general, more specific base DN values result in faster searches because they limit the search scope more.

- `authentication_ldap_sasl_bind_root_dn`

Command-Line Format	`--authentication-ldap-sasl-bind-root-dn=value`
System Variable	`authentication_ldap_sasl_bind_root_dn`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	NULL

For SASL LDAP authentication, the root distinguished name (DN). This variable is used in conjunction with `authentication_ldap_sasl_bind_root_pwd` as the credentials for authenticating to the LDAP server for the purpose of performing searches. Authentication uses either one or two LDAP bind operations, depending on whether the MySQL account names an LDAP user DN:

- If the account does not name a user DN: `authentication_ldap_sasl` performs an initial LDAP binding using `authentication_ldap_sasl_bind_root_dn` and `authentication_ldap_sasl_bind_root_pwd`. (These are both empty by default, so if they are not set, the LDAP server must permit anonymous connections.) The resulting bind LDAP handle is used to search for the user DN, based on the client user name. `authentication_ldap_sasl` performs a second bind using the user DN and client-supplied password.
- If the account does name a user DN: The first bind operation is unnecessary in this case. `authentication_ldap_sasl` performs a single bind using the user DN and client-supplied password. This is faster than if the MySQL account does not specify an LDAP user DN.

- `authentication_ldap_sasl_bind_root_pwd`

Command-Line Format	`--authentication-ldap-sasl-bind-root-pwd=value`
System Variable	`authentication_ldap_sasl_bind_root_pwd`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`NULL`

For SASL LDAP authentication, the password for the root distinguished name. This variable is used in conjunction with `authentication_ldap_sasl_bind_root_dn`. See the description of that variable.

- `authentication_ldap_sasl_ca_path`

Command-Line Format	`--authentication-ldap-sasl-ca-path=value`
System Variable	`authentication_ldap_sasl_ca_path`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`NULL`

For SASL LDAP authentication, the absolute path of the certificate authority file. Specify this file if it is desired that the authentication plugin perform verification of the LDAP server certificate.

> **Note**
>
> In addition to setting the `authentication_ldap_sasl_ca_path` variable to the file name, you must add the appropriate certificate authority certificates to the file and enable the `authentication_ldap_sasl_tls` system variable. These variables can be set to override the default OpenLDAP TLS configuration; see LDAP Pluggable Authentication and ldap.conf

- `authentication_ldap_sasl_group_search_attr`

Command-Line Format	`--authentication-ldap-sasl-group-search-attr=value`
System Variable	`authentication_ldap_sasl_group_search_attr`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`cn`

For SASL LDAP authentication, the name of the attribute that specifies group names in LDAP directory entries. If `authentication_ldap_sasl_group_search_attr` has its default value of `cn`, searches return the `cn` value as the group name. For example, if an LDAP entry with a `uid` value of `user1` has a `cn` attribute of `mygroup`, searches for `user1` return `mygroup` as the group name.

This variable should be the empty string if you want no group or proxy authentication.

If the group search attribute is `isMemberOf`, LDAP authentication directly retrieves the user attribute `isMemberOf` value and assigns it as group information. If the group search attribute is not `isMemberOf`, LDAP authentication searches for all groups where the user is a member. (The latter is the default behavior.) This behavior is based on how LDAP group information can be stored two ways: 1) A group entry can have an attribute named `memberUid` or `member` with a value that is a user name; 2) A user entry can have an attribute named `isMemberOf` with values that are group names.

- `authentication_ldap_sasl_group_search_filter`

Command-Line Format	`--authentication-ldap-sasl-group-search-filter=value`
System Variable	`authentication_ldap_sasl_group_search_filte`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`(|(&(objectClass=posixGroup)(memberUid=%s))(&(objectClass=group)(member=%s)))`

For SASL LDAP authentication, the custom group search filter.

The search filter value can contain `{UA}` and `{UD}` notation to represent the user name and the full user DN. For example, `{UA}` is replaced with a user name such as `"admin"`, whereas `{UD}` is replaced with a use full DN such as `"uid=admin,ou=People,dc=example,dc=com"`. The following value is the default, which supports both OpenLDAP and Active Directory:

```
(|(&(objectClass=posixGroup)(memberUid={UA}))
  (&(objectClass=group)(member={UD})))
```

In some cases for the user scenario, `memberOf` is a simple user attribute that holds no group information. For additional flexibility, an optional `{GA}` prefix can be used with the group search attribute. Any group attribute with a {GA} prefix is treated as a user attribute having group names. For example, with a value of `{GA}MemberOf`, if the group value is the DN, the first attribute value from the group DN is returned as the group name.

- `authentication_ldap_sasl_init_pool_size`

Command-Line Format	`--authentication-ldap-sasl-init-pool-size=#`
System Variable	`authentication_ldap_sasl_init_pool_size`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`10`
Minimum Value	`0`
Maximum Value	`32767`

Unit	connections

For SASL LDAP authentication, the initial size of the pool of connections to the LDAP server. Choose the value for this variable based on the average number of concurrent authentication requests to the LDAP server.

The plugin uses `authentication_ldap_sasl_init_pool_size` and `authentication_ldap_sasl_max_pool_size` together for connection-pool management:

- When the authentication plugin initializes, it creates `authentication_ldap_sasl_init_pool_size` connections, unless `authentication_ldap_sasl_max_pool_size=0` to disable pooling.
- If the plugin receives an authentication request when there are no free connections in the current connection pool, the plugin can create a new connection, up to the maximum connection pool size given by `authentication_ldap_sasl_max_pool_size`.
- If the plugin receives a request when the pool size is already at its maximum and there are no free connections, authentication fails.
- When the plugin unloads, it closes all pooled connections.

Changes to plugin system variable settings may have no effect on connections already in the pool. For example, modifying the LDAP server host, port, or TLS settings does not affect existing connections. However, if the original variable values were invalid and the connection pool could not be initialized, the plugin attempts to reinitialize the pool for the next LDAP request. In this case, the new system variable values are used for the reinitialization attempt.

If `authentication_ldap_sasl_max_pool_size=0` to disable pooling, each LDAP connection opened by the plugin uses the values the system variables have at that time.

- `authentication_ldap_sasl_log_status`

Command-Line Format	`--authentication-ldap-sasl-log-status=#`
System Variable	`authentication_ldap_sasl_log_status`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`1`
Minimum Value	`1`
Maximum Value	`6`

For SASL LDAP authentication, the logging level for messages written to the error log. The following table shows the permitted level values and their meanings.

Table 6.15 Log Levels for authentication_ldap_sasl_log_status

Option Value	Types of Messages Logged
`1`	No messages
`2`	Error messages
`3`	Error and warning messages
`4`	Error, warning, and information messages

Option Value	Types of Messages Logged
5	Same as previous level plus debugging messages from MySQL
6	Same as previous level plus debugging messages from LDAP library

Log level 6 is available as of MySQL 8.0.18.

On the client side, messages can be logged to the standard output by setting the `AUTHENTICATION_LDAP_CLIENT_LOG` environment variable. The permitted and default values are the same as for `authentication_ldap_sasl_log_status`.

The `AUTHENTICATION_LDAP_CLIENT_LOG` environment variable applies only to SASL LDAP authentication. It has no effect for simple LDAP authentication because the client plugin in that case is `mysql_clear_password`, which knows nothing about LDAP operations.

- `authentication_ldap_sasl_max_pool_size`

Command-Line Format	`--authentication-ldap-sasl-max-pool-size=#`
System Variable	`authentication_ldap_sasl_max_pool_size`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`1000`
Minimum Value	`0`
Maximum Value	`32767`
Unit	connections

For SASL LDAP authentication, the maximum size of the pool of connections to the LDAP server. To disable connection pooling, set this variable to 0.

This variable is used in conjunction with `authentication_ldap_sasl_init_pool_size`. See the description of that variable.

- `authentication_ldap_sasl_referral`

Command-Line Format	`--authentication-ldap-sasl-referral[={OFF|ON}]`
System Variable	`authentication_ldap_sasl_referral`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`OFF`

For SASL LDAP authentication, whether to enable LDAP search referral. See LDAP Search Referral.

This variable can be set to override the default OpenLDAP referral configuration; see LDAP Pluggable Authentication and ldap.conf

- `authentication_ldap_sasl_server_host`

Command-Line Format	`--authentication-ldap-sasl-server-host=host_name`
System Variable	`authentication_ldap_sasl_server_host`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String

The LDAP server host for SASL LDAP authentication; this can be a host name or IP address.

- `authentication_ldap_sasl_server_port`

Command-Line Format	`--authentication-ldap-sasl-server-port=port_num`
System Variable	`authentication_ldap_sasl_server_port`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`389`
Minimum Value	`1`
Maximum Value	`32376`

For SASL LDAP authentication, the LDAP server TCP/IP port number.

As of MySQL 8.0.14, if the LDAP port number is configured as 636 or 3269, the plugin uses LDAPS (LDAP over SSL) instead of LDAP. (LDAPS differs from `startTLS`.)

- `authentication_ldap_sasl_tls`

Command-Line Format	`--authentication-ldap-sasl-tls[={OFF|ON}]`
System Variable	`authentication_ldap_sasl_tls`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`OFF`

For SASL LDAP authentication, whether connections by the plugin to the LDAP server are secure. If this variable is enabled, the plugin uses TLS to connect securely to the LDAP server. This variable can be set to override the default OpenLDAP TLS configuration; see LDAP Pluggable Authentication and ldap.conf If you enable this variable, you may also wish to set the `authentication_ldap_sasl_ca_path` variable.

MySQL LDAP plugins support the StartTLS method, which initializes TLS on top of a plain LDAP connection.

As of MySQL 8.0.14, LDAPS can be used by setting the `authentication_ldap_sasl_server_port` system variable.

- `authentication_ldap_sasl_user_search_attr`

Command-Line Format	`--authentication-ldap-sasl-user-search-attr=value`
System Variable	`authentication_ldap_sasl_user_search_attr`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`uid`

For SASL LDAP authentication, the name of the attribute that specifies user names in LDAP directory entries. If a user distinguished name is not provided, the authentication plugin searches for the name using this attribute. For example, if the `authentication_ldap_sasl_user_search_attr` value is `uid`, a search for the user name `user1` finds entries with a `uid` value of `user1`.

- `authentication_ldap_simple_auth_method_name`

Command-Line Format	`--authentication-ldap-simple-auth-method-name=value`
System Variable	`authentication_ldap_simple_auth_method_name`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`SIMPLE`
Valid Values	`SIMPLE` `AD-FOREST`

For simple LDAP authentication, the authentication method name. Communication between the authentication plugin and the LDAP server occurs according to this authentication method.

> **Note**
>
> For all simple LDAP authentication methods, it is recommended to also set TLS parameters to require that communication with the LDAP server take place over secure connections.

These authentication method values are permitted:

- `SIMPLE`: Use simple LDAP authentication. This method uses either one or two LDAP bind operations, depending on whether the MySQL account names an LDAP user distinguished name. See the description of `authentication_ldap_simple_bind_root_dn`.
- `AD-FOREST`: A variation on `SIMPLE`, such that authentication searches all domains in the Active Directory forest, performing an LDAP bind to each Active Directory domain until the user is found in some domain.

- `authentication_ldap_simple_bind_base_dn`

Command-Line Format	`--authentication-ldap-simple-bind-base-dn=value`

System Variable	authentication_ldap_simple_bind_base_dn
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	String
Default Value	NULL

For simple LDAP authentication, the base distinguished name (DN). This variable can be used to limit the scope of searches by anchoring them at a certain location (the “base”) within the search tree.

Suppose that members of one set of LDAP user entries each have this form:

```
uid=user_name,ou=People,dc=example,dc=com
```

And that members of another set of LDAP user entries each have this form:

```
uid=user_name,ou=Admin,dc=example,dc=com
```

Then searches work like this for different base DN values:

- If the base DN is `ou=People,dc=example,dc=com`: Searches find user entries only in the first set.
- If the base DN is `ou=Admin,dc=example,dc=com`: Searches find user entries only in the second set.
- If the base DN is `ou=dc=example,dc=com`: Searches find user entries in the first or second set.

In general, more specific base DN values result in faster searches because they limit the search scope more.

- `authentication_ldap_simple_bind_root_dn`

Command-Line Format	--authentication-ldap-simple-bind-root-dn=value
System Variable	authentication_ldap_simple_bind_root_dn
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	String
Default Value	NULL

For simple LDAP authentication, the root distinguished name (DN). This variable is used in conjunction with `authentication_ldap_simple_bind_root_pwd` as the credentials for authenticating to the LDAP server for the purpose of performing searches. Authentication uses either one or two LDAP bind operations, depending on whether the MySQL account names an LDAP user DN:

- If the account does not name a user DN: `authentication_ldap_simple` performs an initial LDAP binding using `authentication_ldap_simple_bind_root_dn` and `authentication_ldap_simple_bind_root_pwd`. (These are both empty by default, so if they are not set, the LDAP server must permit anonymous connections.) The resulting bind LDAP handle is used to search for the user DN, based on the client user name. `authentication_ldap_simple` performs a second bind using the user DN and client-supplied password.

  - If the account does name a user DN: The first bind operation is unnecessary in this case. `authentication_ldap_simple` performs a single bind using the user DN and client-supplied password. This is faster than if the MySQL account does not specify an LDAP user DN.

- `authentication_ldap_simple_bind_root_pwd`

Command-Line Format	`--authentication-ldap-simple-bind-root-pwd=value`
System Variable	`authentication_ldap_simple_bind_root_pwd`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`NULL`

For simple LDAP authentication, the password for the root distinguished name. This variable is used in conjunction with `authentication_ldap_simple_bind_root_dn`. See the description of that variable.

- `authentication_ldap_simple_ca_path`

Command-Line Format	`--authentication-ldap-simple-ca-path=value`
System Variable	`authentication_ldap_simple_ca_path`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`NULL`

For simple LDAP authentication, the absolute path of the certificate authority file. Specify this file if it is desired that the authentication plugin perform verification of the LDAP server certificate.

> **Note**
>
> In addition to setting the `authentication_ldap_simple_ca_path` variable to the file name, you must add the appropriate certificate authority certificates to the file and enable the `authentication_ldap_simple_tls` system variable. These variables can be set to override the default OpenLDAP TLS configuration; see LDAP Pluggable Authentication and ldap.conf

- `authentication_ldap_simple_group_search_attr`

Command-Line Format	`--authentication-ldap-simple-group-search-attr=value`
System Variable	`authentication_ldap_simple_group_search_att`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String

Default Value	cn

For simple LDAP authentication, the name of the attribute that specifies group names in LDAP directory entries. If authentication_ldap_simple_group_search_attr has its default value of cn, searches return the cn value as the group name. For example, if an LDAP entry with a uid value of user1 has a cn attribute of mygroup, searches for user1 return mygroup as the group name.

If the group search attribute is isMemberOf, LDAP authentication directly retrieves the user attribute isMemberOf value and assigns it as group information. If the group search attribute is not isMemberOf, LDAP authentication searches for all groups where the user is a member. (The latter is the default behavior.) This behavior is based on how LDAP group information can be stored two ways: 1) A group entry can have an attribute named memberUid or member with a value that is a user name; 2) A user entry can have an attribute named isMemberOf with values that are group names.

- authentication_ldap_simple_group_search_filter

Command-Line Format	--authentication-ldap-simple-group-search-filter=value
System Variable	authentication_ldap_simple_group_search_filter
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	String
Default Value	(|(&(objectClass=posixGroup)(memberUid=%s))(&(objectClass=group)(member=%s)))

For simple LDAP authentication, the custom group search filter.

The search filter value can contain {UA} and {UD} notation to represent the user name and the full user DN. For example, {UA} is replaced with a user name such as "admin", whereas {UD} is replaced with a use full DN such as "uid=admin,ou=People,dc=example,dc=com". The following value is the default, which supports both OpenLDAP and Active Directory:

```
(|(&(objectClass=posixGroup)(memberUid={UA}))
  (&(objectClass=group)(member={UD})))
```

In some cases for the user scenario, memberOf is a simple user attribute that holds no group information. For additional flexibility, an optional {GA} prefix can be used with the group search attribute. Any group attribute with a {GA} prefix is treated as a user attribute having group names. For example, with a value of {GA}memberOf, if the group value is the DN, the first attribute value from the group DN is returned as the group name.

- authentication_ldap_simple_init_pool_size

Command-Line Format	--authentication-ldap-simple-init-pool-size=#
System Variable	authentication_ldap_simple_init_pool_size
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	Integer

Default Value	10
Minimum Value	0
Maximum Value	32767
Unit	connections

For simple LDAP authentication, the initial size of the pool of connections to the LDAP server. Choose the value for this variable based on the average number of concurrent authentication requests to the LDAP server.

The plugin uses authentication_ldap_simple_init_pool_size and authentication_ldap_simple_max_pool_size together for connection-pool management:

- When the authentication plugin initializes, it creates authentication_ldap_simple_init_pool_size connections, unless authentication_ldap_simple_max_pool_size=0 to disable pooling.
- If the plugin receives an authentication request when there are no free connections in the current connection pool, the plugin can create a new connection, up to the maximum connection pool size given by authentication_ldap_simple_max_pool_size.
- If the plugin receives a request when the pool size is already at its maximum and there are no free connections, authentication fails.
- When the plugin unloads, it closes all pooled connections.

Changes to plugin system variable settings may have no effect on connections already in the pool. For example, modifying the LDAP server host, port, or TLS settings does not affect existing connections. However, if the original variable values were invalid and the connection pool could not be initialized, the plugin attempts to reinitialize the pool for the next LDAP request. In this case, the new system variable values are used for the reinitialization attempt.

If authentication_ldap_simple_max_pool_size=0 to disable pooling, each LDAP connection opened by the plugin uses the values the system variables have at that time.

- authentication_ldap_simple_log_status

Command-Line Format	--authentication-ldap-simple-log-status=#
System Variable	authentication_ldap_simple_log_status
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	Integer
Default Value	1
Minimum Value	1
Maximum Value	6

For simple LDAP authentication, the logging level for messages written to the error log. The following table shows the permitted level values and their meanings.

Table 6.16 Log Levels for authentication_ldap_simple_log_status

Option Value	Types of Messages Logged
1	No messages

Option Value	Types of Messages Logged
2	Error messages
3	Error and warning messages
4	Error, warning, and information messages
5	Same as previous level plus debugging messages from MySQL
6	Same as previous level plus debugging messages from LDAP library

Log level 6 is available as of MySQL 8.0.18.

- `authentication_ldap_simple_max_pool_size`

Command-Line Format	`--authentication-ldap-simple-max-pool-size=#`
System Variable	`authentication_ldap_simple_max_pool_size`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`1000`
Minimum Value	`0`
Maximum Value	`32767`
Unit	connections

For simple LDAP authentication, the maximum size of the pool of connections to the LDAP server. To disable connection pooling, set this variable to 0.

This variable is used in conjunction with `authentication_ldap_simple_init_pool_size`. See the description of that variable.

- `authentication_ldap_simple_referral`

Command-Line Format	`--authentication-ldap-simple-referral[={OFF|ON}]`
System Variable	`authentication_ldap_simple_referral`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`OFF`

For simple LDAP authentication, whether to enable LDAP search referral. See LDAP Search Referral.

- `authentication_ldap_simple_server_host`

Command-Line Format	`--authentication-ldap-simple-server-host=host_name`
System Variable	`authentication_ldap_simple_server_host`

Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	String

For simple LDAP authentication, the LDAP server host. The permitted values for this variable depend on the authentication method:

- For `authentication_ldap_simple_auth_method_name=SIMPLE`: The LDAP server host can be a host name or IP address.
- For `authentication_ldap_simple_auth_method_name=AD-FOREST`. The LDAP server host can be an Active Directory domain name. For example, for an LDAP server URL of `ldap://example.mem.local:389`, the domain name can be `mem.local`.

  An Active Directory forest setup can have multiple domains (LDAP server IPs), which can be discovered using DNS. On Unix and Unix-like systems, some additional setup may be required to configure your DNS server with SRV records that specify the LDAP servers for the Active Directory domain. For information about DNS SRV, see RFC 2782.

  Suppose that your configuration has these properties:

  - The name server that provides information about Active Directory domains has IP address `10.172.166.100`.
  - The LDAP servers have names `ldap1.mem.local` through `ldap3.mem.local` and IP addresses `10.172.166.101` through `10.172.166.103`.

  You want the LDAP servers to be discoverable using SRV searches. For example, at the command line, a command like this should list the LDAP servers:

  ```
  host -t SRV _ldap._tcp.mem.local
  ```

  Perform the DNS configuration as follows:

  1. Add a line to `/etc/resolv.conf` to specify the name server that provides information about Active Directory domains:

     ```
     nameserver 10.172.166.100
     ```

  2. Configure the appropriate zone file for the name server with SRV records for the LDAP servers:

     ```
     _ldap._tcp.mem.local. 86400 IN SRV 0 100 389 ldap1.mem.local.
     _ldap._tcp.mem.local. 86400 IN SRV 0 100 389 ldap2.mem.local.
     _ldap._tcp.mem.local. 86400 IN SRV 0 100 389 ldap3.mem.local.
     ```

  3. It may also be necessary to specify the IP address for the LDAP servers in `/etc/hosts` if the server host cannot be resolved. For example, add lines like this to the file:

     ```
     10.172.166.101 ldap1.mem.local
     10.172.166.102 ldap2.mem.local
     10.172.166.103 ldap3.mem.local
     ```

  With the DNS configured as just described, the server-side LDAP plugin can discover the LDAP servers and tries to authenticate in all domains until authentication succeeds or there are no more servers.

  Windows needs no such settings as just described. Given the LDAP server host in the `authentication_ldap_simple_server_host` value, the Windows LDAP library searches all domains and attempts to authenticate.

- `authentication_ldap_simple_server_port`

Command-Line Format	`--authentication-ldap-simple-server-port=port_num`
System Variable	`authentication_ldap_simple_server_port`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`389`
Minimum Value	`1`
Maximum Value	`32376`

For simple LDAP authentication, the LDAP server TCP/IP port number.

As of MySQL 8.0.14, if the LDAP port number is configured as 636 or 3269, the plugin uses LDAPS (LDAP over SSL) instead of LDAP. (LDAPS differs from `startTLS`.)

- `authentication_ldap_simple_tls`

Command-Line Format	`--authentication-ldap-simple-tls[={OFF|ON}]`
System Variable	`authentication_ldap_simple_tls`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`OFF`

For simple LDAP authentication, whether connections by the plugin to the LDAP server are secure. If this variable is enabled, the plugin uses TLS to connect securely to the LDAP server. This variable can be set to override the default OpenLDAP TLS configuration; see LDAP Pluggable Authentication and ldap.conf If you enable this variable, you may also wish to set the `authentication_ldap_simple_ca_path` variable.

MySQL LDAP plugins support the StartTLS method, which initializes TLS on top of a plain LDAP connection.

As of MySQL 8.0.14, LDAPS can be used by setting the `authentication_ldap_simple_server_port` system variable.

- `authentication_ldap_simple_user_search_attr`

Command-Line Format	`--authentication-ldap-simple-user-search-attr=value`
System Variable	`authentication_ldap_simple_user_search_attr`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String

Default Value	`uid`

For simple LDAP authentication, the name of the attribute that specifies user names in LDAP directory entries. If a user distinguished name is not provided, the authentication plugin searches for the name using this attribute. For example, if the `authentication_ldap_simple_user_search_attr` value is `uid`, a search for the user name `user1` finds entries with a `uid` value of `user1`.

6.2 Connection Control Plugins

MySQL Server includes a plugin library that enables administrators to introduce an increasing delay in server response to connection attempts after a configurable number of consecutive failed attempts. This capability provides a deterrent that slows down brute force attacks against MySQL user accounts. The plugin library contains two plugins:

- `CONNECTION_CONTROL` checks incoming connection attempts and adds a delay to server responses as necessary. This plugin also exposes system variables that enable its operation to be configured and a status variable that provides rudimentary monitoring information.

  The `CONNECTION_CONTROL` plugin uses the audit plugin interface (see Writing Audit Plugins). To collect information, it subscribes to the `MYSQL_AUDIT_CONNECTION_CLASSMASK` event class, and processes `MYSQL_AUDIT_CONNECTION_CONNECT` and `MYSQL_AUDIT_CONNECTION_CHANGE_USER` subevents to check whether the server should introduce a delay before responding to connection attempts.

- `CONNECTION_CONTROL_FAILED_LOGIN_ATTEMPTS` implements an `INFORMATION_SCHEMA` table that exposes more detailed monitoring information for failed connection attempts. For more information about this table, see The INFORMATION_SCHEMA CONNECTION_CONTROL_FAILED_LOGIN_ATTEMPTS Table.

The following sections provide information about connection control plugin installation and configuration.

6.2.1 Connection Control Plugin Installation

This section describes how to install the connection control plugins, `CONNECTION_CONTROL` and `CONNECTION_CONTROL_FAILED_LOGIN_ATTEMPTS`. For general information about installing plugins, see Installing and Uninstalling Plugins.

To be usable by the server, the plugin library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

The plugin library file base name is `connection_control`. The file name suffix differs per platform (for example, `.so` for Unix and Unix-like systems, `.dll` for Windows).

To load the plugins at server startup, use the `--plugin-load-add` option to name the library file that contains them. With this plugin-loading method, the option must be given each time the server starts. For example, put these lines in the server `my.cnf` file, adjusting the `.so` suffix for your platform as necessary:

```
[mysqld]
plugin-load-add=connection_control.so
```

After modifying `my.cnf`, restart the server to cause the new settings to take effect.

Alternatively, to load the plugins at runtime, use these statements, adjusting the `.so` suffix for your platform as necessary:

```
INSTALL PLUGIN CONNECTION_CONTROL
  SONAME 'connection_control.so';
INSTALL PLUGIN CONNECTION_CONTROL_FAILED_LOGIN_ATTEMPTS
```

```
  SONAME 'connection_control.so';
```

`INSTALL PLUGIN` loads the plugin immediately, and also registers it in the `mysql.plugins` system table to cause the server to load it for each subsequent normal startup without the need for `--plugin-load-add`.

To verify plugin installation, examine the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
       FROM INFORMATION_SCHEMA.PLUGINS
       WHERE PLUGIN_NAME LIKE 'connection%';
+---------------------------------------+---------------+
| PLUGIN_NAME                           | PLUGIN_STATUS |
+---------------------------------------+---------------+
| CONNECTION_CONTROL                    | ACTIVE        |
| CONNECTION_CONTROL_FAILED_LOGIN_ATTEMPTS | ACTIVE        |
+---------------------------------------+---------------+
```

If a plugin fails to initialize, check the server error log for diagnostic messages.

If the plugins have been previously registered with `INSTALL PLUGIN` or are loaded with `--plugin-load-add`, you can use the `--connection-control` and `--connection-control-failed-login-attempts` options at server startup to control plugin activation. For example, to load the plugins at startup and prevent them from being removed at runtime, use these options:

```
[mysqld]
plugin-load-add=connection_control.so
connection-control=FORCE_PLUS_PERMANENT
connection-control-failed-login-attempts=FORCE_PLUS_PERMANENT
```

If it is desired to prevent the server from running without a given connection control plugin, use an option value of `FORCE` or `FORCE_PLUS_PERMANENT` to force server startup to fail if the plugin does not initialize successfully.

> **Note**
>
> It is possible to install one plugin without the other, but both must be installed for full connection control capability. In particular, installing only the `CONNECTION_CONTROL_FAILED_LOGIN_ATTEMPTS` plugin is of little use because, without the `CONNECTION_CONTROL` plugin to provide the data that populates the `CONNECTION_CONTROL_FAILED_LOGIN_ATTEMPTS` table, the table is always empty.

- Connection Delay Configuration
- Connection Failure Assessment
- Connection Failure Monitoring

Connection Delay Configuration

To enable configuring its operation, the `CONNECTION_CONTROL` plugin exposes these system variables:

- `connection_control_failed_connections_threshold`: The number of consecutive failed connection attempts permitted to accounts before the server adds a delay for subsequent connection attempts. To disable failed-connection counting, set `connection_control_failed_connections_threshold` to zero.
- `connection_control_min_connection_delay`: The minimum delay in milliseconds for connection failures above the threshold.
- `connection_control_max_connection_delay`: The maximum delay in milliseconds for connection failures above the threshold.

If connection_control_failed_connections_threshold is nonzero, failed-connection counting is enabled and has these properties:

- The delay is zero up through connection_control_failed_connections_threshold consecutive failed connection attempts.
- Thereafter, the server adds an increasing delay for subsequent consecutive attempts, until a successful connection occurs. The initial unadjusted delays begin at 1000 milliseconds (1 second) and increase by 1000 milliseconds per attempt. That is, once delay has been activated for an account, the unadjusted delays for subsequent failed attempts are 1000 milliseconds, 2000 milliseconds, 3000 milliseconds, and so forth.
- The actual delay experienced by a client is the unadjusted delay, adjusted to lie within the values of the connection_control_min_connection_delay and connection_control_max_connection_delay system variables, inclusive.
- Once delay has been activated for an account, the first successful connection thereafter by the account also experiences a delay, but failure counting is reset for subsequent connections.

For example, with the default connection_control_failed_connections_threshold value of 3, there is no delay for the first three consecutive failed connection attempts by an account. The actual adjusted delays experienced by the account for the fourth and subsequent failed connections depend on the connection_control_min_connection_delay and connection_control_max_connection_delay values:

- If connection_control_min_connection_delay and connection_control_max_connection_delay are 1000 and 20000, the adjusted delays are the same as the unadjusted delays, up to a maximum of 20000 milliseconds. The fourth and subsequent failed connections are delayed by 1000 milliseconds, 2000 milliseconds, 3000 milliseconds, and so forth.
- If connection_control_min_connection_delay and connection_control_max_connection_delay are 1500 and 20000, the adjusted delays for the fourth and subsequent failed connections are 1500 milliseconds, 2000 milliseconds, 3000 milliseconds, and so forth, up to a maximum of 20000 milliseconds.
- If connection_control_min_connection_delay and connection_control_max_connection_delay are 2000 and 3000, the adjusted delays for the fourth and subsequent failed connections are 2000 milliseconds, 2000 milliseconds, and 3000 milliseconds, with all subsequent failed connections also delayed by 3000 milliseconds.

You can set the CONNECTION_CONTROL system variables at server startup or runtime. Suppose that you want to permit four consecutive failed connection attempts before the server starts delaying its responses, with a minimum delay of 2000 milliseconds. To set the relevant variables at server startup, put these lines in the server my.cnf file:

```
[mysqld]
plugin-load-add=connection_control.so
connection-control-failed-connections-threshold=4
connection-control-min-connection-delay=2000
```

To set and persist the variables at runtime, use these statements:

```
SET PERSIST connection_control_failed_connections_threshold = 4;
SET PERSIST connection_control_min_connection_delay = 2000;
```

SET PERSIST sets a value for the running MySQL instance. It also saves the value, causing it to carry over to subsequent server restarts. To change a value for the running MySQL instance without having it carry over to subsequent restarts, use the GLOBAL keyword rather than PERSIST. See SET Syntax for Variable Assignment.

The connection_control_min_connection_delay and connection_control_max_connection_delay system variables both have minimum and

maximum values of 1000 and 2147483647. In addition, the permitted range of values of each variable also depends on the current value of the other:

- `connection_control_min_connection_delay` cannot be set greater than the current value of `connection_control_max_connection_delay`.
- `connection_control_max_connection_delay` cannot be set less than the current value of `connection_control_min_connection_delay`.

Thus, to make the changes required for some configurations, you might need to set the variables in a specific order. Suppose that the current minimum and maximum delays are 1000 and 2000, and that you want to set them to 3000 and 5000. You cannot first set `connection_control_min_connection_delay` to 3000 because that is greater than the current `connection_control_max_connection_delay` value of 2000. Instead, set `connection_control_max_connection_delay` to 5000, then set `connection_control_min_connection_delay` to 3000.

Connection Failure Assessment

When the `CONNECTION_CONTROL` plugin is installed, it checks connection attempts and tracks whether they fail or succeed. For this purpose, a failed connection attempt is one for which the client user and host match a known MySQL account but the provided credentials are incorrect, or do not match any known account.

Failed-connection counting is based on the user/host combination for each connection attempt. Determination of the applicable user name and host name takes proxying into account and occurs as follows:

- If the client user proxies another user, the account for failed-connection counting is the proxying user, not the proxied user. For example, if `external_user@example.com` proxies `proxy_user@example.com`, connection counting uses the proxying user, `external_user@example.com`, rather than the proxied user, `proxy_user@example.com`. Both `external_user@example.com` and `proxy_user@example.com` must have valid entries in the `mysql.user` system table and a proxy relationship between them must be defined in the `mysql.proxies_priv` system table (see Section 4.19, “Proxy Users”).
- If the client user does not proxy another user, but does match a `mysql.user` entry, counting uses the `CURRENT_USER()` value corresponding to that entry. For example, if a user `user1` connecting from a host `host1.example.com` matches a `user1@host1.example.com` entry, counting uses `user1@host1.example.com`. If the user matches a `user1@%.example.com`, `user1@%.com`, or `user1@%` entry instead, counting uses `user1@%.example.com`, `user1@%.com`, or `user1@%`, respectively.

For the cases just described, the connection attempt matches some `mysql.user` entry, and whether the request succeeds or fails depends on whether the client provides the correct authentication credentials. For example, if the client presents an incorrect password, the connection attempt fails.

If the connection attempt matches no `mysql.user` entry, the attempt fails. In this case, no `CURRENT_USER()` value is available and connection-failure counting uses the user name provided by the client and the client host as determined by the server. For example, if a client attempts to connect as user `user2` from host `host2.example.com`, the user name part is available in the client request and the server determines the host information. The user/host combination used for counting is `user2@host2.example.com`.

> **Note**
>
> The server maintains information about which client hosts can possibly connect to the server (essentially the union of host values for `mysql.user` entries). If a client attempts to connect from any other host, the server rejects the attempt at an early stage of connection setup:
>
> ```
> ERROR 1130 (HY000): Host 'host_name' is not
> ```

```
allowed to connect to this MySQL server
```

Because this type of rejection occurs so early, `CONNECTION_CONTROL` does not see it, and does not count it.

Connection Failure Monitoring

To monitor failed connections, use these information sources:

- The `Connection_control_delay_generated` status variable indicates the number of times the server added a delay to its response to a failed connection attempt. This does not count attempts that occur before reaching the threshold defined by the `connection_control_failed_connections_threshold` system variable.
- The `INFORMATION_SCHEMA CONNECTION_CONTROL_FAILED_LOGIN_ATTEMPTS` table provides information about the current number of consecutive failed connection attempts per account (user/host combination). This counts all failed attempts, regardless of whether they were delayed.

Assigning a value to `connection_control_failed_connections_threshold` at runtime has these effects:

- All accumulated failed-connection counters are reset to zero.
- The `Connection_control_delay_generated` status variable is reset to zero.
- The `CONNECTION_CONTROL_FAILED_LOGIN_ATTEMPTS` table becomes empty.

6.2.2 Connection Control Plugin System and Status Variables

This section describes the system and status variables that the `CONNECTION_CONTROL` plugin provides to enable its operation to be configured and monitored.

- Connection Control Plugin System Variables
- Connection Control Plugin Status Variables

Connection Control Plugin System Variables

If the `CONNECTION_CONTROL` plugin is installed, it exposes these system variables:

- `connection_control_failed_connections_threshold`

Command-Line Format	`--connection-control-failed-connections-threshold=#`
System Variable	`connection_control_failed_connections_thres`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`3`
Minimum Value	`0`
Maximum Value	`2147483647`

The number of consecutive failed connection attempts permitted to accounts before the server adds a delay for subsequent connection attempts:

- If the variable has a nonzero value *`N`*, the server adds a delay beginning with consecutive failed attempt *`N`*+1. If an account has reached the point where connection responses are delayed, a delay also occurs for the next subsequent successful connection.

- Setting this variable to zero disables failed-connection counting. In this case, the server never adds delays.

For information about how `connection_control_failed_connections_threshold` interacts with other connection control system and status variables, see Section 6.2.1, “Connection Control Plugin Installation”.

- `connection_control_max_connection_delay`

Command-Line Format	`--connection-control-max-connection-delay=#`
System Variable	`connection_control_max_connection_delay`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`2147483647`
Minimum Value	`1000`
Maximum Value	`2147483647`
Unit	milliseconds

The maximum delay in milliseconds for server response to failed connection attempts, if `connection_control_failed_connections_threshold` is greater than zero.

For information about how `connection_control_max_connection_delay` interacts with other connection control system and status variables, see Section 6.2.1, “Connection Control Plugin Installation”.

- `connection_control_min_connection_delay`

Command-Line Format	`--connection-control-min-connection-delay=#`
System Variable	`connection_control_min_connection_delay`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`1000`
Minimum Value	`1000`
Maximum Value	`2147483647`
Unit	milliseconds

The minimum delay in milliseconds for server response to failed connection attempts, if `connection_control_failed_connections_threshold` is greater than zero.

For information about how `connection_control_min_connection_delay` interacts with other connection control system and status variables, see Section 6.2.1, “Connection Control Plugin Installation”.

Connection Control Plugin Status Variables

If the `CONNECTION_CONTROL` plugin is installed, it exposes this status variable:

- `Connection_control_delay_generated`

  The number of times the server added a delay to its response to a failed connection attempt. This does not count attempts that occur before reaching the threshold defined by the `connection_control_failed_connections_threshold` system variable.

  This variable provides a simple counter. For more detailed connection control monitoring information, examine the `INFORMATION_SCHEMA CONNECTION_CONTROL_FAILED_LOGIN_ATTEMPTS` table; see The INFORMATION_SCHEMA CONNECTION_CONTROL_FAILED_LOGIN_ATTEMPTS Table.

  Assigning a value to `connection_control_failed_connections_threshold` at runtime resets `Connection_control_delay_generated` to zero.

6.3 The Password Validation Component

The `validate_password` component serves to improve security by requiring account passwords and enabling strength testing of potential passwords. This component exposes system variables that enable you to configure password policy, and status variables for component monitoring.

> **Note**
>
> In MySQL 8.0, the `validate_password` plugin was reimplemented as the `validate_password` component. (For general information about components, see MySQL Components.) The following instructions describe how to use the component, not the plugin. For instructions on using the plugin form of `validate_password`, see The Password Validation Plugin, in MySQL 5.7 Reference Manual.
>
> The plugin form of `validate_password` is still available but is deprecated; expect it to be removed in a future version of MySQL. MySQL installations that use the plugin should make the transition to using the component instead. See Section 6.3.3, “Transitioning to the Password Validation Component”.

The `validate_password` component implements these capabilities:

- For SQL statements that assign a password supplied as a cleartext value, `validate_password` checks the password against the current password policy and rejects the password if it is weak (the statement returns an `ER_NOT_VALID_PASSWORD` error). This applies to the `ALTER USER`, `CREATE USER`, and `SET PASSWORD` statements.
- For `CREATE USER` statements, `validate_password` requires that a password be given, and that it satisfies the password policy. This is true even if an account is locked initially because otherwise unlocking the account later would cause it to become accessible without a password that satisfies the policy.
- `validate_password` implements a `VALIDATE_PASSWORD_STRENGTH()` SQL function that assesses the strength of potential passwords. This function takes a password argument and returns an integer from 0 (weak) to 100 (strong).

> **Note**
>
> For statements that assign or modify account passwords (`ALTER USER`, `CREATE USER`, and `SET PASSWORD`), the `validate_password` capabilities described here apply only to accounts that use an authentication plugin that stores credentials internally to MySQL. For accounts that use plugins that perform authentication against a credentials system external to MySQL, password management must be handled externally against that system as well. For more information about internal credentials storage, see Section 4.15, “Password Management”.

> The preceding restriction does not apply to use of the `VALIDATE_PASSWORD_STRENGTH()` function because it does not affect accounts directly.

Examples:

- `validate_password` checks the cleartext password in the following statement. Under the default password policy, which requires passwords to be at least 8 characters long, the password is weak and the statement produces an error:

```
mysql> ALTER USER USER() IDENTIFIED BY 'abc';
ERROR 1819 (HY000): Your password does not satisfy the current
policy requirements
```

- Passwords specified as hashed values are not checked because the original password value is not available for checking:

```
mysql> ALTER USER 'jeffrey'@'localhost'
       IDENTIFIED WITH mysql_native_password
       AS '*0D3CED9BEC10A777AEC23CCC353A8C08A633045E';
Query OK, 0 rows affected (0.01 sec)
```

- This account-creation statement fails, even though the account is locked initially, because it does not include a password that satisfies the current password policy:

```
mysql> CREATE USER 'juanita'@'localhost' ACCOUNT LOCK;
ERROR 1819 (HY000): Your password does not satisfy the current
policy requirements
```

- To check a password, use the `VALIDATE_PASSWORD_STRENGTH()` function:

```
mysql> SELECT VALIDATE_PASSWORD_STRENGTH('weak');
+-----------------------------------+
| VALIDATE_PASSWORD_STRENGTH('weak') |
+-----------------------------------+
|                                25 |
+-----------------------------------+
mysql> SELECT VALIDATE_PASSWORD_STRENGTH('lessweak$_@123');
+--------------------------------------------+
| VALIDATE_PASSWORD_STRENGTH('lessweak$_@123') |
+--------------------------------------------+
|                                         50 |
+--------------------------------------------+
mysql> SELECT VALIDATE_PASSWORD_STRENGTH('N0Tweak$_@123!');
+---------------------------------------------+
| VALIDATE_PASSWORD_STRENGTH('N0Tweak$_@123!') |
+---------------------------------------------+
|                                        100 |
+---------------------------------------------+
```

To configure password checking, modify the system variables having names of the form `validate_password.xxx`; these are the parameters that control password policy. See Section 6.3.2, “Password Validation Options and Variables”.

If `validate_password` is not installed, the `validate_password.xxx` system variables are not available, passwords in statements are not checked, and the `VALIDATE_PASSWORD_STRENGTH()` function always returns 0. For example, without the plugin installed, accounts can be assigned passwords shorter than 8 characters, or no password at all.

Assuming that `validate_password` is installed, it implements three levels of password checking: `LOW`, `MEDIUM`, and `STRONG`. The default is `MEDIUM`; to change this, modify the value of `validate_password.policy`. The policies implement increasingly strict password tests. The following descriptions refer to default parameter values, which can be modified by changing the appropriate system variables.

- `LOW` policy tests password length only. Passwords must be at least 8 characters long. To change this length, modify `validate_password.length`.
- `MEDIUM` policy adds the conditions that passwords must contain at least 1 numeric character, 1 lowercase character, 1 uppercase character, and 1 special (nonalphanumeric) character. To change these values, modify `validate_password.number_count`, `validate_password.mixed_case_count`, and `validate_password.special_char_count`.
- `STRONG` policy adds the condition that password substrings of length 4 or longer must not match words in the dictionary file, if one has been specified. To specify the dictionary file, modify `validate_password.dictionary_file`.

In addition, `validate_password` supports the capability of rejecting passwords that match the user name part of the effective user account for the current session, either forward or in reverse. To provide control over this capability, `validate_password` exposes a `validate_password.check_user_name` system variable, which is enabled by default.

6.3.1 Password Validation Component Installation and Uninstallation

This section describes how to install and uninstall the `validate_password` password-validation component. For general information about installing and uninstalling components, see MySQL Components.

> **Note**
>
> If you install MySQL 8.0 using the MySQL Yum repository, MySQL SLES Repository, or RPM packages provided by Oracle, the `validate_password` component is enabled by default after you start your MySQL Server for the first time.
>
> Upgrades to MySQL 8.0 from 5.7 using Yum or RPM packages leave the `validate_password` plugin in place. To make the transition from the `validate_password` plugin to the `validate_password` component, see Section 6.3.3, “Transitioning to the Password Validation Component”.

To be usable by the server, the component library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

To install the `validate_password` component, use this statement:

```
INSTALL COMPONENT 'file://component_validate_password';
```

Component installation is a one-time operation that need not be done per server startup. `INSTALL COMPONENT` loads the component, and also registers it in the `mysql.component` system table to cause it to be loaded during subsequent server startups.

To uninstall the `validate_password` component, use this statement:

```
UNINSTALL COMPONENT 'file://component_validate_password';
```

`UNINSTALL COMPONENT` unloads the component, and unregisters it from the `mysql.component` system table to cause it not to be loaded during subsequent server startups.

6.3.2 Password Validation Options and Variables

This section describes the system and status variables that `validate_password` provides to enable its operation to be configured and monitored.

- Password Validation Component System Variables
- Password Validation Component Status Variables

- Password Validation Plugin Options
- Password Validation Plugin System Variables
- Password Validation Plugin Status Variables

Password Validation Component System Variables

If the `validate_password` component is enabled, it exposes several system variables that enable configuration of password checking:

```
mysql> SHOW VARIABLES LIKE 'validate_password.%';
+-----------------------------------------------+--------+
| Variable_name                                 | Value  |
+-----------------------------------------------+--------+
| validate_password.changed_characters_percentage | 0      |
| validate_password.check_user_name             | ON     |
| validate_password.dictionary_file             |        |
| validate_password.length                      | 8      |
| validate_password.mixed_case_count            | 1      |
| validate_password.number_count                | 1      |
| validate_password.policy                      | MEDIUM |
| validate_password.special_char_count          | 1      |
+-----------------------------------------------+--------+
```

To change how passwords are checked, you can set these system variables at server startup or at runtime. The following list describes the meaning of each variable.

- `validate_password.changed_characters_percentage`

Command-Line Format	`--validate-password.changed-characters-percentage[=value]`
System Variable	`validate_password.changed_characters_percentag`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`0`
Minimum Value	`0`
Maximum Value	`100`

Indicates the minimum number of characters, as a percentage of all characters, in a password that a user must change before `validate_password` accepts a new password for the user's own account. This applies only when changing an existing password, and has no effect when setting a user account's initial password.

This variable is not available unless `validate_password` is installed.

By default, `validate_password.changed_characters_percentage` permits all of the characters from the current password to be reused in the new password. The range of valid percentages is 0 to 100. If set to 100 percent, all of the characters from the current password are rejected, regardless of the casing. Characters '`abc`' and '`ABC`' are considered to be the same characters. If `validate_password` rejects the new password, it reports an error indicating the minimum number of characters that must differ.

If the `ALTER USER` statement does not provide the existing password in a `REPLACE` clause, this variable is not enforced. Whether the `REPLACE` clause is required is subject to the password verification policy as it applies to a given account. For an overview of the policy, see Password Verification-Required Policy.

- `validate_password.check_user_name`

Command-Line Format	`--validate-password.check-user-name[={OFF|ON}]`
System Variable	`validate_password.check_user_name`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`ON`

Whether `validate_password` compares passwords to the user name part of the effective user account for the current session and rejects them if they match. This variable is unavailable unless `validate_password` is installed.

By default, `validate_password.check_user_name` is enabled. This variable controls user name matching independent of the value of `validate_password.policy`.

When `validate_password.check_user_name` is enabled, it has these effects:

- Checking occurs in all contexts for which `validate_password` is invoked, which includes use of statements such as `ALTER USER` or `SET PASSWORD` to change the current user's password, and invocation of functions such as `VALIDATE_PASSWORD_STRENGTH()`.
- The user names used for comparison are taken from the values of the `USER()` and `CURRENT_USER()` functions for the current session. An implication is that a user who has sufficient privileges to set another user's password can set the password to that user's name, and cannot set that user' password to the name of the user executing the statement. For example, `'root'@'localhost'` can set the password for `'jeffrey'@'localhost'` to `'jeffrey'`, but cannot set the password to `'root'`.
- Only the user name part of the `USER()` and `CURRENT_USER()` function values is used, not the host name part. If a user name is empty, no comparison occurs.
- If a password is the same as the user name or its reverse, a match occurs and the password is rejected.
- User-name matching is case-sensitive. The password and user name values are compared as binary strings on a byte-by-byte basis.
- If a password matches the user name, `VALIDATE_PASSWORD_STRENGTH()` returns 0 regardless of how other `validate_password` system variables are set.

- `validate_password.dictionary_file`

Command-Line Format	`--validate-password.dictionary-file=file_name`
System Variable	`validate_password.dictionary_file`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No

Type	File name

The path name of the dictionary file that `validate_password` uses for checking passwords. This variable is unavailable unless `validate_password` is installed.

By default, this variable has an empty value and dictionary checks are not performed. For dictionary checks to occur, the variable value must be nonempty. If the file is named as a relative path, it is interpreted relative to the server data directory. File contents should be lowercase, one word per line. Contents are treated as having a character set of `utf8mb3`. The maximum permitted file size is 1MB.

For the dictionary file to be used during password checking, the password policy must be set to 2 (`STRONG`); see the description of the `validate_password.policy` system variable. Assuming that is true, each substring of the password of length 4 up to 100 is compared to the words in the dictionary file. Any match causes the password to be rejected. Comparisons are not case-sensitive.

For `VALIDATE_PASSWORD_STRENGTH()`, the password is checked against all policies, including `STRONG`, so the strength assessment includes the dictionary check regardless of the `validate_password.policy` value.

`validate_password.dictionary_file` can be set at runtime and assigning a value causes the named file to be read without a server restart.

- `validate_password.length`

Command-Line Format	`--validate-password.length=#`
System Variable	`validate_password.length`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`8`
Minimum Value	`0`

The minimum number of characters that `validate_password` requires passwords to have. This variable is unavailable unless `validate_password` is installed.

The `validate_password.length` minimum value is a function of several other related system variables. The value cannot be set less than the value of this expression:

```
validate_password.number_count
+ validate_password.special_char_count
+ (2 * validate_password.mixed_case_count)
```

If `validate_password` adjusts the value of `validate_password.length` due to the preceding constraint, it writes a message to the error log.

- `validate_password.mixed_case_count`

Command-Line Format	`--validate-password.mixed-case-count=#`
System Variable	`validate_password.mixed_case_count`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer

Default Value	1
Minimum Value	0

The minimum number of lowercase and uppercase characters that validate_password requires passwords to have if the password policy is MEDIUM or stronger. This variable is unavailable unless validate_password is installed.

For a given validate_password.mixed_case_count value, the password must have that many lowercase characters, and that many uppercase characters.

- validate_password.number_count

Command-Line Format	--validate-password.number-count=#
System Variable	validate_password.number_count
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	Integer
Default Value	1
Minimum Value	0

The minimum number of numeric (digit) characters that validate_password requires passwords to have if the password policy is MEDIUM or stronger. This variable is unavailable unless validate_password is installed.

- validate_password.policy

Command-Line Format	--validate-password.policy=value
System Variable	validate_password.policy
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	Enumeration
Default Value	1
Valid Values	0 1 2

The password policy enforced by validate_password. This variable is unavailable unless validate_password is installed.

validate_password.policy affects how validate_password uses its other policy-setting system variables, except for checking passwords against user names, which is controlled independently by validate_password.check_user_name.

The validate_password.policy value can be specified using numeric values 0, 1, 2, or the corresponding symbolic values LOW, MEDIUM, STRONG. The following table describes the tests performed for each policy. For the length test, the required length is the value of the

`validate_password.length` system variable. Similarly, the required values for the other tests are given by other `validate_password.xxx` variables.

Policy	Tests Performed
`0` or `LOW`	Length
`1` or `MEDIUM`	Length; numeric, lowercase/uppercase, and special characters
`2` or `STRONG`	Length; numeric, lowercase/uppercase, and special characters; dictionary file

- `validate_password.special_char_count`

Command-Line Format	`--validate-password.special-char-count=#`
System Variable	`validate_password.special_char_count`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`1`
Minimum Value	`0`

The minimum number of nonalphanumeric characters that `validate_password` requires passwords to have if the password policy is `MEDIUM` or stronger. This variable is unavailable unless `validate_password` is installed.

Password Validation Component Status Variables

If the `validate_password` component is enabled, it exposes status variables that provide operational information:

```
mysql> SHOW STATUS LIKE 'validate_password.%';
+---------------------------------------------+---------------------+
| Variable_name                               | Value               |
+---------------------------------------------+---------------------+
| validate_password.dictionary_file_last_parsed | 2019-10-03 08:33:49 |
| validate_password.dictionary_file_words_count | 1902                |
+---------------------------------------------+---------------------+
```

The following list describes the meaning of each status variable.

- `validate_password.dictionary_file_last_parsed`

  When the dictionary file was last parsed. This variable is unavailable unless `validate_password` is installed.

- `validate_password.dictionary_file_words_count`

  The number of words read from the dictionary file. This variable is unavailable unless `validate_password` is installed.

Password Validation Plugin Options

> **Note**
>
> In MySQL 8.0, the `validate_password` plugin was reimplemented as the `validate_password` component. The `validate_password`

> plugin is deprecated; expect it to be removed in a future version of MySQL. Consequently, its options are also deprecated, and you should expect them to be removed as well. MySQL installations that use the plugin should make the transition to using the component instead. See Section 6.3.3, “Transitioning to the Password Validation Component”.

To control activation of the `validate_password` plugin, use this option:

- `--validate-password[=value]`

Command-Line Format	`--validate-password[=value]`
Type	Enumeration
Default Value	`ON`

Valid Values	`ON`
	`OFF`
	`FORCE`
	`FORCE_PLUS_PERMANENT`

This option controls how the server loads the deprecated `validate_password` plugin at startup. The value should be one of those available for plugin-loading options, as described in Installing and Uninstalling Plugins. For example, `--validate-password=FORCE_PLUS_PERMANENT` tells the server to load the plugin at startup and prevents it from being removed while the server is running.

This option is available only if the `validate_password` plugin has been previously registered with `INSTALL PLUGIN` or is loaded with `--plugin-load-add`. See Section 6.3.1, “Password Validation Component Installation and Uninstallation”.

Password Validation Plugin System Variables

> **Note**
>
> In MySQL 8.0, the `validate_password` plugin was reimplemented as the `validate_password` component. The `validate_password` plugin is deprecated; expect it to be removed in a future version of MySQL. Consequently, its system variables are also deprecated and you should expect them to be removed as well. Use the corresponding system variables of the `validate_password` component instead; see Password Validation Component System Variables. MySQL installations that use the plugin should make the transition to using the component instead. See Section 6.3.3, “Transitioning to the Password Validation Component”.

- `validate_password_check_user_name`

Command-Line Format	`--validate-password-check-user-name[={OFF|ON}]`
System Variable	`validate_password_check_user_name`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`ON`

This validate_password plugin system variable is deprecated; expect it to be removed in a future version of MySQL. Use the corresponding validate_password.check_user_name system variable of the validate_password component instead.

- validate_password_dictionary_file

Command-Line Format	--validate-password-dictionary-file=file_name
System Variable	validate_password_dictionary_file
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	File name

This validate_password plugin system variable is deprecated; expect it to be removed in a future version of MySQL. Use the corresponding validate_password.dictionary_file system variable of the validate_password component instead.

- validate_password_length

Command-Line Format	--validate-password-length=#
System Variable	validate_password_length
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	Integer
Default Value	8
Minimum Value	0

This validate_password plugin system variable is deprecated; expect it to be removed in a future version of MySQL. Use the corresponding validate_password.length system variable of the validate_password component instead.

- validate_password_mixed_case_count

Command-Line Format	--validate-password-mixed-case-count=#
System Variable	validate_password_mixed_case_count
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	Integer
Default Value	1
Minimum Value	0

This validate_password plugin system variable is deprecated; expect it to be removed in a future version of MySQL. Use the corresponding validate_password.mixed_case_count system variable of the validate_password component instead.

- `validate_password_number_count`

Command-Line Format	`--validate-password-number-count=#`
System Variable	`validate_password_number_count`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`1`
Minimum Value	`0`

This `validate_password` plugin system variable is deprecated; expect it to be removed in a future version of MySQL. Use the corresponding `validate_password.number_count` system variable of the `validate_password` component instead.

- `validate_password_policy`

Command-Line Format	`--validate-password-policy=value`
System Variable	`validate_password_policy`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Enumeration
Default Value	`1`

Valid Values	`0`
	`1`
	`2`

This `validate_password` plugin system variable is deprecated; expect it to be removed in a future version of MySQL. Use the corresponding `validate_password.policy` system variable of the `validate_password` component instead.

- `validate_password_special_char_count`

Command-Line Format	`--validate-password-special-char-count=#`
System Variable	`validate_password_special_char_count`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`1`
Minimum Value	`0`

This `validate_password` plugin system variable is deprecated; expect it to be removed in a future version of MySQL. Use the corresponding `validate_password.special_char_count` system variable of the `validate_password` component instead.

Password Validation Plugin Status Variables

> **Note**
>
> In MySQL 8.0, the `validate_password` plugin was reimplemented as the `validate_password` component. The `validate_password` plugin is deprecated; expect it to be removed in a future version of MySQL. Consequently, its status variables are also deprecated; expect it to be removed. Use the corresponding status variables of the `validate_password` component; see Password Validation Component Status Variables. MySQL installations that use the plugin should make the transition to using the component instead. See Section 6.3.3, "Transitioning to the Password Validation Component".

- `validate_password_dictionary_file_last_parsed`

  This `validate_password` plugin status variable is deprecated; expect it to be removed in a future version of MySQL. Use the corresponding `validate_password.dictionary_file_last_parsed` status variable of the `validate_password` component instead.

- `validate_password_dictionary_file_words_count`

  This `validate_password` plugin status variable is deprecated; expect it to be removed in a future version of MySQL. Use the corresponding `validate_password.dictionary_file_words_count` status variable of the `validate_password` component instead.

6.3.3 Transitioning to the Password Validation Component

> **Note**
>
> In MySQL 8.0, the `validate_password` plugin was reimplemented as the `validate_password` component. The `validate_password` plugin is deprecated; expect it to be removed in a future version of MySQL.

MySQL installations that currently use the `validate_password` plugin should make the transition to using the `validate_password` component instead. To do so, use the following procedure. The procedure installs the component before uninstalling the plugin, to avoid having a time window during which no password validation occurs. (The component and plugin can be installed simultaneously. In this case, the server attempts to use the component, falling back to the plugin if the component is unavailable.)

1. Install the `validate_password` component:

   ```
   INSTALL COMPONENT 'file://component_validate_password';
   ```

2. Test the `validate_password` component to ensure that it works as expected. If you need to set any `validate_password.xxx` system variables, you can do so at runtime using `SET GLOBAL`. (Any option file changes that must be made are performed in the next step.)

3. Adjust any references to the plugin system and status variables to refer to the corresponding component system and status variables. Suppose that previously you had configured the plugin at startup using an option file like this:

   ```
   [mysqld]
   validate-password=FORCE_PLUS_PERMANENT
   validate_password_dictionary_file=/usr/share/dict/words
   validate_password_length=10
   validate_password_number_count=2
   ```

   Those settings are appropriate for the plugin, but must be modified to apply to the component. To adjust the option file, omit the `--validate-password` option (it applies only to the plugin, not

the component), and modify the system variable references from no-dot names appropriate for the plugin to dotted names appropriate for the component:

```
[mysqld]
validate_password.dictionary_file=/usr/share/dict/words
validate_password.length=10
validate_password.number_count=2
```

Similar adjustments are needed for applications that refer at runtime to `validate_password` plugin system and status variables. Change the no-dot plugin variable names to the corresponding dotted component variable names.

4. Uninstall the `validate_password` plugin:

```
UNINSTALL PLUGIN validate_password;
```

If the `validate_password` plugin is loaded at server startup using a `--plugin-load` or `--plugin-load-add` option, omit that option from the server startup procedure. For example, if the option is listed in a server option file, remove it from the file.

5. Restart the server.

6.4 The MySQL Keyring

MySQL Server supports a keyring that enables internal server components and plugins to securely store sensitive information for later retrieval. The implementation comprises these elements:

- Keyring components and plugins that manage a backing store or communicate with a storage back end. Keyring use involves installing one from among the available components and plugins. Keyring components and plugins both manage keyring data but are configured differently and may have operational differences (see Section 6.4.1, “Keyring Components Versus Keyring Plugins”).

  These keyring components are available:

  - `component_keyring_file`: Stores keyring data in a file local to the server host. Available in MySQL Community Edition and MySQL Enterprise Edition distributions as of MySQL 8.0.24. See Section 6.4.4, “Using the component_keyring_file File-Based Keyring Component”.
  - `component_keyring_encrypted_file`: Stores keyring data in an encrypted, password-protected file local to the server host. Available in MySQL Enterprise Edition distributions as of MySQL 8.0.24. See Section 6.4.5, “Using the component_keyring_encrypted_file Encrypted File-Based Keyring Component”.
  - `component_keyring_oci`: Stores keyring data in the Oracle Cloud Infrastructure Vault. Available in MySQL Enterprise Edition distributions as of MySQL 8.0.31. See Section 6.4.11, “Using the Oracle Cloud Infrastructure Vault Keyring Component”.

  These keyring plugins are available:

  - `keyring_file` (deprecated as of MySQL 8.0.34): Stores keyring data in a file local to the server host. Available in MySQL Community Edition and MySQL Enterprise Edition distributions. See Section 6.4.6, “Using the keyring_file File-Based Keyring Plugin”.
  - `keyring_encrypted_file` (deprecated as of MySQL 8.0.34): Stores keyring data in an encrypted, password-protected file local to the server host. Available in MySQL Enterprise Edition distributions. See Section 6.4.7, “Using the keyring_encrypted_file Encrypted File-Based Keyring Plugin”.
  - `keyring_okv`: A KMIP 1.1 plugin for use with KMIP-compatible back end keyring storage products such as Oracle Key Vault and Gemalto SafeNet KeySecure Appliance. Available in MySQL Enterprise Edition distributions. See Section 6.4.8, “Using the keyring_okv KMIP Plugin”.

  - `keyring_aws`: Communicates with the Amazon Web Services Key Management Service for key generation and uses a local file for key storage. Available in MySQL Enterprise Edition distributions. See Section 6.4.9, “Using the keyring_aws Amazon Web Services Keyring Plugin”.
  - `keyring_hashicorp`: Communicates with HashiCorp Vault for back end storage. Available in MySQL Enterprise Edition distributions as of MySQL 8.0.18. See Section 6.4.10, “Using the HashiCorp Vault Keyring Plugin”.
  - `keyring_oci` (deprecated as of MySQL 8.0.31): Communicates with Oracle Cloud Infrastructure Vault for back end storage. Available in MySQL Enterprise Edition distributions as of MySQL 8.0.22. See Section 6.4.12, “Using the Oracle Cloud Infrastructure Vault Keyring Plugin”.
- A keyring service interface for keyring key management. This service is accessible at two levels:
  - SQL interface: In SQL statements, call the functions described in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.
  - C interface: In C-language code, call the keyring service functions described in The Keyring Service.
- Key metadata access:
  - The Performance Schema `keyring_keys` table exposes metadata for keys in the keyring. Key metadata includes key IDs, key owners, and backend key IDs. The `keyring_keys` table does not expose any sensitive keyring data such as key contents. Available as of MySQL 8.0.16. See The keyring_keys table.
  - The Performance Schema `keyring_component_status` table provides status information about the keyring component in use, if one is installed. Available as of MySQL 8.0.24. See The keyring_component_status Table.
- A key migration capability. MySQL supports migration of keys between keystores, enabling DBAs to switch a MySQL installation from one keystore to another. See Section 6.4.14, “Migrating Keys Between Keyring Keystores”.
- The implementation of keyring plugins is revised as of MySQL 8.0.24 to use the component infrastructure. This is facilitated using the built-in plugin named `daemon_keyring_proxy_plugin` that acts as a bridge between the plugin and component service APIs. See The Keyring Proxy Bridge Plugin.

  > **Warning**
  >
  > For encryption key management, the `component_keyring_file` and `component_keyring_encrypted_file` components, and the `keyring_file` and `keyring_encrypted_file` plugins are not intended as a regulatory compliance solution. Security standards such as PCI, FIPS, and others require use of key management systems to secure, manage, and protect encryption keys in key vaults or hardware security modules (HSMs).

Within MySQL, keyring service consumers include:

- The `InnoDB` storage engine uses the keyring to store its key for tablespace encryption. See InnoDB Data-at-Rest Encryption.
- MySQL Enterprise Audit uses the keyring to store the audit log file encryption password. See Encrypting Audit Log Files.
- Binary log and relay log management supports keyring-based encryption of log files. With log file encryption activated, the keyring stores the keys used to encrypt passwords for the binary log files and relay log files. See Encrypting Binary Log Files and Relay Log Files.

- The master key to decrypt the file key that decrypts the persisted values of sensitive system variables is stored in the keyring. A keyring component must be enabled on the MySQL Server instance to support secure storage for persisted system variable values, rather than a keyring plugin, which do not support the function. See Persisting Sensitive System Variables.

For general keyring installation instructions, see Section 6.4.2, “Keyring Component Installation”, and Section 6.4.3, “Keyring Plugin Installation”. For installation and configuration information specific to a given keyring component or plugin, see the section describing it.

For information about using the keyring functions, see Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.

Keyring components, plugins, and functions access a keyring service that provides the interface to the keyring. For information about accessing this service and writing keyring plugins, see The Keyring Service, and Writing Keyring Plugins.

6.4.1 Keyring Components Versus Keyring Plugins

The MySQL Keyring originally implemented keystore capabilities using server plugins, but began transitioning to use the component infrastructure in MySQL 8.0.24. This section briefly compares keyring components and plugins to provide an overview of their differences. It may assist you in making the transition from plugins to components, or, if you are just beginning to use the keyring, assist you in choosing whether to use a component versus using a plugin.

- Keyring plugin loading uses the `--early-plugin-load` option. Keyring component loading uses a manifest.
- Keyring plugin configuration is based on plugin-specific system variables. For keyring components, no system variables are used. Instead, each component has its own configuration file.
- Keyring components have fewer restrictions than keyring plugins with respect to key types and lengths. See Section 6.4.13, “Supported Keyring Key Types and Lengths”.

  > **Note**
  >
  > `component_keyring_oci` (like the `keyring_oci` plugin) can only generate keys of type `AES` with a size of 16, 24, or 32 bytes.

- Keyring components support secure storage for persisted system variable values, whereas keyring plugins do not support the function.

  A keyring component must be enabled on the MySQL server instance to support secure storage for persisted system variable values. The sensitive data that can be protected in this way includes items such as private keys and passwords that appear in the values of system variables. In the operating system file where persisted system variables are stored, the names and values of sensitive system variables are stored in an encrypted format, along with a generated file key to decrypt them. The generated file key is in turn encrypted using a master key that is stored in a keyring. See Persisting Sensitive System Variables.

6.4.2 Keyring Component Installation

Keyring service consumers require that a keyring component or plugin be installed:

- To use a keyring component, begin with the instructions here.
- To use a keyring plugin instead, begin with Section 6.4.3, “Keyring Plugin Installation”.
- If you intend to use keyring functions in conjunction with the chosen keyring component or plugin, install the functions after installing that component or plugin, using the instructions in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.

> **Note**
>
> Only one keyring component or plugin should be enabled at a time. Enabling multiple keyring components or plugins is unsupported and results may not be as anticipated.

MySQL provides these keyring component choices:

- `component_keyring_file`: Stores keyring data in a file local to the server host. Available in MySQL Community Edition and MySQL Enterprise Edition distributions.
- `component_keyring_encrypted_file`: Stores keyring data in an encrypted, password-protected file local to the server host. Available in MySQL Enterprise Edition distributions.
- `component_keyring_oci`: Stores keyring data in the Oracle Cloud Infrastructure Vault. Available in MySQL Enterprise Edition distributions.

To be usable by the server, the component library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

A keyring component or plugin must be loaded early during the server startup sequence so that other components can access it as necessary during their own initialization. For example, the `InnoDB` storage engine uses the keyring for tablespace encryption, so a keyring component or plugin must be loaded and available prior to `InnoDB` initialization.

> **Note**
>
> A keyring component must be enabled on the MySQL server instance if you need to support secure storage for persisted system variable values. The keyring plugin does not support the function. See Persisting Sensitive System Variables.

Unlike keyring plugins, keyring components are not loaded using the `--early-plugin-load` server option or configured using system variables. Instead, the server determines which keyring component to load during startup using a manifest, and the loaded component consults its own configuration file when it initializes. Therefore, to install a keyring component, you must:

1. Write a manifest that tells the server which keyring component to load.
2. Write a configuration file for that keyring component.

The first step in installing a keyring component is writing a manifest that indicates which component to load. During startup, the server reads either a global manifest file, or a global manifest file paired with a local manifest file:

- The server attempts to read its global manifest file from the directory where the server is installed.
- If the global manifest file indicates use of a local manifest file, the server attempts to read its local manifest file from the data directory.
- Although global and local manifest files are located in different directories, the file name is `mysqld.my` in both locations.
- It is not an error for a manifest file not to exist. In this case, the server attempts no component loading associated with the file.

Local manifest files permit setting up component loading for multiple instances of the server, such that loading instructions for each server instance are specific to a given data directory instance. This enables different MySQL instances to use different keyring components.

Server manifest files have these properties:

- A manifest file must be in valid JSON format.
- A manifest file permits these items:
  - `"read_local_manifest"`: This item is permitted only in the global manifest file. If the item is not present, the server uses only the global manifest file. If the item is present, its value is `true` or `false`, indicating whether the server should read component-loading information from the local manifest file.

    If the `"read_local_manifest"` item is present in the global manifest file along with other items, the server checks the `"read_local_manifest"` item value first:

    - If the value is `false`, the server processes the other items in the global manifest file and ignores the local manifest file.
    - If the value is `true`, the server ignores the other items in the global manifest file and attempts to read the local manifest file.
  - `"components"`: This item indicates which component to load. The item value is a string that specifies a valid component URN, such as `"file://component_keyring_file"`. A component URN begins with `file://` and indicates the base name of the library file located in the MySQL plugin directory that implements the component.
- Server access to a manifest file should be read only. For example, a `mysqld.my` server manifest file may be owned by `root` and be read/write to `root`, but should be read only to the account used to run the MySQL server. If the manifest file is found during startup to be read/write to that account, the server writes a warning to the error log suggesting that the file be made read only.
- The database administrator has the responsibility for creating any manifest files to be used, and for ensuring that their access mode and contents are correct. If an error occurs, server startup fails and the administrator must correct any issues indicated by diagnostics in the server error log.

Given the preceding manifest file properties, to configure the server to load `component_keyring_file`, create a global manifest file named `mysqld.my` in the `mysqld` installation directory, and optionally create a local manifest file, also named `mysqld.my`, in the data directory. The following instructions describe how to load `component_keyring_file`. To load a different keyring component, substitute its name for `component_keyring_file`.

- To use a global manifest file only, the file contents look like this:

  ```
  {
    "components": "file://component_keyring_file"
  }
  ```

  Create this file in the directory where `mysqld` is installed.
- Alternatively, to use a global and local manifest file pair, the global file looks like this:

  ```
  {
    "read_local_manifest": true
  }
  ```

  Create this file in the directory where `mysqld` is installed.

  The local file looks like this:

  ```
  {
    "components": "file://component_keyring_file"
  }
  ```

  Create this file in the data directory.

With the manifest in place, proceed to configuring the keyring component. To do this, check the notes for your chosen keyring component for configuration instructions specific to that component:

- `component_keyring_file`: Section 6.4.4, “Using the component_keyring_file File-Based Keyring Component”.
- `component_keyring_encrypted_file`: Section 6.4.5, “Using the component_keyring_encrypted_file Encrypted File-Based Keyring Component”.
- `component_keyring_oci`: Section 6.4.11, “Using the Oracle Cloud Infrastructure Vault Keyring Component”.

After performing any component-specific configuration, start the server. Verify component installation by examining the Performance Schema `keyring_component_status` table:

```
mysql> SELECT * FROM performance_schema.keyring_component_status;
+--------------------+------------------------------------------------+
| STATUS_KEY         | STATUS_VALUE                                   |
+--------------------+------------------------------------------------+
| Component_name     | component_keyring_file                         |
| Author             | Oracle Corporation                             |
| License            | GPL                                            |
| Implementation_name | component_keyring_file                        |
| Version            | 1.0                                            |
| Component_status   | Active                                         |
| Data_file          | /usr/local/mysql/keyring/component_keyring_file |
| Read_only          | No                                             |
+--------------------+------------------------------------------------+
```

A `Component_status` value of `Active` indicates that the component initialized successfully.

If the component cannot be loaded, server startup fails. Check the server error log for diagnostic messages. If the component loads but fails to initialize due to configuration problems, the server starts but the `Component_status` value is `Disabled`. Check the server error log, correct the configuration issues, and use the `ALTER INSTANCE RELOAD KEYRING` statement to reload the configuration.

Keyring components should be loaded only by using a manifest file, not by using the `INSTALL COMPONENT` statement. Keyring components loaded using that statement may be available too late in the server startup sequence for certain components that use the keyring, such as `InnoDB`, because they are registered in the `mysql.component` system table and loaded automatically for subsequent server restarts. But `mysql.component` is an `InnoDB` table, so any components named in it can be loaded during startup only after `InnoDB` initialization.

If no keyring component or plugin is available when a component tries to access the keyring service, the service cannot be used by that component. As a result, the component may fail to initialize or may initialize with limited functionality. For example, if `InnoDB` finds that there are encrypted tablespaces when it initializes, it attempts to access the keyring. If the keyring is unavailable, `InnoDB` can access only unencrypted tablespaces.

6.4.3 Keyring Plugin Installation

Keyring service consumers require that a keyring component or plugin be installed:

- To use a keyring plugin, begin with the instructions here. (Also, for general information about installing plugins, see Installing and Uninstalling Plugins.)
- To use a keyring component instead, begin with Section 6.4.2, “Keyring Component Installation”.
- If you intend to use keyring functions in conjunction with the chosen keyring component or plugin, install the functions after installing that component or plugin, using the instructions in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.

> **Note**
>
> Only one keyring component or plugin should be enabled at a time. Enabling multiple keyring components or plugins is unsupported and results may not be as anticipated.

> A keyring component must be enabled on the MySQL Server instance if you need to support secure storage for persisted system variable values, rather than a keyring plugin, which do not support the function. See Persisting Sensitive System Variables.

MySQL provides these keyring plugin choices:

- `keyring_file` (deprecated as of MySQL 8.0.34): Stores keyring data in a file local to the server host. Available in MySQL Community Edition and MySQL Enterprise Edition distributions. For instructions about installing the component that replaces this plugin, see Section 6.4.2, “Keyring Component Installation”.
- `keyring_encrypted_file` (deprecated as of MySQL 8.0.34): Stores keyring data in an encrypted, password-protected file local to the server host. Available in MySQL Enterprise Edition distributions. For instructions about installing the component that replaces this plugin, see Section 6.4.2, “Keyring Component Installation”.
- `keyring_okv`: A KMIP 1.1 plugin for use with KMIP-compatible back end keyring storage products such as Oracle Key Vault and Gemalto SafeNet KeySecure Appliance. Available in MySQL Enterprise Edition distributions.
- `keyring_aws`: Communicates with the Amazon Web Services Key Management Service as a back end for key generation and uses a local file for key storage. Available in MySQL Enterprise Edition distributions.
- `keyring_hashicorp`: Communicates with HashiCorp Vault for back end storage. Available in MySQL Enterprise Edition distributions.
- `keyring_oci`(deprecated as of MySQL 8.0.31): Communicates with Oracle Cloud Infrastructure Vault for back end storage. See Section 6.4.12, “Using the Oracle Cloud Infrastructure Vault Keyring Plugin”.

To be usable by the server, the plugin library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

A keyring component or plugin must be loaded early during the server startup sequence so that other components can access it as necessary during their own initialization. For example, the `InnoDB` storage engine uses the keyring for tablespace encryption, so a keyring component or plugin must be loaded and available prior to `InnoDB` initialization.

Installation for each keyring plugin is similar. The following instructions describe how to install `keyring_file`. To use a different keyring plugin, substitute its name for `keyring_file`.

The `keyring_file` plugin library file base name is `keyring_file`. The file name suffix differs per platform (for example, `.so` for Unix and Unix-like systems, `.dll` for Windows).

To load the plugin, use the `--early-plugin-load` option to name the plugin library file that contains it. For example, on platforms where the plugin library file suffix is `.so`, use these lines in the server `my.cnf` file, adjusting the `.so` suffix for your platform as necessary:

```
[mysqld]
early-plugin-load=keyring_file.so
```

Before starting the server, check the notes for your chosen keyring plugin for configuration instructions specific to that plugin:

- `keyring_file`: Section 6.4.6, “Using the keyring_file File-Based Keyring Plugin”.
- `keyring_encrypted_file`: Section 6.4.7, “Using the keyring_encrypted_file Encrypted File-Based Keyring Plugin”.

- `keyring_okv`: Section 6.4.8, “Using the keyring_okv KMIP Plugin”.
- `keyring_aws`: Section 6.4.9, “Using the keyring_aws Amazon Web Services Keyring Plugin”
- `keyring_hashicorp`: Section 6.4.10, “Using the HashiCorp Vault Keyring Plugin”
- `keyring_oci`: Section 6.4.12, “Using the Oracle Cloud Infrastructure Vault Keyring Plugin”

After performing any plugin-specific configuration, start the server. Verify plugin installation by examining the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
       FROM INFORMATION_SCHEMA.PLUGINS
       WHERE PLUGIN_NAME LIKE 'keyring%';
+--------------+---------------+
| PLUGIN_NAME  | PLUGIN_STATUS |
+--------------+---------------+
| keyring_file | ACTIVE        |
+--------------+---------------+
```

If the plugin fails to initialize, check the server error log for diagnostic messages.

Plugins can be loaded by methods other than `--early-plugin-load`, such as the `--plugin-load` or `--plugin-load-add` option or the `INSTALL PLUGIN` statement. However, keyring plugins loaded using those methods may be available too late in the server startup sequence for certain components that use the keyring, such as `InnoDB`:

- Plugin loading using `--plugin-load` or `--plugin-load-add` occurs after `InnoDB` initialization.
- Plugins installed using `INSTALL PLUGIN` are registered in the `mysql.plugin` system table and loaded automatically for subsequent server restarts. However, because `mysql.plugin` is an `InnoDB` table, any plugins named in it can be loaded during startup only after `InnoDB` initialization.

If no keyring component or plugin is available when a component tries to access the keyring service, the service cannot be used by that component. As a result, the component may fail to initialize or may initialize with limited functionality. For example, if `InnoDB` finds that there are encrypted tablespaces when it initializes, it attempts to access the keyring. If the keyring is unavailable, `InnoDB` can access only unencrypted tablespaces. To ensure that `InnoDB` can access encrypted tablespaces as well, use `--early-plugin-load` to load the keyring plugin.

6.4.4 Using the component_keyring_file File-Based Keyring Component

The `component_keyring_file` keyring component stores keyring data in a file local to the server host.

> **Warning**
>
> For encryption key management, the `component_keyring_file` and `component_keyring_encrypted_file` components are not intended as a regulatory compliance solution. Security standards such as PCI, FIPS, and others require use of key management systems to secure, manage, and protect encryption keys in key vaults or hardware security modules (HSMs).

To use `component_keyring_file` for keystore management in the most common scenario, create two files: a manifest file that tells the server to load `component_keyring_file`, and a configuration file that specifies where to store the keys. Both files should be readable only by the appropriate user that runs the server, typically `mysql`.

The manifest file must be named `mysqld.my` and added to the same directory where `mysqld` is installed. The file looks like this:

```
{
  "components": "file://component_keyring_file"
```

```
}
```

The configuration file must be named `component_keyring_file.cnf` and added to the plugin directory. It contains the path to the file where the server stores keys:

```
{
  "path": "/usr/local/mysql/keyring/component_keyring_file.keys",
  "read_only": false
}
```

After adding the two files, restart `mysqld`. Verify component installation by examining the Performance Schema `keyring_component_status` table:

```
mysql> SELECT * FROM performance_schema.keyring_component_status;
```

A `Component_status` value of `Active` indicates that the component initialized successfully.

If the server startup fails or the `Component_status` value is `Disabled`, check the server error log.

For more details and to review other scenarios, see Section 6.4.2, “Keyring Component Installation” and Configuration Notes.

- Configuration Notes
- Keyring Component Usage

Configuration Notes

When it initializes, `component_keyring_file` reads either a global configuration file, or a global configuration file paired with a local configuration file:

- The component attempts to read its global configuration file from the directory where the component library file is installed (that is, the server plugin directory).
- If the global configuration file indicates use of a local configuration file, the component attempts to read its local configuration file from the data directory.
- Although global and local configuration files are located in different directories, the file name is `component_keyring_file.cnf` in both locations.
- It is an error for no configuration file to exist. `component_keyring_file` cannot initialize without a valid configuration.

Local configuration files permit setting up multiple server instances to use `component_keyring_file`, such that component configuration for each server instance is specific to a given data directory instance. This enables the same keyring component to be used with a distinct data file for each instance.

`component_keyring_file` configuration files have these properties:

- A configuration file must be in valid JSON format.
- A configuration file must have the appropriate file permission that allows MySQL to read it. Since the file contains sensitive information, it should be set to world readable.
- A configuration file permits these configuration items:
  - `"read_local_config"`: This item is permitted only in the global configuration file. If the item is not present, the component uses only the global configuration file. If the item is present, its value is `true` or `false`, indicating whether the component should read configuration information from the local configuration file.

    If the `"read_local_config"` item is present in the global configuration file along with other items, the component checks the `"read_local_config"` item value first:

    - If the value is `false`, the component processes the other items in the global configuration file and ignores the local configuration file.
    - If the value is `true`, the component ignores the other items in the global configuration file and attempts to read the local configuration file.
  - `"path"`: The item value is a string that names the file to use for storing keyring data. The file should be named using an absolute path, not a relative path. This item is mandatory in the configuration. If not specified, `component_keyring_file` initialization fails.
  - `"read_only"`: The item value indicates whether the keyring data file is read only. The item value is `true` (read only) or `false` (read/write). This item is mandatory in the configuration. If not specified, `component_keyring_file` initialization fails.
- The database administrator has the responsibility for creating any configuration files to be used, and for ensuring that their contents are correct. If an error occurs, server startup fails and the administrator must correct any issues indicated by diagnostics in the server error log.

Given the preceding configuration file properties, to configure `component_keyring_file`, create a global configuration file named `component_keyring_file.cnf` in the directory where the `component_keyring_file` library file is installed, and optionally create a local configuration file, also named `component_keyring_file.cnf`, in the data directory. The following instructions assume that a keyring data file named `/usr/local/mysql/keyring/component_keyring_file.keys` is to be used in read/write fashion.

> **Note**
>
> For Windows systems, the path to the `/usr/local/mysql/keyring/component_keyring_file.keys` file can be in `C:\ProgramData`. It should not be in `C:\Program Files`.

- To use a global configuration file only, the file contents look like this:

```
{
  "path": "/usr/local/mysql/keyring/component_keyring_file.keys",
  "read_only": false
}
```

  Create this file in the directory where the `component_keyring_file` library file is installed.

  This path must not point to or include the MySQL data directory. The path must be readable and writable by the system MySQL user (Windows: `NETWORK SERVICES`; Linux: `mysql` user; MacOS: `_mysql` user). It should not be accessible to other users.

- Alternatively, to use a global and local configuration file pair, the global file looks like this:

```
{
  "read_local_config": true
}
```

  Create this file in the directory where the `component_keyring_file` library file is installed.

  The local file looks like this:

```
{
  "path": "/usr/local/mysql/keyring/component_keyring_file.keys",
  "read_only": false
}
```

  This path must not point to or include the MySQL data directory. The path must be readable and writable by the system MySQL user (Windows: `NETWORK SERVICES`; Linux: `mysql` user; MacOS: `_mysql` user). It should not be accessible to other users.

Keyring Component Usage

Keyring operations are transactional: `component_keyring_file` uses a backup file during write operations to ensure that it can roll back to the original file if an operation fails. The backup file has the same name as the data file with a suffix of `.backup`.

`component_keyring_file` supports the functions that comprise the standard MySQL Keyring service interface. Keyring operations performed by those functions are accessible in SQL statements as described in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.

Example:

```
SELECT keyring_key_generate('MyKey', 'AES', 32);
SELECT keyring_key_remove('MyKey');
```

For information about the characteristics of key values permitted by `component_keyring_file`, see Section 6.4.13, “Supported Keyring Key Types and Lengths”.

6.4.5 Using the component_keyring_encrypted_file Encrypted File-Based Keyring Component

> **Note**
>
> `component_keyring_encrypted_file` is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

The `component_keyring_encrypted_file` keyring component stores keyring data in an encrypted, password-protected file local to the server host.

> **Warning**
>
> For encryption key management, the `component_keyring_file` and `component_keyring_encrypted_file` components are not intended as a regulatory compliance solution. Security standards such as PCI, FIPS, and others require use of key management systems to secure, manage, and protect encryption keys in key vaults or hardware security modules (HSMs).

To use `component_keyring_encrypted_file` for keystore management in the most common scenario, create two files: a manifest file that tells the server to load `component_keyring_encrypted_file`, and a configuration file that specifies where to store the keys. Both files should be readable only by the appropriate user that runs the server, typically `mysql`.

The manifest file must be named `mysqld.my` and added to the same directory where `mysqld` is installed. The file looks like this:

```
{
  "components": "file://component_keyring_encrypted_file"
}
```

The configuration file must be named `component_keyring_encrypted_file.cnf` and added to the plugin directory. It contains the path to the file where the server stores keys:

```
{
  "path": "/usr/local/mysql/keyring/component_keyring_encrypted_file.keys",
  "password": "password",
  "read_only": false
}
```

After adding the two files, restart `mysqld`. Verify component installation by examining the Performance Schema `keyring_component_status` table:

```
mysql> SELECT * FROM performance_schema.keyring_component_status;
```

A `Component_status` value of `Active` indicates that the component initialized successfully.

If the server startup fails or the `Component_status` value is `Disabled`, check the server error log.

For more details and to review other scenarios, see Section 6.4.2, “Keyring Component Installation” and Configuration Notes.

- Configuration Notes
- Encrypted Keyring Component Usage

Configuration Notes

When it initializes, `component_keyring_encrypted_file` reads either a global configuration file, or a global configuration file paired with a local configuration file:

- The component attempts to read its global configuration file from the directory where the component library file is installed (that is, the server plugin directory).
- If the global configuration file indicates use of a local configuration file, the component attempts to read its local configuration file from the data directory.
- Although global and local configuration files are located in different directories, the file name is `component_keyring_encrypted_file.cnf` in both locations.
- If `component_keyring_encrypted_file` cannot find the configuration file, an error results, and the component cannot initialize.

Local configuration files permit setting up multiple server instances to use `component_keyring_encrypted_file`, such that component configuration for each server instance is specific to a given data directory instance. This enables the same keyring component to be used with a distinct data file for each instance.

`component_keyring_encrypted_file` configuration files have these properties:

- A configuration file must be in valid JSON format.
- A configuration file must have the appropriate file permission that allows MySQL to read it. Since the file contains sensitive information, it should be set to world readable.
- A configuration file permits these configuration items:
  - `"read_local_config"`: This item is permitted only in the global configuration file. If the item is not present, the component uses only the global configuration file. If the item is present, its value is `true` or `false`, indicating whether the component should read configuration information from the local configuration file.

    If the `"read_local_config"` item is present in the global configuration file along with other items, the component checks the `"read_local_config"` item value first:

    - If the value is `false`, the component processes the other items in the global configuration file and ignores the local configuration file.
    - If the value is `true`, the component ignores the other items in the global configuration file and attempts to read the local configuration file.
  - `"path"`: The item value is a string that names the file to use for storing keyring data. The file should be named using an absolute path, not a relative path. This item is mandatory in the configuration. If not specified, `component_keyring_encrypted_file` initialization fails.
  - `"password"`: The item value is a string that specifies the password for accessing the data file. This item is mandatory in the configuration. If not specified, `component_keyring_encrypted_file` initialization fails.

  - `"read_only"`: The item value indicates whether the keyring data file is read only. The item value is `true` (read only) or `false` (read/write). This item is mandatory in the configuration. If not specified, `component_keyring_encrypted_file` initialization fails.

- The database administrator has the responsibility for creating any configuration files to be used, and for ensuring that their contents are correct. If an error occurs, server startup fails and the administrator must correct any issues indicated by diagnostics in the server error log.

- Any configuration file that stores a password should have a restrictive mode and be accessible only to the account used to run the MySQL server.

Given the preceding configuration file properties, to configure `component_keyring_encrypted_file`, create a global configuration file named `component_keyring_encrypted_file.cnf` in the directory where the `component_keyring_encrypted_file` library file is installed, and optionally create a local configuration file, also named `component_keyring_encrypted_file.cnf`, in the data directory. The following instructions assume that a keyring data file named `/usr/local/mysql/keyring/component_keyring_encrypted_file.keys` is to be used in read/write fashion. You must also choose a password.

> **Note**
>
> For Windows systems, the path to the `/usr/local/mysql/keyring/component_keyring_encrypted_file.keys` file can be in `C:\ProgramData`. It should not be in `C:\Program Files`.

- To use a global configuration file only, the file contents look like this:

```
{
  "path": "/usr/local/mysql/keyring/component_keyring_encrypted_file.keys",
  "password": "password",
  "read_only": false
}
```

  Create this file in the directory where the `component_keyring_encrypted_file` library file is installed.

  This path must not point to or include the MySQL data directory. The path must be readable and writable by the system MySQL user (Windows: `NETWORK SERVICES`; Linux: `mysql` user; MacOS: `_mysql` user). It should not be accessible to other users.

- Alternatively, to use a global and local configuration file pair, the global file looks like this:

```
{
  "read_local_config": true
}
```

  Create this file in the directory where the `component_keyring_encrypted_file` library file is installed.

  The local file looks like this:

```
{
  "path": "/usr/local/mysql/keyring/component_keyring_encrypted_file.keys",
  "password": "password",
  "read_only": false
}
```

  This path must not point to or include the MySQL data directory. The path must be readable and writable by the system MySQL user (Windows: `NETWORK SERVICES`; Linux: `mysql` user; MacOS: `_mysql` user). It should not be accessible to other users.

Encrypted Keyring Component Usage

Keyring operations are transactional: `component_keyring_encrypted_file` uses a backup file during write operations to ensure that it can roll back to the original file if an operation fails. The backup file has the same name as the data file with a suffix of `.backup`.

`component_keyring_encrypted_file` supports the functions that comprise the standard MySQL Keyring service interface. Keyring operations performed by those functions are accessible in SQL statements as described in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.

Example:

```
SELECT keyring_key_generate('MyKey', 'AES', 32);
SELECT keyring_key_remove('MyKey');
```

For information about the characteristics of key values permitted by `component_keyring_encrypted_file`, see Section 6.4.13, “Supported Keyring Key Types and Lengths”.

6.4.6 Using the keyring_file File-Based Keyring Plugin

The `keyring_file` keyring plugin stores keyring data in a file local to the server host.

As of MySQL 8.0.34, this plugin is deprecated and subject to removal in a future release of MySQL. Instead, consider using the `component_keyring_file` component for storing keyring data (see Section 6.4.4, “Using the component_keyring_file File-Based Keyring Component”).

> **Warning**
>
> For encryption key management, the `keyring_file` plugin is not intended as a regulatory compliance solution. Security standards such as PCI, FIPS, and others require use of key management systems to secure, manage, and protect encryption keys in key vaults or hardware security modules (HSMs).

To install `keyring_file`, use the general instructions found in Section 6.4.3, “Keyring Plugin Installation”, together with the configuration information specific to `keyring_file` found here.

To be usable during the server startup process, `keyring_file` must be loaded using the `--early-plugin-load` option. The `keyring_file_data` system variable optionally configures the location of the file used by the `keyring_file` plugin for data storage. The default value is platform specific. To configure the file location explicitly, set the variable value at startup. For example, use these lines in the server `my.cnf` file, adjusting the `.so` suffix and file location for your platform as necessary:

```
[mysqld]
early-plugin-load=keyring_file.so
keyring_file_data=/usr/local/mysql/mysql-keyring/keyring
```

If `keyring_file_data` is set to a new location, the keyring plugin creates a new, empty file containing no keys; this means that any existing encrypted tables can no longer be accessed.

Keyring operations are transactional: The `keyring_file` plugin uses a backup file during write operations to ensure that it can roll back to the original file if an operation fails. The backup file has the same name as the value of the `keyring_file_data` system variable with a suffix of `.backup`.

For additional information about `keyring_file_data`, see Section 6.4.19, “Keyring System Variables”.

To ensure that keys are flushed only when the correct keyring storage file exists, `keyring_file` stores a SHA-256 checksum of the keyring in the file. Before updating the file, the plugin verifies that it contains the expected checksum.

The `keyring_file` plugin supports the functions that comprise the standard MySQL Keyring service interface. Keyring operations performed by those functions are accessible at two levels:

- SQL interface: In SQL statements, call the functions described in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.
- C interface: In C-language code, call the keyring service functions described in The Keyring Service.

Example (using the SQL interface):

```
SELECT keyring_key_generate('MyKey', 'AES', 32);
SELECT keyring_key_remove('MyKey');
```

For information about the characteristics of key values permitted by `keyring_file`, see Section 6.4.13, “Supported Keyring Key Types and Lengths”.

6.4.7 Using the keyring_encrypted_file Encrypted File-Based Keyring Plugin

> **Note**
>
> The `keyring_encrypted_file` plugin is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

The `keyring_encrypted_file` keyring plugin stores keyring data in an encrypted, password-protected file local to the server host.

As of MySQL 8.0.34, this plugin is deprecated and subject to removal in a future release of MySQL. Instead, consider using the `component_encrypted_keyring_file` component for storing keyring data (see Section 6.4.5, “Using the component_keyring_encrypted_file Encrypted File-Based Keyring Component”).

> **Warning**
>
> For encryption key management, the `keyring_encrypted_file` plugin is not intended as a regulatory compliance solution. Security standards such as PCI, FIPS, and others require use of key management systems to secure, manage, and protect encryption keys in key vaults or hardware security modules (HSMs).

To install `keyring_encrypted_file`, use the general instructions found in Section 6.4.3, “Keyring Plugin Installation”, together with the configuration information specific to `keyring_encrypted_file` found here.

To be usable during the server startup process, `keyring_encrypted_file` must be loaded using the `--early-plugin-load` option. To specify the password for encrypting the keyring data file, set the `keyring_encrypted_file_password` system variable. (The password is mandatory; if not specified at server startup, `keyring_encrypted_file` initialization fails.) The `keyring_encrypted_file_data` system variable optionally configures the location of the file used by the `keyring_encrypted_file` plugin for data storage. The default value is platform specific. To configure the file location explicitly, set the variable value at startup. For example, use these lines in the server `my.cnf` file, adjusting the `.so` suffix and file location for your platform as necessary and substituting your chosen password:

```
[mysqld]
early-plugin-load=keyring_encrypted_file.so
keyring_encrypted_file_data=/usr/local/mysql/mysql-keyring/keyring-encrypted
keyring_encrypted_file_password=password
```

Because the `my.cnf` file stores a password when written as shown, it should have a restrictive mode and be accessible only to the account used to run the MySQL server.

Keyring operations are transactional: The `keyring_encrypted_file` plugin uses a backup file during write operations to ensure that it can roll back to the original file if an operation fails. The backup file has the same name as the value of the `keyring_encrypted_file_data` system variable with a suffix of `.backup`.

For additional information about the system variables used to configure the `keyring_encrypted_file` plugin, see Section 6.4.19, “Keyring System Variables”.

To ensure that keys are flushed only when the correct keyring storage file exists, `keyring_encrypted_file` stores a SHA-256 checksum of the keyring in the file. Before updating the file, the plugin verifies that it contains the expected checksum. In addition, `keyring_encrypted_file` encrypts file contents using AES before writing the file, and decrypts file contents after reading the file.

The `keyring_encrypted_file` plugin supports the functions that comprise the standard MySQL Keyring service interface. Keyring operations performed by those functions are accessible at two levels:

- SQL interface: In SQL statements, call the functions described in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.
- C interface: In C-language code, call the keyring service functions described in The Keyring Service.

Example (using the SQL interface):

```
SELECT keyring_key_generate('MyKey', 'AES', 32);
SELECT keyring_key_remove('MyKey');
```

For information about the characteristics of key values permitted by `keyring_encrypted_file`, see Section 6.4.13, “Supported Keyring Key Types and Lengths”.

6.4.8 Using the keyring_okv KMIP Plugin

> **Note**
>
> The `keyring_okv` plugin is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

The Key Management Interoperability Protocol (KMIP) enables communication of cryptographic keys between a key management server and its clients. The `keyring_okv` keyring plugin uses the KMIP 1.1 protocol to communicate securely as a client of a KMIP back end. Keyring material is generated exclusively by the back end, not by `keyring_okv`. The plugin works with these KMIP-compatible products:

- Oracle Key Vault
- Gemalto SafeNet KeySecure Appliance
- Townsend Alliance Key Manager
- Entrust KeyControl

Each MySQL Server instance must be registered separately as a client for KMIP. If two or more MySQL Server instances use the same set of credentials, they can interfere with each other’s functioning.

The `keyring_okv` plugin supports the functions that comprise the standard MySQL Keyring service interface. Keyring operations performed by those functions are accessible at two levels:

- SQL interface: In SQL statements, call the functions described in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.
- C interface: In C-language code, call the keyring service functions described in The Keyring Service.

Example (using the SQL interface):

```
SELECT keyring_key_generate('MyKey', 'AES', 32);
```

```
SELECT keyring_key_remove('MyKey');
```

For information about the characteristics of key values permitted by `keyring_okv`, Section 6.4.13, “Supported Keyring Key Types and Lengths”.

To install `keyring_okv`, use the general instructions found in Section 6.4.3, “Keyring Plugin Installation”, together with the configuration information specific to `keyring_okv` found here.

- General keyring_okv Configuration
- Configuring keyring_okv for Oracle Key Vault
- Configuring keyring_okv for Gemalto SafeNet KeySecure Appliance
- Configuring keyring_okv for Townsend Alliance Key Manager
- Configuring keyring_okv for Entrust KeyControl
- Password-Protecting the keyring_okv Key File

General keyring_okv Configuration

Regardless of which KMIP back end the `keyring_okv` plugin uses for keyring storage, the `keyring_okv_conf_dir` system variable configures the location of the directory used by `keyring_okv` for its support files. The default value is empty, so you must set the variable to name a properly configured directory before the plugin can communicate with the KMIP back end. Unless you do so, `keyring_okv` writes a message to the error log during server startup that it cannot communicate:

```
[Warning] Plugin keyring_okv reported: 'For keyring_okv to be
initialized, please point the keyring_okv_conf_dir variable to a directory
containing Oracle Key Vault configuration file and ssl materials'
```

The `keyring_okv_conf_dir` variable must name a directory that contains the following items:

- `okvclient.ora`: A file that contains details of the KMIP back end with which `keyring_okv` communicates.
- `ssl`: A directory that contains the certificate and key files required to establish a secure connection with the KMIP back end: `CA.pem`, `cert.pem`, and `key.pem`. If the key file is password-protected, the `ssl` directory can contain a single-line text file named `password.txt` containing the password needed to decrypt the key file.

Both the `okvclient.ora` file and `ssl` directory with the certificate and key files are required for `keyring_okv` to work properly. The procedure used to populate the configuration directory with these files depends on the KMIP back end used with `keyring_okv`, as described elsewhere.

The configuration directory used by `keyring_okv` as the location for its support files should have a restrictive mode and be accessible only to the account used to run the MySQL server. For example, on Unix and Unix-like systems, to use the `/usr/local/mysql/mysql-keyring-okv` directory, the following commands (executed as `root`) create the directory and set its mode and ownership:

```
cd /usr/local/mysql
mkdir mysql-keyring-okv
chmod 750 mysql-keyring-okv
chown mysql mysql-keyring-okv
chgrp mysql mysql-keyring-okv
```

To be usable during the server startup process, `keyring_okv` must be loaded using the `--early-plugin-load` option. Also, set the `keyring_okv_conf_dir` system variable to tell `keyring_okv` where to find its configuration directory. For example, use these lines in the server `my.cnf` file, adjusting the `.so` suffix and directory location for your platform as necessary:

```
[mysqld]
early-plugin-load=keyring_okv.so
```

```
keyring_okv_conf_dir=/usr/local/mysql/mysql-keyring-okv
```

For additional information about `keyring_okv_conf_dir`, see Section 6.4.19, “Keyring System Variables”.

Configuring keyring_okv for Oracle Key Vault

The discussion here assumes that you are familiar with Oracle Key Vault. Some pertinent information sources:

- Oracle Key Vault site
- Oracle Key Vault documentation

In Oracle Key Vault terminology, clients that use Oracle Key Vault to store and retrieve security objects are called endpoints. To communicate with Oracle Key Vault, it is necessary to register as an endpoint and enroll by downloading and installing endpoint support files. Note that you must register a separate endpoint for each MySQL Server instance. If two or more MySQL Server instances use the same endpoint, they can interfere with each other’s functioning.

The following procedure briefly summarizes the process of setting up `keyring_okv` for use with Oracle Key Vault:

1. Create the configuration directory for the `keyring_okv` plugin to use.
2. Register an endpoint with Oracle Key Vault to obtain an enrollment token.
3. Use the enrollment token to obtain the `okvclient.jar` client software download.
4. Install the client software to populate the `keyring_okv` configuration directory that contains the Oracle Key Vault support files.

To get more information about these steps, see Enrolling and Upgrading Endpoints for Oracle Key Vault. The information references Oracle Database, but you can follow the same steps for MySQL.

Use the following procedure to configure `keyring_okv` and Oracle Key Vault to work together. This description only summarizes how to interact with Oracle Key Vault. For details, visit the Oracle Key Vault site and consult the *Oracle Key Vault Administrator's Guide*.

1. Create the configuration directory that contains the Oracle Key Vault support files, and make sure that the `keyring_okv_conf_dir` system variable is set to name that directory (for details, see General keyring_okv Configuration).
2. Log in to the Oracle Key Vault management console as a user who has the System Administrator role.
3. Select the Endpoints tab to arrive at the Endpoints page. On the Endpoints page, click Add.
4. Provide the required endpoint information and click Register. The endpoint type should be Other. Successful registration results in an enrollment token.
5. Log out from the Oracle Key Vault server.
6. Connect again to the Oracle Key Vault server, this time without logging in. Use the endpoint enrollment token to enroll and request the `okvclient.jar` software download. Save this file to your system.
7. Install the `okvclient.jar` file using the following command (you must have JDK 1.4 or higher):

   ```
   java -jar okvclient.jar -d dir_name [-v]
   ```

   The directory name following the `-d` option is the location in which to install extracted files. The `-v` option, if given, causes log information to be produced that may be useful if the command fails.

When the command asks for an Oracle Key Vault endpoint password, do not provide one. Instead, press **Enter**. (The result is that no password is required when the endpoint connects to Oracle Key Vault.)

The preceding command produces an `okvclient.ora` file, which should be in this location under the directory named by the `-d` option in the preceding `java -jar` command:

```
install_dir/conf/okvclient.ora
```

The expected file contents include lines that look like this:

```
SERVER=host_ip:port_num
STANDBY_SERVER=host_ip:port_num
```

The `SERVER` variable is mandatory, and the `STANDBY_SERVER` variable is optional. The `keyring_okv` plugin attempts to communicate with the server running on the host named by the `SERVER` variable and falls back to `STANDBY_SERVER` if that fails.

> **Note**
>
> If the existing file is not in this format, then create a new file with the lines shown in the previous example. Also, consider backing up the `okvclient.ora` file before you run the `okvutil` command. Restore the file as needed.

From MySQL 8.0.29, you can specify more than one standby server (up to a maximum of 64). If you do, the `keyring_okv` plugin iterates over them until it can establish a connection, and fails if it cannot. To add extra standby servers, edit the `okvclient.ora` file to specify the IP addresses and port numbers of the servers as a comma-separated list in the value of the `STANDBY_SERVER` variable. For example:

```
STANDBY_SERVER=host_ip:port_num,host_ip:port_num,host_ip:port_num,host_ip:port_num
```

Ensure that the list of standby servers is kept short, accurate, and up to date, and servers that are no longer valid are removed. There is a 20-second wait for each connection attempt, so the presence of a long list of invalid servers can significantly affect the `keyring_okv` plugin's connection time and therefore the server startup time.

8. Go to the Oracle Key Vault installer directory and test the setup by running this command:

   ```
   okvutil/bin/okvutil list
   ```

   The output should look something like this:

   ```
   Unique ID                                Type            Identifier
   255AB8DE-C97F-482C-E053-0100007F28B9 Symmetric Key -
   264BF6E0-A20E-7C42-E053-0100007FB29C Symmetric Key -
   ```

   For a fresh Oracle Key Vault server (a server without any key in it), the output looks like this instead, to indicate that there are no keys in the vault:

   ```
   no objects found
   ```

9. Use this command to extract the `ssl` directory containing SSL materials from the `okvclient.jar` file:

   ```
   jar xf okvclient.jar ssl
   ```

10. Copy the Oracle Key Vault support files (the `okvclient.ora` file and the `ssl` directory) into the configuration directory.

11. (Optional) If you wish to password-protect the key file, use the instructions in Password-Protecting the keyring_okv Key File.

After completing the preceding procedure, restart the MySQL server. It loads the `keyring_okv` plugin and `keyring_okv` uses the files in its configuration directory to communicate with Oracle Key Vault.

Configuring keyring_okv for Gemalto SafeNet KeySecure Appliance

Gemalto SafeNet KeySecure Appliance uses the KMIP protocol (version 1.1 or 1.2). The `keyring_okv` keyring plugin (which supports KMIP 1.1) can use KeySecure as its KMIP back end for keyring storage.

Use the following procedure to configure `keyring_okv` and KeySecure to work together. The description only summarizes how to interact with KeySecure. For details, consult the section named Add a KMIP Server in the KeySecure User Guide.

1. Create the configuration directory that contains the KeySecure support files, and make sure that the `keyring_okv_conf_dir` system variable is set to name that directory (for details, see General keyring_okv Configuration).

2. In the configuration directory, create a subdirectory named `ssl` to use for storing the required SSL certificate and key files.

3. In the configuration directory, create a file named `okvclient.ora`. It should have following format:

   ```
   SERVER=host_ip:port_num
   STANDBY_SERVER=host_ip:port_num
   ```

   For example, if KeySecure is running on host 198.51.100.20 and listening on port 9002, and also running on alternative host 203.0.113.125 and listening on port 8041, the `okvclient.ora` file looks like this:

   ```
   SERVER=198.51.100.20:9002
   STANDBY_SERVER=203.0.113.125:8041
   ```

   From MySQL 8.0.29, you can specify more than one standby server (up to a maximum of 64). If you do, the `keyring_okv` plugin iterates over them until it can establish a connection, and fails if it cannot. To add extra standby servers, edit the `okvclient.ora` file to specify the IP addresses and port numbers of the servers as a comma-separated list in the value of the `STANDBY_SERVER` variable. For example:

   ```
   STANDBY_SERVER=host_ip:port_num,host_ip:port_num,host_ip:port_num,host_ip:port_num
   ```

   Ensure that the list of standby servers is kept short, accurate, and up to date, and servers that are no longer valid are removed. There is a 20-second wait for each connection attempt, so the presence of a long list of invalid servers can significantly affect the `keyring_okv` plugin's connection time and therefore the server startup time.

4. Connect to the KeySecure Management Console as an administrator with credentials for Certificate Authorities access.

5. Navigate to Security >> Local CAs and create a local certificate authority (CA).

6. Go to Trusted CA Lists. Select Default and click on Properties. Then select Edit for Trusted Certificate Authority List and add the CA just created.

7. Download the CA and save it in the `ssl` directory as a file named `CA.pem`.

8. Navigate to Security >> Certificate Requests and create a certificate. Then you can download a compressed `tar` file containing certificate PEM files.

9. Extract the PEM files from in the downloaded file. For example, if the file name is `csr_w_pk_pkcs8.gz`, decompress and unpack it using this command:

   ```
   tar zxvf csr_w_pk_pkcs8.gz
   ```

Two files result from the extraction operation: `certificate_request.pem` and `private_key_pkcs8.pem`.

10. Use this `openssl` command to decrypt the private key and create a file named `key.pem`:

```
openssl pkcs8 -in private_key_pkcs8.pem -out key.pem
```

11. Copy the `key.pem` file into the `ssl` directory.
12. Copy the certificate request in `certificate_request.pem` into the clipboard.
13. Navigate to Security >> Local CAs. Select the same CA that you created earlier (the one you downloaded to create the `CA.pem` file), and click Sign Request. Paste the Certificate Request from the clipboard, choose a certificate purpose of Client (the keyring is a client of KeySecure), and click Sign Request. The result is a certificate signed with the selected CA in a new page.
14. Copy the signed certificate to the clipboard, then save the clipboard contents as a file named `cert.pem` in the `ssl` directory.
15. (Optional) If you wish to password-protect the key file, use the instructions in Password-Protecting the keyring_okv Key File.

After completing the preceding procedure, restart the MySQL server. It loads the `keyring_okv` plugin and `keyring_okv` uses the files in its configuration directory to communicate with KeySecure.

Configuring keyring_okv for Townsend Alliance Key Manager

Townsend Alliance Key Manager uses the KMIP protocol. The `keyring_okv` keyring plugin can use Alliance Key Manager as its KMIP back end for keyring storage. For additional information, see Alliance Key Manager for MySQL.

Configuring keyring_okv for Entrust KeyControl

Entrust KeyControl uses the KMIP protocol. The `keyring_okv` keyring plugin can use Entrust KeyControl as its KMIP back end for keyring storage. For additional information, see the Oracle MySQL and Entrust KeyControl with nShield HSM Integration Guide.

Password-Protecting the keyring_okv Key File

You can optionally protect the key file with a password and supply a file containing the password to enable the key file to be decrypted. To so do, change location to the `ssl` directory and perform these steps:

1. Encrypt the `key.pem` key file. For example, use a command like this, and enter the encryption password at the prompts:

```
$> openssl rsa -des3 -in key.pem -out key.pem.new
Enter PEM pass phrase:
Verifying - Enter PEM pass phrase:
```

2. Save the encryption password in a single-line text file named `password.txt` in the `ssl` directory.
3. Verify that the encrypted key file can be decrypted using the following command. The decrypted file should display on the console:

```
$> openssl rsa -in key.pem.new -passin file:password.txt
```

4. Remove the original `key.pem` file and rename `key.pem.new` to `key.pem`.
5. Change the ownership and access mode of new `key.pem` file and `password.txt` file as necessary to ensure that they have the same restrictions as other files in the `ssl` directory.

6.4.9 Using the keyring_aws Amazon Web Services Keyring Plugin

> **Note**
>
> The `keyring_aws` plugin is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

The `keyring_aws` keyring plugin communicates with the Amazon Web Services Key Management Service (AWS KMS) as a back end for key generation and uses a local file for key storage. All keyring material is generated exclusively by the AWS server, not by `keyring_aws`.

MySQL Enterprise Edition can work with `keyring_aws` on Red Hat Enterprise Linux, SUSE Linux Enterprise Server, Debian, Ubuntu, macOS, and Windows. MySQL Enterprise Edition does not support the use of `keyring_aws` on these platforms:

- EL6
- Generic Linux (glibc2.12)
- SLES 12 (with versions after MySQL Server 5.7)
- Solaris

The discussion here assumes that you are familiar with AWS in general and KMS in particular. Some pertinent information sources:

- AWS site
- KMS documentation

The following sections provide configuration and usage information for the `keyring_aws` keyring plugin:

- keyring_aws Configuration
- keyring_aws Operation
- keyring_aws Credential Changes

keyring_aws Configuration

To install `keyring_aws`, use the general instructions found in Section 6.4.3, “Keyring Plugin Installation”, together with the plugin-specific configuration information found here.

The plugin library file contains the `keyring_aws` plugin and two loadable functions, `keyring_aws_rotate_cmk()` and `keyring_aws_rotate_keys()`.

To configure `keyring_aws`, you must obtain a secret access key that provides credentials for communicating with AWS KMS and write it to a configuration file:

1. Create an AWS KMS account.
2. Use AWS KMS to create a secret access key ID and secret access key. The access key serves to verify your identity and that of your applications.
3. Use the AWS KMS account to create a KMS key ID. At MySQL startup, set the `keyring_aws_cmk_id` system variable to the CMK ID value. This variable is mandatory and there is no default. (Its value can be changed at runtime if desired using `SET GLOBAL`.)
4. If necessary, create the directory in which the configuration file should be located. The directory should have a restrictive mode and be accessible only to the account used to run the MySQL server. For example, on many Unix and Unix-like systems, such as Oracle Enterprise Linux, to use `/usr/local/mysql/mysql-keyring/keyring_aws_conf` as the file name, the

following commands (executed as `root`) create its parent directory and set the directory mode and ownership:

```
$> cd /usr/local/mysql
$> mkdir mysql-keyring
$> chmod 750 mysql-keyring
$> chown mysql mysql-keyring
$> chgrp mysql mysql-keyring
```

At MySQL startup, set the `keyring_aws_conf_file` system variable to `/usr/local/mysql/mysql-keyring/keyring_aws_conf` to indicate the configuration file location to the server.

The location of the configuration file may vary according to Linux distribution; the directory for this file may also already be provided by a system module or other application such as AppArmor. For example, under AppArmor on recent editions of Ubuntu Linux, the keyring directory is specified as `/var/lib/mysql-keyring`. See Ubuntu Server: AppArmor for more information about using AppArmor on Ubuntu systems; see also this example MySQL configuration file. For other operating platforms, see the system documentation for guidance.

5. Prepare the `keyring_aws` configuration file, which should contain two lines:

   - Line 1: The secret access key ID
   - Line 2: The secret access key

   For example, if the key ID is `wwwwwwwwwwwwwEXAMPLE` and the key is `xxxxxxxxxxxxx/yyyyyyy/zzzzzzzzEXAMPLEKEY`, the configuration file looks like this:

```
wwwwwwwwwwwwwEXAMPLE
xxxxxxxxxxxxx/yyyyyyy/zzzzzzzzEXAMPLEKEY
```

To be usable during the server startup process, `keyring_aws` must be loaded using the `--early-plugin-load` option. The `keyring_aws_cmk_id` system variable is mandatory and configures the KMS key ID obtained from the AWS KMS server. The `keyring_aws_conf_file` and `keyring_aws_data_file` system variables optionally configure the locations of the files used by the `keyring_aws` plugin for configuration information and data storage. The file location variable default values are platform specific. To configure the locations explicitly, set the variable values at startup. For example, use these lines in the server `my.cnf` file, adjusting the `.so` suffix and file locations for your platform as necessary:

```
[mysqld]
early-plugin-load=keyring_aws.so
keyring_aws_cmk_id='arn:aws:kms:us-west-2:111122223333:key/abcd1234-ef56-ab12-cd34-ef56abcd1234'
keyring_aws_conf_file=/usr/local/mysql/mysql-keyring/keyring_aws_conf
keyring_aws_data_file=/usr/local/mysql/mysql-keyring/keyring_aws_data
```

For the `keyring_aws` plugin to start successfully, the configuration file must exist and contain valid secret access key information, initialized as described previously. The storage file need not exist. If it does not, `keyring_aws` attempts to create it (as well as its parent directory, if necessary).

> **Important**
>
> The default AWS region is `us-east-1`. For any other region, you must also set `keyring_aws_region` explicitly in `my.cnf`.

For additional information about the system variables used to configure the `keyring_aws` plugin, see Section 6.4.19, “Keyring System Variables”.

Start the MySQL server and install the functions associated with the `keyring_aws` plugin. This is a one-time operation, performed by executing the following statements, adjusting the `.so` suffix for your platform as necessary:

```
CREATE FUNCTION keyring_aws_rotate_cmk RETURNS INTEGER
  SONAME 'keyring_aws.so';
```

```
CREATE FUNCTION keyring_aws_rotate_keys RETURNS INTEGER
  SONAME 'keyring_aws.so';
```

For additional information about the `keyring_aws` functions, see Section 6.4.16, “Plugin-Specific Keyring Key-Management Functions”.

keyring_aws Operation

At plugin startup, the `keyring_aws` plugin reads the AWS secret access key ID and key from its configuration file. It also reads any encrypted keys contained in its storage file into its in-memory cache.

During operation, `keyring_aws` maintains encrypted keys in the in-memory cache and uses the storage file as local persistent storage. Each keyring operation is transactional: `keyring_aws` either successfully changes both the in-memory key cache and the keyring storage file, or the operation fails and the keyring state remains unchanged.

To ensure that keys are flushed only when the correct keyring storage file exists, `keyring_aws` stores a SHA-256 checksum of the keyring in the file. Before updating the file, the plugin verifies that it contains the expected checksum.

The `keyring_aws` plugin supports the functions that comprise the standard MySQL Keyring service interface. Keyring operations performed by these functions are accessible at two levels:

- SQL interface: In SQL statements, call the functions described in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.
- C interface: In C-language code, call the keyring service functions described in The Keyring Service.

Example (using the SQL interface):

```
SELECT keyring_key_generate('MyKey', 'AES', 32);
SELECT keyring_key_remove('MyKey');
```

In addition, the `keyring_aws_rotate_cmk()` and `keyring_aws_rotate_keys()` functions “extend” the keyring plugin interface to provide AWS-related capabilities not covered by the standard keyring service interface. These capabilities are accessible only by calling these functions using SQL. There are no corresponding C-language key service functions.

For information about the characteristics of key values permitted by `keyring_aws`, see Section 6.4.13, “Supported Keyring Key Types and Lengths”.

keyring_aws Credential Changes

Assuming that the `keyring_aws` plugin has initialized properly at server startup, it is possible to change the credentials used for communicating with AWS KMS:

1. Use AWS KMS to create a new secret access key ID and secret access key.
2. Store the new credentials in the configuration file (the file named by the `keyring_aws_conf_file` system variable). The file format is as described previously.
3. Reinitialize the `keyring_aws` plugin so that it re-reads the configuration file. Assuming that the new credentials are valid, the plugin should initialize successfully.

   There are two ways to reinitialize the plugin:

   - Restart the server. This is simpler and has no side effects, but is not suitable for installations that require minimal server downtime with as few restarts as possible.
   - Reinitialize the plugin without restarting the server by executing the following statements, adjusting the `.so` suffix for your platform as necessary:

     ```
     UNINSTALL PLUGIN keyring_aws;
     INSTALL PLUGIN keyring_aws SONAME 'keyring_aws.so';
     ```

> **Note**
>
> In addition to loading a plugin at runtime, `INSTALL PLUGIN` has the side effect of registering the plugin it in the `mysql.plugin` system table. Because of this, if you decide to stop using `keyring_aws`, it is not sufficient to remove the `--early-plugin-load` option from the set of options used to start the server. That stops the plugin from loading early, but the server still attempts to load it when it gets to the point in the startup sequence where it loads the plugins registered in `mysql.plugin`.
>
> Consequently, if you execute the `UNINSTALL PLUGIN` plus `INSTALL PLUGIN` sequence just described to change the AWS KMS credentials, then to stop using `keyring_aws`, it is necessary to execute `UNINSTALL PLUGIN` again to unregister the plugin in addition to removing the `--early-plugin-load` option.

6.4.10 Using the HashiCorp Vault Keyring Plugin

> **Note**
>
> The `keyring_hashicorp` plugin is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

The `keyring_hashicorp` keyring plugin communicates with HashiCorp Vault for back end storage. The plugin supports HashiCorp Vault AppRole authentication. No key information is permanently stored in MySQL server local storage. (An optional in-memory key cache may be used as intermediate storage.) Random key generation is performed on the MySQL server side, with the keys subsequently stored to Hashicorp Vault.

The `keyring_hashicorp` plugin supports the functions that comprise the standard MySQL Keyring service interface. Keyring operations performed by those functions are accessible at two levels:

- SQL interface: In SQL statements, call the functions described in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.
- C interface: In C-language code, call the keyring service functions described in The Keyring Service.

Example (using the SQL interface):

```
SELECT keyring_key_generate('MyKey', 'AES', 32);
SELECT keyring_key_remove('MyKey');
```

For information about the characteristics of key values permitted by `keyring_hashicorp`, see Section 6.4.13, “Supported Keyring Key Types and Lengths”.

To install `keyring_hashicorp`, use the general instructions found in Section 6.4.3, “Keyring Plugin Installation”, together with the configuration information specific to `keyring_hashicorp` found here. Plugin-specific configuration includes preparation of the certificate and key files needed for connecting to HashiCorp Vault, as well as configuring HashiCorp Vault itself. The following sections provide the necessary instructions.

- Certificate and Key Preparation
- HashiCorp Vault Setup
- keyring_hashicorp Configuration

Certificate and Key Preparation

The `keyring_hashicorp` plugin requires a secure connection to the HashiCorp Vault server, employing the HTTPS protocol. A typical setup includes a set of certificate and key files:

- `company.crt`: A custom CA certificate belonging to the organization. This file is used both by HashiCorp Vault server and the `keyring_hashicorp` plugin.
- `vault.key`: The private key of the HashiCorp Vault server instance. This file is used by HashiCorp Vault server.
- `vault.crt`: The certificate of the HashiCorp Vault server instance. This file must be signed by the organization CA certificate.

The following instructions describe how to create the certificate and key files using OpenSSL. (If you already have those files, proceed to HashiCorp Vault Setup.) The instructions as shown apply to Linux platforms and may require adjustment for other platforms.

> **Important**
>
> Certificates generated by these instructions are self-signed, which may not be very secure. After you gain experience using such files, consider obtaining certificate/key material from a registered certificate authority.

1. Prepare the company and HashiCorp Vault server keys.

   Use the following commands to generate the key files:

   ```
   openssl genrsa -aes256 -out company.key 4096
   openssl genrsa -aes256 -out vault.key 2048
   ```

   The commands produce files holding the company private key (`company.key`) and the Vault server private key (`vault.key`). The keys are randomly generated RSA keys of 4,096 and 2,048 bits, respectively.

   Each command prompts for a password. For testing purposes, the password is not required. To disable it, omit the `-aes256` argument.

   The key files hold sensitive information and should be stored in a secure location. The password (also sensitive) is required later, so write it down and store it in a secure location.

   (Optional) To check key file content and validity, use the following commands:

   ```
   openssl rsa -in company.key -check
   openssl rsa -in vault.key -check
   ```

2. Create the company CA certificate.

   Use the following command to create a company CA certificate file named `company.crt` that is valid for 365 days (enter the command on a single line):

   ```
   openssl req -x509 -new -nodes -key company.key
     -sha256 -days 365 -out company.crt
   ```

   If you used the `-aes256` argument to perform key encryption during key generation, you are prompted for the company key password during CA certificate creation. You are also prompted for information about the certificate holder (that is, you or your company), as shown here:

   ```
   Country Name (2 letter code) [AU]:
   State or Province Name (full name) [Some-State]:
   Locality Name (eg, city) []:
   Organization Name (eg, company) [Internet Widgits Pty Ltd]:
   Organizational Unit Name (eg, section) []:
   Common Name (e.g. server FQDN or YOUR name) []:
   Email Address []:
   ```

   Answer the prompts with appropriate values.

3. Create a certificate signing request.

To create a HashiCorp Vault server certificate, a Certificate Signing Request (CSR) must be prepared for the newly created server key. Create a configuration file named `request.conf` containing the following lines. If the HashiCorp Vault server does not run on the local host, substitute appropriate CN and IP values, and make any other changes required.

```
[req]
distinguished_name = vault
x509_entensions = v3_req
prompt = no
[vault]
C = US
ST = CA
L = RWC
O = Company
CN = 127.0.0.1
[v3_req]
subjectAltName = @alternatives
authorityKeyIdentifier = keyid,issuer
basicConstraints = CA:TRUE
[alternatives]
IP = 127.0.0.1
```

Use this command to create the signing request:

```
openssl req -new -key vault.key -config request.conf -out request.csr
```

The output file (`request.csr`) is an intermediate file that serves as input for creation of the server certificate.

4. Create the HashiCorp Vault server certificate.

   Sign the combined information from the HashiCorp Vault server key (`vault.key`) and the CSR (`request.csr`) with the company certificate (`company.crt`) to create the HashiCorp Vault server certificate (`vault.crt`). Use the following command to do this (enter the command on a single line):

   ```
   openssl x509 -req -in request.csr
     -CA company.crt -CAkey company.key -CAcreateserial
     -out vault.crt -days 365 -sha256
   ```

   To make the `vault.crt` server certificate useful, append the contents of the `company.crt` company certificate to it. This is required so that the company certificate is delivered along with the server certificate in requests.

   ```
   cat company.crt >> vault.crt
   ```

   If you display the contents of the `vault.crt` file, it should look like this:

   ```
   -----BEGIN CERTIFICATE-----
   ... content of HashiCorp Vault server certificate ...
   -----END CERTIFICATE-----
   -----BEGIN CERTIFICATE-----
   ... content of company certificate ...
   -----END CERTIFICATE-----
   ```

HashiCorp Vault Setup

The following instructions describe how to create a HashiCorp Vault setup that facilitates testing the `keyring_hashicorp` plugin.

> **Important**
>
> A test setup is similar to a production setup, but production use of HashiCorp Vault entails additional security considerations such as use of non-self-signed certificates and storing the company certificate in the system trust store. You

must implement whatever additional security steps are needed to satisfy your operational requirements.

These instructions assume availability of the certificate and key files created in Certificate and Key Preparation. See that section if you do not have those files.

1. Fetch the HashiCorp Vault binary.

   Download the HashiCorp Vault binary appropriate for your platform from https://www.vaultproject.io/downloads.html.

   Extract the content of the archive to produce the executable `vault` command, which is used to perform HashiCorp Vault operations. If necessary, add the directory where you install the command to the system path.

   (Optional) HashiCorp Vault supports autocomplete options that make it easier to use. For more information, see https://learn.hashicorp.com/vault/getting-started/install#command-completion.

2. Create the HashiCorp Vault server configuration file.

   Prepare a configuration file named `config.hcl` with the following content. For the `tls_cert_file`, `tls_key_file`, and `path` values, substitute path names appropriate for your system.

   ```
   listener "tcp" {
     address="127.0.0.1:8200"
     tls_cert_file="/home/username/certificates/vault.crt"
     tls_key_file="/home/username/certificates/vault.key"
   }
   storage "file" {
     path = "/home/username/vaultstorage/storage"
   }
   ui = true
   ```

3. Start the HashiCorp Vault server.

   To start the Vault server, use the following command, where the `-config` option specifies the path to the configuration file just created:

   ```
   vault server -config=config.hcl
   ```

   During this step, you may be prompted for a password for the Vault server private key stored in the `vault.key` file.

   The server should start, displaying some information on the console (IP, port, and so forth).

   So that you can enter the remaining commands, put the `vault server` command in the background or open another terminal before continuing.

4. Initialize the HashiCorp Vault server.

   Note

   The operations described in this step are required only when starting Vault the first time, to obtain the unseal key and root token. Subsequent Vault instance restarts require only unsealing using the unseal key.

   Issue the following commands (assuming Bourne shell syntax):

   ```
   export VAULT_SKIP_VERIFY=1
   vault operator init -n 1 -t 1
   ```

The first command enables the `vault` command to temporarily ignore the fact that no company certificate has been added to the system trust store. It compensates for the fact that our self-signed CA is not added to that store. (For production use, such a certificate should be added.)

The second command creates a single unseal key with a requirement for a single unseal key to be present for unsealing. (For production use, an instance would have multiple unseal keys with up to that many keys required to be entered to unseal it. The unseal keys should be delivered to key custodians within the company. Use of a single key might be considered a security issue because that permits the vault to be unsealed by a single key custodian.)

Vault should reply with information about the unseal key and root token, plus some additional text (the actual unseal key and root token values differ from those shown here):

```
...
Unseal Key 1: I2xwcFQc892O0Nt2pBiRNlnkHzTUrWS+JybL39BjcOE=
Initial Root Token: s.vTvXeo3tPEYehfcd9WH7oUKz
...
```

Store the unseal key and root token in a secure location.

5. Unseal the HashiCorp Vault server.

   Use this command to unseal the Vault server:

   ```
   vault operator unseal
   ```

   When prompted to enter the unseal key, use the key obtained previously during Vault initialization.

   Vault should produce output indicating that setup is complete and the vault is unsealed.

6. Log in to the HashiCorp Vault server and verify its status.

   Prepare the environment variables required for logging in as root:

   ```
   vault login s.vTvXeo3tPEYehfcd9WH7oUKz
   ```

   For the token value in that command, substitute the content of the root token obtained previously during Vault initialization.

   Verify the Vault server status:

   ```
   vault status
   ```

   The output should contain these lines (among others):

   ```
   ...
   Initialized     true
   Sealed          false
   ...
   ```

7. Set up HashiCorp Vault authentication and storage.

   > **Note**
   >
   > The operations described in this step are needed only the first time the Vault instance is run. They need not be repeated afterward.

   Enable the AppRole authentication method and verify that it is in the authentication method list:

   ```
   vault auth enable approle
   ```

```
vault auth list
```

Enable the Vault KeyValue storage engine:

```
vault secrets enable -version=1 kv
```

Create and set up a role for use with the `keyring_hashicorp` plugin (enter the command on a single line):

```
vault write auth/approle/role/mysql token_num_uses=0
  token_ttl=20m token_max_ttl=30m secret_id_num_uses=0
```

8. Add an AppRole security policy.

   > **Note**
   >
   > The operations described in this step are needed only the first time the Vault instance is run. They need not be repeated afterward.

   Prepare a policy that to permit the previously created role to access appropriate secrets. Create a new file named `mysql.hcl` with the following content:

   ```
   path "kv/mysql/*" {
     capabilities = ["create", "read", "update", "delete", "list"]
   }
   ```

   > **Note**
   >
   > `kv/mysql/` in this example may need adjustment per your local installation policies and security requirements. If so, make the same adjustment wherever else `kv/mysql/` appears in these instructions.

   Import the policy file to the Vault server to create a policy named `mysql-policy`, then assign the policy to the new role:

   ```
   vault policy write mysql-policy mysql.hcl
   vault write auth/approle/role/mysql policies=mysql-policy
   ```

   Obtain the ID of the newly created role and store it in a secure location:

   ```
   vault read auth/approle/role/mysql/role-id
   ```

   Generate a secret ID for the role and store it in a secure location:

   ```
   vault write -f auth/approle/role/mysql/secret-id
   ```

   After these AppRole role ID and secret ID credentials are generated, they are expected to remain valid indefinitely. They need not be generated again and the `keyring_hashicorp` plugin can be configured with them for use on an ongoing basis. For more information about AuthRole authentication, visit https://www.vaultproject.io/docs/auth/approle.html.

keyring_hashicorp Configuration

The plugin library file contains the `keyring_hashicorp` plugin and a loadable function, `keyring_hashicorp_update_config()`. When the plugin initializes and terminates, it automatically loads and unloads the function. There is no need to load and unload the function manually.

The `keyring_hashicorp` plugin supports the configuration parameters shown in the following table. To specify these parameters, assign values to the corresponding system variables.

Configuration Parameter	System Variable	Mandatory
HashiCorp Server URL	`keyring_hashicorp_server_url`	No

Configuration Parameter	System Variable	Mandatory
AppRole role ID	keyring_hashicorp_role_id	Yes
AppRole secret ID	keyring_hashicorp_secret_id	Yes
Store path	keyring_hashicorp_store_path	Yes
Authorization Path	keyring_hashicorp_auth_path	No
CA certificate file path	keyring_hashicorp_ca_path	No
Cache control	keyring_hashicorp_caching	No

To be usable during the server startup process, keyring_hashicorp must be loaded using the --early-plugin-load option. As indicated by the preceding table, several plugin-related system variables are mandatory and must also be set. For example, use these lines in the server my.cnf file, adjusting the .so suffix and file locations for your platform as necessary:

```
[mysqld]
early-plugin-load=keyring_hashicorp.so
keyring_hashicorp_role_id='ee3b495c-d0c9-11e9-8881-8444c71c32aa'
keyring_hashicorp_secret_id='0512af29-d0ca-11e9-95ee-0010e00dd718'
keyring_hashicorp_store_path='/v1/kv/mysql'
keyring_hashicorp_auth_path='/v1/auth/approle/login'
```

> **Note**
>
> Per the HashiCorp documentation, all API routes are prefixed with a protocol version (which you can see in the preceding example as /v1/ in the keyring_hashicorp_store_path and keyring_hashicorp_auth_path values). If HashiCorp develops new protocol versions, it may be necessary to change /v1/ to something else in your configuration.

MySQL Server authenticates against HashiCorp Vault using AppRole authentication. Successful authentication requires that two secrets be provided to Vault, a role ID and a secret ID, which are similar in concept to user name and password. The role ID and secret ID values to use are those obtained during the HashiCorp Vault setup procedure performed previously. To specify the two IDs, assign their respective values to the keyring_hashicorp_role_id and keyring_hashicorp_secret_id system variables. The setup procedure also results in a store path of /v1/kv/mysql, which is the value to assign to keyring_hashicorp_commit_store_path.

At plugin initialization time, keyring_hashicorp attempts to connect to the HashiCorp Vault server using the configuration values. If the connection is successful, the plugin stores the values in corresponding system variables that have _commit_ in their name. For example, upon successful connection, the plugin stores the values of keyring_hashicorp_role_id and keyring_hashicorp_store_path in keyring_hashicorp_commit_role_id and keyring_hashicorp_commit_store_path.

Reconfiguration at runtime can be performed with the assistance of the keyring_hashicorp_update_config() function:

1. Use SET statements to assign the desired new values to the configuration system variables shown in the preceding table. These assignments in themselves have no effect on ongoing plugin operation.
2. Invoke keyring_hashicorp_update_config() to cause the plugin to reconfigure and reconnect to the HashiCorp Vault server using the new variable values.
3. If the connection is successful, the plugin stores the updated configuration values in corresponding system variables that have _commit_ in their name.

For example, if you have reconfigured HashiCorp Vault to listen on port 8201 rather than the default 8200, reconfigure keyring_hashicorp like this:

```
mysql> SET GLOBAL keyring_hashicorp_server_url = 'https://127.0.0.1:8201';
```

```
Query OK, 0 rows affected (0.00 sec)
mysql> SELECT keyring_hashicorp_update_config();
+-------------------------------------+
| keyring_hashicorp_update_config()   |
+-------------------------------------+
| Configuration update was successful. |
+-------------------------------------+
1 row in set (0.03 sec)
```

If the plugin is not able to connect to HashiCorp Vault during initialization or reconfiguration and there was no existing connection, the `_commit_` system variables are set to `'Not committed'` for string-valued variables, and `OFF` for Boolean-valued variables. If the plugin is not able to connect but there was an existing connection, that connection remains active and the `_commit_` variables reflect the values used for it.

> **Note**
>
> If you do not set the mandatory system variables at server startup, or if some other plugin initialization error occurs, initialization fails. In this case, you can use the runtime reconfiguration procedure to initialize the plugin without restarting the server.

For additional information about the `keyring_hashicorp` plugin-specific system variables and function, see Section 6.4.19, “Keyring System Variables”, and Section 6.4.16, “Plugin-Specific Keyring Key-Management Functions”.

6.4.11 Using the Oracle Cloud Infrastructure Vault Keyring Component

> **Note**
>
> The Oracle Cloud Infrastructure Vault keyring component is included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

`component_keyring_oci` is part of the component infrastructure that communicates with Oracle Cloud Infrastructure Vault for back end storage. No key information is permanently stored in MySQL server local storage. All keys are stored in Oracle Cloud Infrastructure Vault, making this component well suited for Oracle Cloud Infrastructure MySQL customers for management of their MySQL Enterprise Edition keys.

In MySQL 8.0.24, MySQL Keyring began transitioning from plugins to use the component infrastructure. The introduction of `component_keyring_oci` in MySQL 8.0.31 is a continuation of that effort. For more information, see Keyring Components Versus Keyring Plugins.

> **Note**
>
> Only one keyring component or plugin should be enabled at a time. Enabling multiple keyring components or plugins is unsupported and results may not be as anticipated.

To use `component_keyring_oci` for keystore management, you must:

1. Write a manifest that tells the server to load `component_keyring_oci`, as described in Section 6.4.2, “Keyring Component Installation”.
2. Write a configuration file for `component_keyring_oci`, as described here.

After writing a manifest and configuration file, you should be able to access keys that were created using the `keyring_oci` plugin, provided that you specify the same set of configuration options to initialize the keyring component. The built-in backward compatibility of `component_keyring_oci` simplifies migrating from the keyring plugin to the component.

- Configuration Notes

- Verify the Component Installation
- Vault Keyring Component Usage

Configuration Notes

When it initializes, `component_keyring_oci` reads either a global configuration file, or a global configuration file paired with a local configuration file:

- The component attempts to read its global configuration file from the directory where the component library file is installed (that is, the server plugin directory).
- If the global configuration file indicates use of a local configuration file, the component attempts to read its local configuration file from the data directory.
- Although global and local configuration files are located in different directories, the file name is `component_keyring_oci.cnf` in both locations.
- It is an error for no configuration file to exist. `component_keyring_oci` cannot initialize without a valid configuration.

Local configuration files permit setting up multiple server instances to use `component_keyring_oci`, such that component configuration for each server instance is specific to a given data directory instance. This enables the same keyring component to be used with a distinct Oracle Cloud Infrastructure Vault for each instance.

You are assumed to be familiar with Oracle Cloud Infrastructure concepts, but the following documentation may be helpful when setting up resources to be used by `component_keyring_oci`:

- Overview of Vault
- Required Keys and OCIDs
- Managing Keys
- Managing Compartments
- Managing Vaults
- Managing Secrets

`component_keyring_oci` configuration files have these properties:

- A configuration file must be in valid JSON format.
- A configuration file must have the appropriate file permission that allows MySQL to read it. Since the file contains sensitive information, it should be set to world readable.
- A configuration file permits these configuration items:
  - `"read_local_config"`: This item is permitted only in the global configuration file. If the item is not present, the component uses only the global configuration file. If the item is present, its value is `true` or `false`, indicating whether the component should read configuration information from the local configuration file.

    If the `"read_local_config"` item is present in the global configuration file along with other items, the component checks the `"read_local_config"` item value first:

    - If the value is `false`, the component processes the other items in the global configuration file and ignores the local configuration file.
    - If the value is `true`, the component ignores the other items in the global configuration file and attempts to read the local configuration file.

- `"user"`: The OCID of the Oracle Cloud Infrastructure user that `component_keyring_oci` uses for connections. Prior to using `component_keyring_oci`, the user account must exist and be granted access to use the configured Oracle Cloud Infrastructure tenancy, compartment, and vault resources. To obtain the user OCID from the Console, use the instructions at Required Keys and OCIDs.

  This value is mandatory.

- `"tenancy"`: The OCID of the Oracle Cloud Infrastructure tenancy that `component_keyring_oci` uses as the location of the MySQL compartment. Prior to using `component_keyring_oci`, you must create a tenancy if it does not exist. To obtain the tenancy OCID from the Console, use the instructions at Required Keys and OCIDs.

  This value is mandatory.

- `"compartment"`: The OCID of the tenancy compartment that `component_keyring_oci` uses as the location of the MySQL keys. Prior to using `component_keyring_oci`, you must create a MySQL compartment or subcompartment if it does not exist. This compartment should contain no vault keys or vault secrets. It should not be used by systems other than MySQL Keyring. For information about managing compartments and obtaining the OCID, see Managing Compartments.

  This value is mandatory.

- `"virtual_vault"`: The OCID of the Oracle Cloud Infrastructure Vault that `component_keyring_oci` uses for encryption operations. Prior to using `component_keyring_oci`, you must create a new vault in the MySQL compartment if it does not exist. (Alternatively, you can reuse an existing vault that is in a parent compartment of the MySQL compartment.) Compartment users can see and use only the keys in their respective compartments. For information about creating a vault and obtaining the vault OCID, see Managing Vaults.

  This value is mandatory.

- `"encryption_endpoint"`: The endpoint of the Oracle Cloud Infrastructure encryption server that `component_keyring_oci` uses for generating encrypted or encoded information (ciphertext) for new keys. The encryption endpoint is vault specific and Oracle Cloud Infrastructure assigns it at vault-creation time. To obtain the endpoint OCID, view the configuration details for your keyring_oci vault, using the instructions at Managing Vaults.

  This value is mandatory.

- `"management_endpoint"`: The endpoint of the Oracle Cloud Infrastructure key management server that `component_keyring_oci` uses for listing existing keys. The key management endpoint is vault specific and Oracle Cloud Infrastructure assigns it at vault-creation time. To obtain the endpoint OCID, view the configuration details for your keyring_oci vault, using the instructions at Managing Vaults.

  This value is mandatory.

- `"vaults_endpoint"`: The endpoint of the Oracle Cloud Infrastructure vaults server that `component_keyring_oci` uses for obtaining the value of secrets. The vaults endpoint is vault specific and Oracle Cloud Infrastructure assigns it at vault-creation time. To obtain the endpoint OCID, view the configuration details for your keyring_oci vault, using the instructions at Managing Vaults.

  This value is mandatory.

- `"secrets_endpoint"`: The endpoint of the Oracle Cloud Infrastructure secrets server that `component_keyring_oci` uses for listing, creating, and retiring secrets. The secrets endpoint

is vault specific and Oracle Cloud Infrastructure assigns it at vault-creation time. To obtain the endpoint OCID, view the configuration details for your keyring_oci vault, using the instructions at Managing Vaults.

This value is mandatory.

- "master_key": The OCID of the Oracle Cloud Infrastructure master encryption key that component_keyring_oci uses for encryption of secrets. Prior to using component_keyring_oci, you must create a cryptographic key for the Oracle Cloud Infrastructure compartment if it does not exist. Provide a MySQL-specific name for the generated key and do not use it for other purposes. For information about key creation, see Managing Keys.

  This value is mandatory.

- "key_file": The path name of the file containing the RSA private key that component_keyring_oci uses for Oracle Cloud Infrastructure authentication. You must also upload the corresponding RSA public key using the Console. The Console displays the key fingerprint value, which you can use to set the "key_fingerprint" value. For information about generating and uploading API keys, see Required Keys and OCIDs.

  This value is mandatory.

- "key_fingerprint": The fingerprint of the RSA private key that component_keyring_oci uses for Oracle Cloud Infrastructure authentication. To obtain the key fingerprint while creating the API keys, execute this command:

  ```
  openssl rsa -pubout -outform DER -in ~/.oci/oci_api_key.pem | openssl md5 -c
  ```

  Alternatively, obtain the fingerprint from the Console, which automatically displays the fingerprint when you upload the RSA public key. For information about obtaining key fingerprints, see Required Keys and OCIDs.

  This value is mandatory.

- "ca_certificate": The path name of the CA certificate bundle file that component_keyring_oci component uses for Oracle Cloud Infrastructure certificate verification. The file contains one or more certificates for peer verification. If no file is specified, the default CA bundle installed on the system is used. If the value is set to disabled (case-sensitive), component_keyring_oci performs no certificate verification.

  On Windows systems, this should be set to disabled, or to the path to a CA certificate bundle file.

Given the preceding configuration file properties, to configure component_keyring_oci, create a global configuration file named component_keyring_oci.cnf in the directory where the component_keyring_oci library file is installed, and optionally create a local configuration file, also named component_keyring_oci.cnf, in the data directory.

Verify the Component Installation

After performing any component-specific configuration, start the server. Verify component installation by examining the Performance Schema keyring_component_status table:

```
mysql> SELECT * FROM performance_schema.keyring_component_status;
+--------------------+----------------------------------------------------------------+
| STATUS_KEY         | STATUS_VALUE                                                   |
+--------------------+----------------------------------------------------------------+
| Component_name     | component_keyring_oci                                          |
| Author             | Oracle Corporation                                             |
| License            | PROPRIETARY                                                    |
| Implementation_name | component_keyring_oci                                         |
| Version            | 1.0                                                            |
| Component_status   | Active                                                         |
```

```
| user                | ocid1.user.oc1..aaaaaaaasqly<...>                                    |
| tenancy             | ocid1.tenancy.oc1..aaaaaaaai<...>                                    |
| compartment         | ocid1.compartment.oc1..aaaaaaaah2swh<...>                            |
| virtual_vault       | ocid1.vault.oc1.iad.bbo5xyzkaaeuk.abuwcljtmvxp4r<...>                |
| master_key          | ocid1.key.oc1.iad.bbo5xyzkaaeuk.abuwcljrbsrewgap<...>                |
| encryption_endpoint | bbo5xyzkaaeuk-crypto.kms.us-<...>                                    |
| management_endpoint | bbo5xyzkaaeuk-management.kms.us-<...>                                |
| vaults_endpoint     | vaults.us-<...>                                                      |
| secrets_endpoint    | secrets.vaults.us-<...>                                              |
| key_file            | ~/.oci/oci_api_key.pem                                               |
| key_fingerprint     | ca:7c:e1:fa:86:b6:40:af:39:d6<...>                                   |
| ca_certificate      | disabled                                                             |
+---------------------+----------------------------------------------------------------------+
```

A `Component_status` value of `Active` indicates that the component initialized successfully.

If the component cannot be loaded, server startup fails. Check the server error log for diagnostic messages. If the component loads but fails to initialize due to configuration problems, the server starts but the `Component_status` value is `Disabled`. Check the server error log, correct the configuration issues, and use the `ALTER INSTANCE RELOAD KEYRING` statement to reload the configuration.

It is possible to query MySQL server for the list of existing keys. To see which keys exist, examine the Performance Schema `keyring_keys` table.

```
mysql> SELECT * FROM performance_schema.keyring_keys;
+-----------------------------+--------------+----------------+
| KEY_ID                      | KEY_OWNER    | BACKEND_KEY_ID |
+-----------------------------+--------------+----------------+
| audit_log-20210322T130749-1 |              |                |
| MyKey                       | me@localhost |                |
| YourKey                     | me@localhost |                |
+-----------------------------+--------------+----------------+
```

Vault Keyring Component Usage

`component_keyring_oci` supports the functions that comprise the standard MySQL Keyring service interface. Keyring operations performed by those functions are accessible in SQL statements as described in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.

Example:

```
SELECT keyring_key_generate('MyKey', 'AES', 32);
SELECT keyring_key_remove('MyKey');
```

For information about the characteristics of key values permitted by `component_keyring_oci`, see Section 6.4.13, “Supported Keyring Key Types and Lengths”.

6.4.12 Using the Oracle Cloud Infrastructure Vault Keyring Plugin

> **Note**
>
> The `keyring_oci` plugin is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

The `keyring_oci` plugin is a keyring plugin that communicates with Oracle Cloud Infrastructure Vault for back end storage. No key information is permanently stored in MySQL server local storage. All keys are stored in Oracle Cloud Infrastructure Vault, making this plugin well suited for Oracle Cloud Infrastructure MySQL customers for management of their MySQL Enterprise Edition keys.

As of MySQL 8.0.31, this plugin is deprecated and subject to removal in a future release of MySQL. Instead, consider using the `component_keyring_oci` component for storing keyring data (see Section 6.4.11, “Using the Oracle Cloud Infrastructure Vault Keyring Component”).

The `keyring_oci` plugin supports the functions that comprise the standard MySQL Keyring service interface. Keyring operations performed by those functions are accessible at two levels:

- SQL interface: In SQL statements, call the functions described in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.
- C interface: In C-language code, call the keyring service functions described in The Keyring Service.

Example (using the SQL interface):

```
SELECT keyring_key_generate('MyKey', 'AES', 32);
SELECT keyring_key_remove('MyKey');
```

For information about the characteristics of key values permitted by `keyring_oci`, see Section 6.4.13, “Supported Keyring Key Types and Lengths”.

To install `keyring_oci`, use the general instructions found in Section 6.4.3, “Keyring Plugin Installation”, together with the configuration information specific to `keyring_oci` found here. Plugin-specific configuration involves setting a number of system variables to indicate the names or values of Oracle Cloud Infrastructure resources.

You are assumed to be familiar with Oracle Cloud Infrastructure concepts, but the following documentation may be helpful when setting up resources to be used by the `keyring_oci` plugin:

- Overview of Vault
- Resource Identifiers
- Required Keys and OCIDs
- Managing Keys
- Managing Compartments
- Managing Vaults
- Managing Secrets

The `keyring_oci` plugin supports the configuration parameters shown in the following table. To specify these parameters, assign values to the corresponding system variables.

Configuration Parameter	System Variable	Mandatory
User OCID	`keyring_oci_user`	Yes
Tenancy OCID	`keyring_oci_tenancy`	Yes
Compartment OCID	`keyring_oci_compartment`	Yes
Vault OCID	`keyring_oci_virtual_vault`	Yes
Master key OCID	`keyring_oci_master_key`	Yes
Encryption server endpoint	`keyring_oci_encryption_endpoint`	Yes
Key management server endpoint	`keyring_oci_management_endpoint`	Yes
Vaults server endpoint	`keyring_oci_vaults_endpoint`	Yes
Secrets server endpoint	`keyring_oci_secrets_endpoint`	Yes
RSA private key file	`keyring_oci_key_file`	Yes
RSA private key fingerprint	`keyring_oci_key_fingerprint`	Yes
CA certificate bundle file	`keyring_oci_ca_certificate`	No

To be usable during the server startup process, `keyring_oci` must be loaded using the `--early-plugin-load` option. As indicated by the preceding table, several plugin-related system variables are mandatory and must also be set:

- Oracle Cloud Infrastructure uses Oracle Cloud IDs (OCIDs) extensively to designate resources, and several `keyring_oci` parameters specify OCID values of the resources to use. Consequently, prior to using the `keyring_oci` plugin, these prerequisites must be satisfied:
  - A user for connecting to Oracle Cloud Infrastructure must exist. Create the user if necessary and assign the user OCID to the `keyring_oci_user` system variable.
  - The Oracle Cloud Infrastructure tenancy to be used must exist, as well as the MySQL compartment within the tenancy, and the vault within the compartment. Create these resources if necessary and make sure the user is enabled to use them. Assign the OCIDs for the tenancy, compartment and vault to the `keyring_oci_tenancy`, `keyring_oci_compartment`, and `keyring_oci_virtual_vault` system variables.
  - A master key for encryption must exist. Create it if necessary and assign its OCID to the `keyring_oci_master_key` system variable.
- Several server endpoints must be specified. These endpoints are vault specific and Oracle Cloud Infrastructure assigns them at vault-creation time. Obtain their values from the vault details page and assign them to the `keyring_oci_encryption_endpoint`, `keyring_oci_management_endpoint`, `keyring_oci_vaults_endpoint`, and `keyring_oci_secrets_endpoint` system variables.
- The Oracle Cloud Infrastructure API uses an RSA private/public key pair for authentication. To create this key pair and obtain the key fingerprint, use the instructions at Required Keys and OCIDs. Assign the private key file name and key fingerprint to the `keyring_oci_key_file` and `keyring_oci_key_fingerprint` system variables.

In addition to the mandatory system variables, `keyring_oci_ca_certificate` optionally may be set to specify a certificate authority (CA) certificate bundle file for peer authentication. On Windows systems, this variable should be set to `disabled`, or to the path to a CA certificate bundle file.

> **Important**
>
> If you copy a parameter from the Oracle Cloud Infrastructure Console, the copied value may include an initial `https://` part. Omit that part when setting the corresponding `keyring_oci` system variable.

For example, to load and configure `keyring_oci`, use these lines in the server `my.cnf` file (adjust the `.so` suffix and file location for your platform as necessary):

```
[mysqld]
early-plugin-load=keyring_oci.so
keyring_oci_user=ocid1.user.oc1..longAlphaNumericString
keyring_oci_tenancy=ocid1.tenancy.oc1..longAlphaNumericString
keyring_oci_compartment=ocid1.compartment.oc1..longAlphaNumericString
keyring_oci_virtual_vault=ocid1.vault.oc1.iad.shortAlphaNumericString.longAlphaNumericString
keyring_oci_master_key=ocid1.key.oc1.iad.shortAlphaNumericString.longAlphaNumericString
keyring_oci_encryption_endpoint=shortAlphaNumericString-crypto.kms.us-ashburn-1.oraclecloud.com
keyring_oci_management_endpoint=shortAlphaNumericString-management.kms.us-ashburn-1.oraclecloud.com
keyring_oci_vaults_endpoint=vaults.us-ashburn-1.oci.oraclecloud.com
keyring_oci_secrets_endpoint=secrets.vaults.us-ashburn-1.oci.oraclecloud.com
keyring_oci_key_file=file_name
keyring_oci_key_fingerprint=12:34:56:78:90:ab:cd:ef:12:34:56:78:90:ab:cd:ef
```

For additional information about the `keyring_oci` plugin-specific system variables, see Section 6.4.19, “Keyring System Variables”.

The `keyring_oci` plugin does not support runtime reconfiguration and none of its system variables can be modified at runtime. To change configuration parameters, do this:

- Modify parameter settings in the `my.cnf` file, or use `SET PERSIST_ONLY` for parameters that are persisted to `mysqld-auto.conf`.
- Restart the server.

6.4.13 Supported Keyring Key Types and Lengths

MySQL Keyring supports keys of different types (encryption algorithms) and lengths:

- The available key types depend on which keyring plugin is installed.
- The permitted key lengths are subject to multiple factors:
  - General keyring loadable-function interface limits (for keys managed using one of the keyring functions described in Section 6.4.15, “General-Purpose Keyring Key-Management Functions”), or limits from back end implementations. These length limits can vary by key operation type.
  - In addition to the general limits, individual keyring plugins may impose restrictions on key lengths per key type.

Table 6.17, “General Keyring Key Length Limits” shows the general key-length limits. (The lower limits for `keyring_aws` are imposed by the AWS KMS interface, not the keyring functions.) For keyring plugins, Table 6.18, “Keyring Plugin Key Types and Lengths” shows the key types each keyring plugin permits, as well as any plugin-specific key-length restrictions. For most keyring components, the general key-length limits apply and there are no key-type restrictions.

> **Note**
>
> `component_keyring_oci` (like the `keyring_oci` plugin) can only generate keys of type `AES` with a size of 16, 24, or 32 bytes.

Table 6.17 General Keyring Key Length Limits

Key Operation	Maximum Key Length
Generate key	16,384 bytes (2,048 prior to MySQL 8.0.18); 1,024 for `keyring_aws`
Store key	16,384 bytes (2,048 prior to MySQL 8.0.18); 4,096 for `keyring_aws`
Fetch key	16,384 bytes (2,048 prior to MySQL 8.0.18); 4,096 for `keyring_aws`

Table 6.18 Keyring Plugin Key Types and Lengths

Plugin Name	Permitted Key Type	Plugin-Specific Length Restrictions
`keyring_aws`	`AES`	16, 24, or 32 bytes
	`SECRET`	None
`keyring_encrypted_file`	`AES`	None
	`DSA`	None
	`RSA`	None
	`SECRET`	None
`keyring_file`	`AES`	None
	`DSA`	None
	`RSA`	None
	`SECRET`	None
`keyring_hashicorp`	`AES`	None
	`DSA`	None

Plugin Name	Permitted Key Type	Plugin-Specific Length Restrictions
	RSA SECRET	None None
keyring_oci	AES	16, 24, or 32 bytes
keyring_okv	AES SECRET	16, 24, or 32 bytes None

The SECRET key type, available as of MySQL 8.0.19, is intended for general-purpose storage of sensitive data using the MySQL keyring, and is supported by most keyring components and keyring plugins. The keyring encrypts and decrypts SECRET data as a byte stream upon storage and retrieval.

Example keyring operations involving the SECRET key type:

```
SELECT keyring_key_generate('MySecret1', 'SECRET', 20);
SELECT keyring_key_remove('MySecret1');
SELECT keyring_key_store('MySecret2', 'SECRET', 'MySecretData');
SELECT keyring_key_fetch('MySecret2');
SELECT keyring_key_length_fetch('MySecret2');
SELECT keyring_key_type_fetch('MySecret2');
SELECT keyring_key_remove('MySecret2');
```

6.4.14 Migrating Keys Between Keyring Keystores

A keyring migration copies keys from one keystore to another, enabling a DBA to switch a MySQL installation to a different keystore. A successful migration operation has this result:

- The destination keystore contains the keys it had prior to the migration, plus the keys from the source keystore.
- The source keystore remains the same before and after the migration (because keys are copied, not moved).

If a key to be copied already exists in the destination keystore, an error occurs and the destination keystore is restored to its premigration state.

The keyring manages keystores using keyring components and keyring plugins. This pertains to migration strategy because the way in which the source and destination keystores are managed determines whether a particular type of key migration is possible and the procedure for performing it:

- Migration from one keyring plugin to another: The MySQL server has an operational mode that provides this capability.
- Migration from a keyring plugin to a keyring component: The MySQL server has an operational mode that provides this capability as of MySQL 8.0.24.
- Migration from one keyring component to another: The mysql_migrate_keyring utility provides this capability. mysql_migrate_keyring is available as of MySQL 8.0.24.
- Migration from a keyring component to a keyring plugin: There is no provision for this capability.

The following sections discuss the characteristics of offline and online migrations and describe how to perform migrations.

- Offline and Online Key Migrations
- Key Migration Using a Migration Server
- Key Migration Using the mysql_migrate_keyring Utility
- Key Migration Involving Multiple Running Servers

Offline and Online Key Migrations

A key migration is either offline or online:

- Offline migration: For use when you are sure that no running server on the local host is using the source or destination keystore. In this case, the migration operation can copy keys from the source keystore to the destination without the possibility of a running server modifying keystore content during the operation.
- Online migration: For use when a running server on the local host is using the source keystore. In this case, care must be taken to prevent that server from updating keystores during the migration. This involves connecting to the running server and instructing it to pause keyring operations so that keys can be copied safely from the source keystore to the destination. When key copying is complete, the running server is permitted to resume keyring operations.

When you plan a key migration, use these points to decide whether it should be offline or online:

- Do not perform offline migration involving a keystore that is in use by a running server.
- Pausing keyring operations during an online migration is accomplished by connecting to the running server and setting its global `keyring_operations` system variable to `OFF` before key copying and `ON` after key copying. This has several implications:
  - `keyring_operations` was introduced in MySQL 5.7.21, so online migration is possible only if the running server is from MySQL 5.7.21 or higher. If the running server is older, you must stop it, perform an offline migration, and restart it. All migration instructions elsewhere that refer to `keyring_operations` are subject to this condition.
  - The account used to connect to the running server must have the privileges required to modify `keyring_operations`. These privileges are `ENCRYPTION_KEY_ADMIN` in addition to either `SYSTEM_VARIABLES_ADMIN` or the deprecated `SUPER` privilege.
  - If an online migration operation exits abnormally (for example, if it is forcibly terminated), it is possible for `keyring_operations` to remain disabled on the running server, leaving it unable to perform keyring operations. In this case, it may be necessary to connect to the running server and enable `keyring_operations` manually using this statement:

    ```
    SET GLOBAL keyring_operations = ON;
    ```
- Online key migration provides for pausing keyring operations on a single running server. To perform a migration if multiple running servers are using the keystores involved, use the procedure described at Key Migration Involving Multiple Running Servers.

Key Migration Using a Migration Server

> **Note**
>
> Online key migration using a migration server is only supported if the running server allows socket connections or TCP/IP connections using TLS; it is not supported when, for example, the server is running on a Windows platform and only allows shared memory connections.

A MySQL server becomes a migration server if invoked in a special operational mode that supports key migration. A migration server does not accept client connections. Instead, it runs only long enough to migrate keys, then exits. A migration server reports errors to the console (the standard error output).

A migration server supports these migration types:

- Migration from one keyring plugin to another.
- Migration from a keyring plugin to a keyring component. This capability is available as of MySQL 8.0.24. Older servers support only migration from one keyring plugin to another, in which case the parts of these instructions that refer to keyring components do not apply.

A migration server does not support migration from one keyring component to another. For that type of migration, see Key Migration Using the mysql_migrate_keyring Utility.

To perform a key migration operation using a migration server, determine the key migration options required to specify which keyring plugins or components are involved, and whether the migration is offline or online:

- To indicate the source keyring plugin and the destination keyring plugin or component, specify these options:
  - `--keyring-migration-source`: The source keyring plugin that manages the keys to be migrated.
  - `--keyring-migration-destination`: The destination keyring plugin or component to which the migrated keys are to be copied.
  - `--keyring-migration-to-component`: This option is required if the destination is a keyring component rather than a keyring plugin.

  The `--keyring-migration-source` and `--keyring-migration-destination` options signify to the server that it should run in key migration mode. For key migration operations, both options are mandatory. Each plugin or component is specified using the name of its library file, including any platform-specific extension such as `.so` or `.dll`. The source and destination must differ, and the migration server must support them both.
- For an offline migration, no additional key migration options are needed.
- For an online migration, some running server currently is using the source or destination keystore. To invoke the migration server, specify additional key migration options that indicate how to connect to the running server. This is necessary so that the migration server can connect to the running server and tell it to pause keyring use during the migration operation.

  Use of any of the following options signifies an online migration:
  - `--keyring-migration-host`: The host where the running server is located. This is always the local host because the migration server can migrate keys only between keystores managed by local plugins and components.
  - `--keyring-migration-user`, `--keyring-migration-password`: The account credentials to use to connect to the running server.
  - `--keyring-migration-port`: For TCP/IP connections, the port number to connect to on the running server.
  - `--keyring-migration-socket`: For Unix socket file or Windows named pipe connections, the socket file or named pipe to connect to on the running server.

For additional details about the key migration options, see Section 6.4.18, “Keyring Command Options”.

Start the migration server with key migration options indicating the source and destination keystores and whether the migration is offline or online, possibly with other options. Keep the following considerations in mind:

- Other server options might be required, such as configuration parameters for the two keyring plugins. For example, if `keyring_file` is the source or destination, you must set the `keyring_file_data` system variable if the keyring data file location is not the default location. Other non-keyring options may be required as well. One way to specify these options is by using `--defaults-file` to name an option file that contains the required options.
  - The migration server must not start up with its own keyring. This means that `--defaults-file` must not point to the same options file that is used to start the running server if it contains a line

such as `early-plugin-load=keyring_file.so`. Instead, it must point to a separate file that only contains options relevant to the migration.

- If migrating from a plugin to a component:
  - The migration only works with a global component `.cnf` file. The migration does not work with a local config file and a global config file that attempts to `read_local_config`. If there are multiple instances running on the same machine, the global config file must be updated appropriately to migrate every individual key.
  - The component manifest file (`mysqld.my`) must not be present in the `bin` directory. However, the component configuration (for example, `component_keyring_file.cnf`) should be present in the plugin directory, so that the new keyring can be populated. After the migration is complete, add the manifest file to the directory and restart the MySQL server, so that the server starts using the new keyring.

- The migration server expects path name option values to be full paths. Relative path names may not be resolved as you expect.
- The user who invokes a server in key-migration mode must not be the `root` operating system user, unless the `--user` option is specified with a non-`root` user name to run the server as that user.
- The user a server in key-migration mode runs as must have permission to read and write any local keyring files, such as the data file for a file-based plugin.

  If you invoke the migration server from a system account different from that normally used to run MySQL, it might create keyring directories or files that are inaccessible to the server during normal operation. Suppose that `mysqld` normally runs as the `mysql` operating system user, but you invoke the migration server while logged in as `isabel`. Any new directories or files created by the migration server are owned by `isabel`. Subsequent startup fails when a server run as the `mysql` operating system user attempts to access file system objects owned by `isabel`.

  To avoid this issue, start the migration server as the `root` operating system user and provide a `--user=`*`user_name`* option, where *`user_name`* is the system account normally used to run MySQL. Alternatively, after the migration, examine the keyring-related file system objects and change their ownership and permissions if necessary using `chown`, `chmod`, or similar commands, so that the objects are accessible to the running server.

Example command line for offline migration between two keyring plugins (enter the command on a single line):

```
mysqld --defaults-file=/usr/local/mysql/etc/my.cnf
  --keyring-migration-source=keyring_file.so
  --keyring-migration-destination=keyring_encrypted_file.so
  --keyring_encrypted_file_password=password
```

Example command line for online migration between two keyring plugins:

```
mysqld --defaults-file=/usr/local/mysql/etc/my.cnf
  --keyring-migration-source=keyring_file.so
  --keyring-migration-destination=keyring_encrypted_file.so
  --keyring_encrypted_file_password=password
  --keyring-migration-host=127.0.0.1
  --keyring-migration-user=root
  --keyring-migration-password=root_password
```

To perform a migration when the destination is a keyring component rather than a keyring plugin, specify the `--keyring-migration-to-component` option, and name the component as the value of the `--keyring-migration-destination` option.

Example command line for offline migration from a keyring plugin to a keyring component:

```
mysqld --defaults-file=/usr/local/mysql/etc/my.cnf
  --keyring-migration-to-component
  --keyring-migration-source=keyring_file.so
```

```
  --keyring-migration-destination=component_keyring_encrypted_file.so
```

Notice that in this case, no `keyring_encrypted_file_password` value is specified. The password for the component data file is listed in the component configuration file.

Example command line for online migration from a keyring plugin to a keyring component:

```
mysqld --defaults-file=/usr/local/mysql/etc/my.cnf
  --keyring-migration-to-component
  --keyring-migration-source=keyring_file.so
  --keyring-migration-destination=component_keyring_encrypted_file.so
  --keyring-migration-host=127.0.0.1
  --keyring-migration-user=root
  --keyring-migration-password=root_password
```

The key migration server performs a migration operation as follows:

1. (Online migration only) Connect to the running server using the connection options.
2. (Online migration only) Disable `keyring_operations` on the running server.
3. Load the keyring plugin/component libraries for the source and destination keystores.
4. Copy keys from the source keystore to the destination.
5. Unload the keyring plugin/component libraries for the source and destination keystores.
6. (Online migration only) Enable `keyring_operations` on the running server.
7. (Online migration only) Disconnect from the running server.

If an error occurs during key migration, the destination keystore is restored to its premigration state.

After a successful online key migration operation, the running server might need to be restarted:

- If the running server was using the source keystore before the migration and should continue to use it after the migration, it need not be restarted after the migration.
- If the running server was using the destination keystore before the migration and should continue to use it after the migration, it should be restarted after the migration to load all keys migrated into the destination keystore.
- If the running server was using the source keystore before the migration but should use the destination keystore after the migration, it must be reconfigured to use the destination keystore and restarted. In this case, be aware that although the running server is paused from modifying the source keystore during the migration itself, it is not paused during the interval between the migration and the subsequent restart. Care should be taken that the server does not modify the source keystore during this interval because any such changes will not be reflected in the destination keystore.

Key Migration Using the mysql_migrate_keyring Utility

The `mysql_migrate_keyring` utility migrates keys from one keyring component to another. It does not support migrations involving keyring plugins. For that type of migration, use a MySQL server operating in key migration mode; see Key Migration Using a Migration Server.

To perform a key migration operation using `mysql_migrate_keyring`, determine the key migration options required to specify which keyring components are involved, and whether the migration is offline or online:

- To indicate the source and destination keyring components and their location, specify these options:
  - `--source-keyring`: The source keyring component that manages the keys to be migrated.
  - `--destination-keyring`: The destination keyring component to which the migrated keys are to be copied.

  - `--component-dir`: The directory containing keyring component library files. This is typically the value of the `plugin_dir` system variable for the local MySQL server.

  All three options are mandatory. Each keyring component name is a component library file name specified without any platform-specific extension such as `.so` or `.dll`. For example, to use the component for which the library file is `component_keyring_file.so`, specify the option as `--source-keyring=component_keyring_file`. The source and destination must differ, and `mysql_migrate_keyring` must support them both.

- For an offline migration, no additional options are needed.
- For an online migration, some running server currently is using the source or destination keystore. In this case, specify the `--online-migration` option to signify an online migration. In addition, specify connection options indicating how to connect to the running server, so that `mysql_migrate_keyring` can connect to it and tell it to pause keyring use during the migration operation.

  The `--online-migration` option is commonly used in conjunction with connection options such as these:

  - `--host`: The host where the running server is located. This is always the local host because `mysql_migrate_keyring` can migrate keys only between keystores managed by local components.
  - `--user`, `--password`: The account credentials to use to connect to the running server.
  - `--port`: For TCP/IP connections, the port number to connect to on the running server.
  - `--socket`: For Unix socket file or Windows named pipe connections, the socket file or named pipe to connect to on the running server.

For descriptions of all available options, see mysql_migrate_keyring — Keyring Key Migration Utility.

Start `mysql_migrate_keyring` with options indicating the source and destination keystores and whether the migration is offline or online, possibly with other options. Keep the following considerations in mind:

- The user who invokes `mysql_migrate_keyring` must not be the `root` operating system user.
- The user who invokes `mysql_migrate_keyring` must have permission to read and write any local keyring files, such as the data file for a file-based plugin.

  If you invoke `mysql_migrate_keyring` from a system account different from that normally used to run MySQL, it might create keyring directories or files that are inaccessible to the server during normal operation. Suppose that `mysqld` normally runs as the `mysql` operating system user, but you invoke `mysql_migrate_keyring` while logged in as `isabel`. Any new directories or files created by `mysql_migrate_keyring` are owned by `isabel`. Subsequent startup fails when a server run as the `mysql` operating system user attempts to access file system objects owned by `isabel`.

  To avoid this issue, invoke `mysql_migrate_keyring` as the `mysql` operating system user. Alternatively, after the migration, examine the keyring-related file system objects and change their ownership and permissions if necessary using `chown`, `chmod`, or similar commands, so that the objects are accessible to the running server.

Suppose that you want to migrate keys from `component_keyring_file` to `component_keyring_encrypted_file`, and that the local server stores its keyring component library files in `/usr/local/mysql/lib/plugin`.

If no running server is using the keyring, an offline migration is permitted. Invoke `mysql_migrate_keyring` like this (enter the command on a single line):

```
mysql_migrate_keyring
  --component-dir=/usr/local/mysql/lib/plugin
  --source-keyring=component_keyring_file
  --destination-keyring=component_keyring_encrypted_file
```

If a running server is using the keyring, you must perform an online migration instead. In this case, the `--online-migration` option must be given, along with any connection options required to specify which server to connect to and the MySQL account to use.

The following command performs an online migration. It connects to the local server using a TCP/IP connection and the `admin` account. The command prompts for a password, which you should enter when prompted:

```
mysql_migrate_keyring
  --component-dir=/usr/local/mysql/lib/plugin
  --source-keyring=component_keyring_file
  --destination-keyring=component_keyring_encrypted_file
  --online-migration --host=127.0.0.1 --user=admin --password
```

`mysql_migrate_keyring` performs a migration operation as follows:

1. (Online migration only) Connect to the running server using the connection options.
2. (Online migration only) Disable `keyring_operations` on the running server.
3. Load the keyring component libraries for the source and destination keystores.
4. Copy keys from the source keystore to the destination.
5. Unload the keyring component libraries for the source and destination keystores.
6. (Online migration only) Enable `keyring_operations` on the running server.
7. (Online migration only) Disconnect from the running server.

If an error occurs during key migration, the destination keystore is restored to its premigration state.

After a successful online key migration operation, the running server might need to be restarted:

- If the running server was using the source keystore before the migration and should continue to use it after the migration, it need not be restarted after the migration.
- If the running server was using the destination keystore before the migration and should continue to use it after the migration, it should be restarted after the migration to load all keys migrated into the destination keystore.
- If the running server was using the source keystore before the migration but should use the destination keystore after the migration, it must be reconfigured to use the destination keystore and restarted. In this case, be aware that although the running server is paused from modifying the source keystore during the migration itself, it is not paused during the interval between the migration and the subsequent restart. Care should be taken that the server does not modify the source keystore during this interval because any such changes will not be reflected in the destination keystore.

Key Migration Involving Multiple Running Servers

Online key migration provides for pausing keyring operations on a single running server. To perform a migration if multiple running servers are using the keystores involved, use this procedure:

1. Connect to each running server manually and set `keyring_operations=OFF`. This ensures that no running server is using the source or destination keystore and satisfies the required condition for offline migration.
2. Use a migration server or `mysql_migrate_keyring` to perform an offline key migration for each paused server.

3. Connect to each running server manually and set `keyring_operations=ON`.

All running servers must support the `keyring_operations` system variable. Any server that does not must be stopped before the migration and restarted after.

6.4.15 General-Purpose Keyring Key-Management Functions

MySQL Server supports a keyring service that enables internal components and plugins to store sensitive information securely for later retrieval.

MySQL Server also includes an SQL interface for keyring key management, implemented as a set of general-purpose functions that access the capabilities provided by the internal keyring service. The keyring functions are contained in a plugin library file, which also contains a `keyring_udf` plugin that must be enabled prior to function invocation. For these functions to be used, a keyring plugin such as `keyring_file` or `keyring_okv`, or a keyring component such as `component_keyring_file` or `component_keyring_encrypted_file`, must be enabled.

The functions described here are general-purpose and intended for use with any keyring component or plugin. A given keyring component or plugin may also provide functions of its own that are intended for use only with that component or plugin; see Section 6.4.16, “Plugin-Specific Keyring Key-Management Functions”.

The following sections provide installation instructions for the keyring functions and demonstrate how to use them. For information about the keyring service functions invoked by these functions, see The Keyring Service. For general keyring information, see Section 6.4, “The MySQL Keyring”.

- Installing or Uninstalling General-Purpose Keyring Functions
- Using General-Purpose Keyring Functions
- General-Purpose Keyring Function Reference

Installing or Uninstalling General-Purpose Keyring Functions

This section describes how to install or uninstall the keyring functions, which are implemented in a plugin library file that also contains a `keyring_udf` plugin. For general information about installing or uninstalling plugins and loadable functions, see Installing and Uninstalling Plugins, and Installing and Uninstalling Loadable Functions.

The keyring functions enable keyring key management operations, but the `keyring_udf` plugin must also be installed because the functions do not work correctly without it. Attempts to use the functions without the `keyring_udf` plugin result in an error.

To be usable by the server, the plugin library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

The plugin library file base name is `keyring_udf`. The file name suffix differs per platform (for example, `.so` for Unix and Unix-like systems, `.dll` for Windows).

To install the `keyring_udf` plugin and the keyring functions, use the `INSTALL PLUGIN` and `CREATE FUNCTION` statements, adjusting the `.so` suffix for your platform as necessary:

```
INSTALL PLUGIN keyring_udf SONAME 'keyring_udf.so';
CREATE FUNCTION keyring_key_generate RETURNS INTEGER
  SONAME 'keyring_udf.so';
CREATE FUNCTION keyring_key_fetch RETURNS STRING
  SONAME 'keyring_udf.so';
CREATE FUNCTION keyring_key_length_fetch RETURNS INTEGER
  SONAME 'keyring_udf.so';
CREATE FUNCTION keyring_key_type_fetch RETURNS STRING
  SONAME 'keyring_udf.so';
CREATE FUNCTION keyring_key_store RETURNS INTEGER
  SONAME 'keyring_udf.so';
```

```
CREATE FUNCTION keyring_key_remove RETURNS INTEGER
  SONAME 'keyring_udf.so';
```

If the plugin and functions are used on a source replication server, install them on all replicas as well to avoid replication issues.

Once installed as just described, the plugin and functions remain installed until uninstalled. To remove them, use the `UNINSTALL PLUGIN` and `DROP FUNCTION` statements:

```
UNINSTALL PLUGIN keyring_udf;
DROP FUNCTION keyring_key_generate;
DROP FUNCTION keyring_key_fetch;
DROP FUNCTION keyring_key_length_fetch;
DROP FUNCTION keyring_key_type_fetch;
DROP FUNCTION keyring_key_store;
DROP FUNCTION keyring_key_remove;
```

Using General-Purpose Keyring Functions

Before using the keyring general-purpose functions, install them according to the instructions provided in Installing or Uninstalling General-Purpose Keyring Functions.

The keyring functions are subject to these constraints:

- To use any keyring function, the `keyring_udf` plugin must be enabled. Otherwise, an error occurs:

  ```
  ERROR 1123 (HY000): Can't initialize function 'keyring_key_generate';
  This function requires keyring_udf plugin which is not installed.
  Please install
  ```

  To install the `keyring_udf` plugin, see Installing or Uninstalling General-Purpose Keyring Functions.

- The keyring functions invoke keyring service functions (see The Keyring Service). The service functions in turn use whatever keyring plugin is installed (for example, `keyring_file` or `keyring_okv`). Therefore, to use any keyring function, some underlying keyring plugin must be enabled. Otherwise, an error occurs:

  ```
  ERROR 3188 (HY000): Function 'keyring_key_generate' failed because
  underlying keyring service returned an error. Please check if a
  keyring plugin is installed and that provided arguments are valid
  for the keyring you are using.
  ```

  To install a keyring plugin, see Section 6.4.3, “Keyring Plugin Installation”.

- A user must possess the global `EXECUTE` privilege to use any keyring function. Otherwise, an error occurs:

  ```
  ERROR 1123 (HY000): Can't initialize function 'keyring_key_generate';
  The user is not privileged to execute this function. User needs to
  have EXECUTE
  ```

  To grant the global `EXECUTE` privilege to a user, use this statement:

  ```
  GRANT EXECUTE ON *.* TO user;
  ```

  Alternatively, should you prefer to avoid granting the global `EXECUTE` privilege while still permitting users to access specific key-management operations, “wrapper” stored programs can be defined (a technique described later in this section).

- A key stored in the keyring by a given user can be manipulated later only by the same user. That is, the value of the `CURRENT_USER()` function at the time of key manipulation must have the same value as when the key was stored in the keyring. (This constraint rules out the use of the keyring functions for manipulation of instance-wide keys, such as those created by `InnoDB` to support tablespace encryption.)

To enable multiple users to perform operations on the same key, “wrapper” stored programs can be defined (a technique described later in this section).

- Keyring functions support the key types and lengths supported by the underlying keyring plugin. For information about keys specific to a particular keyring plugin, see Section 6.4.13, “Supported Keyring Key Types and Lengths”.

To create a new random key and store it in the keyring, call `keyring_key_generate()`, passing to it an ID for the key, along with the key type (encryption method) and its length in bytes. The following call creates a 2,048-bit DSA-encrypted key named `MyKey`:

```
mysql> SELECT keyring_key_generate('MyKey', 'DSA', 256);
+-----------------------------------------+
| keyring_key_generate('MyKey', 'DSA', 256) |
+-----------------------------------------+
|                                       1 |
+-----------------------------------------+
```

A return value of 1 indicates success. If the key cannot be created, the return value is `NULL` and an error occurs. One reason this might be is that the underlying keyring plugin does not support the specified combination of key type and key length; see Section 6.4.13, “Supported Keyring Key Types and Lengths”.

To be able to check the return type regardless of whether an error occurs, use `SELECT ... INTO @var_name` and test the variable value:

```
mysql> SELECT keyring_key_generate('', '', -1) INTO @x;
ERROR 3188 (HY000): Function 'keyring_key_generate' failed because
underlying keyring service returned an error. Please check if a
keyring plugin is installed and that provided arguments are valid
for the keyring you are using.
mysql> SELECT @x;
+------+
| @x   |
+------+
| NULL |
+------+
mysql> SELECT keyring_key_generate('x', 'AES', 16) INTO @x;
mysql> SELECT @x;
+------+
| @x   |
+------+
|    1 |
+------+
```

This technique also applies to other keyring functions that for failure return a value and an error.

The ID passed to `keyring_key_generate()` provides a means by which to refer to the key in subsequent functions calls. For example, use the key ID to retrieve its type as a string or its length in bytes as an integer:

```
mysql> SELECT keyring_key_type_fetch('MyKey');
+---------------------------------+
| keyring_key_type_fetch('MyKey') |
+---------------------------------+
| DSA                             |
+---------------------------------+
mysql> SELECT keyring_key_length_fetch('MyKey');
+----------------------------------+
| keyring_key_length_fetch('MyKey') |
+----------------------------------+
|                              256 |
+----------------------------------+
```

To retrieve a key value, pass the key ID to `keyring_key_fetch()`. The following example uses `HEX()` to display the key value because it may contain nonprintable characters. The example also uses a short key for brevity, but be aware that longer keys provide better security:

```
mysql> SELECT keyring_key_generate('MyShortKey', 'DSA', 8);
+-------------------------------------------+
| keyring_key_generate('MyShortKey', 'DSA', 8) |
+-------------------------------------------+
|                                         1 |
+-------------------------------------------+
mysql> SELECT HEX(keyring_key_fetch('MyShortKey'));
+-------------------------------------+
| HEX(keyring_key_fetch('MyShortKey')) |
+-------------------------------------+
| 1DB3B0FC3328A24C                    |
+-------------------------------------+
```

Keyring functions treat key IDs, types, and values as binary strings, so comparisons are case-sensitive. For example, IDs of `MyKey` and `mykey` refer to different keys.

To remove a key, pass the key ID to `keyring_key_remove()`:

```
mysql> SELECT keyring_key_remove('MyKey');
+----------------------------+
| keyring_key_remove('MyKey') |
+----------------------------+
|                          1 |
+----------------------------+
```

To obfuscate and store a key that you provide, pass the key ID, type, and value to `keyring_key_store()`:

```
mysql> SELECT keyring_key_store('AES_key', 'AES', 'Secret string');
+-----------------------------------------------------+
| keyring_key_store('AES_key', 'AES', 'Secret string') |
+-----------------------------------------------------+
|                                                   1 |
+-----------------------------------------------------+
```

As indicated previously, a user must have the global `EXECUTE` privilege to call keyring functions, and the user who stores a key in the keyring initially must be the same user who performs subsequent operations on the key later, as determined from the `CURRENT_USER()` value in effect for each function call. To permit key operations to users who do not have the global `EXECUTE` privilege or who may not be the key “owner,” use this technique:

1. Define “wrapper” stored programs that encapsulate the required key operations and have a `DEFINER` value equal to the key owner.
2. Grant the `EXECUTE` privilege for specific stored programs to the individual users who should be able to invoke them.
3. If the operations implemented by the wrapper stored programs do not include key creation, create any necessary keys in advance, using the account named as the `DEFINER` in the stored program definitions.

This technique enables keys to be shared among users and provides to DBAs more fine-grained control over who can do what with keys, without having to grant global privileges.

The following example shows how to set up a shared key named `SharedKey` that is owned by the DBA, and a `get_shared_key()` stored function that provides access to the current key value. The value can be retrieved by any user with the `EXECUTE` privilege for that function, which is created in the `key_schema` schema.

From a MySQL administrative account (`'root'@'localhost'` in this example), create the administrative schema and the stored function to access the key:

```
mysql> CREATE SCHEMA key_schema;
mysql> CREATE DEFINER = 'root'@'localhost'
       FUNCTION key_schema.get_shared_key()
       RETURNS BLOB READS SQL DATA
       RETURN keyring_key_fetch('SharedKey');
```

From the administrative account, ensure that the shared key exists:

```
mysql> SELECT keyring_key_generate('SharedKey', 'DSA', 8);
+-------------------------------------------+
| keyring_key_generate('SharedKey', 'DSA', 8) |
+-------------------------------------------+
|                                         1 |
+-------------------------------------------+
```

From the administrative account, create an ordinary user account to which key access is to be granted:

```
mysql> CREATE USER 'key_user'@'localhost'
       IDENTIFIED BY 'key_user_pwd';
```

From the `key_user` account, verify that, without the proper `EXECUTE` privilege, the new account cannot access the shared key:

```
mysql> SELECT HEX(key_schema.get_shared_key());
ERROR 1370 (42000): execute command denied to user 'key_user'@'localhost'
for routine 'key_schema.get_shared_key'
```

From the administrative account, grant `EXECUTE` to `key_user` for the stored function:

```
mysql> GRANT EXECUTE ON FUNCTION key_schema.get_shared_key
       TO 'key_user'@'localhost';
```

From the `key_user` account, verify that the key is now accessible:

```
mysql> SELECT HEX(key_schema.get_shared_key());
+---------------------------------+
| HEX(key_schema.get_shared_key()) |
+---------------------------------+
| 9BAFB9E75CEEB013                |
+---------------------------------+
```

General-Purpose Keyring Function Reference

For each general-purpose keyring function, this section describes its purpose, calling sequence, and return value. For information about the conditions under which these functions can be invoked, see Using General-Purpose Keyring Functions.

- `keyring_key_fetch(`*`key_id`*`)`

  Given a key ID, deobfuscates and returns the key value.

  Arguments:

  - *`key_id`*: A string that specifies the key ID.

  Return value:

  Returns the key value as a string for success, `NULL` if the key does not exist, or `NULL` and an error for failure.

  > **Note**
  >
  > Key values retrieved using `keyring_key_fetch()` are subject to the general keyring function limits described in Section 6.4.13, “Supported Keyring Key Types and Lengths”. A key value longer than that length can be stored using a keyring service function (see The Keyring Service), but if retrieved using `keyring_key_fetch()` is truncated to the general keyring function limit.

  Example:

```
mysql> SELECT keyring_key_generate('RSA_key', 'RSA', 16);
+-----------------------------------------+
```

```
| keyring_key_generate('RSA_key', 'RSA', 16) |
+--------------------------------------------+
|                                          1 |
+--------------------------------------------+
mysql> SELECT HEX(keyring_key_fetch('RSA_key'));
+----------------------------------+
| HEX(keyring_key_fetch('RSA_key')) |
+----------------------------------+
| 91C2253B696064D3556984B6630F891A  |
+----------------------------------+
mysql> SELECT keyring_key_type_fetch('RSA_key');
+----------------------------------+
| keyring_key_type_fetch('RSA_key') |
+----------------------------------+
| RSA                              |
+----------------------------------+
mysql> SELECT keyring_key_length_fetch('RSA_key');
+-----------------------------------+
| keyring_key_length_fetch('RSA_key') |
+-----------------------------------+
|                                16 |
+-----------------------------------+
```

The example uses `HEX()` to display the key value because it may contain nonprintable characters. The example also uses a short key for brevity, but be aware that longer keys provide better security.

- `keyring_key_generate(`*`key_id`*`, `*`key_type`*`, `*`key_length`*`)`

  Generates a new random key with a given ID, type, and length, and stores it in the keyring. The type and length values must be consistent with the values supported by the underlying keyring plugin. See Section 6.4.13, “Supported Keyring Key Types and Lengths”.

  Arguments:

  - *`key_id`*: A string that specifies the key ID.
  - *`key_type`*: A string that specifies the key type.
  - *`key_length`*: An integer that specifies the key length in bytes.

  Return value:

  Returns 1 for success, or `NULL` and an error for failure.

  Example:

```
mysql> SELECT keyring_key_generate('RSA_key', 'RSA', 384);
+--------------------------------------------+
| keyring_key_generate('RSA_key', 'RSA', 384) |
+--------------------------------------------+
|                                          1 |
+--------------------------------------------+
```

- `keyring_key_length_fetch(`*`key_id`*`)`

  Given a key ID, returns the key length.

  Arguments:

  - *`key_id`*: A string that specifies the key ID.

  Return value:

  Returns the key length in bytes as an integer for success, `NULL` if the key does not exist, or `NULL` and an error for failure.

  Example:

See the description of `keyring_key_fetch()`.

- `keyring_key_remove(key_id)`

  Removes the key with a given ID from the keyring.

  Arguments:

  - *`key_id`*: A string that specifies the key ID.

  Return value:

  Returns 1 for success, or `NULL` for failure.

  Example:

```
mysql> SELECT keyring_key_remove('AES_key');
+------------------------------+
| keyring_key_remove('AES_key') |
+------------------------------+
|                            1 |
+------------------------------+
```

- `keyring_key_store(key_id, key_type, key)`

  Obfuscates and stores a key in the keyring.

  Arguments:

  - *`key_id`*: A string that specifies the key ID.
  - *`key_type`*: A string that specifies the key type.
  - *`key`*: A string that specifies the key value.

  Return value:

  Returns 1 for success, or `NULL` and an error for failure.

  Example:

```
mysql> SELECT keyring_key_store('new key', 'DSA', 'My key value');
+-----------------------------------------------------+
| keyring_key_store('new key', 'DSA', 'My key value') |
+-----------------------------------------------------+
|                                                   1 |
+-----------------------------------------------------+
```

- `keyring_key_type_fetch(key_id)`

  Given a key ID, returns the key type.

  Arguments:

  - *`key_id`*: A string that specifies the key ID.

  Return value:

  Returns the key type as a string for success, `NULL` if the key does not exist, or `NULL` and an error for failure.

  Example:

  See the description of `keyring_key_fetch()`.

6.4.16 Plugin-Specific Keyring Key-Management Functions

For each keyring plugin-specific function, this section describes its purpose, calling sequence, and return value. For information about general-purpose keyring functions, see Section 6.4.15, “General-Purpose Keyring Key-Management Functions”.

- `keyring_aws_rotate_cmk()`

  Associated keyring plugin: `keyring_aws`

  `keyring_aws_rotate_cmk()` rotates the AWS KMS key. Rotation changes only the key that AWS KMS uses for subsequent data key-encryption operations. AWS KMS maintains previous CMK versions, so keys generated using previous CMKs remain decryptable after rotation.

  Rotation changes the CMK value used inside AWS KMS but does not change the ID used to refer to it, so there is no need to change the `keyring_aws_cmk_id` system variable after calling `keyring_aws_rotate_cmk()`.

  This function requires the `SUPER` privilege.

  Arguments:

  None.

  Return value:

  Returns 1 for success, or `NULL` and an error for failure.

- `keyring_aws_rotate_keys()`

  Associated keyring plugin: `keyring_aws`

  `keyring_aws_rotate_keys()` rotates keys stored in the `keyring_aws` storage file named by the `keyring_aws_data_file` system variable. Rotation sends each key stored in the file to AWS KMS for re-encryption using the value of the `keyring_aws_cmk_id` system variable as the CMK value, and stores the new encrypted keys in the file.

  `keyring_aws_rotate_keys()` is useful for key re-encryption under these circumstances:

  - After rotating the CMK; that is, after invoking the `keyring_aws_rotate_cmk()` function.
  - After changing the `keyring_aws_cmk_id` system variable to a different key value.

  This function requires the `SUPER` privilege.

  Arguments:

  None.

  Return value:

  Returns 1 for success, or `NULL` and an error for failure.

- `keyring_hashicorp_update_config()`

  Associated keyring plugin: `keyring_hashicorp`

  When invoked, the `keyring_hashicorp_update_config()` function causes `keyring_hashicorp` to perform a runtime reconfiguration, as described in keyring_hashicorp Configuration.

This function requires the `SYSTEM_VARIABLES_ADMIN` privilege because it modifies global system variables.

Arguments:

None.

Return value:

Returns the string `'Configuration update was successful.'` for success, or `'Configuration update failed.'` for failure.

6.4.17 Keyring Metadata

This section describes sources of information about keyring use.

To see whether a keyring plugin is loaded, check the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
       FROM INFORMATION_SCHEMA.PLUGINS
       WHERE PLUGIN_NAME LIKE 'keyring%';
+--------------+---------------+
| PLUGIN_NAME  | PLUGIN_STATUS |
+--------------+---------------+
| keyring_file | ACTIVE        |
+--------------+---------------+
```

To see which keys exist, check the Performance Schema `keyring_keys` table:

```
mysql> SELECT * FROM performance_schema.keyring_keys;
+-----------------------------+--------------+----------------+
| KEY_ID                      | KEY_OWNER    | BACKEND_KEY_ID |
+-----------------------------+--------------+----------------+
| audit_log-20210322T130749-1 |              |                |
| MyKey                       | me@localhost |                |
| YourKey                     | me@localhost |                |
+-----------------------------+--------------+----------------+
```

To see whether a keyring component is loaded, check the Performance Schema `keyring_component_status` table. For example:

```
mysql> SELECT * FROM performance_schema.keyring_component_status;
+--------------------+-------------------------------------------------+
| STATUS_KEY         | STATUS_VALUE                                    |
+--------------------+-------------------------------------------------+
| Component_name     | component_keyring_file                          |
| Author             | Oracle Corporation                              |
| License            | GPL                                             |
| Implementation_name | component_keyring_file                          |
| Version            | 1.0                                             |
| Component_status   | Active                                          |
| Data_file          | /usr/local/mysql/keyring/component_keyring_file |
| Read_only          | No                                              |
+--------------------+-------------------------------------------------+
```

A `Component_status` value of `Active` indicates that the component initialized successfully. If the component loaded but failed to initialize, the value is `Disabled`.

6.4.18 Keyring Command Options

MySQL supports the following keyring-related command-line options:

- `--keyring-migration-destination=`*`plugin`*

Command-Line Format	`--keyring-migration-destination=plugin_name`
Type	String

The destination keyring plugin for key migration. See Section 6.4.14, “Migrating Keys Between Keyring Keystores”. The option value interpretation depends on whether `--keyring-migration-to-component` is specified:

- If no, the option value is a keyring plugin, interpreted the same way as for `--keyring-migration-source`.
- If yes, the option value is a keyring component, specified as the component library name in the plugin directory, including any platform-specific extension such as `.so` or `.dll`.

> **Note**
>
> `--keyring-migration-source` and `--keyring-migration-destination` are mandatory for all keyring migration operations. The source and destination plugins must differ, and the migration server must support both plugins.

- `--keyring-migration-host=`*`host_name`*

Command-Line Format	`--keyring-migration-host=host_name`
Type	String
Default Value	`localhost`

The host location of the running server that is currently using one of the key migration keystores. See Section 6.4.14, “Migrating Keys Between Keyring Keystores”. Migration always occurs on the local host, so the option always specifies a value for connecting to a local server, such as `localhost`, `127.0.0.1`, `::1`, or the local host IP address or host name.

- `--keyring-migration-password[=`*`password`*`]`

Command-Line Format	`--keyring-migration-password[=password]`
Type	String

The password of the MySQL account used for connecting to the running server that is currently using one of the key migration keystores. See Section 6.4.14, “Migrating Keys Between Keyring Keystores”.

The password value is optional. If not given, the server prompts for one. If given, there must be *no space* between `--keyring-migration-password=` and the password following it. If no password option is specified, the default is to send no password.

Specifying a password on the command line should be considered insecure. See Section 2.2.1, “End-User Guidelines for Password Security”. You can use an option file to avoid giving the password on the command line. In this case, the file should have a restrictive mode and be accessible only to the account used to run the migration server.

- `--keyring-migration-port=`*`port_num`*

Command-Line Format	`--keyring-migration-port=port_num`
Type	Numeric

Default Value	3306

For TCP/IP connections, the port number for connecting to the running server that is currently using one of the key migration keystores. See Section 6.4.14, “Migrating Keys Between Keyring Keystores”.

- `--keyring-migration-socket=path`

Command-Line Format	--keyring-migration-socket={file_name|pipe_name}
Type	String

For Unix socket file or Windows named pipe connections, the socket file or named pipe for connecting to the running server that is currently using one of the key migration keystores. See Section 6.4.14, “Migrating Keys Between Keyring Keystores”.

- `--keyring-migration-source=plugin`

Command-Line Format	--keyring-migration-source=plugin_name
Type	String

The source keyring plugin for key migration. See Section 6.4.14, “Migrating Keys Between Keyring Keystores”.

The option value is similar to that for `--plugin-load`, except that only one plugin library can be specified. The value is given as *`plugin_library`* or *`name=plugin_library`*, where *`plugin_library`* is the name of a library file that contains plugin code, and *`name`* is the name of a plugin to load. If a plugin library is named without any preceding plugin name, the server loads all plugins in the library. With a preceding plugin name, the server loads only the named plugin from the library. The server looks for plugin library files in the directory named by the `plugin_dir` system variable.

> **Note**
>
> `--keyring-migration-source` and `--keyring-migration-destination` are mandatory for all keyring migration operations. The source and destination plugins must differ, and the migration server must support both plugins.

- `--keyring-migration-to-component`

Command-Line Format	--keyring-migration-to-component[={OFF|ON}]
Type	Boolean
Default Value	OFF

Indicates that a key migration is from a keyring plugin to a keyring component. This option makes it possible to migrate keys from any keyring plugin to any keyring component, which facilitates transitioning a MySQL installation from keyring plugins to keyring components.

For key migration from one keyring component to another, use the `mysql_migrate_keyring` utility. Migration from a keyring component to a keyring plugin is not supported. See Section 6.4.14, “Migrating Keys Between Keyring Keystores”.

- `--keyring-migration-user=user_name`

Command-Line Format	--keyring-migration-user=user_name

Type	String

The user name of the MySQL account used for connecting to the running server that is currently using one of the key migration keystores. See Section 6.4.14, “Migrating Keys Between Keyring Keystores”.

6.4.19 Keyring System Variables

MySQL Keyring plugins support the following system variables. Use them to configure keyring plugin operation. These variables are unavailable unless the appropriate keyring plugin is installed (see Section 6.4.3, “Keyring Plugin Installation”).

- `keyring_aws_cmk_id`

Command-Line Format	`--keyring-aws-cmk-id=value`
System Variable	`keyring_aws_cmk_id`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String

The KMS key ID obtained from the AWS KMS server and used by the `keyring_aws` plugin. This variable is unavailable unless that plugin is installed.

This variable is mandatory. If not specified, `keyring_aws` initialization fails.

- `keyring_aws_conf_file`

Command-Line Format	`--keyring-aws-conf-file=file_name`
System Variable	`keyring_aws_conf_file`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	File name
Default Value	`platform specific`

The location of the configuration file for the `keyring_aws` plugin. This variable is unavailable unless that plugin is installed.

At plugin startup, `keyring_aws` reads the AWS secret access key ID and key from the configuration file. For the `keyring_aws` plugin to start successfully, the configuration file must exist and contain valid secret access key information, initialized as described in Section 6.4.9, “Using the keyring_aws Amazon Web Services Keyring Plugin”.

The default file name is `keyring_aws_conf`, located in the default keyring file directory. The location of this default directory is the same as for the `keyring_file_data` system variable. See the description of that variable for details, as well as for considerations to take into account if you create the directory manually.

- `keyring_aws_data_file`

Command-Line Format	`--keyring-aws-data-file`
System Variable	`keyring_aws_data_file`
Scope	Global

Dynamic	No
SET_VAR Hint Applies	No
Type	File name
Default Value	platform specific

The location of the storage file for the keyring_aws plugin. This variable is unavailable unless that plugin is installed.

At plugin startup, if the value assigned to keyring_aws_data_file specifies a file that does not exist, the keyring_aws plugin attempts to create it (as well as its parent directory, if necessary). If the file does exist, keyring_aws reads any encrypted keys contained in the file into its in-memory cache. keyring_aws does not cache unencrypted keys in memory.

The default file name is keyring_aws_data, located in the default keyring file directory. The location of this default directory is the same as for the keyring_file_data system variable. See the description of that variable for details, as well as for considerations to take into account if you create the directory manually.

- keyring_aws_region

Command-Line Format	--keyring-aws-region=value
System Variable	keyring_aws_region
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	Enumeration
Default Value	us-east-1
Valid Values	af-south-1 ap-east-1 ap-northeast-1 ap-northeast-2 ap-northeast-3 ap-south-1 ap-southeast-1 ap-southeast-2 ca-central-1 cn-north-1 cn-northwest-1 eu-central-1 eu-north-1 eu-south-1 eu-west-1

	`eu-west-2`
	`eu-west-3`
	`me-south-1`
	`sa-east-1`
	`us-east-1`
	`us-east-2`
	`us-gov-east-1`
	`us-iso-east-1`
	`us-iso-west-1`
	`us-isob-east-1`
	`us-west-1`
	`us-west-2`

The AWS region for the `keyring_aws` plugin. This variable is unavailable unless that plugin is installed.

If not set, the AWS region defaults to `us-east-1`. Thus, for any other region, this variable must be set explicitly.

- `keyring_encrypted_file_data`

Command-Line Format	`--keyring-encrypted-file-data=file_name`
Deprecated	Yes
System Variable	`keyring_encrypted_file_data`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	File name
Default Value	`platform specific`

> **Note**
>
> As of MySQL 8.0.34, the `keyring_encrypted_file` plugin is deprecated and subject to removal in a future version of MySQL. Consider using `component_keyring_encrypted_file` instead; the `component_keyring_encrypted_file` component supersedes the `keyring_encrypted_file` plugin.

The path name of the data file used for secure data storage by the `keyring_encrypted_file` plugin. This variable is unavailable unless that plugin is installed. The file location should be in a directory considered for use only by keyring plugins. For example, do not locate the file under the data directory.

Keyring operations are transactional: The `keyring_encrypted_file` plugin uses a backup file during write operations to ensure that it can roll back to the original file if an operation fails. The

backup file has the same name as the value of the keyring_encrypted_file_data system variable with a suffix of .backup.

Do not use the same keyring_encrypted_file data file for multiple MySQL instances. Each instance should have its own unique data file.

The default file name is keyring_encrypted, located in a directory that is platform specific and depends on the value of the INSTALL_LAYOUT CMake option, as shown in the following table. To specify the default directory for the file explicitly if you are building from source, use the INSTALL_MYSQLKEYRINGDIR CMake option.

INSTALL_LAYOUT Value	Default keyring_encrypted_file_data Value
DEB, RPM, SVR4	/var/lib/mysql-keyring/keyring_encrypted
Otherwise	keyring/keyring_encrypted under the CMAKE_INSTALL_PREFIX value

At plugin startup, if the value assigned to keyring_encrypted_file_data specifies a file that does not exist, the keyring_encrypted_file plugin attempts to create it (as well as its parent directory, if necessary).

If you create the directory manually, it should have a restrictive mode and be accessible only to the account used to run the MySQL server. For example, on Unix and Unix-like systems, to use the /usr/local/mysql/mysql-keyring directory, the following commands (executed as root) create the directory and set its mode and ownership:

```
cd /usr/local/mysql
mkdir mysql-keyring
chmod 750 mysql-keyring
chown mysql mysql-keyring
chgrp mysql mysql-keyring
```

If the keyring_encrypted_file plugin cannot create or access its data file, it writes an error message to the error log. If an attempted runtime assignment to keyring_encrypted_file_data results in an error, the variable value remains unchanged.

> **Important**
>
> Once the keyring_encrypted_file plugin has created its data file and started to use it, it is important not to remove the file. Loss of the file causes data encrypted using its keys to become inaccessible. (It is permissible to rename or move the file, as long as you change the value of keyring_encrypted_file_data to match.)

- keyring_encrypted_file_password

Command-Line Format	--keyring-encrypted-file-password=password
Deprecated	Yes
System Variable	keyring_encrypted_file_password
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No

Type	String

> **Note**
>
> As of MySQL 8.0.34, the `keyring_encrypted_file` plugin is deprecated and subject to removal in a future version of MySQL. Consider using `component_keyring_encrypted_file` instead; the `component_keyring_encrypted_file` component supersedes the `keyring_encrypted_file` plugin.

The password used by the `keyring_encrypted_file` plugin. This variable is unavailable unless that plugin is installed.

This variable is mandatory. If not specified, `keyring_encrypted_file` initialization fails.

If this variable is specified in an option file, the file should have a restrictive mode and be accessible only to the account used to run the MySQL server.

> **Important**
>
> Once the `keyring_encrypted_file_password` value has been set, changing it does not rotate the keyring password and could make the server inaccessible. If an incorrect password is provided, the `keyring_encrypted_file` plugin cannot load keys from the encrypted keyring file.

The password value cannot be displayed at runtime with `SHOW VARIABLES` or the Performance Schema `global_variables` table because the display value is obfuscated.

- `keyring_file_data`

Command-Line Format	`--keyring-file-data=file_name`
Deprecated	Yes
System Variable	`keyring_file_data`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	File name
Default Value	`platform specific`

> **Note**
>
> As of MySQL 8.0.34, the `keyring_file` plugin is deprecated and subject to removal in a future version of MySQL. Consider using `component_keyring_file` instead; the `component_keyring_file` component supersedes the `keyring_file` plugin.

The path name of the data file used for secure data storage by the `keyring_file` plugin. This variable is unavailable unless that plugin is installed. The file location should be in a directory

considered for use only by keyring plugins. For example, do not locate the file under the data directory.

Keyring operations are transactional: The `keyring_file` plugin uses a backup file during write operations to ensure that it can roll back to the original file if an operation fails. The backup file has the same name as the value of the `keyring_file_data` system variable with a suffix of `.backup`.

Do not use the same `keyring_file` data file for multiple MySQL instances. Each instance should have its own unique data file.

The default file name is `keyring`, located in a directory that is platform specific and depends on the value of the `INSTALL_LAYOUT` `CMake` option, as shown in the following table. To specify the default directory for the file explicitly if you are building from source, use the `INSTALL_MYSQLKEYRINGDIR` `CMake` option.

`INSTALL_LAYOUT` Value	**Default `keyring_file_data` Value**
`DEB`, `RPM`, `SVR4`	`/var/lib/mysql-keyring/keyring`
Otherwise	`keyring/keyring` under the `CMAKE_INSTALL_PREFIX` value

At plugin startup, if the value assigned to `keyring_file_data` specifies a file that does not exist, the `keyring_file` plugin attempts to create it (as well as its parent directory, if necessary).

If you create the directory manually, it should have a restrictive mode and be accessible only to the account used to run the MySQL server. For example, on Unix and Unix-like systems, to use the `/usr/local/mysql/mysql-keyring` directory, the following commands (executed as `root`) create the directory and set its mode and ownership:

```
cd /usr/local/mysql
mkdir mysql-keyring
chmod 750 mysql-keyring
chown mysql mysql-keyring
chgrp mysql mysql-keyring
```

If the `keyring_file` plugin cannot create or access its data file, it writes an error message to the error log. If an attempted runtime assignment to `keyring_file_data` results in an error, the variable value remains unchanged.

> **Important**
>
> Once the `keyring_file` plugin has created its data file and started to use it, it is important not to remove the file. For example, `InnoDB` uses the file to store the master key used to decrypt the data in tables that use `InnoDB` tablespace encryption; see InnoDB Data-at-Rest Encryption. Loss of the file causes data in such tables to become inaccessible. (It is permissible to rename or move the file, as long as you change the value of `keyring_file_data` to match.) It is recommended that you create a separate backup of the keyring data file immediately after you create the first encrypted table and before and after master key rotation.

- `keyring_hashicorp_auth_path`

Command-Line Format	`--keyring-hashicorp-auth-path=value`
System Variable	`keyring_hashicorp_auth_path`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No

Type	String
Default Value	`/v1/auth/approle/login`

The authentication path where AppRole authentication is enabled within the HashiCorp Vault server, for use by the `keyring_hashicorp` plugin. This variable is unavailable unless that plugin is installed.

- `keyring_hashicorp_ca_path`

Command-Line Format	`--keyring-hashicorp-ca-path=file_name`
System Variable	`keyring_hashicorp_ca_path`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	File name
Default Value	`empty string`

The absolute path name of a local file accessible to the MySQL server that contains a properly formatted TLS certificate authority for use by the `keyring_hashicorp` plugin. This variable is unavailable unless that plugin is installed.

If this variable is not set, the `keyring_hashicorp` plugin opens an HTTPS connection without using server certificate verification, and trusts any certificate delivered by the HashiCorp Vault server. For this to be safe, it must be assumed that the Vault server is not malicious and that no man-in-the-middle attack is possible. If those assumptions are invalid, set `keyring_hashicorp_ca_path` to the path of a trusted CA certificate. (For example, for the instructions in Certificate and Key Preparation, this is the `company.crt` file.)

- `keyring_hashicorp_caching`

Command-Line Format	`--keyring-hashicorp-caching[={OFF|ON}]`
System Variable	`keyring_hashicorp_caching`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`OFF`

Whether to enable the optional in-memory key cache used by the `keyring_hashicorp` plugin to cache keys from the HashiCorp Vault server. This variable is unavailable unless that plugin is installed. If the cache is enabled, the plugin populates it during initialization. Otherwise, the plugin populates only the key list during initialization.

Enabling the cache is a compromise: It improves performance, but maintains a copy of sensitive key information in memory, which may be undesirable for security purposes.

- `keyring_hashicorp_commit_auth_path`

System Variable	`keyring_hashicorp_commit_auth_path`
Scope	Global
Dynamic	No

SET_VAR Hint Applies	No
Type	String

This variable is associated with keyring_hashicorp_auth_path, from which it takes its value during keyring_hashicorp plugin initialization. This variable is unavailable unless that plugin is installed. It reflects the “committed” value actually used for plugin operation if initialization succeeds. For additional information, see keyring_hashicorp Configuration.

- keyring_hashicorp_commit_ca_path

System Variable	keyring_hashicorp_commit_ca_path
Scope	Global
Dynamic	No
SET_VAR Hint Applies	No
Type	String

This variable is associated with keyring_hashicorp_ca_path, from which it takes its value during keyring_hashicorp plugin initialization. This variable is unavailable unless that plugin is installed. It reflects the “committed” value actually used for plugin operation if initialization succeeds. For additional information, see keyring_hashicorp Configuration.

- keyring_hashicorp_commit_caching

System Variable	keyring_hashicorp_commit_caching
Scope	Global
Dynamic	No
SET_VAR Hint Applies	No
Type	String

This variable is associated with keyring_hashicorp_caching, from which it takes its value during keyring_hashicorp plugin initialization. This variable is unavailable unless that plugin is installed. It reflects the “committed” value actually used for plugin operation if initialization succeeds. For additional information, see keyring_hashicorp Configuration.

- keyring_hashicorp_commit_role_id

System Variable	keyring_hashicorp_commit_role_id
Scope	Global
Dynamic	No
SET_VAR Hint Applies	No
Type	String

This variable is associated with keyring_hashicorp_role_id, from which it takes its value during keyring_hashicorp plugin initialization. This variable is unavailable unless that plugin is installed. It reflects the “committed” value actually used for plugin operation if initialization succeeds. For additional information, see keyring_hashicorp Configuration.

- keyring_hashicorp_commit_server_url

System Variable	keyring_hashicorp_commit_server_url
Scope	Global
Dynamic	No

SET_VAR Hint Applies	No
Type	String

This variable is associated with keyring_hashicorp_server_url, from which it takes its value during keyring_hashicorp plugin initialization. This variable is unavailable unless that plugin is installed. It reflects the "committed" value actually used for plugin operation if initialization succeeds. For additional information, see keyring_hashicorp Configuration.

- keyring_hashicorp_commit_store_path

System Variable	keyring_hashicorp_commit_store_path
Scope	Global
Dynamic	No
SET_VAR Hint Applies	No
Type	String

This variable is associated with keyring_hashicorp_store_path, from which it takes its value during keyring_hashicorp plugin initialization. This variable is unavailable unless that plugin is installed. It reflects the "committed" value actually used for plugin operation if initialization succeeds. For additional information, see keyring_hashicorp Configuration.

- keyring_hashicorp_role_id

Command-Line Format	--keyring-hashicorp-role-id=value
System Variable	keyring_hashicorp_role_id
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	String
Default Value	empty string

The HashiCorp Vault AppRole authentication role ID, for use by the keyring_hashicorp plugin. This variable is unavailable unless that plugin is installed. The value must be in UUID format.

This variable is mandatory. If not specified, keyring_hashicorp initialization fails.

- keyring_hashicorp_secret_id

Command-Line Format	--keyring-hashicorp-secret-id=value
System Variable	keyring_hashicorp_secret_id
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	String
Default Value	empty string

The HashiCorp Vault AppRole authentication secret ID, for use by the keyring_hashicorp plugin. This variable is unavailable unless that plugin is installed. The value must be in UUID format.

This variable is mandatory. If not specified, keyring_hashicorp initialization fails.

The value of this variable is sensitive, so its value is masked by * characters when displayed.

- `keyring_hashicorp_server_url`

Command-Line Format	`--keyring-hashicorp-server-url=value`
System Variable	`keyring_hashicorp_server_url`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`https://127.0.0.1:8200`

The HashiCorp Vault server URL, for use by the `keyring_hashicorp` plugin. This variable is unavailable unless that plugin is installed. The value must begin with `https://`.

- `keyring_hashicorp_store_path`

Command-Line Format	`--keyring-hashicorp-store-path=value`
System Variable	`keyring_hashicorp_store_path`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	String
Default Value	`empty string`

A store path within the HashiCorp Vault server that is writeable when appropriate AppRole credentials are provided by the `keyring_hashicorp` plugin. This variable is unavailable unless that plugin is installed. To specify the credentials, set the `keyring_hashicorp_role_id` and `keyring_hashicorp_secret_id` system variables (for example, as shown in keyring_hashicorp Configuration).

This variable is mandatory. If not specified, `keyring_hashicorp` initialization fails.

- `keyring_oci_ca_certificate`

Command-Line Format	`--keyring-oci-ca-certificate=file_name`
Deprecated	Yes
System Variable	`keyring_oci_ca_certificate`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	String
Default Value	`empty string`

The path name of the CA certificate bundle file that the `keyring_oci` plugin uses for Oracle Cloud Infrastructure certificate verification. This variable is unavailable unless that plugin is installed.

The file contains one or more certificates for peer verification. If no file is specified, the default CA bundle installed on the system is used. If the value is `disabled` (case-sensitive), `keyring_oci` performs no certificate verification.

- `keyring_oci_compartment`

Command-Line Format	`--keyring-oci-compartment=ocid`
Deprecated	Yes
System Variable	`keyring_oci_compartment`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	String

The OCID of the tenancy compartment that the `keyring_oci` plugin uses as the location of the MySQL keys. This variable is unavailable unless that plugin is installed.

Prior to using `keyring_oci`, you must create a MySQL compartment or subcompartment if it does not exist. This compartment should contain no vault keys or vault secrets. It should not be used by systems other than MySQL Keyring.

For information about managing compartments and obtaining the OCID, see Managing Compartments.

This variable is mandatory. If not specified, `keyring_oci` initialization fails.

- `keyring_oci_encryption_endpoint`

Command-Line Format	`--keyring-oci-encryption-endpoint=value`
Deprecated	Yes
System Variable	`keyring_oci_encryption_endpoint`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	String

The endpoint of the Oracle Cloud Infrastructure encryption server that the `keyring_oci` plugin uses for generating ciphertext for new keys. This variable is unavailable unless that plugin is installed.

The encryption endpoint is vault specific and Oracle Cloud Infrastructure assigns it at vault-creation time. To obtain the endpoint OCID, view the configuration details for your `keyring_oci` vault, using the instructions at Managing Vaults.

This variable is mandatory. If not specified, `keyring_oci` initialization fails.

- `keyring_oci_key_file`

Command-Line Format	`--keyring-oci-key-file=file_name`
Deprecated	Yes
System Variable	`keyring_oci_key_file`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No

Type	String

The path name of the file containing the RSA private key that the `keyring_oci` plugin uses for Oracle Cloud Infrastructure authentication. This variable is unavailable unless that plugin is installed.

You must also upload the corresponding RSA public key using the Console. The Console displays the key fingerprint value, which you can use to set the `keyring_oci_key_fingerprint` system variable.

For information about generating and uploading API keys, see Required Keys and OCIDs.

This variable is mandatory. If not specified, `keyring_oci` initialization fails.

- `keyring_oci_key_fingerprint`

Command-Line Format	`--keyring-oci-key-fingerprint=value`
Deprecated	Yes
System Variable	`keyring_oci_key_fingerprint`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	String

The fingerprint of the RSA private key that the `keyring_oci` plugin uses for Oracle Cloud Infrastructure authentication. This variable is unavailable unless that plugin is installed.

To obtain the key fingerprint while creating the API keys, execute this command:

```
openssl rsa -pubout -outform DER -in ~/.oci/oci_api_key.pem | openssl md5 -c
```

Alternatively, obtain the fingerprint from the Console, which automatically displays the fingerprint when you upload the RSA public key.

For information about obtaining key fingerprints, see Required Keys and OCIDs.

This variable is mandatory. If not specified, `keyring_oci` initialization fails.

- `keyring_oci_management_endpoint`

Command-Line Format	`--keyring-oci-management-endpoint=value`
Deprecated	Yes
System Variable	`keyring_oci_management_endpoint`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No

Type	String

The endpoint of the Oracle Cloud Infrastructure key management server that the `keyring_oci` plugin uses for listing existing keys. This variable is unavailable unless that plugin is installed.

The key management endpoint is vault specific and Oracle Cloud Infrastructure assigns it at vault-creation time. To obtain the endpoint OCID, view the configuration details for your `keyring_oci` vault, using the instructions at Managing Vaults.

This variable is mandatory. If not specified, `keyring_oci` initialization fails.

- `keyring_oci_master_key`

Command-Line Format	`--keyring-oci-master-key=ocid`
Deprecated	Yes
System Variable	`keyring_oci_master_key`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	String

The OCID of the Oracle Cloud Infrastructure master encryption key that the `keyring_oci` plugin uses for encryption of secrets. This variable is unavailable unless that plugin is installed.

Prior to using `keyring_oci`, you must create a cryptographic key for the Oracle Cloud Infrastructure compartment if it does not exist. Provide a MySQL-specific name for the generated key, and do not use it for other purposes.

For information about key creation, see Managing Keys.

This variable is mandatory. If not specified, `keyring_oci` initialization fails.

- `keyring_oci_secrets_endpoint`

Command-Line Format	`--keyring-oci-secrets-endpoint=value`
Deprecated	Yes
System Variable	`keyring_oci_secrets_endpoint`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	String

The endpoint of the Oracle Cloud Infrastructure secrets server that the `keyring_oci` plugin uses for listing, creating, and retiring secrets. This variable is unavailable unless that plugin is installed.

The secrets endpoint is vault specific and Oracle Cloud Infrastructure assigns it at vault-creation time. To obtain the endpoint OCID, view the configuration details for your `keyring_oci` vault, using the instructions at Managing Vaults.

This variable is mandatory. If not specified, `keyring_oci` initialization fails.

- `keyring_oci_tenancy`

Command-Line Format	`--keyring-oci-tenancy=ocid`

Deprecated	Yes
System Variable	keyring_oci_tenancy
Scope	Global
Dynamic	No
SET_VAR Hint Applies	No
Type	String

The OCID of the Oracle Cloud Infrastructure tenancy that the keyring_oci plugin uses as the location of the MySQL compartment. This variable is unavailable unless that plugin is installed.

Prior to using keyring_oci, you must create a tenancy if it does not exist. To obtain the tenancy OCID from the Console, use the instructions at Required Keys and OCIDs.

This variable is mandatory. If not specified, keyring_oci initialization fails.

- keyring_oci_user

Command-Line Format	--keyring-oci-user=ocid
Deprecated	Yes
System Variable	keyring_oci_user
Scope	Global
Dynamic	No
SET_VAR Hint Applies	No
Type	String

The OCID of the Oracle Cloud Infrastructure user that the keyring_oci plugin uses for cloud connections. This variable is unavailable unless that plugin is installed.

Prior to using keyring_oci, this user must exist and be granted access to use the configured Oracle Cloud Infrastructure tenancy, compartment, and vault resources.

To obtain the user OCID from the Console, use the instructions at Required Keys and OCIDs.

This variable is mandatory. If not specified, keyring_oci initialization fails.

- keyring_oci_vaults_endpoint

Command-Line Format	--keyring-oci-vaults-endpoint=value
Deprecated	Yes
System Variable	keyring_oci_vaults_endpoint
Scope	Global
Dynamic	No
SET_VAR Hint Applies	No
Type	String

The endpoint of the Oracle Cloud Infrastructure vaults server that the keyring_oci plugin uses for obtaining the value of secrets. This variable is unavailable unless that plugin is installed.

The vaults endpoint is vault specific and Oracle Cloud Infrastructure assigns it at vault-creation time. To obtain the endpoint OCID, view the configuration details for your keyring_oci vault, using the instructions at Managing Vaults.

This variable is mandatory. If not specified, keyring_oci initialization fails.

- `keyring_oci_virtual_vault`

Command-Line Format	`--keyring-oci-virtual-vault=ocid`
Deprecated	Yes
System Variable	`keyring_oci_virtual_vault`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	String

The OCID of the Oracle Cloud Infrastructure Vault that the `keyring_oci` plugin uses for encryption operations. This variable is unavailable unless that plugin is installed.

Prior to using `keyring_oci`, you must create a new vault in the MySQL compartment if it does not exist. (Alternatively, you can reuse an existing vault that is in a parent compartment of the MySQL compartment.) Compartment users can see and use only the keys in their respective compartments.

For information about creating a vault and obtaining the vault OCID, see Managing Vaults.

This variable is mandatory. If not specified, `keyring_oci` initialization fails.

- `keyring_okv_conf_dir`

Command-Line Format	`--keyring-okv-conf-dir=dir_name`
System Variable	`keyring_okv_conf_dir`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Directory name
Default Value	`empty string`

The path name of the directory that stores configuration information used by the `keyring_okv` plugin. This variable is unavailable unless that plugin is installed. The location should be a directory considered for use only by the `keyring_okv` plugin. For example, do not locate the directory under the data directory.

The default `keyring_okv_conf_dir` value is empty. For the `keyring_okv` plugin to be able to access Oracle Key Vault, the value must be set to a directory that contains Oracle Key Vault configuration and SSL materials. For instructions on setting up this directory, see Section 6.4.8, “Using the keyring_okv KMIP Plugin”.

The directory should have a restrictive mode and be accessible only to the account used to run the MySQL server. For example, on Unix and Unix-like systems, to use the `/usr/local/mysql/mysql-keyring-okv` directory, the following commands (executed as `root`) create the directory and set its mode and ownership:

```
cd /usr/local/mysql
mkdir mysql-keyring-okv
chmod 750 mysql-keyring-okv
chown mysql mysql-keyring-okv
chgrp mysql mysql-keyring-okv
```

If the value assigned to `keyring_okv_conf_dir` specifies a directory that does not exist, or that does not contain configuration information that enables a connection to Oracle Key Vault to be established, `keyring_okv` writes an error message to the error log. If an attempted runtime

assignment to `keyring_okv_conf_dir` results in an error, the variable value and keyring operation remain unchanged.

- `keyring_operations`

System Variable	`keyring_operations`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`ON`

Whether keyring operations are enabled. This variable is used during key migration operations. See Section 6.4.14, “Migrating Keys Between Keyring Keystores”. The privileges required to modify this variable are `ENCRYPTION_KEY_ADMIN` in addition to either `SYSTEM_VARIABLES_ADMIN` or the deprecated `SUPER` privilege.

6.5 MySQL Enterprise Audit

> **Note**
>
> MySQL Enterprise Audit is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

MySQL Enterprise Edition includes MySQL Enterprise Audit, implemented using a server plugin named `audit_log`. MySQL Enterprise Audit uses the open MySQL Audit API to enable standard, policy-based monitoring, logging, and blocking of connection and query activity executed on specific MySQL servers. Designed to meet the Oracle audit specification, MySQL Enterprise Audit provides an out of box, easy to use auditing and compliance solution for applications that are governed by both internal and external regulatory guidelines.

When installed, the audit plugin enables MySQL Server to produce a log file containing an audit record of server activity. The log contents include when clients connect and disconnect, and what actions they perform while connected, such as which databases and tables they access. From MySQL 8.0.30, you can add statistics for the time and size of each query to detect outliers.

By default, MySQL Enterprise Audit uses tables in the `mysql` system database for persistent storage of filter and user account data. To use a different database, set the `audit_log_database` system variable at server startup (from MySQL 8.0.33).

After you install the audit plugin (see Section 6.5.2, “Installing or Uninstalling MySQL Enterprise Audit”), it writes an audit log file. By default, the file is named `audit.log` in the server data directory. To change the name of the file, set the `audit_log_file` system variable at server startup.

By default, audit log file contents are written in new-style XML format, without compression or encryption. To select the file format, set the `audit_log_format` system variable at server startup. For details on file format and contents, see Section 6.5.4, “Audit Log File Formats”.

For more information about controlling how logging occurs, including audit log file naming and format selection, see Section 6.5.5, “Configuring Audit Logging Characteristics”. To perform filtering of audited events, see Section 6.5.7, “Audit Log Filtering”. For descriptions of the parameters used to configure the audit log plugin, see Audit Log Options and Variables.

If the audit log plugin is enabled, the Performance Schema (see MySQL Performance Schema) has instrumentation for it. To identify the relevant instruments, use this query:

```
SELECT NAME FROM performance_schema.setup_instruments
```

```
WHERE NAME LIKE '%/alog/%';
```

6.5.1 Elements of MySQL Enterprise Audit

MySQL Enterprise Audit is based on the audit log plugin and related elements:

- A server-side plugin named `audit_log` examines auditable events and determines whether to write them to the audit log.
- A set of functions enables manipulation of filtering definitions that control logging behavior, the encryption password, and log file reading.
- Tables in the `mysql` system database provide persistent storage of filter and user account data, unless you set the `audit_log_database` system variable at server startup to specify a different database.
- System variables enable audit log configuration and status variables provide runtime operational information.
- The `AUDIT_ADMIN` privilege enable users to administer the audit log, and (from MySQL 8.0.28) the `AUDIT_ABORT_EXEMPT` privilege enables system users to execute queries that would otherwise be blocked by an “abort” item in the audit log filter.

6.5.2 Installing or Uninstalling MySQL Enterprise Audit

This section describes how to install or uninstall MySQL Enterprise Audit, which is implemented using the audit log plugin and related elements described in Section 6.5.1, “Elements of MySQL Enterprise Audit”. For general information about installing plugins, see Installing and Uninstalling Plugins.

Plugin upgrades are not automatic when you upgrade a MySQL installation and some plugin loadable functions must be loaded manually (see Installing Loadable Functions). Alternatively, you can reinstall the plugin after upgrading MySQL to load new functions.

> **Important**
>
> Read this entire section before following its instructions. Parts of the procedure differ depending on your environment.

> **Note**
>
> If installed, the `audit_log` plugin involves some minimal overhead even when disabled. To avoid this overhead, do not install MySQL Enterprise Audit unless you plan to use it.

To be usable by the server, the plugin library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

To install MySQL Enterprise Audit, look in the `share` directory of your MySQL installation and choose the script that is appropriate for your platform. The available scripts differ in the file name used to refer to the script:

- `audit_log_filter_win_install.sql`
- `audit_log_filter_linux_install.sql`

Run the script as follows. The example here uses the Linux installation script. Make the appropriate substitution for your system.

Prior to MySQL 8.0.34:

```
$> mysql -u root -p < audit_log_filter_linux_install.sql
```

```
Enter password: (enter root password here)
```

MySQL 8.0.34 and higher:

```
$> mysql -u root -p -D mysql < audit_log_filter_linux_install.sql
Enter password: (enter root password here)
```

Starting in MySQL 8.0.34, it is possible to select a database for storing JSON filter tables when you run the installation script. Create the database first; its name should not exceed 64 characters. For example:

```
mysql> CREATE DATABASE IF NOT EXISTS database-name;
```

Next, run the script using the alternative database name.

```
$> mysql -u root -p -D database-name < audit_log_filter_linux_install.sql
Enter password: (enter root password here)
```

> **Note**
>
> Some MySQL versions have introduced changes to the structure of the MySQL Enterprise Audit tables. To ensure that your tables are up to date for upgrades from earlier versions of MySQL 8.0, perform the MySQL upgrade procedure, making sure to use the option that forces an update (see Upgrading MySQL). If you prefer to run the update statements only for the MySQL Enterprise Audit tables, see the following discussion.
>
> As of MySQL 8.0.12, for new MySQL installations, the `USER` and `HOST` columns in the `audit_log_user` table used by MySQL Enterprise Audit have definitions that better correspond to the definitions of the `User` and `Host` columns in the `mysql.user` system table. For upgrades to an installation for which MySQL Enterprise Audit is already installed, it is recommended that you alter the table definitions as follows:
>
> ```
> ALTER TABLE mysql.audit_log_user
>   DROP FOREIGN KEY audit_log_user_ibfk_1;
> ALTER TABLE mysql.audit_log_filter
>   CONVERT TO CHARACTER SET utf8mb4 COLLATE utf8mb4_0900_as_ci;
> ALTER TABLE mysql.audit_log_user
>   CONVERT TO CHARACTER SET utf8mb4 COLLATE utf8mb4_0900_as_ci;
> ALTER TABLE mysql.audit_log_user
>   MODIFY COLUMN USER VARCHAR(32);
> ALTER TABLE mysql.audit_log_user
>   ADD FOREIGN KEY (FILTERNAME) REFERENCES mysql.audit_log_filter(NAME);
> ```

> **Note**
>
> To use MySQL Enterprise Audit in the context of source/replica replication, Group Replication, or InnoDB Cluster, you must prepare the replica nodes prior to running the installation script on the source node. This is necessary because the `INSTALL PLUGIN` statement in the script is not replicated.
>
> 1. On each replica node, extract the `INSTALL PLUGIN` statement from the installation script and execute it manually.
> 2. On the source node, run the installation script as described previously.

To verify plugin installation, examine the Information Schema `PLUGINS` table or use the `SHOW PLUGINS` statement (see Obtaining Server Plugin Information). For example:

```
mysql> SELECT PLUGIN_NAME, PLUGIN_STATUS
       FROM INFORMATION_SCHEMA.PLUGINS
       WHERE PLUGIN_NAME LIKE 'audit%';
+-------------+---------------+
| PLUGIN_NAME | PLUGIN_STATUS |
+-------------+---------------+
```

```
| audit_log   | ACTIVE        |
+-------------+---------------+
```

If the plugin fails to initialize, check the server error log for diagnostic messages.

After MySQL Enterprise Audit is installed, you can use the `--audit-log` option for subsequent server startups to control `audit_log` plugin activation. For example, to prevent the plugin from being removed at runtime, use this option:

```
[mysqld]
audit-log=FORCE_PLUS_PERMANENT
```

If it is desired to prevent the server from running without the audit plugin, use `--audit-log` with a value of `FORCE` or `FORCE_PLUS_PERMANENT` to force server startup to fail if the plugin does not initialize successfully.

> **Important**
>
> By default, rule-based audit log filtering logs no auditable events for any users. This differs from legacy audit log behavior, which logs all auditable events for all users (see Section 6.5.10, “Legacy Mode Audit Log Filtering”). Should you wish to produce log-everything behavior with rule-based filtering, create a simple filter to enable logging and assign it to the default account:
>
> ```
> SELECT audit_log_filter_set_filter('log_all', '{ "filter": { "log": true } }');
> SELECT audit_log_filter_set_user('%', 'log_all');
> ```
>
> The filter assigned to `%` is used for connections from any account that has no explicitly assigned filter (which initially is true for all accounts).

When installed as just described, MySQL Enterprise Audit remains installed until uninstalled. To remove it in MySQL 8.0.35 and later, run the uninstall script located in the `share` directory of your MySQL installation. The example here specifies the default system database, `mysql`. Make the appropriate substitution for your system.

```
$> mysql -u root -p -D mysql < audit_log_filter_uninstall.sql
Enter password: (enter root password here)
```

If the script is not available, execute the following statements to remove the tables, plugin, and functions manually.

```
DROP TABLE IF EXISTS mysql.audit_log_user;
DROP TABLE IF EXISTS mysql.audit_log_filter;
UNINSTALL PLUGIN audit_log;
DROP FUNCTION audit_log_filter_set_filter;
DROP FUNCTION audit_log_filter_remove_filter;
DROP FUNCTION audit_log_filter_set_user;
DROP FUNCTION audit_log_filter_remove_user;
DROP FUNCTION audit_log_filter_flush;
DROP FUNCTION audit_log_encryption_password_get;
DROP FUNCTION audit_log_encryption_password_set;
DROP FUNCTION audit_log_read;
DROP FUNCTION audit_log_read_bookmark;
DROP FUNCTION audit_log_rotate;
```

6.5.3 MySQL Enterprise Audit Security Considerations

By default, contents of audit log files produced by the audit log plugin are not encrypted and may contain sensitive information, such as the text of SQL statements. For security reasons, audit log files should be written to a directory accessible only to the MySQL server and to users with a legitimate reason to view the log. The default file name is `audit.log` in the data directory. This can be changed by setting the `audit_log_file` system variable at server startup. Other audit log files may exist due to log rotation.

For additional security, enable audit log file encryption. See Encrypting Audit Log Files.

6.5.4 Audit Log File Formats

The MySQL server calls the audit log plugin to write an audit record to its log file whenever an auditable event occurs. Typically the first audit record written after plugin startup contains the server description and startup options. Elements following that one represent events such as client connect and disconnect events, executed SQL statements, and so forth. Only top-level statements are logged, not statements within stored programs such as triggers or stored procedures. Contents of files referenced by statements such as `LOAD DATA` are not logged.

To select the log format that the audit log plugin uses to write its log file, set the `audit_log_format` system variable at server startup. These formats are available:

- New-style XML format (`audit_log_format=NEW`): An XML format that has better compatibility with Oracle Audit Vault than old-style XML format. MySQL 8.0 uses new-style XML format by default.
- Old-style XML format (`audit_log_format=OLD`): The original audit log format used by default in older MySQL series.
- JSON format (`audit_log_format=JSON`): Writes the audit log as a JSON array. Only this format supports the optional query time and size statistics, which are available from MySQL 8.0.30.

By default, audit log file contents are written in new-style XML format, without compression or encryption.

If you change `audit_log_format`, it is recommended that you also change `audit_log_file`. For example, if you set `audit_log_format` to `JSON`, set `audit_log_file` to `audit.json`. Otherwise, newer log files will have a different format than older files, but they will all have the same base name with nothing to indicate when the format changed.

- New-Style XML Audit Log File Format
- Old-Style XML Audit Log File Format
- JSON Audit Log File Format

New-Style XML Audit Log File Format

Here is a sample log file in new-style XML format (`audit_log_format=NEW`), reformatted slightly for readability:

```
<?xml version="1.0" encoding="utf-8"?>
<AUDIT>
 <AUDIT_RECORD>
  <TIMESTAMP>2019-10-03T14:06:33 UTC</TIMESTAMP>
  <RECORD_ID>1_2019-10-03T14:06:33</RECORD_ID>
  <NAME>Audit</NAME>
  <SERVER_ID>1</SERVER_ID>
  <VERSION>1</VERSION>
  <STARTUP_OPTIONS>/usr/local/mysql/bin/mysqld
    --socket=/usr/local/mysql/mysql.sock
    --port=3306</STARTUP_OPTIONS>
  <OS_VERSION>i686-Linux</OS_VERSION>
  <MYSQL_VERSION>5.7.21-log</MYSQL_VERSION>
 </AUDIT_RECORD>
 <AUDIT_RECORD>
  <TIMESTAMP>2019-10-03T14:09:38 UTC</TIMESTAMP>
  <RECORD_ID>2_2019-10-03T14:06:33</RECORD_ID>
  <NAME>Connect</NAME>
  <CONNECTION_ID>5</CONNECTION_ID>
  <STATUS>0</STATUS>
  <STATUS_CODE>0</STATUS_CODE>
  <USER>root</USER>
  <OS_LOGIN/>
  <HOST>localhost</HOST>
  <IP>127.0.0.1</IP>
  <COMMAND_CLASS>connect</COMMAND_CLASS>
```

```
  <CONNECTION_TYPE>SSL/TLS</CONNECTION_TYPE>
  <CONNECTION_ATTRIBUTES>
   <ATTRIBUTE>
    <NAME>_pid</NAME>
    <VALUE>42794</VALUE>
   </ATTRIBUTE>
   ...
   <ATTRIBUTE>
    <NAME>program_name</NAME>
    <VALUE>mysqladmin</VALUE>
   </ATTRIBUTE>
  </CONNECTION_ATTRIBUTES>
  <PRIV_USER>root</PRIV_USER>
  <PROXY_USER/>
  <DB>test</DB>
 </AUDIT_RECORD>
...
 <AUDIT_RECORD>
  <TIMESTAMP>2019-10-03T14:09:38 UTC</TIMESTAMP>
  <RECORD_ID>6_2019-10-03T14:06:33</RECORD_ID>
  <NAME>Query</NAME>
  <CONNECTION_ID>5</CONNECTION_ID>
  <STATUS>0</STATUS>
  <STATUS_CODE>0</STATUS_CODE>
  <USER>root[root] @ localhost [127.0.0.1]</USER>
  <OS_LOGIN/>
  <HOST>localhost</HOST>
  <IP>127.0.0.1</IP>
  <COMMAND_CLASS>drop_table</COMMAND_CLASS>
  <SQLTEXT>DROP TABLE IF EXISTS t</SQLTEXT>
 </AUDIT_RECORD>
...
 <AUDIT_RECORD>
  <TIMESTAMP>2019-10-03T14:09:39 UTC</TIMESTAMP>
  <RECORD_ID>8_2019-10-03T14:06:33</RECORD_ID>
  <NAME>Quit</NAME>
  <CONNECTION_ID>5</CONNECTION_ID>
  <STATUS>0</STATUS>
  <STATUS_CODE>0</STATUS_CODE>
  <USER>root</USER>
  <OS_LOGIN/>
  <HOST>localhost</HOST>
  <IP>127.0.0.1</IP>
  <COMMAND_CLASS>connect</COMMAND_CLASS>
  <CONNECTION_TYPE>SSL/TLS</CONNECTION_TYPE>
 </AUDIT_RECORD>
...
 <AUDIT_RECORD>
  <TIMESTAMP>2019-10-03T14:09:43 UTC</TIMESTAMP>
  <RECORD_ID>11_2019-10-03T14:06:33</RECORD_ID>
  <NAME>Quit</NAME>
  <CONNECTION_ID>6</CONNECTION_ID>
  <STATUS>0</STATUS>
  <STATUS_CODE>0</STATUS_CODE>
  <USER>root</USER>
  <OS_LOGIN/>
  <HOST>localhost</HOST>
  <IP>127.0.0.1</IP>
  <COMMAND_CLASS>connect</COMMAND_CLASS>
  <CONNECTION_TYPE>SSL/TLS</CONNECTION_TYPE>
 </AUDIT_RECORD>
 <AUDIT_RECORD>
  <TIMESTAMP>2019-10-03T14:09:45 UTC</TIMESTAMP>
  <RECORD_ID>12_2019-10-03T14:06:33</RECORD_ID>
  <NAME>NoAudit</NAME>
  <SERVER_ID>1</SERVER_ID>
 </AUDIT_RECORD>
</AUDIT>
```

The audit log file is written as XML, using UTF-8 (up to 4 bytes per character). The root element is `<AUDIT>`. The root element contains `<AUDIT_RECORD>` elements, each of which provides information about an audited event. When the audit log plugin begins writing a new log file, it writes the XML

declaration and opening <AUDIT> root element tag. When the plugin closes a log file, it writes the closing </AUDIT> root element tag. The closing tag is not present while the file is open.

Elements within <AUDIT_RECORD> elements have these characteristics:

- Some elements appear in every <AUDIT_RECORD> element. Others are optional and may appear depending on the audit record type.
- Order of elements within an <AUDIT_RECORD> element is not guaranteed.
- Element values are not fixed length. Long values may be truncated as indicated in the element descriptions given later.
- The <, >, ", and & characters are encoded as <, >, ", and &, respectively. NUL bytes (U+00) are encoded as the ? character.
- Characters not valid as XML characters are encoded using numeric character references. Valid XML characters are:

```
#x9 | #xA | #xD | [#x20-#xD7FF] | [#xE000-#xFFFD] | [#x10000-#x10FFFF]
```

The following elements are mandatory in every <AUDIT_RECORD> element:

- <NAME>

  A string representing the type of instruction that generated the audit event, such as a command that the server received from a client.

  Example:

  ```
  <NAME>Query</NAME>
  ```

  Some common <NAME> values:

  ```
  Audit    When auditing starts, which may be server startup time
  Connect  When a client connects, also known as logging in
  Query    An SQL statement (executed directly)
  Prepare  Preparation of an SQL statement; usually followed by Execute
  Execute  Execution of an SQL statement; usually follows Prepare
  Shutdown Server shutdown
  Quit     When a client disconnects
  NoAudit  Auditing has been turned off
  ```

  The possible values are Audit, Binlog Dump, Change user, Close stmt, Connect Out, Connect, Create DB, Daemon, Debug, Delayed insert, Drop DB, Execute, Fetch, Field List, Init DB, Kill, Long Data, NoAudit, Ping, Prepare, Processlist, Query, Quit, Refresh, Register Slave, Reset stmt, Set option, Shutdown, Sleep, Statistics, Table Dump, TableDelete, TableInsert, TableRead, TableUpdate, Time.

  Many of these values correspond to the COM_*xxx* command values listed in the my_command.h header file. For example, Create DB and Change user correspond to COM_CREATE_DB and COM_CHANGE_USER, respectively.

  Events having <NAME> values of Table*XXX* accompany Query events. For example, the following statement generates one Query event, two TableRead events, and a TableInsert events:

  ```
  INSERT INTO t3 SELECT t1.* FROM t1 JOIN t2;
  ```

  Each Table*XXX* event contains <TABLE> and <DB> elements to identify the table to which the event refers and the database that contains the table.

- <RECORD_ID>

  A unique identifier for the audit record. The value is composed from a sequence number and timestamp, in the format *SEQ_TIMESTAMP*. When the audit log plugin opens the audit log file, it

initializes the sequence number to the size of the audit log file, then increments the sequence by 1 for each record logged. The timestamp is a UTC value in *YYYY-MM-DDThh:mm:ss* format indicating the date and time when the audit log plugin opened the file.

Example:

```
<RECORD_ID>12_2019-10-03T14:06:33</RECORD_ID>
```

- <TIMESTAMP>

  A string representing a UTC value in *YYYY-MM-DDThh:mm:ss* UTC format indicating the date and time when the audit event was generated. For example, the event corresponding to execution of an SQL statement received from a client has a <TIMESTAMP> value occurring after the statement finishes, not when it was received.

  Example:

```
<TIMESTAMP>2019-10-03T14:09:45 UTC</TIMESTAMP>
```

The following elements are optional in <AUDIT_RECORD> elements. Many of them occur only with specific <NAME> element values.

- <COMMAND_CLASS>

  A string that indicates the type of action performed.

  Example:

```
<COMMAND_CLASS>drop_table</COMMAND_CLASS>
```

  The values correspond to the statement/sql/*xxx* command counters. For example, *xxx* is drop_table and select for DROP TABLE and SELECT statements, respectively. The following statement displays the possible names:

```
SELECT REPLACE(EVENT_NAME, 'statement/sql/', '') AS name
FROM performance_schema.events_statements_summary_global_by_event_name
WHERE EVENT_NAME LIKE 'statement/sql/%'
ORDER BY name;
```

- <CONNECTION_ATTRIBUTES>

  As of MySQL 8.0.19, events with a <COMMAND_CLASS> value of connect may include a <CONNECTION_ATTRIBUTES> element to display the connection attributes passed by the client at connect time. (For information about these attributes, which are also exposed in Performance Schema tables, see Performance Schema Connection Attribute Tables.)

  The <CONNECTION_ATTRIBUTES> element contains one <ATTRIBUTE> element per attribute, each of which contains <NAME> and <VALUE> elements to indicate the attribute name and value, respectively.

  Example:

```
<CONNECTION_ATTRIBUTES>
 <ATTRIBUTE>
  <NAME>_pid</NAME>
  <VALUE>42794</VALUE>
 </ATTRIBUTE>
 <ATTRIBUTE>
  <NAME>_os</NAME>
  <VALUE>macos0.14</VALUE>
 </ATTRIBUTE>
 <ATTRIBUTE>
  <NAME>_platform</NAME>
  <VALUE>x86_64</VALUE>
 </ATTRIBUTE>
 <ATTRIBUTE>
```

```
  <NAME>_client_version</NAME>
  <VALUE>8.0.19</VALUE>
 </ATTRIBUTE>
 <ATTRIBUTE>
  <NAME>_client_name</NAME>
  <VALUE>libmysql</VALUE>
 </ATTRIBUTE>
 <ATTRIBUTE>
  <NAME>program_name</NAME>
  <VALUE>mysqladmin</VALUE>
 </ATTRIBUTE>
</CONNECTION_ATTRIBUTES>
```

If no connection attributes are present in the event, none are logged and no `<CONNECTION_ATTRIBUTES>` element appears. This can occur if the connection attempt is unsuccessful, the client passes no attributes, or the connection occurs internally such as during server startup or when initiated by a plugin.

- `<CONNECTION_ID>`

  An unsigned integer representing the client connection identifier. This is the same as the value returned by the `CONNECTION_ID()` function within the session.

  Example:

  ```
  <CONNECTION_ID>127</CONNECTION_ID>
  ```

- `<CONNECTION_TYPE>`

  The security state of the connection to the server. Permitted values are `TCP/IP` (TCP/IP connection established without encryption), `SSL/TLS` (TCP/IP connection established with encryption), `Socket` (Unix socket file connection), `Named Pipe` (Windows named pipe connection), and `Shared Memory` (Windows shared memory connection).

  Example:

  ```
  <CONNECTION_TYPE>SSL/TLS</CONNECTION_TYPE>
  ```

- `<DB>`

  A string representing a database name.

  Example:

  ```
  <DB>test</DB>
  ```

  For connect events, this element indicates the default database; the element is empty if there is no default database. For table-access events, the element indicates the database to which the accessed table belongs.

- `<HOST>`

  A string representing the client host name.

  Example:

  ```
  <HOST>localhost</HOST>
  ```

- `<IP>`

  A string representing the client IP address.

  Example:

  ```
  <IP>127.0.0.1</IP>
  ```

- `<MYSQL_VERSION>`

  A string representing the MySQL server version. This is the same as the value of the `VERSION()` function or `version` system variable.

  Example:

  ```
  <MYSQL_VERSION>5.7.21-log</MYSQL_VERSION>
  ```

- `<OS_LOGIN>`

  A string representing the external user name used during the authentication process, as set by the plugin used to authenticate the client. With native (built-in) MySQL authentication, or if the plugin does not set the value, this element is empty. The value is the same as that of the `external_user` system variable (see Section 4.19, “Proxy Users”).

  Example:

  ```
  <OS_LOGIN>jeffrey</OS_LOGIN>
  ```

- `<OS_VERSION>`

  A string representing the operating system on which the server was built or is running.

  Example:

  ```
  <OS_VERSION>x86_64-Linux</OS_VERSION>
  ```

- `<PRIV_USER>`

  A string representing the user that the server authenticated the client as. This is the user name that the server uses for privilege checking, and may differ from the `<USER>` value.

  Example:

  ```
  <PRIV_USER>jeffrey</PRIV_USER>
  ```

- `<PROXY_USER>`

  A string representing the proxy user (see Section 4.19, “Proxy Users”). The value is empty if user proxying is not in effect.

  Example:

  ```
  <PROXY_USER>developer</PROXY_USER>
  ```

- `<SERVER_ID>`

  An unsigned integer representing the server ID. This is the same as the value of the `server_id` system variable.

  Example:

  ```
  <SERVER_ID>1</SERVER_ID>
  ```

- `<SQLTEXT>`

  A string representing the text of an SQL statement. The value can be empty. Long values may be truncated. The string, like the audit log file itself, is written using UTF-8 (up to 4 bytes per character), so the value may be the result of conversion. For example, the original statement might have been received from the client as an SJIS string.

  Example:

  ```
  <SQLTEXT>DELETE FROM t1</SQLTEXT>
  ```

- `<STARTUP_OPTIONS>`

  A string representing the options that were given on the command line or in option files when the MySQL server was started. The first option is the path to the server executable.

  Example:

  ```
  <STARTUP_OPTIONS>/usr/local/mysql/bin/mysqld
    --port=3306 --log_output=FILE</STARTUP_OPTIONS>
  ```

- `<STATUS>`

  An unsigned integer representing the command status: 0 for success, nonzero if an error occurred. This is the same as the value of the `mysql_errno()` C API function. See the description for `<STATUS_CODE>` for information about how it differs from `<STATUS>`.

  The audit log does not contain the SQLSTATE value or error message. To see the associations between error codes, SQLSTATE values, and messages, see Server Error Message Reference.

  Warnings are not logged.

  Example:

  ```
  <STATUS>1051</STATUS>
  ```

- `<STATUS_CODE>`

  An unsigned integer representing the command status: 0 for success, 1 if an error occurred.

  The `STATUS_CODE` value differs from the `STATUS` value: `STATUS_CODE` is 0 for success and 1 for error, which is compatible with the EZ_collector consumer for Audit Vault. `STATUS` is the value of the `mysql_errno()` C API function. This is 0 for success and nonzero for error, and thus is not necessarily 1 for error.

  Example:

  ```
  <STATUS_CODE>0</STATUS_CODE>
  ```

- `<TABLE>`

  A string representing a table name.

  Example:

  ```
  <TABLE>t3</TABLE>
  ```

- `<USER>`

  A string representing the user name sent by the client. This may differ from the `<PRIV_USER>` value.

  Example:

  ```
  <USER>root[root] @ localhost [127.0.0.1]</USER>
  ```

- `<VERSION>`

  An unsigned integer representing the version of the audit log file format.

  Example:

  ```
  <VERSION>1</VERSION>
  ```

Old-Style XML Audit Log File Format

Here is a sample log file in old-style XML format (`audit_log_format=OLD`), reformatted slightly for readability:

```
<?xml version="1.0" encoding="utf-8"?>
<AUDIT>
  <AUDIT_RECORD
    TIMESTAMP="2019-10-03T14:25:00 UTC"
    RECORD_ID="1_2019-10-03T14:25:00"
    NAME="Audit"
    SERVER_ID="1"
    VERSION="1"
    STARTUP_OPTIONS="--port=3306"
    OS_VERSION="i686-Linux"
    MYSQL_VERSION="5.7.21-log"/>
  <AUDIT_RECORD
    TIMESTAMP="2019-10-03T14:25:24 UTC"
    RECORD_ID="2_2019-10-03T14:25:00"
    NAME="Connect"
    CONNECTION_ID="4"
    STATUS="0"
    STATUS_CODE="0"
    USER="root"
    OS_LOGIN=""
    HOST="localhost"
    IP="127.0.0.1"
    COMMAND_CLASS="connect"
    CONNECTION_TYPE="SSL/TLS"
    PRIV_USER="root"
    PROXY_USER=""
    DB="test"/>
...
  <AUDIT_RECORD
    TIMESTAMP="2019-10-03T14:25:24 UTC"
    RECORD_ID="6_2019-10-03T14:25:00"
    NAME="Query"
    CONNECTION_ID="4"
    STATUS="0"
    STATUS_CODE="0"
    USER="root[root] @ localhost [127.0.0.1]"
    OS_LOGIN=""
    HOST="localhost"
    IP="127.0.0.1"
    COMMAND_CLASS="drop_table"
    SQLTEXT="DROP TABLE IF EXISTS t"/>
...
  <AUDIT_RECORD
    TIMESTAMP="2019-10-03T14:25:24 UTC"
    RECORD_ID="8_2019-10-03T14:25:00"
    NAME="Quit"
    CONNECTION_ID="4"
    STATUS="0"
    STATUS_CODE="0"
    USER="root"
    OS_LOGIN=""
    HOST="localhost"
    IP="127.0.0.1"
    COMMAND_CLASS="connect"
    CONNECTION_TYPE="SSL/TLS"/>
  <AUDIT_RECORD
    TIMESTAMP="2019-10-03T14:25:32 UTC"
    RECORD_ID="12_2019-10-03T14:25:00"
    NAME="NoAudit"
    SERVER_ID="1"/>
</AUDIT>
```

The audit log file is written as XML, using UTF-8 (up to 4 bytes per character). The root element is `<AUDIT>`. The root element contains `<AUDIT_RECORD>` elements, each of which provides information about an audited event. When the audit log plugin begins writing a new log file, it writes the XML

declaration and opening <AUDIT> root element tag. When the plugin closes a log file, it writes the closing </AUDIT> root element tag. The closing tag is not present while the file is open.

Attributes of <AUDIT_RECORD> elements have these characteristics:

- Some attributes appear in every <AUDIT_RECORD> element. Others are optional and may appear depending on the audit record type.
- Order of attributes within an <AUDIT_RECORD> element is not guaranteed.
- Attribute values are not fixed length. Long values may be truncated as indicated in the attribute descriptions given later.
- The <, >, ", and & characters are encoded as <, >, ", and &, respectively. NUL bytes (U+00) are encoded as the ? character.
- Characters not valid as XML characters are encoded using numeric character references. Valid XML characters are:

```
#x9 | #xA | #xD | [#x20-#xD7FF] | [#xE000-#xFFFD] | [#x10000-#x10FFFF]
```

The following attributes are mandatory in every <AUDIT_RECORD> element:

- NAME

  A string representing the type of instruction that generated the audit event, such as a command that the server received from a client.

  Example: NAME="Query"

  Some common NAME values:

```
Audit    When auditing starts, which may be server startup time
Connect  When a client connects, also known as logging in
Query    An SQL statement (executed directly)
Prepare  Preparation of an SQL statement; usually followed by Execute
Execute  Execution of an SQL statement; usually follows Prepare
Shutdown Server shutdown
Quit     When a client disconnects
NoAudit  Auditing has been turned off
```

  The possible values are Audit, Binlog Dump, Change user, Close stmt, Connect Out, Connect, Create DB, Daemon, Debug, Delayed insert, Drop DB, Execute, Fetch, Field List, Init DB, Kill, Long Data, NoAudit, Ping, Prepare, Processlist, Query, Quit, Refresh, Register Slave, Reset stmt, Set option, Shutdown, Sleep, Statistics, Table Dump, TableDelete, TableInsert, TableRead, TableUpdate, Time.

  Many of these values correspond to the COM_*xxx* command values listed in the my_command.h header file. For example, "Create DB" and "Change user" correspond to COM_CREATE_DB and COM_CHANGE_USER, respectively.

  Events having NAME values of Table*XXX* accompany Query events. For example, the following statement generates one Query event, two TableRead events, and a TableInsert events:

```
INSERT INTO t3 SELECT t1.* FROM t1 JOIN t2;
```

  Each Table*XXX* event has TABLE and DB attributes to identify the table to which the event refers and the database that contains the table.

  Connect events for old-style XML audit log format do not include connection attributes.

- RECORD_ID

  A unique identifier for the audit record. The value is composed from a sequence number and timestamp, in the format *SEQ_TIMESTAMP*. When the audit log plugin opens the audit log file, it

initializes the sequence number to the size of the audit log file, then increments the sequence by 1 for each record logged. The timestamp is a UTC value in *YYYY-MM-DDThh:mm:ss* format indicating the date and time when the audit log plugin opened the file.

Example: RECORD_ID="12_2019-10-03T14:25:00"

- TIMESTAMP

  A string representing a UTC value in *YYYY-MM-DDThh:mm:ss* UTC format indicating the date and time when the audit event was generated. For example, the event corresponding to execution of an SQL statement received from a client has a TIMESTAMP value occurring after the statement finishes, not when it was received.

  Example: TIMESTAMP="2019-10-03T14:25:32 UTC"

The following attributes are optional in <AUDIT_RECORD> elements. Many of them occur only for elements with specific values of the NAME attribute.

- COMMAND_CLASS

  A string that indicates the type of action performed.

  Example: COMMAND_CLASS="drop_table"

  The values correspond to the statement/sql/*xxx* command counters. For example, *xxx* is drop_table and select for DROP TABLE and SELECT statements, respectively. The following statement displays the possible names:

```
SELECT REPLACE(EVENT_NAME, 'statement/sql/', '') AS name
FROM performance_schema.events_statements_summary_global_by_event_name
WHERE EVENT_NAME LIKE 'statement/sql/%'
ORDER BY name;
```

- CONNECTION_ID

  An unsigned integer representing the client connection identifier. This is the same as the value returned by the CONNECTION_ID() function within the session.

  Example: CONNECTION_ID="127"

- CONNECTION_TYPE

  The security state of the connection to the server. Permitted values are TCP/IP (TCP/IP connection established without encryption), SSL/TLS (TCP/IP connection established with encryption), Socket (Unix socket file connection), Named Pipe (Windows named pipe connection), and Shared Memory (Windows shared memory connection).

  Example: CONNECTION_TYPE="SSL/TLS"

- DB

  A string representing a database name.

  Example: DB="test"

  For connect events, this attribute indicates the default database; the attribute is empty if there is no default database. For table-access events, the attribute indicates the database to which the accessed table belongs.

- HOST

  A string representing the client host name.

Example: `HOST="localhost"`

- `IP`

  A string representing the client IP address.

  Example: `IP="127.0.0.1"`

- `MYSQL_VERSION`

  A string representing the MySQL server version. This is the same as the value of the `VERSION()` function or `version` system variable.

  Example: `MYSQL_VERSION="5.7.21-log"`

- `OS_LOGIN`

  A string representing the external user name used during the authentication process, as set by the plugin used to authenticate the client. With native (built-in) MySQL authentication, or if the plugin does not set the value, this attribute is empty. The value is the same as that of the `external_user` system variable (see Section 4.19, “Proxy Users”).

  Example: `OS_LOGIN="jeffrey"`

- `OS_VERSION`

  A string representing the operating system on which the server was built or is running.

  Example: `OS_VERSION="x86_64-Linux"`

- `PRIV_USER`

  A string representing the user that the server authenticated the client as. This is the user name that the server uses for privilege checking, and it may differ from the `USER` value.

  Example: `PRIV_USER="jeffrey"`

- `PROXY_USER`

  A string representing the proxy user (see Section 4.19, “Proxy Users”). The value is empty if user proxying is not in effect.

  Example: `PROXY_USER="developer"`

- `SERVER_ID`

  An unsigned integer representing the server ID. This is the same as the value of the `server_id` system variable.

  Example: `SERVER_ID="1"`

- `SQLTEXT`

  A string representing the text of an SQL statement. The value can be empty. Long values may be truncated. The string, like the audit log file itself, is written using UTF-8 (up to 4 bytes per character), so the value may be the result of conversion. For example, the original statement might have been received from the client as an SJIS string.

  Example: `SQLTEXT="DELETE FROM t1"`

- `STARTUP_OPTIONS`

  A string representing the options that were given on the command line or in option files when the MySQL server was started.

  Example: `STARTUP_OPTIONS="--port=3306 --log_output=FILE"`

- `STATUS`

  An unsigned integer representing the command status: 0 for success, nonzero if an error occurred. This is the same as the value of the `mysql_errno()` C API function. See the description for `STATUS_CODE` for information about how it differs from `STATUS`.

  The audit log does not contain the SQLSTATE value or error message. To see the associations between error codes, SQLSTATE values, and messages, see Server Error Message Reference.

  Warnings are not logged.

  Example: `STATUS="1051"`

- `STATUS_CODE`

  An unsigned integer representing the command status: 0 for success, 1 if an error occurred.

  The `STATUS_CODE` value differs from the `STATUS` value: `STATUS_CODE` is 0 for success and 1 for error, which is compatible with the EZ_collector consumer for Audit Vault. `STATUS` is the value of the `mysql_errno()` C API function. This is 0 for success and nonzero for error, and thus is not necessarily 1 for error.

  Example: `STATUS_CODE="0"`

- `TABLE`

  A string representing a table name.

  Example: `TABLE="t3"`

- `USER`

  A string representing the user name sent by the client. This may differ from the `PRIV_USER` value.

- `VERSION`

  An unsigned integer representing the version of the audit log file format.

  Example: `VERSION="1"`

JSON Audit Log File Format

For JSON-format audit logging (`audit_log_format=JSON`), the log file contents form a `JSON` array with each array element representing an audited event as a `JSON` hash of key-value pairs. Examples of complete event records appear later in this section. The following is an excerpt of partial events:

```
[
  {
    "timestamp": "2019-10-03 13:50:01",
    "id": 0,
    "class": "audit",
    "event": "startup",
    ...
  },
  {
    "timestamp": "2019-10-03 15:02:32",
    "id": 0,
```

```
    "class": "connection",
    "event": "connect",
    ...
  },
  ...
  {
    "timestamp": "2019-10-03 17:37:26",
    "id": 0,
    "class": "table_access",
    "event": "insert",
      ...
  }
  ...
]
```

The audit log file is written using UTF-8 (up to 4 bytes per character). When the audit log plugin begins writing a new log file, it writes the opening `[` array marker. When the plugin closes a log file, it writes the closing `]` array marker. The closing marker is not present while the file is open.

Items within audit records have these characteristics:

- Some items appear in every audit record. Others are optional and may appear depending on the audit record type.
- Order of items within an audit record is not guaranteed.
- Item values are not fixed length. Long values may be truncated as indicated in the item descriptions given later.
- The `"` and `\` characters are encoded as `\"` and `\\`, respectively.

JSON format is the only audit log file format that supports the optional query time and size statistics, which are available from MySQL 8.0.30. This data is available in the slow query log for qualifying queries, and in the context of the audit log it similarly helps to detect outliers for activity analysis.

To add the query statistics to the log file, you must set them up as a filter using the `audit_log_filter_set_filter()` audit log function as the service element of the JSON filtering syntax. For instructions to do this, see Adding Query Statistics for Outlier Detection. For the `bytes_sent` and `bytes_received` fields to be populated, the system variable `log_slow_extra` must be set to ON.

The following examples show the JSON object formats for different event types (as indicated by the `class` and `event` items), reformatted slightly for readability:

Auditing startup event:

```
{ "timestamp": "2019-10-03 14:21:56",
  "id": 0,
  "class": "audit",
  "event": "startup",
  "connection_id": 0,
  "startup_data": { "server_id": 1,
                    "os_version": "i686-Linux",
                    "mysql_version": "5.7.21-log",
                    "args": ["/usr/local/mysql/bin/mysqld",
                             "--loose-audit-log-format=JSON",
                             "--log-error=log.err",
                             "--pid-file=mysqld.pid",
                             "--port=3306" ] } }
```

When the audit log plugin starts as a result of server startup (as opposed to being enabled at runtime), `connection_id` is set to 0, and `account` and `login` are not present.

Auditing shutdown event:

```
{ "timestamp": "2019-10-03 14:28:20",
```

```
  "id": 3,
  "class": "audit",
  "event": "shutdown",
  "connection_id": 0,
  "shutdown_data": { "server_id": 1 } }
```

When the audit log plugin is uninstalled as a result of server shutdown (as opposed to being disabled at runtime), `connection_id` is set to 0, and `account` and `login` are not present.

Connect or change-user event:

```
{ "timestamp": "2019-10-03 14:23:18",
  "id": 1,
  "class": "connection",
  "event": "connect",
  "connection_id": 5,
  "account": { "user": "root", "host": "localhost" },
  "login": { "user": "root", "os": "", "ip": "::1", "proxy": "" },
  "connection_data": { "connection_type": "ssl",
                       "status": 0,
                       "db": "test",
                       "connection_attributes": {
                         "_pid": "43236",
                         ...
                         "program_name": "mysqladmin"
                       } }
}
```

Disconnect event:

```
{ "timestamp": "2019-10-03 14:24:45",
  "id": 3,
  "class": "connection",
  "event": "disconnect",
  "connection_id": 5,
  "account": { "user": "root", "host": "localhost" },
  "login": { "user": "root", "os": "", "ip": "::1", "proxy": "" },
  "connection_data": { "connection_type": "ssl" } }
```

Query event:

```
{ "timestamp": "2019-10-03 14:23:35",
  "id": 2,
  "class": "general",
  "event": "status",
  "connection_id": 5,
  "account": { "user": "root", "host": "localhost" },
  "login": { "user": "root", "os": "", "ip": "::1", "proxy": "" },
  "general_data": { "command": "Query",
                    "sql_command": "show_variables",
                    "query": "SHOW VARIABLES",
                    "status": 0 } }
```

Query event with optional query statistics for outlier detection:

```
{ "timestamp": "2022-01-28 13:09:30",
  "id": 0,
  "class": "general",
  "event": "status",
  "connection_id": 46,
  "account": { "user": "user", "host": "localhost" },
  "login": { "user": "user", “os": "", “ip": "127.0.0.1", “proxy": "" },
  "general_data": { "command": "Query",
                    "sql_command": "insert",
             "query": "INSERT INTO audit_table VALUES(4)",
             "status": 1146 }
  "query_statistics": { "query_time": 0.116250,
                        "bytes_sent": 18384,
                        "bytes_received": 78858,
                        "rows_sent": 3,
                        "rows_examined": 20878 } }
```

Table access event (read, delete, insert, update):

```
{ "timestamp": "2019-10-03 14:23:41",
  "id": 0,
  "class": "table_access",
  "event": "insert",
  "connection_id": 5,
  "account": { "user": "root", "host": "localhost" },
  "login": { "user": "root", "os": "", "ip": "127.0.0.1", "proxy": "" },
  "table_access_data": { "db": "test",
                         "table": "t1",
                         "query": "INSERT INTO t1 (i) VALUES(1),(2),(3)",
                         "sql_command": "insert" } }
```

The items in the following list appear at the top level of JSON-format audit records: Each item value is either a scalar or a `JSON` hash. For items that have a hash value, the description lists only the item names within that hash. For more complete descriptions of second-level hash items, see later in this section.

- `account`

  The MySQL account associated with the event. The value is a hash containing these items equivalent to the value of the `CURRENT_USER()` function within the section: `user`, `host`.

  Example:

  ```
  "account": { "user": "root", "host": "localhost" }
  ```

- `class`

  A string representing the event class. The class defines the type of event, when taken together with the `event` item that specifies the event subclass.

  Example:

  ```
  "class": "connection"
  ```

  The following table shows the permitted combinations of `class` and `event` values.

  Table 6.19 Audit Log Class and Event Combinations

Class Value	Permitted Event Values
`audit`	`startup`, `shutdown`
`connection`	`connect`, `change_user`, `disconnect`
`general`	`status`
`table_access_data`	`read`, `delete`, `insert`, `update`

- `connection_data`

  Information about a client connection. The value is a hash containing these items: `connection_type`, `status`, `db`, and possibly `connection_attributes`. This item occurs only for audit records with a `class` value of `connection`.

  Example:

  ```
  "connection_data": { "connection_type": "ssl",
                       "status": 0,
                       "db": "test" }
  ```

  As of MySQL 8.0.19, events with a `class` value of `connection` and `event` value of `connect` may include a `connection_attributes` item to display the connection attributes passed by the client at connect time. (For information about these attributes, which are also exposed in Performance Schema tables, see Performance Schema Connection Attribute Tables.)

The `connection_attributes` value is a hash that represents each attribute by its name and value.

Example:

```
"connection_attributes": {
  "_pid": "43236",
  "_os": "macos0.14",
  "_platform": "x86_64",
  "_client_version": "8.0.19",
  "_client_name": "libmysql",
  "program_name": "mysqladmin"
}
```

If no connection attributes are present in the event, none are logged and no `connection_attributes` item appears. This can occur if the connection attempt is unsuccessful, the client passes no attributes, or the connection occurs internally such as during server startup or when initiated by a plugin.

- `connection_id`

  An unsigned integer representing the client connection identifier. This is the same as the value returned by the `CONNECTION_ID()` function within the session.

  Example:

  ```
  "connection_id": 5
  ```

- `event`

  A string representing the subclass of the event class. The subclass defines the type of event, when taken together with the `class` item that specifies the event class. For more information, see the `class` item description.

  Example:

  ```
  "event": "connect"
  ```

- `general_data`

  Information about an executed statement or command. The value is a hash containing these items: `command`, `sql_command`, `query`, `status`. This item occurs only for audit records with a `class` value of `general`.

  Example:

  ```
  "general_data": { "command": "Query",
                    "sql_command": "show_variables",
                    "query": "SHOW VARIABLES",
                    "status": 0 }
  ```

- `id`

  An unsigned integer representing an event ID.

  Example:

  ```
  "id": 2
  ```

  For audit records that have the same `timestamp` value, their `id` values distinguish them and form a sequence. Within the audit log, `timestamp`/`id` pairs are unique. These pairs are bookmarks that identify event locations within the log.

- `login`

Information indicating how a client connected to the server. The value is a hash containing these items: `user`, `os`, `ip`, `proxy`.

Example:

```
"login": { "user": "root", "os": "", "ip": "::1", "proxy": "" }
```

- `query_statistics`

  Optional query statistics for outlier detection. The value is a hash containing these items: `query_time`, `rows_sent`, `rows_examined`, `bytes_received`, `bytes_sent`. For instructions to set up the query statistics, see Adding Query Statistics for Outlier Detection.

  Example:

  ```
  "query_statistics": { "query_time": 0.116250,
                        "bytes_sent": 18384,
                        "bytes_received": 78858,
                        "rows_sent": 3,
                        "rows_examined": 20878 }
  ```

- `shutdown_data`

  Information pertaining to audit log plugin termination. The value is a hash containing these items: `server_id` This item occurs only for audit records with `class` and `event` values of `audit` and `shutdown`, respectively.

  Example:

  ```
  "shutdown_data": { "server_id": 1 }
  ```

- `startup_data`

  Information pertaining to audit log plugin initialization. The value is a hash containing these items: `server_id`, `os_version`, `mysql_version`, `args`. This item occurs only for audit records with `class` and `event` values of `audit` and `startup`, respectively.

  Example:

  ```
  "startup_data": { "server_id": 1,
                    "os_version": "i686-Linux",
                    "mysql_version": "5.7.21-log",
                    "args": ["/usr/local/mysql/bin/mysqld",
                             "--loose-audit-log-format=JSON",
                             "--log-error=log.err",
                             "--pid-file=mysqld.pid",
                             "--port=3306" ] }
  ```

- `table_access_data`

  Information about an access to a table. The value is a hash containing these items: `db`, `table`, `query`, `sql_command`, This item occurs only for audit records with a `class` value of `table_access`.

  Example:

  ```
  "table_access_data": { "db": "test",
                         "table": "t1",
                         "query": "INSERT INTO t1 (i) VALUES(1),(2),(3)",
                         "sql_command": "insert" }
  ```

- `time`

  This field is similar to that in the `timestamp` field, but the value is an integer and represents the UNIX timestamp value indicating the date and time when the audit event was generated.

Example:

```
"time" : 1618498687
```

The `time` field occurs in JSON-format log files only if the `audit_log_format_unix_timestamp` system variable is enabled.

- `timestamp`

  A string representing a UTC value in *`YYYY-MM-DD hh:mm:ss`* format indicating the date and time when the audit event was generated. For example, the event corresponding to execution of an SQL statement received from a client has a `timestamp` value occurring after the statement finishes, not when it was received.

  Example:

  ```
  "timestamp": "2019-10-03 13:50:01"
  ```

  For audit records that have the same `timestamp` value, their `id` values distinguish them and form a sequence. Within the audit log, `timestamp`/`id` pairs are unique. These pairs are bookmarks that identify event locations within the log.

These items appear within hash values associated with top-level items of JSON-format audit records:

- `args`

  An array of options that were given on the command line or in option files when the MySQL server was started. The first option is the path to the server executable.

  Example:

  ```
  "args": ["/usr/local/mysql/bin/mysqld",
           "--loose-audit-log-format=JSON",
           "--log-error=log.err",
           "--pid-file=mysqld.pid",
           "--port=3306" ]
  ```

- `bytes_received`

  The number of bytes received from the client. This item is part of the optional query statistics. For this field to be populated, the system variable `log_slow_extra` must be set to `ON`.

  Example:

  ```
  "bytes_received": 78858
  ```

- `bytes_sent`

  The number of bytes sent to the client. This item is part of the optional query statistics. For this field to be populated, the system variable `log_slow_extra` must be set to `ON`.

  Example:

  ```
  "bytes_sent": 18384
  ```

- `command`

  A string representing the type of instruction that generated the audit event, such as a command that the server received from a client.

  Example:

  ```
  "command": "Query"
  ```

- `connection_type`

  The security state of the connection to the server. Permitted values are `tcp/ip` (TCP/IP connection established without encryption), `ssl` (TCP/IP connection established with encryption), `socket` (Unix socket file connection), `named_pipe` (Windows named pipe connection), and `shared_memory` (Windows shared memory connection).

  Example:

  ```
  "connection_type": "tcp/tcp"
  ```

- `db`

  A string representing a database name. For `connection_data`, it is the default database. For `table_access_data`, it is the table database.

  Example:

  ```
  "db": "test"
  ```

- `host`

  A string representing the client host name.

  Example:

  ```
  "host": "localhost"
  ```

- `ip`

  A string representing the client IP address.

  Example:

  ```
  "ip": "::1"
  ```

- `mysql_version`

  A string representing the MySQL server version. This is the same as the value of the `VERSION()` function or `version` system variable.

  Example:

  ```
  "mysql_version": "5.7.21-log"
  ```

- `os`

  A string representing the external user name used during the authentication process, as set by the plugin used to authenticate the client. With native (built-in) MySQL authentication, or if the plugin does not set the value, this attribute is empty. The value is the same as that of the `external_user` system variable. See Section 4.19, “Proxy Users”.

  Example:

  ```
  "os": "jeffrey"
  ```

- `os_version`

  A string representing the operating system on which the server was built or is running.

  Example:

  ```
  "os_version": "i686-Linux"
  ```

- `proxy`

A string representing the proxy user (see Section 4.19, “Proxy Users”). The value is empty if user proxying is not in effect.

Example:

```
"proxy": "developer"
```

- `query`

  A string representing the text of an SQL statement. The value can be empty. Long values may be truncated. The string, like the audit log file itself, is written using UTF-8 (up to 4 bytes per character), so the value may be the result of conversion. For example, the original statement might have been received from the client as an SJIS string.

  Example:

  ```
  "query": "DELETE FROM t1"
  ```

- `query_time`

  The query execution time in microseconds (if the `longlong` data type is selected) or seconds (if the `double` data type is selected). This item is part of the optional query statistics.

  Example:

  ```
  "query_time": 0.116250
  ```

- `rows_examined`

  The number of rows accessed during the query. This item is part of the optional query statistics.

  Example:

  ```
  "rows_examined": 20878
  ```

- `rows_sent`

  The number of rows sent to the client as a result. This item is part of the optional query statistics.

  Example:

  ```
  "rows_sent": 3
  ```

- `server_id`

  An unsigned integer representing the server ID. This is the same as the value of the `server_id` system variable.

  Example:

  ```
  "server_id": 1
  ```

- `sql_command`

  A string that indicates the SQL statement type.

  Example:

  ```
  "sql_command": "insert"
  ```

  The values correspond to the `statement/sql/xxx` command counters. For example, *`xxx`* is `drop_table` and `select` for `DROP TABLE` and `SELECT` statements, respectively. The following statement displays the possible names:

  ```
  SELECT REPLACE(EVENT_NAME, 'statement/sql/', '') AS name
  FROM performance_schema.events_statements_summary_global_by_event_name
  WHERE EVENT_NAME LIKE 'statement/sql/%'
  ORDER BY name;
  ```

- `status`

  An unsigned integer representing the command status: 0 for success, nonzero if an error occurred. This is the same as the value of the `mysql_errno()` C API function.

  The audit log does not contain the SQLSTATE value or error message. To see the associations between error codes, SQLSTATE values, and messages, see Server Error Message Reference.

  Warnings are not logged.

  Example:

  ```
  "status": 1051
  ```

- `table`

  A string representing a table name.

  Example:

  ```
  "table": "t1"
  ```

- `user`

  A string representing a user name. The meaning differs depending on the item within which `user` occurs:

  - Within `account` items, `user` is a string representing the user that the server authenticated the client as. This is the user name that the server uses for privilege checking.
  - Within `login` items, `user` is a string representing the user name sent by the client.

  Example:

  ```
  "user": "root"
  ```

6.5.5 Configuring Audit Logging Characteristics

This section describes how to configure audit logging characteristics, such as the file to which the audit log plugin writes events, the format of written events, whether to enable log file compression and encryption, and space management.

- Naming Conventions for Audit Log Files
- Selecting Audit Log File Format
- Enabling the Audit Log Flush Task

- Adding Query Statistics for Outlier Detection
- Compressing Audit Log Files
- Encrypting Audit Log Files
- Manually Uncompressing and Decrypting Audit Log Files
- Audit Log File Encryption Prior to MySQL 8.0.17
- Space Management of Audit Log Files
- Write Strategies for Audit Logging

> **Note**
>
> Encryption capabilities described here apply as of MySQL 8.0.17, with the exception of the section that compares current encryption capabilities to the previous more-limited capabilities; see Audit Log File Encryption Prior to MySQL 8.0.17.

For additional information about the functions and system variables that affect audit logging, see Audit Log Functions, and Audit Log Options and Variables.

The audit log plugin can also control which audited events are written to the audit log file, based on event content or the account from which events originate. See Section 6.5.7, “Audit Log Filtering”.

Naming Conventions for Audit Log Files

To configure the audit log file name, set the `audit_log_file` system variable at server startup. The default name is `audit.log` in the server data directory. For best security, write the audit log to a directory accessible only to the MySQL server and to users with a legitimate reason to view the log.

The plugin interprets the `audit_log_file` value as composed of an optional leading directory name, a base name, and an optional suffix. If compression or encryption are enabled, the effective file name (the name actually used to create the log file) differs from the configured file name because it has additional suffixes:

- If compression is enabled, the plugin adds a suffix of `.gz`.
- If encryption is enabled, the plugin adds a suffix of `.pwd_id.enc`, where *`pwd_id`* indicates which encryption password to use for log file operations. The audit log plugin stores encryption passwords in the keyring; see Encrypting Audit Log Files.

The effective audit log file name is the name resulting from the addition of applicable compression and encryption suffixes to the configured file name. For example, if the configured `audit_log_file` value is `audit.log`, the effective file name is one of the values shown in the following table.

Enabled Features	Effective File Name
No compression or encryption	`audit.log`
Compression	`audit.log.gz`
Encryption	`audit.log.`*`pwd_id`*`.enc`
Compression, encryption	`audit.log.gz.`*`pwd_id`*`.enc`

`pwd_id` indicates the ID of the password used to encrypt or decrypt a file. *`pwd_id`* format is *`pwd_timestamp-seq`*, where:

- *`pwd_timestamp`* is a UTC value in *`YYYYMMDDThhmmss`* format indicating when the password was created.
- *`seq`* is a sequence number. Sequence numbers start at 1 and increase for passwords that have the same *`pwd_timestamp`* value.

Here are some example *`pwd_id`* password ID values:

```
20190403T142359-1
20190403T142400-1
20190403T142400-2
```

To construct the corresponding keyring IDs for storing passwords in the keyring, the audit log plugin adds a prefix of `audit_log-` to the *`pwd_id`* values. For the example password IDs just shown, the corresponding keyring IDs are:

```
audit_log-20190403T142359-1
audit_log-20190403T142400-1
audit_log-20190403T142400-2
```

The ID of the password currently used for encryption by the audit log plugin is the one having the largest *`pwd_timestamp`* value. If multiple passwords have that *`pwd_timestamp`* value, the current password ID is the one with the largest sequence number. For example, in the preceding set of password IDs, two of them have the largest timestamp, `20190403T142400`, so the current password ID is the one with the largest sequence number (`2`).

The audit log plugin performs certain actions during initialization and termination based on the effective audit log file name:

- During initialization, the plugin checks whether a file with the audit log file name already exists and renames it if so. (In this case, the plugin assumes that the previous server invocation exited unexpectedly with the audit log plugin running.) The plugin then writes to a new empty audit log file.
- During termination, the plugin renames the audit log file.
- File renaming (whether during plugin initialization or termination) occurs according to the usual rules for automatic size-based log file rotation; see Manual Audit Log File Rotation (Before MySQL 8.0.31).

Selecting Audit Log File Format

To configure the audit log file format, set the `audit_log_format` system variable at server startup. These formats are available:

- `NEW`: New-style XML format. This is the default.
- `OLD`: Old-style XML format.
- `JSON`: JSON format. Writes the audit log as a JSON array. Only this format supports the optional query time and size statistics, which are available from MySQL 8.0.30.

For details about each format, see Section 6.5.4, “Audit Log File Formats”.

Enabling the Audit Log Flush Task

Starting in MySQL 8.0.34, MySQL Enterprise Audit provides the capability of setting a refresh interval to dispose of the in-memory cache automatically. A flush task configured using the `audit_log_flush_interval_seconds` system variable has a value of zero by default, which means the task is not scheduled to run.

When the task is configured to run (the value is non-zero), MySQL Enterprise Audit attempts to call the scheduler component at its initialization and configure a regular, recurring flush of its memory cache:

- If the audit log cannot find an implementation of the scheduler registration service, it does not schedule the flush and continue loading.
- Audit log implements the `dynamic_loader_services_loaded_notification` service and listens for new registrations of `mysql_scheduler` so that audit log can register its scheduled task into the newly loaded scheduler.
- Audit log only registers itself into the first scheduler implementation loaded.

Similarly, MySQL Enterprise Audit calls the `scheduler` component at its deinitialization and unconfigures the recurring flush that it has scheduled. It keeps an active reference to the scheduler registration service until the scheduled task is unregistered, ensuring that the `scheduler` component cannot be unloaded while there are active scheduled jobs. All of the results from executing the scheduler and its tasks are written to the server error log.

To schedule an audit log flush task:

1. Confirm that the `scheduler` component is loaded and enabled. The component is enabled (`ON`) by default (see `component_scheduler.enabled`).

   ```
   SELECT * FROM mysql.components;
   +--------------+-------------------+----------------------------+
   | component_id | component_group_id | component_urn              |
   +--------------+-------------------+----------------------------+
   |            1 |                 1 | file://component_scheduler |
   +--------------+-------------------+----------------------------+
   ```

2. Install the `audit_log` plugin, if it is not installed already (see Section 6.5.2, “Installing or Uninstalling MySQL Enterprise Audit”).

3. Start the server using `audit_log_flush_interval_seconds` and set the value to a number greater than 59. The upper limit of the value varies by platform. For example, to configure the flush task to recur every two minutes:

   ```
   $> mysqld --audit_log_flush_interval_seconds=120
   ```

   For more information, see the `audit_log_flush_interval_seconds` system variable.

Adding Query Statistics for Outlier Detection

In MySQL 8.0.30 and later, you can extend log files in JSON format with optional data fields to show the query time, the number of bytes sent and received, the number of rows returned to the client, and the number of rows examined. This data is available in the slow query log for qualifying queries, and in the context of the audit log it similarly helps to detect outliers for activity analysis. The extended data fields can be added only when the audit log is in JSON format (`audit_log_format=JSON`), which is not the default setting.

The query statistics are delivered to the audit log through component services that you set up as an audit log filtering function. The services are named `mysql_audit_print_service_longlong_data_source` and `mysql_audit_print_service_double_data_source`. You can choose either data type for each output item. For the query time, `longlong` outputs the value in microseconds, and `double` outputs the value in seconds.

You add the query statistics using the `audit_log_filter_set_filter()` audit log function, as the `service` element of the JSON filtering syntax, as follows:

```
SELECT audit_log_filter_set_filter('QueryStatistics',
                                   '{ "filter": { "class": { "name": "general", "event": { "name": "status
                                   '{ "service": { "implementation": "mysql_server", "tag": "query_statisti
                                   '{ "name": "query_time",     "type": "double" }, '
                                   '{ "name": "bytes_sent",     "type": "longlong" }, '
                                   '{ "name": "bytes_received", "type": "longlong" }, '
                                   '{ "name": "rows_sent",      "type": "longlong" }, '
                                   '{ "name": "rows_examined",  "type": "longlong" } ] } } } } } }');
```

For the `bytes_sent` and `bytes_received` fields to be populated, the system variable `log_slow_extra` must be set to `ON`. If the system variable value is `OFF`, a null value is written to the log file for these fields.

If you want to stop collecting the query statistics, use the `audit_log_filter_set_filter()` audit log function to remove the filter, for example:

```
SELECT audit_log_filter_remove_filter('QueryStatistics');
```

Compressing Audit Log Files

Audit log file compression can be enabled for any logging format.

To configure audit log file compression, set the `audit_log_compression` system variable at server startup. Permitted values are `NONE` (no compression; the default) and `GZIP` (GNU Zip compression).

If both compression and encryption are enabled, compression occurs before encryption. To recover the original file manually, first decrypt it, then uncompress it. See Manually Uncompressing and Decrypting Audit Log Files.

Encrypting Audit Log Files

Audit log file encryption can be enabled for any logging format. Encryption is based on user-defined passwords (with the exception of the initial password that the audit log plugin generates). To use this feature, the MySQL keyring must be enabled because audit logging uses it for password storage. Any keyring component or plugin can be used; for instructions, see Section 6.4, “The MySQL Keyring”.

To configure audit log file encryption, set the `audit_log_encryption` system variable at server startup. Permitted values are `NONE` (no encryption; the default) and `AES` (AES-256-CBC cipher encryption).

To set or get an encryption password at runtime, use these audit log functions:

- To set the current encryption password, invoke `audit_log_encryption_password_set()`. This function stores the new password in the keyring. If encryption is enabled, it also performs a log file rotation operation that renames the current log file, and begins a new log file encrypted with the password. File renaming occurs according to the usual rules for automatic size-based log file rotation; see Manual Audit Log File Rotation (Before MySQL 8.0.31).

  If the `audit_log_password_history_keep_days` system variable is nonzero, invoking `audit_log_encryption_password_set()` also causes expiration of old archived audit log encryption passwords. For information about audit log password history, including password archiving and expiration, see the description of that variable.

- To get the current encryption password, invoke `audit_log_encryption_password_get()` with no argument. To get a password by ID, pass an argument that specifies the keyring ID of the current password or an archived password.

  To determine which audit log keyring IDs exist, query the Performance Schema `keyring_keys` table:

```
mysql> SELECT KEY_ID FROM performance_schema.keyring_keys
       WHERE KEY_ID LIKE 'audit_log%'
       ORDER BY KEY_ID;
+-----------------------------+
| KEY_ID                      |
+-----------------------------+
| audit_log-20190415T152248-1 |
| audit_log-20190415T153507-1 |
| audit_log-20190416T125122-1 |
| audit_log-20190416T141608-1 |
+-----------------------------+
```

For additional information about audit log encryption functions, see Audit Log Functions.

When the audit log plugin initializes, if it finds that log file encryption is enabled, it checks whether the keyring contains an audit log encryption password. If not, the plugin automatically generates a random initial encryption password and stores it in the keyring. To discover this password, invoke `audit_log_encryption_password_get()`.

If both compression and encryption are enabled, compression occurs before encryption. To recover the original file manually, first decrypt it, then uncompress it. See Manually Uncompressing and Decrypting Audit Log Files.

Manually Uncompressing and Decrypting Audit Log Files

Audit log files can be uncompressed and decrypted using standard tools. This should be done only for log files that have been closed (archived) and are no longer in use, not for the log file that the audit log plugin is currently writing. You can recognize archived log files because they have been renamed by the audit log plugin to include a timestamp in the file name just after the base name.

For this discussion, assume that `audit_log_file` is set to `audit.log`. In that case, an archived audit log file has one of the names shown in the following table.

Enabled Features	Archived File Name
No compression or encryption	`audit.`*`timestamp`*`.log`
Compression	`audit.`*`timestamp`*`.log.gz`
Encryption	`audit.`*`timestamp`*`.log.`*`pwd_id`*`.enc`
Compression, encryption	`audit.`*`timestamp`*`.log.gz.`*`pwd_id`*`.enc`

As discussed in Naming Conventions for Audit Log Files, *`pwd_id`* format is *`pwd_timestamp-seq`*. Thus, the names of archived encrypted log files actually contain two timestamps. The first indicates file rotation time, and the second indicates when the encryption password was created.

Consider the following set of archived encrypted log file names:

```
audit.20190410T205827.log.20190403T185337-1.enc
audit.20190410T210243.log.20190403T185337-1.enc
audit.20190415T145309.log.20190414T223342-1.enc
audit.20190415T151322.log.20190414T223342-2.enc
```

Each file name has a unique rotation-time timestamp. By contrast, the password timestamps are not unique:

- The first two files have the same password ID and sequence number (`20190403T185337-1`). They have the same encryption password.
- The second two files have the same password ID (`20190414T223342`) but different sequence numbers (`1`, `2`). These files have different encryption passwords.

To uncompress a compressed log file manually, use `gunzip`, `gzip -d`, or equivalent command. For example:

```
gunzip -c audit.timestamp.log.gz > audit.timestamp.log
```

To decrypt an encrypted log file manually, use the `openssl` command. For example:

```
openssl enc -d -aes-256-cbc -pass pass:password -md sha256
    -in audit.timestamp.log.pwd_id.enc
    -out audit.timestamp.log
```

To execute that command, you must obtain *`password`*, the encryption password. To do this, use `audit_log_encryption_password_get()`. For example, if the audit log file name is `audit.20190415T151322.log.20190414T223342-2.enc`, the password ID is `20190414T223342-2` and the keyring ID is `audit-log-20190414T223342-2`. Retrieve the keyring password like this:

```
SELECT audit_log_encryption_password_get('audit-log-20190414T223342-2');
```

If both compression and encryption are enabled for audit logging, compression occurs before encryption. In this case, the file name has `.gz` and `.`*`pwd_id`*`.enc` suffixes added, corresponding to the

order in which those operations occur. To recover the original file manually, perform the operations in reverse. That is, first decrypt the file, then uncompress it:

```
openssl enc -d -aes-256-cbc -pass pass:password -md sha256
    -in audit.timestamp.log.gz.pwd_id.enc
    -out audit.timestamp.log.gz
gunzip -c audit.timestamp.log.gz > audit.timestamp.log
```

Audit Log File Encryption Prior to MySQL 8.0.17

This section covers the differences in audit log file encryption capabilities prior to and as of MySQL 8.0.17, which is when password history was implemented (which includes password archiving and expiration). It also indicates how the audit log plugin handles upgrades to MySQL 8.0.17 or higher from versions lower than 8.0.17.

Feature	Prior to MySQL 8.0.17	As of MySQL 8.0.17
Number of passwords	Single password only	Multiple passwords permitted
Encrypted log file names	`.enc` suffix	`.pwd_id.enc` suffix
Password keyring ID	`audit_log`	`audit_log-pwd_id`
Password history	No	Yes

Prior to MySQL 8.0.17, there is no password history, so setting a new password makes the old password inaccessible, rendering MySQL Enterprise Audit unable to read log files encrypted with the old password. Should you anticipate a need to decrypt those files manually, you must maintain a record of previous passwords.

If audit log file encryption is enabled when you upgrade to MySQL 8.0.17 or higher from a lower version, the audit log plugin performs these upgrade actions:

- During plugin initialization, the plugin checks for an encryption password with a keyring ID of `audit_log`. If it finds one, the plugin duplicates the password using a keyring ID in `audit_log-pwd_id` format and uses it as the current encryption password. (For details about *`pwd_id`* syntax, see Naming Conventions for Audit Log Files.)
- Existing encrypted log files have a suffix of `.enc`. The plugin does not rename these to have a suffix of `.pwd_id.enc`, but can read them as long as the key with the ID of `audit_log` remains in the keyring.
- When password cleanup occurs, if the plugin expires any password with a keyring ID in `audit_log-pwd_id` format, it also expires the password with a keyring ID of `audit_log`, if it exists. (At this point, encrypted log files that have a suffix of `.enc` rather than `.pwd_id.enc` become unreadable by the plugin, so it is assumed that you no longer need them.)

Space Management of Audit Log Files

The audit log file has the potential to grow quite large and consume a great deal of disk space. If you are collecting the optional query time and size statistics, which are available from MySQL 8.0.30, this increases the space requirements. The query statistics are only supported with JSON format.

To manage the space used, employ these methods:

- Log file rotation. This involves rotating the current log file by renaming it, then opening a new current log file using the original name. Rotation can be performed manually, or configured to occur automatically.
- Pruning of rotated JSON-format log files, if automatic rotation is enabled. Pruning can be performed based on log file age (as of MySQL 8.0.24), or combined log file size (as of MySQL 8.0.26).

To configure audit log file space management, use the following system variables:

- If `audit_log_rotate_on_size` is 0 (the default), automatic log file rotation is disabled.

  - No rotation occurs unless performed manually.
  - To rotate the current file, use one of the following methods:
    - Before MySQL 8.0.31, manually rename the file, then enable `audit_log_flush` to close it and open a new current log file using the original name. This file rotation method and the `audit_log_flush` variable are deprecated in MySQL 8.0.31.

      With this file rotation method, pruning of rotated JSON-format log files does not occur; `audit_log_max_size` and `audit_log_prune_seconds` have no effect.
    - From MySQL 8.0.31, run `SELECT audit_log_rotate();` to rename the file and open a new audit log file using the original name.

      With this file rotation method, pruning of rotated JSON-format log files occurs if `audit_log_max_size` or `audit_log_prune_seconds` has a value greater than 0.

    See Manual Audit Log File Rotation (Before MySQL 8.0.31).

- If `audit_log_rotate_on_size` is greater than 0, automatic audit log file rotation is enabled:
  - Automatic rotation occurs when a write to the current log file causes its size to exceed the `audit_log_rotate_on_size` value, as well as under certain other conditions; see Automatic Audit Log File Rotation. When automatic rotation occurs, the audit log plugin renames the current log file and opens a new current log file using the original name.
  - Pruning of rotated JSON-format log files occurs if `audit_log_max_size` or `audit_log_prune_seconds` has a value greater than 0.
  - `audit_log_flush` has no effect.

    > **Note**
    >
    > For JSON-format log files, rotation also occurs when the value of the `audit_log_format_unix_timestamp` system variable is changed at runtime. However, this does not occur for space-management purposes, but rather so that, for a given JSON-format log file, all records in the file either do or do not include the `time` field.

    > **Note**
    >
    > Rotated (renamed) log files are not removed automatically. For example, with size-based log file rotation, renamed log files have unique names and accumulate indefinitely. They do not rotate off the end of the name sequence. To avoid excessive use of space:
    >
    > - As of MySQL 8.0.24 (for JSON-format log files): Enable log file pruning as described in Audit Log File Pruning.
    > - Otherwise (for non-JSON files, or prior to MySQL 8.0.24 for all log formats): Remove old files periodically, backing them up first as necessary. If backed-up log files are encrypted, also back up the corresponding encryption passwords to a safe place, should you need to decrypt the files later.

The following sections describe log file rotation and pruning in greater detail.

- Manual Audit Log File Rotation (Before MySQL 8.0.31)
- Manual Audit Log File Rotation (From MySQL 8.0.31)
- Automatic Audit Log File Rotation

- Audit Log File Pruning

Manual Audit Log File Rotation (Before MySQL 8.0.31)

> **Note**
>
> From MySQL 8.0.31, the `audit_log_flush` variable and this method of audit log file rotation are deprecated; expect support to be removed in a future version of MySQL.

If `audit_log_rotate_on_size` is 0 (the default), no log rotation occurs unless performed manually. In this case, the audit log plugin closes and reopens the log file when the `audit_log_flush` value changes from disabled to enabled. Log file renaming must be done externally to the server. Suppose that the log file name is `audit.log` and you want to maintain the three most recent log files, cycling through the names `audit.log.1` through `audit.log.3`. On Unix, perform rotation manually like this:

1. From the command line, rename the current log files:

   ```
   mv audit.log.2 audit.log.3
   mv audit.log.1 audit.log.2
   mv audit.log audit.log.1
   ```

   This strategy overwrites the current `audit.log.3` contents, placing a bound on the number of archived log files and the space they use.

2. At this point, the plugin is still writing to the current log file, which has been renamed to `audit.log.1`. Connect to the server and flush the log file so the plugin closes it and reopens a new `audit.log` file:

   ```
   SET GLOBAL audit_log_flush = ON;
   ```

   `audit_log_flush` is special in that its value remains `OFF` so that you need not disable it explicitly before enabling it again to perform another flush.

> **Note**
>
> If compression or encryption are enabled, log file names include suffixes that signify the enabled features, as well as a password ID if encryption is enabled. If file names include a password ID, be sure to retain the ID in the name of any files you rename manually so that the password to use for decryption operations can be determined.

> **Note**
>
> For JSON-format logging, renaming audit log files manually makes them unavailable to the log-reading functions because the audit log plugin can no longer determine that they are part of the log file sequence (see Section 6.5.6, “Reading Audit Log Files”). Consider setting `audit_log_rotate_on_size` greater than 0 to use size-based rotation instead.

Manual Audit Log File Rotation (From MySQL 8.0.31)

If `audit_log_rotate_on_size` is 0 (the default), no log rotation occurs unless performed manually.

To rotate the audit log file manually, run `SELECT audit_log_rotate();` to rename the current audit log file and open a new audit log file. Files are renamed according to the conventions described in Naming Conventions for Audit Log Files.

The `AUDIT_ADMIN` privilege is required to use the `audit_log_rotate()` function.

Managing the number of archived log files (the files that have been renamed) and the space they use is a manual task that involves removing archived audit log files that are no longer needed from your file system.

The content of audit log files that are renamed using the `audit_log_rotate()` function can be read by `audit_log_read()` function.

Automatic Audit Log File Rotation

If `audit_log_rotate_on_size` is greater than 0, setting `audit_log_flush` has no effect. Instead, whenever a write to the current log file causes its size to exceed the `audit_log_rotate_on_size` value, the audit log plugin automatically renames the current log file and opens a new current log file using the original name.

Automatic size-based rotation also occurs under these conditions:

- During plugin initialization, if a file with the audit log file name already exists (see Naming Conventions for Audit Log Files).
- During plugin termination.
- When the `audit_log_encryption_password_set()` function is called to set the encryption password, if encryption is enabled. (Rotation does not occur if encryption is disabled.)

The plugin renames the original file by inserting a timestamp just after its base name. For example, if the file name is `audit.log`, the plugin renames it to a value such as `audit.20210115T140633.log`. The timestamp is a UTC value in *`YYYYMMDDThhmmss`* format. For XML logging, the timestamp indicates rotation time. For JSON logging, the timestamp is that of the last event written to the file.

If log files are encrypted, the original file name already contains a timestamp indicating the encryption password creation time (see Naming Conventions for Audit Log Files). In this case, the file name after rotation contains two timestamps. For example, an encrypted log file named `audit.log.20210110T130749-1.enc` is renamed to a value such as `audit.20210115T140633.log.20210110T130749-1.enc`.

Audit Log File Pruning

The audit log plugin supports pruning of rotated JSON-format audit log files, if automatic log file rotation is enabled. To use this capability:

- Set `audit_log_format` to `JSON`. (In addition, consider also changing `audit_log_file`; see Selecting Audit Log File Format.)
- Set `audit_log_rotate_on_size` greater than 0 to specify the size in bytes at which automatic log file rotation occurs.
- By default, no pruning of automatically rotated JSON-format log files occurs. To enable pruning, set one of these system variables to a value greater than 0:
  - Set `audit_log_max_size` greater than 0 to specify the limit in bytes on the combined size of rotated log files above which the files become subject to pruning. `audit_log_max_size` is available as of MySQL 8.0.26.
  - Set `audit_log_prune_seconds` greater than 0 to specify the number of seconds after which rotated log files become subject to pruning. `audit_log_prune_seconds` is available as of MySQL 8.0.24.

  Nonzero values of `audit_log_max_size` take precedence over nonzero values of `audit_log_prune_seconds`. If both are set greater than 0 at plugin initialization, a warning is written to the server error log. If a client sets both greater than 0 at runtime, a warning is returned to the client.

> **Note**
>
> Warnings to the error log are written as Notes, which are information messages. To ensure that such messages appear in the error log and are

> not discarded, make sure that error-logging verbosity is sufficient to include information messages. For example, if you are using priority-based log filtering, as described in Priority-Based Error Log Filtering (log_filter_internal), set the `log_error_verbosity` system variable to a value of 3.

Pruning of JSON-format log files, if enabled, occurs as follows:

- When automatic rotation takes place; for the conditions under which this happens, see Automatic Audit Log File Rotation.
- When the global `audit_log_max_size` or `audit_log_prune_seconds` system variable is set at runtime.

For pruning based on combined rotated log file size, if the combined size is greater than the limit specified by `audit_log_max_size`, the audit log plugin removes the oldest files until their combined size does not exceed the limit.

For pruning based on rotated log file age, the pruning point is the current time minus the value of `audit_log_prune_seconds`. In rotated JSON-format log files, the timestamp part of each file name indicates the timestamp of the last event written to the file. The audit log plugin uses file name timestamps to determine which files contain only events older than the pruning point, and removes them.

Write Strategies for Audit Logging

The audit log plugin can use any of several strategies for log writes. Regardless of strategy, logging occurs on a best-effort basis, with no guarantee of consistency.

To specify a write strategy, set the `audit_log_strategy` system variable at server startup. By default, the strategy value is `ASYNCHRONOUS` and the plugin logs asynchronously to a buffer, waiting if the buffer is full. You can tell the plugin not to wait (`PERFORMANCE`) or to log synchronously, either using file system caching (`SEMISYNCHRONOUS`) or forcing output with a `sync()` call after each write request (`SYNCHRONOUS`).

For asynchronous write strategy, the `audit_log_buffer_size` system variable is the buffer size in bytes. Set this variable at server startup to change the buffer size. The plugin uses a single buffer, which it allocates when it initializes and removes when it terminates. The plugin does not allocate this buffer for nonasynchronous write strategies.

Asynchronous logging strategy has these characteristics:

- Minimal impact on server performance and scalability.
- Blocking of threads that generate audit events for the shortest possible time; that is, time to allocate the buffer plus time to copy the event to the buffer.
- Output goes to the buffer. A separate thread handles writes from the buffer to the log file.

With asynchronous logging, the integrity of the log file may be compromised if a problem occurs during a write to the file or if the plugin does not shut down cleanly (for example, in the event that the server host exits unexpectedly). To reduce this risk, set `audit_log_strategy` to use synchronous logging.

A disadvantage of `PERFORMANCE` strategy is that it drops events when the buffer is full. For a heavily loaded server, the audit log may have events missing.

6.5.6 Reading Audit Log Files

The audit log plugin supports functions that provide an SQL interface for reading JSON-format audit log files. (This capability does not apply to log files written in other formats.)

When the audit log plugin initializes and is configured for JSON logging, it uses the directory containing the current audit log file as the location to search for readable audit log files. The plugin determines

the file location, base name, and suffix from the value of the `audit_log_file` system variable, then looks for files with names that match the following pattern, where `[...]` indicates optional file name parts:

```
basename[.timestamp].suffix[.gz][[.pwd_id].enc]
```

If a file name ends with `.enc`, the file is encrypted and reading its unencrypted contents requires a decryption password obtained from the keyring. The audit log plugin determines the keyring ID of the decryption password as follows:

- If `.enc` is preceded by *`pwd_id`*, the keyring ID is `audit_log-`*`pwd_id`*.
- If `.enc` is not preceded by *`pwd_id`*, the file has an old name from before audit log encryption password history was implemented. The keyring ID is `audit_log`.

For more information about encrypted audit log files, see Encrypting Audit Log Files.

The plugin ignores files that have been renamed manually and do not match the pattern, and files that were encrypted with a password no longer available in the keyring. The plugin opens each remaining candidate file, verifies that the file actually contains `JSON` audit events, and sorts the files using the timestamps from the first event of each file. The result is a sequence of files that are subject to access using the log-reading functions:

- `audit_log_read()` reads events from the audit log or closes the reading process.
- `audit_log_read_bookmark()` returns a bookmark for the most recently written audit log event. This bookmark is suitable for passing to `audit_log_read()` to indicate where to begin reading.

`audit_log_read()` takes an optional `JSON` string argument, and the result returned from a successful call to either function is a `JSON` string.

To use the functions to read the audit log, follow these principles:

- Call `audit_log_read()` to read events beginning from a given position or the current position, or to close reading:
  - To initialize an audit log read sequence, pass an argument that indicates the position at which to begin. One way to do so is to pass the bookmark returned by `audit_log_read_bookmark()`:

    ```
    SELECT audit_log_read(audit_log_read_bookmark());
    ```

  - To continue reading from the current position in the sequence, call `audit_log_read()` with no position specified:

    ```
    SELECT audit_log_read();
    ```

  - To explicitly close the read sequence, pass a `JSON` `null` argument:

    ```
    SELECT audit_log_read('null');
    ```

    It is unnecessary to close reading explicitly. Reading is closed implicitly when the session ends or a new read sequence is initialized by calling `audit_log_read()` with an argument that indicates the position at which to begin.

- A successful call to `audit_log_read()` to read events returns a `JSON` string containing an array of audit events:
  - If the final value of the returned array is not a `JSON` `null` value, there are more events following those just read and `audit_log_read()` can be called again to read more of them.
  - If the final value of the returned array is a `JSON` `null` value, there are no more events left to be read in the current read sequence.

Each non-`null` array element is an event represented as a `JSON` hash. For example:

```
[
  {
    "timestamp": "2020-05-18 13:39:33", "id": 0,
    "class": "connection", "event": "connect",
    ...
  },
  {
    "timestamp": "2020-05-18 13:39:33", "id": 1,
    "class": "general", "event": "status",
    ...
  },
  {
    "timestamp": "2020-05-18 13:39:33", "id": 2,
    "class": "connection", "event": "disconnect",
    ...
  },
  null
]
```

For more information about the content of JSON-format audit events, see JSON Audit Log File Format.

- An `audit_log_read()` call to read events that does not specify a position produces an error under any of these conditions:
  - A read sequence has not yet been initialized by passing a position to `audit_log_read()`.
  - There are no more events left to be read in the current read sequence; that is, `audit_log_read()` previously returned an array ending with a `JSON null` value.
  - The most recent read sequence has been closed by passing a `JSON null` value to `audit_log_read()`.

  To read events under those conditions, it is necessary to first initialize a read sequence by calling `audit_log_read()` with an argument that specifies a position.

To specify a position to `audit_log_read()`, include an argument that indicates where to begin reading. For example, pass a bookmark, which is a `JSON` hash containing `timestamp` and `id` elements that uniquely identify a particular event. Here is an example bookmark, obtained by calling the `audit_log_read_bookmark()` function:

```
mysql> SELECT audit_log_read_bookmark();
+------------------------------------------------+
| audit_log_read_bookmark()                      |
+------------------------------------------------+
| { "timestamp": "2020-05-18 21:03:44", "id": 0 } |
+------------------------------------------------+
```

Passing the current bookmark to `audit_log_read()` initializes event reading beginning at the bookmark position:

```
mysql> SELECT audit_log_read(audit_log_read_bookmark());
+-------------------------------------------------------------------------+
| audit_log_read(audit_log_read_bookmark())                               |
+-------------------------------------------------------------------------+
| [ {"timestamp":"2020-05-18 22:41:24","id":0,"class":"connection", ... |
+-------------------------------------------------------------------------+
```

The argument to `audit_log_read()` is optional. If present, it can be a `JSON null` value to close the read sequence, or a `JSON` hash.

Within a hash argument to `audit_log_read()`, items are optional and control aspects of the read operation such as the position at which to begin reading or how many events to read. The following items are significant (other items are ignored):

- `start`: The position within the audit log of the first event to read. The position is given as a timestamp and the read starts from the first event that occurs on or after the timestamp value. The `start` item has this format, where *`value`* is a literal timestamp value:

  ```
  "start": { "timestamp": "value" }
  ```

  The `start` item is permitted as of MySQL 8.0.22.

- `timestamp`, `id`: The position within the audit log of the first event to read. The `timestamp` and `id` items together comprise a bookmark that uniquely identify a particular event. If an `audit_log_read()` argument includes either item, it must include both to completely specify a position or an error occurs.

- `max_array_length`: The maximum number of events to read from the log. If this item is omitted, the default is to read to the end of the log or until the read buffer is full, whichever comes first.

To specify a starting position to `audit_log_read()`, pass a hash argument that includes either a `start` item or a bookmark consisting of `timestamp` and `id` items. If a hash argument includes both a `start` item and a bookmark, an error occurs.

If a hash argument specifies no starting position, reading continues from the current position.

If a timestamp value includes no time part, a time part of `00:00:00` is assumed.

Example arguments accepted by `audit_log_read()`:

- Read events starting with the first event that occurs on or after the given timestamp:

  ```
  audit_log_read('{ "start": { "timestamp": "2020-05-24 12:30:00" } }')
  ```

- Like the previous example, but read at most 3 events:

  ```
  audit_log_read('{ "start": { "timestamp": "2020-05-24 12:30:00" }, "max_array_length": 3 }')
  ```

- Read events starting with the first event that occurs on or after `2020-05-24 00:00:00` (the timestamp includes no time part, so `00:00:00` is assumed):

  ```
  audit_log_read('{ "start": { "timestamp": "2020-05-24" } }')
  ```

- Read events starting with the event that has the exact timestamp and event ID:

  ```
  audit_log_read('{ "timestamp": "2020-05-24 12:30:00", "id": 0 }')
  ```

- Like the previous example, but read at most 3 events:

  ```
  audit_log_read('{ "timestamp": "2020-05-24 12:30:00", "id": 0, "max_array_length": 3 }')
  ```

- Read events from the current position in the read sequence:

  ```
  audit_log_read()
  ```

- Read at most 5 events beginning at the current position in the read sequence:

  ```
  audit_log_read('{ "max_array_length": 5 }')
  ```

- Close the current read sequence:

  ```
  audit_log_read('null')
  ```

A `JSON` string returned from either log-reading function can be manipulated as necessary. Suppose that a call to obtain a bookmark produces this value:

```
mysql> SET @mark := audit_log_read_bookmark();
mysql> SELECT @mark;
+------------------------------------------------+
| @mark                                          |
```

```
+-----------------------------------------------+
| { "timestamp": "2020-05-18 16:10:28", "id": 2 } |
+-----------------------------------------------+
```

Calling `audit_log_read()` with that argument can return multiple events. To limit `audit_log_read()` to reading at most *`N`* events, add to the string a `max_array_length` item with that value. For example, to read a single event, modify the string as follows:

```
mysql> SET @mark := JSON_SET(@mark, '$.max_array_length', 1);
mysql> SELECT @mark;
+-------------------------------------------------------------------------+
| @mark                                                                   |
+-------------------------------------------------------------------------+
| {"id": 2, "timestamp": "2020-05-18 16:10:28", "max_array_length": 1} |
+-------------------------------------------------------------------------+
```

The modified string, when passed to `audit_log_read()`, produces a result containing at most one event, no matter how many are available.

Prior to MySQL 8.0.19, string return values from audit log functions are binary strings. To use a binary string with functions that require a nonbinary string (such as functions that manipulate `JSON` values), convert it to a nonbinary string. For example, before passing a bookmark to `JSON_SET()`, convert it to `utf8mb4` as follows:

```
SET @mark = CONVERT(@mark USING utf8mb4);
```

That statement can be used even for MySQL 8.0.19 and higher; for those versions, it is essentially a no-op and is harmless.

If an audit log function is invoked from within the `mysql` client, binary string results display using hexadecimal notation, depending on the value of the `--binary-as-hex`. For more information about that option, see mysql — The MySQL Command-Line Client.

To set a limit on the number of bytes that `audit_log_read()` reads, set the `audit_log_read_buffer_size` system variable. As of MySQL 8.0.12, this variable has a default of 32KB and can be set at runtime. Each client should set its session value of `audit_log_read_buffer_size` appropriately for its use of `audit_log_read()`.

Each call to `audit_log_read()` returns as many available events as fit within the buffer size. Events that do not fit within the buffer size are skipped and generate warnings. Given this behavior, consider these factors when assessing the proper buffer size for an application:

- There is a tradeoff between number of calls to `audit_log_read()` and events returned per call:
  - With a smaller buffer size, calls return fewer events, so more calls are needed.
  - With a larger buffer size, calls return more events, so fewer calls are needed.
- With a smaller buffer size, such as the default size of 32KB, there is a greater chance for events to exceed the buffer size and thus to be skipped.

Prior to MySQL 8.0.12, `audit_log_read_buffer_size` has a default of 1MB, affects all clients, and can be changed only at server startup.

For additional information about audit log-reading functions, see Audit Log Functions.

6.5.7 Audit Log Filtering

> **Note**
>
> For audit log filtering to work as described here, the audit log plugin *and the accompanying audit tables and functions* must be installed. If the plugin is installed without the accompanying audit tables and functions needed for rule-based filtering, the plugin operates in legacy filtering mode, described in

> Section 6.5.10, “Legacy Mode Audit Log Filtering”. Legacy mode (deprecated in MySQL 8.0.34) is filtering behavior as it was prior to MySQL 5.7.13; that is, before the introduction of rule-based filtering.

- Properties of Audit Log Filtering
- Constraints on Audit Log Filtering Functions
- Using Audit Log Filtering Functions

Properties of Audit Log Filtering

The audit log plugin has the capability of controlling logging of audited events by filtering them:

- Audited events can be filtered using these characteristics:
  - User account
  - Audit event class
  - Audit event subclass
  - Audit event fields such as those that indicate operation status or SQL statement executed
- Audit filtering is rule based:
  - A filter definition creates a set of auditing rules. Definitions can be configured to include or exclude events for logging based on the characteristics just described.
  - Filter rules have the capability of blocking (aborting) execution of qualifying events, in addition to existing capabilities for event logging.
  - Multiple filters can be defined, and any given filter can be assigned to any number of user accounts.
  - It is possible to define a default filter to use with any user account that has no explicitly assigned filter.

  Audit log filtering is used to implement component services from MySQL 8.0.30. To get the optional query statistics available from that release, you set them up as a filter using the service component, which implements the services that write the statistics to the audit log. For instructions to set this filter up, see Adding Query Statistics for Outlier Detection.

  For information about writing filtering rules, see Section 6.5.8, “Writing Audit Log Filter Definitions”.
- Audit log filters can be defined and modified using an SQL interface based on function calls. By default, audit log filter definitions are stored in the `mysql` system database, and you can display audit filters by querying the `mysql.audit_log_filter` table. It is possible to use a different database for this purpose, in which case you should query the *`database_name`*`.audit_log_filter` table instead. See Section 6.5.2, “Installing or Uninstalling MySQL Enterprise Audit”, for more information.
- Within a given session, the value of the read-only `audit_log_filter_id` system variable indicates whether a filter is assigned to the session.

> **Note**
>
> By default, rule-based audit log filtering logs no auditable events for any users. To log all auditable events for all users, use the following statements, which create a simple filter to enable logging and assign it to the default account:
>
> ```
> SELECT audit_log_filter_set_filter('log_all', '{ "filter": { "log": true } }');
> ```

```
SELECT audit_log_filter_set_user('%', 'log_all');
```

The filter assigned to `%` is used for connections from any account that has no explicitly assigned filter (which initially is true for all accounts).

As previously mentioned, the SQL interface for audit filtering control is function based. The following list briefly summarizes these functions:

- `audit_log_filter_set_filter()`: Define a filter.
- `audit_log_filter_remove_filter()`: Remove a filter.
- `audit_log_filter_set_user()`: Start filtering a user account.
- `audit_log_filter_remove_user()`: Stop filtering a user account.
- `audit_log_filter_flush()`: Flush manual changes to the filter tables to affect ongoing filtering.

For usage examples and complete details about the filtering functions, see Using Audit Log Filtering Functions, and Audit Log Functions.

Constraints on Audit Log Filtering Functions

Audit log filtering functions are subject to these constraints:

- To use any filtering function, the `audit_log` plugin must be enabled or an error occurs. In addition, the audit tables must exist or an error occurs. To install the `audit_log` plugin and its accompanying functions and tables, see Section 6.5.2, “Installing or Uninstalling MySQL Enterprise Audit”.

- To use any filtering function, a user must possess the `AUDIT_ADMIN` `SUPER` privilege or an error occurs. To grant one of these privileges to a user account, use this statement:

  ```
  GRANT privilege ON *.* TO user;
  ```

  Alternatively, should you prefer to avoid granting the `AUDIT_ADMIN` or `SUPER` privilege while still permitting users to access specific filtering functions, “wrapper” stored programs can be defined. This technique is described in the context of keyring functions in Using General-Purpose Keyring Functions; it can be adapted for use with filtering functions.

- The `audit_log` plugin operates in legacy mode if it is installed but the accompanying audit tables and functions are not created. The plugin writes these messages to the error log at server startup:

  ```
  [Warning] Plugin audit_log reported: 'Failed to open the audit log filter tables.'
  [Warning] Plugin audit_log reported: 'Audit Log plugin supports a filtering,
  which has not been installed yet. Audit Log plugin will run in the legacy
  mode, which will be disabled in the next release.'
  ```

  In legacy mode, which is deprecated as of MySQL 8.0.34, filtering can be done based only on event account or status. For details, see Section 6.5.10, “Legacy Mode Audit Log Filtering”.

- It is theoretically possible for a user with sufficient permissions to mistakenly create an “abort” item in the audit log filter that prevents themselves and other administrators from accessing the system. From MySQL 8.0.28, the `AUDIT_ABORT_EXEMPT` privilege is available to permit a user account’s queries to always be executed even if an “abort” item would block them. Accounts with this privilege can therefore be used to regain access to a system following an audit misconfiguration. The query is still logged in the audit log, but instead of being rejected, it is permitted due to the privilege.

  Accounts created in MySQL 8.0.28 or later with the `SYSTEM_USER` privilege have the `AUDIT_ABORT_EXEMPT` privilege assigned automatically when they are created. The `AUDIT_ABORT_EXEMPT` privilege is also assigned to existing accounts with the `SYSTEM_USER` privilege when you carry out an upgrade procedure with MySQL 8.0.28 or later, if no existing accounts have that privilege assigned.

Using Audit Log Filtering Functions

Before using the audit log functions, install them according to the instructions provided in Section 6.5.2, “Installing or Uninstalling MySQL Enterprise Audit”. The `AUDIT_ADMIN` or `SUPER` privilege is required to use any of these functions.

The audit log filtering functions enable filtering control by providing an interface to create, modify, and remove filter definitions and assign filters to user accounts.

Filter definitions are `JSON` values. For information about using `JSON` data in MySQL, see The JSON Data Type. This section shows some simple filter definitions. For more information about filter definitions, see Section 6.5.8, “Writing Audit Log Filter Definitions”.

When a connection arrives, the audit log plugin determines which filter to use for the new session by searching for the user account name in the current filter assignments:

- If a filter is assigned to the user, the audit log uses that filter.
- Otherwise, if no user-specific filter assignment exists, but there is a filter assigned to the default account (`%`), the audit log uses the default filter.
- Otherwise, the audit log selects no audit events from the session for processing.

If a change-user operation occurs during a session (see mysql_change_user()), filter assignment for the session is updated using the same rules but for the new user.

By default, no accounts have a filter assigned, so no processing of auditable events occurs for any account.

Suppose that you want to change the default to be to log only connection-related activity (for example, to see connect, change-user, and disconnect events, but not the SQL statements users execute while connected). To achieve this, define a filter (shown here named `log_conn_events`) that enables logging only of events in the `connection` class, and assign that filter to the default account, represented by the `%` account name:

```
SET @f = '{ "filter": { "class": { "name": "connection" } } }';
SELECT audit_log_filter_set_filter('log_conn_events', @f);
SELECT audit_log_filter_set_user('%', 'log_conn_events');
```

Now the audit log uses this default account filter for connections from any account that has no explicitly defined filter.

To assign a filter explicitly to a particular user account or accounts, define the filter, then assign it to the relevant accounts:

```
SELECT audit_log_filter_set_filter('log_all', '{ "filter": { "log": true } }');
SELECT audit_log_filter_set_user('user1@localhost', 'log_all');
SELECT audit_log_filter_set_user('user2@localhost', 'log_all');
```

Now full logging is enabled for `user1@localhost` and `user2@localhost`. Connections from other accounts continue to be filtered using the default account filter.

To disassociate a user account from its current filter, either unassign the filter or assign a different filter:

- To unassign the filter from the user account:

  ```
  SELECT audit_log_filter_remove_user('user1@localhost');
  ```

  Filtering of current sessions for the account remains unaffected. Subsequent connections from the account are filtered using the default account filter if there is one, and are not logged otherwise.

- To assign a different filter to the user account:

  ```
  SELECT audit_log_filter_set_filter('log_nothing', '{ "filter": { "log": false } }');
  SELECT audit_log_filter_set_user('user1@localhost', 'log_nothing');
  ```

Filtering of current sessions for the account remains unaffected. Subsequent connections from the account are filtered using the new filter. For the filter shown here, that means no logging for new connections from `user1@localhost`.

For audit log filtering, user name and host name comparisons are case-sensitive. This differs from comparisons for privilege checking, for which host name comparisons are not case-sensitive.

To remove a filter, do this:

```
SELECT audit_log_filter_remove_filter('log_nothing');
```

Removing a filter also unassigns it from any users to whom it is assigned, including any current sessions for those users.

The filtering functions just described affect audit filtering immediately and update the audit log tables in the `mysql` system database that store filters and user accounts (see Audit Log Tables). It is also possible to modify the audit log tables directly using statements such as `INSERT`, `UPDATE`, and `DELETE`, but such changes do not affect filtering immediately. To flush your changes and make them operational, call `audit_log_filter_flush()`:

```
SELECT audit_log_filter_flush();
```

> **Warning**
>
> `audit_log_filter_flush()` should be used only after modifying the audit tables directly, to force reloading all filters. Otherwise, this function should be avoided. It is, in effect, a simplified version of unloading and reloading the `audit_log` plugin with `UNINSTALL PLUGIN` plus `INSTALL PLUGIN`.
>
> `audit_log_filter_flush()` affects all current sessions and detaches them from their previous filters. Current sessions are no longer logged unless they disconnect and reconnect, or execute a change-user operation.

To determine whether a filter is assigned to the current session, check the session value of the read-only `audit_log_filter_id` system variable. If the value is 0, no filter is assigned. A nonzero value indicates the internally maintained ID of the assigned filter:

```
mysql> SELECT @@audit_log_filter_id;
+-----------------------+
| @@audit_log_filter_id |
+-----------------------+
|                     2 |
+-----------------------+
```

6.5.8 Writing Audit Log Filter Definitions

Filter definitions are `JSON` values. For information about using `JSON` data in MySQL, see The JSON Data Type.

Filter definitions have this form, where *`actions`* indicates how filtering takes place:

```
{ "filter": actions }
```

The following discussion describes permitted constructs in filter definitions.

- Logging All Events
- Logging Specific Event Classes
- Logging Specific Event Subclasses
- Inclusive and Exclusive Logging
- Testing Event Field Values

- Blocking Execution of Specific Events
- Logical Operators
- Referencing Predefined Variables
- Referencing Predefined Functions
- Replacement of Event Field Values
- Replacing a User Filter

Logging All Events

To explicitly enable or disable logging of all events, use a `log` item in the filter:

```
{
  "filter": { "log": true }
}
```

The `log` value can be either `true` or `false`.

The preceding filter enables logging of all events. It is equivalent to:

```
{
  "filter": { }
}
```

Logging behavior depends on the `log` value and whether `class` or `event` items are specified:

- With `log` specified, its given value is used.
- Without `log` specified, logging is `true` if no `class` or `event` item is specified, and `false` otherwise (in which case, `class` or `event` can include their own `log` item).

Logging Specific Event Classes

To log events of a specific class, use a `class` item in the filter, with its `name` field denoting the name of the class to log:

```
{
  "filter": {
    "class": { "name": "connection" }
  }
}
```

The `name` value can be `connection`, `general`, or `table_access` to log connection, general, or table-access events, respectively.

The preceding filter enables logging of events in the `connection` class. It is equivalent to the following filter with `log` items made explicit:

```
{
  "filter": {
    "log": false,
    "class": { "log": true,
               "name": "connection" }
  }
}
```

To enable logging of multiple classes, define the `class` value as a `JSON` array element that names the classes:

```
{
  "filter": {
    "class": [
      { "name": "connection" },
      { "name": "general" },
      { "name": "table_access" }
```

```
    ]
  }
}
```

Note

When multiple instances of a given item appear at the same level within a filter definition, the item values can be combined into a single instance of that item within an array value. The preceding definition can be written like this:

```
{
  "filter": {
    "class": [
      { "name": [ "connection", "general", "table_access" ] }
    ]
  }
}
```

Logging Specific Event Subclasses

To select specific event subclasses, use an `event` item containing a `name` item that names the subclasses. The default action for events selected by an `event` item is to log them. For example, this filter enables logging for the named event subclasses:

```
{
  "filter": {
    "class": [
      {
        "name": "connection",
        "event": [
          { "name": "connect" },
          { "name": "disconnect" }
        ]
      },
      { "name": "general" },
      {
        "name": "table_access",
        "event": [
          { "name": "insert" },
          { "name": "delete" },
          { "name": "update" }
        ]
      }
    ]
  }
}
```

The `event` item can also contain explicit `log` items to indicate whether to log qualifying events. This `event` item selects multiple events and explicitly indicates logging behavior for them:

```
"event": [
  { "name": "read", "log": false },
  { "name": "insert", "log": true },
  { "name": "delete", "log": true },
  { "name": "update", "log": true }
]
```

The `event` item can also indicate whether to block qualifying events, if it contains an `abort` item. For details, see Blocking Execution of Specific Events.

Table 6.20, “Event Class and Subclass Combinations” describes the permitted subclass values for each event class.

Table 6.20 Event Class and Subclass Combinations

Event Class	Event Subclass	Description
`connection`	`connect`	Connection initiation (successful or unsuccessful)

Event Class	Event Subclass	Description
connection	change_user	User re-authentication with different user/password during session
connection	disconnect	Connection termination
general	status	General operation information
message	internal	Internally generated message
message	user	Message generated by audit_api_message_emit_udf()
table_access	read	Table read statements, such as SELECT or INSERT INTO ... SELECT
table_access	delete	Table delete statements, such as DELETE or TRUNCATE TABLE
table_access	insert	Table insert statements, such as INSERT or REPLACE
table_access	update	Table update statements, such as UPDATE

Table 6.21, “Log and Abort Characteristics Per Event Class and Subclass Combination” describes for each event subclass whether it can be logged or aborted.

Table 6.21 Log and Abort Characteristics Per Event Class and Subclass Combination

Event Class	Event Subclass	Can be Logged	Can be Aborted
connection	connect	Yes	No
connection	change_user	Yes	No
connection	disconnect	Yes	No
general	status	Yes	No
message	internal	Yes	Yes
message	user	Yes	Yes
table_access	read	Yes	Yes
table_access	delete	Yes	Yes
table_access	insert	Yes	Yes
table_access	update	Yes	Yes

Inclusive and Exclusive Logging

A filter can be defined in inclusive or exclusive mode:

- Inclusive mode logs only explicitly specified items.
- Exclusive mode logs everything but explicitly specified items.

To perform inclusive logging, disable logging globally and enable logging for specific classes. This filter logs connect and disconnect events in the connection class, and events in the general class:

```
{
  "filter": {
    "log": false,
    "class": [
      {
        "name": "connection",
        "event": [
```

```
          { "name": "connect", "log": true },
          { "name": "disconnect", "log": true }
        ]
      },
      { "name": "general", "log": true }
    ]
  }
}
```

To perform exclusive logging, enable logging globally and disable logging for specific classes. This filter logs everything except events in the `general` class:

```
{
  "filter": {
    "log": true,
    "class":
      { "name": "general", "log": false }
  }
}
```

This filter logs `change_user` events in the `connection` class, `message` events, and `table_access` events, by virtue of *not* logging everything else:

```
{
  "filter": {
    "log": true,
    "class": [
      {
        "name": "connection",
        "event": [
          { "name": "connect", "log": false },
          { "name": "disconnect", "log": false }
        ]
      },
      { "name": "general", "log": false }
    ]
  }
}
```

Testing Event Field Values

To enable logging based on specific event field values, specify a `field` item within the `log` item that indicates the field name and its expected value:

```
{
  "filter": {
    "class": {
    "name": "general",
      "event": {
        "name": "status",
        "log": {
          "field": { "name": "general_command.str", "value": "Query" }
        }
      }
    }
  }
}
```

Each event contains event class-specific fields that can be accessed from within a filter to perform custom filtering.

An event in the `connection` class indicates when a connection-related activity occurs during a session, such as a user connecting to or disconnecting from the server. Table 6.22, “Connection Event Fields” indicates the permitted fields for `connection` events.

Table 6.22 Connection Event Fields

Field Name	Field Type	Description
`status`	integer	Event status:

Field Name	Field Type	Description
		0: OK Otherwise: Failed
`connection_id`	unsigned integer	Connection ID
`user.str`	string	User name specified during authentication
`user.length`	unsigned integer	User name length
`priv_user.str`	string	Authenticated user name (account user name)
`priv_user.length`	unsigned integer	Authenticated user name length
`external_user.str`	string	External user name (provided by third-party authentication plugin)
`external_user.length`	unsigned integer	External user name length
`proxy_user.str`	string	Proxy user name
`proxy_user.length`	unsigned integer	Proxy user name length
`host.str`	string	Connected user host
`host.length`	unsigned integer	Connected user host length
`ip.str`	string	Connected user IP address
`ip.length`	unsigned integer	Connected user IP address length
`database.str`	string	Database name specified at connect time
`database.length`	unsigned integer	Database name length
`connection_type`	integer	Connection type: 0 or `"::undefined"`: Undefined 1 or `"::tcp/ip"`: TCP/IP 2 or `"::socket"`: Socket 3 or `"::named_pipe"`: Named pipe 4 or `"::ssl"`: TCP/IP with encryption 5 or `"::shared_memory"`: Shared memory

The `"::xxx"` values are symbolic pseudo-constants that may be given instead of the literal numeric values. They must be quoted as strings and are case-sensitive.

An event in the `general` class indicates the status code of an operation and its details. Table 6.23, “General Event Fields” indicates the permitted fields for `general` events.

Table 6.23 General Event Fields

Field Name	Field Type	Description
`general_error_code`	integer	Event status: 0: OK

Field Name	Field Type	Description
		Otherwise: Failed
general_thread_id	unsigned integer	Connection/thread ID
general_user.str	string	User name specified during authentication
general_user.length	unsigned integer	User name length
general_command.str	string	Command name
general_command.length	unsigned integer	Command name length
general_query.str	string	SQL statement text
general_query.length	unsigned integer	SQL statement text length
general_host.str	string	Host name
general_host.length	unsigned integer	Host name length
general_sql_command.str	string	SQL command type name
general_sql_command.length	unsigned integer	SQL command type name length
general_external_user.str	string	External user name (provided by third-party authentication plugin)
general_external_user.length	unsigned integer	External user name length
general_ip.str	string	Connected user IP address
general_ip.length	unsigned integer	Connection user IP address length

general_command.str indicates a command name: Query, Execute, Quit, or Change user.

A general event with the general_command.str field set to Query or Execute contains general_sql_command.str set to a value that specifies the type of SQL command: alter_db, alter_db_upgrade, admin_commands, and so forth. The available general_sql_command.str values can be seen as the last components of the Performance Schema instruments displayed by this statement:

```
mysql> SELECT NAME FROM performance_schema.setup_instruments
       WHERE NAME LIKE 'statement/sql/%' ORDER BY NAME;
+---------------------------------------+
| NAME                                  |
+---------------------------------------+
| statement/sql/alter_db                |
| statement/sql/alter_db_upgrade        |
| statement/sql/alter_event             |
| statement/sql/alter_function          |
| statement/sql/alter_instance          |
| statement/sql/alter_procedure         |
| statement/sql/alter_server            |
...
```

An event in the table_access class provides information about a specific type of access to a table. Table 6.24, “Table-Access Event Fields” indicates the permitted fields for table_access events.

Table 6.24 Table-Access Event Fields

Field Name	Field Type	Description
connection_id	unsigned integer	Event connection ID
sql_command_id	integer	SQL command ID
query.str	string	SQL statement text
query.length	unsigned integer	SQL statement text length

Field Name	Field Type	Description
`table_database.str`	string	Database name associated with event
`table_database.length`	unsigned integer	Database name length
`table_name.str`	string	Table name associated with event
`table_name.length`	unsigned integer	Table name length

The following list shows which statements produce which table-access events:

- `read` event:
  - `SELECT`
  - `INSERT ... SELECT` (for tables referenced in `SELECT` clause)
  - `REPLACE ... SELECT` (for tables referenced in `SELECT` clause)
  - `UPDATE ... WHERE` (for tables referenced in `WHERE` clause)
  - `HANDLER ... READ`
- `delete` event:
  - `DELETE`
  - `TRUNCATE TABLE`
- `insert` event:
  - `INSERT`
  - `INSERT ... SELECT` (for table referenced in `INSERT` clause)
  - `REPLACE`
  - `REPLACE ... SELECT` (for table referenced in `REPLACE` clause
  - `LOAD DATA`
  - `LOAD XML`
- `update` event:
  - `UPDATE`
  - `UPDATE ... WHERE` (for tables referenced in `UPDATE` clause)

Blocking Execution of Specific Events

`event` items can include an `abort` item that indicates whether to prevent qualifying events from executing. `abort` enables rules to be written that block execution of specific SQL statements.

> **Important**
>
> It is theoretically possible for a user with sufficient permissions to mistakenly create an `abort` item in the audit log filter that prevents themselves and other administrators from accessing the system. From MySQL 8.0.28, the `AUDIT_ABORT_EXEMPT` privilege is available to permit a user account's queries to always be executed even if an `abort` item would block them. Accounts with this privilege can therefore be used to regain access to a system following an

> audit misconfiguration. The query is still logged in the audit log, but instead of being rejected, it is permitted due to the privilege.
>
> Accounts created in MySQL 8.0.28 or later with the `SYSTEM_USER` privilege have the `AUDIT_ABORT_EXEMPT` privilege assigned automatically when they are created. The `AUDIT_ABORT_EXEMPT` privilege is also assigned to existing accounts with the `SYSTEM_USER` privilege when you carry out an upgrade procedure with MySQL 8.0.28 or later, if no existing accounts have that privilege assigned.

The `abort` item must appear within an `event` item. For example:

```
"event": {
  "name": qualifying event subclass names
  "abort": condition
}
```

For event subclasses selected by the `name` item, the `abort` action is true or false, depending on *`condition`* evaluation. If the condition evaluates to true, the event is blocked. Otherwise, the event continues executing.

The *`condition`* specification can be as simple as `true` or `false`, or it can be more complex such that evaluation depends on event characteristics.

This filter blocks `INSERT`, `UPDATE`, and `DELETE` statements:

```
{
  "filter": {
    "class": {
      "name": "table_access",
      "event": {
        "name": [ "insert", "update", "delete" ],
        "abort": true
      }
    }
  }
}
```

This more complex filter blocks the same statements, but only for a specific table (`finances.bank_account`):

```
{
  "filter": {
    "class": {
      "name": "table_access",
      "event": {
        "name": [ "insert", "update", "delete" ],
        "abort": {
          "and": [
            { "field": { "name": "table_database.str", "value": "finances" } },
            { "field": { "name": "table_name.str", "value": "bank_account" } }
          ]
        }
      }
    }
  }
}
```

Statements matched and blocked by the filter return an error to the client:

```
ERROR 1045 (28000): Statement was aborted by an audit log filter
```

Not all events can be blocked (see Table 6.21, “Log and Abort Characteristics Per Event Class and Subclass Combination”). For an event that cannot be blocked, the audit log writes a warning to the error log rather than blocking it.

For attempts to define a filter in which the `abort` item appears elsewhere than in an `event` item, an error occurs.

Logical Operators

Logical operators (`and`, `or`, `not`) permit construction of complex conditions, enabling more advanced filtering configurations to be written. The following `log` item logs only `general` events with `general_command` fields having a specific value and length:

```
{
  "filter": {
    "class": {
      "name": "general",
      "event": {
        "name": "status",
        "log": {
          "or": [
            {
              "and": [
                { "field": { "name": "general_command.str",    "value": "Query" } },
                { "field": { "name": "general_command.length", "value": 5 } }
              ]
            },
            {
              "and": [
                { "field": { "name": "general_command.str",    "value": "Execute" } },
                { "field": { "name": "general_command.length", "value": 7 } }
              ]
            }
          ]
        }
      }
    }
  }
}
```

Referencing Predefined Variables

To refer to a predefined variable in a `log` condition, use a `variable` item, which takes `name` and `value` items and tests equality of the named variable against a given value:

```
"variable": {
  "name": "variable_name",
  "value": comparison_value
}
```

This is true if *`variable_name`* has the value *`comparison_value`*, false otherwise.

Example:

```
{
  "filter": {
    "class": {
      "name": "general",
      "event": {
        "name": "status",
        "log": {
          "variable": {
            "name": "audit_log_connection_policy_value",
            "value": "::none"
          }
        }
      }
    }
  }
}
```

Each predefined variable corresponds to a system variable. By writing a filter that tests a predefined variable, you can modify filter operation by setting the corresponding system variable, without having to redefine the filter. For example, by writing a filter that tests the value of the `audit_log_connection_policy_value` predefined variable, you can modify filter operation by changing the value of the `audit_log_connection_policy` system variable.

The `audit_log_xxx_policy` system variables are used for the deprecated legacy mode audit log (see Section 6.5.10, “Legacy Mode Audit Log Filtering”). With rule-based audit log filtering, those variables remain visible (for example, using `SHOW VARIABLES`), but changes to them have no effect unless you write filters containing constructs that refer to them.

The following list describes the permitted predefined variables for `variable` items:

- `audit_log_connection_policy_value`

  This variable corresponds to the value of the `audit_log_connection_policy` system variable. The value is an unsigned integer. Table 6.25, “audit_log_connection_policy_value Values” shows the permitted values and the corresponding `audit_log_connection_policy` values.

  Table 6.25 audit_log_connection_policy_value Values

Value	Corresponding audit_log_connection_policy Value
`0` or `"::none"`	`NONE`
`1` or `"::errors"`	`ERRORS`
`2` or `"::all"`	`ALL`

  The `"::xxx"` values are symbolic pseudo-constants that may be given instead of the literal numeric values. They must be quoted as strings and are case-sensitive.

- `audit_log_policy_value`

  This variable corresponds to the value of the `audit_log_policy` system variable. The value is an unsigned integer. Table 6.26, “audit_log_policy_value Values” shows the permitted values and the corresponding `audit_log_policy` values.

  Table 6.26 audit_log_policy_value Values

Value	Corresponding audit_log_policy Value
`0` or `"::none"`	`NONE`
`1` or `"::logins"`	`LOGINS`
`2` or `"::all"`	`ALL`
`3` or `"::queries"`	`QUERIES`

  The `"::xxx"` values are symbolic pseudo-constants that may be given instead of the literal numeric values. They must be quoted as strings and are case-sensitive.

- `audit_log_statement_policy_value`

  This variable corresponds to the value of the `audit_log_statement_policy` system variable. The value is an unsigned integer. Table 6.27, “audit_log_statement_policy_value Values” shows the permitted values and the corresponding `audit_log_statement_policy` values.

  Table 6.27 audit_log_statement_policy_value Values

Value	Corresponding audit_log_statement_policy Value
`0` or `"::none"`	`NONE`
`1` or `"::errors"`	`ERRORS`
`2` or `"::all"`	`ALL`

  The `"::xxx"` values are symbolic pseudo-constants that may be given instead of the literal numeric values. They must be quoted as strings and are case-sensitive.

Referencing Predefined Functions

To refer to a predefined function in a `log` condition, use a `function` item, which takes `name` and `args` items to specify the function name and its arguments, respectively:

```
"function": {
  "name": "function_name",
  "args": arguments
}
```

The `name` item should specify the function name only, without parentheses or the argument list.

The `args` item must satisfy these conditions:

- If the function takes no arguments, no `args` item should be given.
- If the function does take arguments, an `args` item is needed, and the arguments must be given in the order listed in the function description. Arguments can refer to predefined variables, event fields, or string or numeric constants.

If the number of arguments is incorrect or the arguments are not of the correct data types required by the function an error occurs.

Example:

```
{
  "filter": {
    "class": {
      "name": "general",
      "event": {
        "name": "status",
        "log": {
          "function": {
            "name": "find_in_include_list",
            "args": [ { "string": [ { "field": "user.str" },
                                    { "string": "@"},
                                    { "field": "host.str" } ] } ]
          }
        }
      }
    }
  }
}
```

The preceding filter determines whether to log `general` class `status` events depending on whether the current user is found in the `audit_log_include_accounts` system variable. That user is constructed using fields in the event.

The following list describes the permitted predefined functions for `function` items:

- `audit_log_exclude_accounts_is_null()`

  Checks whether the `audit_log_exclude_accounts` system variable is `NULL`. This function can be helpful when defining filters that correspond to the legacy audit log implementation.

  Arguments:

  None.

- `audit_log_include_accounts_is_null()`

  Checks whether the `audit_log_include_accounts` system variable is `NULL`. This function can be helpful when defining filters that correspond to the legacy audit log implementation.

  Arguments:

None.

- `debug_sleep(millisec)`

  Sleeps for the given number of milliseconds. This function is used during performance measurement.

  `debug_sleep()` is available for debug builds only.

  Arguments:

  - *`millisec`*: An unsigned integer that specifies the number of milliseconds to sleep.

- `find_in_exclude_list(account)`

  Checks whether an account string exists in the audit log exclude list (the value of the `audit_log_exclude_accounts` system variable).

  Arguments:

  - *`account`*: A string that specifies the user account name.

- `find_in_include_list(account)`

  Checks whether an account string exists in the audit log include list (the value of the `audit_log_include_accounts` system variable).

  Arguments:

  - *`account`*: A string that specifies the user account name.

- `query_digest([str])`

  This function has differing behavior depending on whether an argument is given:

  - With no argument, `query_digest` returns the statement digest value corresponding to the statement literal text in the current event.
  - With an argument, `query_digest` returns a Boolean indicating whether the argument is equal to the current statement digest.

  Arguments:

  - *`str`*: This argument is optional. If given, it specifies a statement digest to be compared against the digest for the statement in the current event.

  Examples:

  This `function` item includes no argument, so `query_digest` returns the current statement digest as a string:

  ```
  "function": {
    "name": "query_digest"
  }
  ```

  This `function` item includes an argument, so `query_digest` returns a Boolean indicating whether the argument equals the current statement digest:

  ```
  "function": {
    "name": "query_digest",
    "args": "SELECT ?"
  }
  ```

  This function was added in MySQL 8.0.26.

- `string_find(text, substr)`

  Checks whether the `substr` value is contained in the `text` value. This search is case-sensitive.

  Arguments:

  - *`text`*: The text string to search.
  - *`substr`*: The substring to search for in *`text`*.

Replacement of Event Field Values

As of MySQL 8.0.26, audit filter definitions support replacement of certain audit event fields, so that logged events contain the replacement value rather than the original value. This capability enables logged audit records to include statement digests rather than literal statements, which can be useful for MySQL deployments for which statements may expose sensitive values.

Field replacement in audit events works like this:

- Field replacements are specified in audit filter definitions, so audit log filtering must be enabled as described in Section 6.5.7, “Audit Log Filtering”.
- Not all fields can be replaced. Table 6.28, “Event Fields Subject to Replacement” shows which fields are replaceable in which event classes.

  Table 6.28 Event Fields Subject to Replacement

Event Class	Field Name
`general`	`general_query.str`
`table_access`	`query.str`

- Replacement is conditional. Each replacement specification in a filter definition includes a condition, enabling a replaceable field to be changed, or left unchanged, depending on the condition result.
- If replacement occurs, the replacement specification indicates the replacement value using a function that is permitted for that purpose.

As Table 6.28, “Event Fields Subject to Replacement” shows, currently the only replaceable fields are those that contain statement text (which occurs in events of the `general` and `table_access` classes). In addition, the only function permitted for specifying the replacement value is `query_digest`. This means that the only permitted replacement operation is to replace statement literal text by its corresponding digest.

Because field replacement occurs at an early auditing stage (during filtering), the choice of whether to write statement literal text or digest values applies regardless of log format written later (that is, whether the audit log plugin produces XML or JSON output).

Field replacement can take place at differing levels of event granularity:

- To perform field replacement for all events in a class, filter events at the class level.
- To perform replacement on a more fine-grained basis, include additional event-selection items. For example, you can perform field replacement only for specific subclasses of a given event class, or only in events for which fields have certain characteristics.

Within a filter definition, specify field replacement by including a `print` item, which has this syntax:

```
"print": {
  "field": {
    "name": "field_name",
    "print": condition,
    "replace": replacement_value
  }
}
```

Within the `print` item, its `field` item takes these three items to indicate how whether and how replacement occurs:

- `name`: The field for which replacement (potentially) occurs. *`field_name`* must be one of those shown in Table 6.28, “Event Fields Subject to Replacement”.
- `print`: The condition that determines whether to retain the original field value or replace it:
  - If *`condition`* evaluates to `true`, the field remains unchanged.
  - If *`condition`* evaluates to `false`, replacement occurs, using the value of the `replace` item.

  To unconditionally replace a field, specify the condition like this:

  ```
  "print": false
  ```

- `replace`: The replacement value to use when the `print` condition evaluates to `false`. Specify *`replacement_value`* using a `function` item.

For example, this filter definition applies to all events in the `general` class, replacing the statement literal text with its digest:

```
{
  "filter": {
    "class": {
      "name": "general",
      "print": {
        "field": {
          "name": "general_query.str",
          "print": false,
          "replace": {
            "function": {
              "name": "query_digest"
            }
          }
        }
      }
    }
  }
}
```

The preceding filter uses this `print` item to unconditionally replace the statement literal text contained in `general_query.str` by its digest value:

```
"print": {
  "field": {
    "name": "general_query.str",
    "print": false,
    "replace": {
      "function": {
        "name": "query_digest"
      }
    }
  }
}
```

`print` items can be written different ways to implement different replacement strategies. The `replace` item just shown specifies the replacement text using this `function` construct to return a string representing the current statement digest:

```
"function": {
  "name": "query_digest"
}
```

The `query_digest` function can also be used in another way, as a comparator that returns a Boolean, which enables its use in the `print` condition. To do this, provide an argument that specifies a comparison statement digest:

```
"function": {
  "name": "query_digest",
  "args": "digest"
}
```

In this case, `query_digest` returns `true` or `false` depending on whether the current statement digest is the same as the comparison digest. Using `query_digest` this way enables filter definitions to detect statements that match particular digests. The condition in the following construct is true only for statements that have a digest equal to `SELECT ?`, thus effecting replacement only for statements that do not match the digest:

```
"print": {
  "field": {
    "name": "general_query.str",
    "print": {
      "function": {
        "name": "query_digest",
        "args": "SELECT ?"
      }
    },
    "replace": {
      "function": {
        "name": "query_digest"
      }
    }
  }
}
```

To perform replacement only for statements that do match the digest, use `not` to invert the condition:

```
"print": {
  "field": {
    "name": "general_query.str",
    "print": {
      "not": {
        "function": {
          "name": "query_digest",
          "args": "SELECT ?"
        }
      }
    },
    "replace": {
      "function": {
        "name": "query_digest"
      }
    }
  }
}
```

Suppose that you want the audit log to contain only statement digests and not literal statements. To achieve this, you must perform replacement on all events that contain statement text; that is, events in the `general` and `table_access` classes. An earlier filter definition showed how to unconditionally replace statement text for `general` events. To do the same for `table_access` events, use a filter that is similar but changes the class from `general` to `table_access` and the field name from `general_query.str` to `query.str`:

```
{
  "filter": {
    "class": {
      "name": "table_access",
      "print": {
        "field": {
          "name": "query.str",
          "print": false,
          "replace": {
            "function": {
              "name": "query_digest"
            }
          }
```

```
        }
      }
    }
  }
}
```

Combining the `general` and `table_access` filters results in a single filter that performs replacement for all statement text-containing events:

```
{
  "filter": {
    "class": [
      {
        "name": "general",
        "print": {
          "field": {
            "name": "general_query.str",
            "print": false,
            "replace": {
              "function": {
                "name": "query_digest"
              }
            }
          }
        }
      },
      {
        "name": "table_access",
        "print": {
          "field": {
            "name": "query.str",
            "print": false,
            "replace": {
              "function": {
                "name": "query_digest"
              }
            }
          }
        }
      }
    ]
  }
}
```

To perform replacement on only some events within a class, add items to the filter that indicate more specifically when replacement occurs. The following filter applies to events in the `table_access` class, but performs replacement only for `insert` and `update` events (leaving `read` and `delete` events unchanged):

```
{
  "filter": {
    "class": {
      "name": "table_access",
      "event": {
        "name": [
          "insert",
          "update"
        ],
        "print": {
          "field": {
            "name": "query.str",
            "print": false,
            "replace": {
              "function": {
                "name": "query_digest"
              }
            }
          }
        }
      }
    }
```

```
  }
}
```

This filter performs replacement for `general` class events corresponding to the listed account-management statements (the effect being to hide credential and data values in the statements):

```
{
  "filter": {
    "class": {
      "name": "general",
      "event": {
        "name": "status",
        "print": {
          "field": {
            "name": "general_query.str",
            "print": false,
            "replace": {
              "function": {
                "name": "query_digest"
              }
            }
          }
        },
        "log": {
          "or": [
            {
              "field": {
                "name": "general_sql_command.str",
                "value": "alter_user"
              }
            },
            {
              "field": {
                "name": "general_sql_command.str",
                "value": "alter_user_default_role"
              }
            },
            {
              "field": {
                "name": "general_sql_command.str",
                "value": "create_role"
              }
            },
            {
              "field": {
                "name": "general_sql_command.str",
                "value": "create_user"
              }
            }
          ]
        }
      }
    }
  }
}
```

For information about the possible `general_sql_command.str` values, see Testing Event Field Values.

Replacing a User Filter

In some cases, the filter definition can be changed dynamically. To do this, define a `filter` configuration within an existing `filter`. For example:

```
{
  "filter": {
    "id": "main",
    "class": {
      "name": "table_access",
      "event": {
        "name": [ "update", "delete" ],
```

```
        "log": false,
        "filter": {
          "class": {
            "name": "general",
            "event" : { "name": "status",
                        "filter": { "ref": "main" } }
          },
          "activate": {
            "or": [
              { "field": { "name": "table_name.str", "value": "temp_1" } },
              { "field": { "name": "table_name.str", "value": "temp_2" } }
            ]
          }
        }
      }
    }
  }
}
```

A new filter is activated when the `activate` item within a subfilter evaluates to `true`. Using `activate` in a top-level `filter` is not permitted.

A new filter can be replaced with the original one by using a `ref` item inside the subfilter to refer to the original filter `id`.

The filter shown operates like this:

- The `main` filter waits for `table_access` events, either `update` or `delete`.
- If the `update` or `delete` `table_access` event occurs on the `temp_1` or `temp_2` table, the filter is replaced with the internal one (without an `id`, since there is no need to refer to it explicitly).
- If the end of the command is signalled (`general` / `status` event), an entry is written to the audit log file and the filter is replaced with the `main` filter.

The filter is useful to log statements that update or delete anything from the `temp_1` or `temp_2` tables, such as this one:

```
UPDATE temp_1, temp_3 SET temp_1.a=21, temp_3.a=23;
```

The statement generates multiple `table_access` events, but the audit log file contains only `general` / `status` entries.

> **Note**
>
> Any `id` values used in the definition are evaluated with respect only to that definition. They have nothing to do with the value of the `audit_log_filter_id` system variable.

6.5.9 Disabling Audit Logging

The `audit_log_disable` variable, introduced in MySQL 8.0.28, permits disabling audit logging for all connecting and connected sessions. The `audit_log_disable` variable can be set in a MySQL Server option file, in a command-line startup string, or at runtime using a `SET` statement; for example:

```
SET GLOBAL audit_log_disable = true;
```

Setting `audit_log_disable` to true disables the audit log plugin. The plugin is re-enabled when `audit_log_disable` is set back to `false`, which is the default setting.

Starting the audit log plugin with `audit_log_disable = true` generates a warning (`ER_WARN_AUDIT_LOG_DISABLED`) with the following message: `Audit Log is disabled. Enable it with audit_log_disable = false`. Setting `audit_log_disable` to false also generates warning. When `audit_log_disable` is set to true, audit log function calls and variable changes generate a session warning.

Setting the runtime value of `audit_log_disable` requires the `AUDIT_ADMIN` privilege, in addition to the `SYSTEM_VARIABLES_ADMIN` privilege (or the deprecated `SUPER` privilege) normally required to set a global system variable runtime value.

6.5.10 Legacy Mode Audit Log Filtering

> **Note**
>
> This section describes legacy audit log filtering, which applies if the `audit_log` plugin is installed without the accompanying audit tables and functions needed for rule-based filtering.
>
> Legacy Mode Audit Log Filtering is deprecated as of MySQL 8.0.34.

The audit log plugin can filter audited events. This enables you to control whether audited events are written to the audit log file based on the account from which events originate or event status. Status filtering occurs separately for connection events and statement events.

- Legacy Event Filtering by Account
- Legacy Event Filtering by Status

Legacy Event Filtering by Account

To filter audited events based on the originating account, set one (not both) of the following system variables at server startup or runtime. These deprecated variables apply only for legacy audit log filtering.

- `audit_log_include_accounts`: The accounts to include in audit logging. If this variable is set, only these accounts are audited.
- `audit_log_exclude_accounts`: The accounts to exclude from audit logging. If this variable is set, all but these accounts are audited.

The value for either variable can be `NULL` or a string containing one or more comma-separated account names, each in *`user_name@host_name`* format. By default, both variables are `NULL`, in which case, no account filtering is done and auditing occurs for all accounts.

Modifications to `audit_log_include_accounts` or `audit_log_exclude_accounts` affect only connections created subsequent to the modification, not existing connections.

Example: To enable audit logging only for the `user1` and `user2` local host accounts, set the `audit_log_include_accounts` system variable like this:

```
SET GLOBAL audit_log_include_accounts = 'user1@localhost,user2@localhost';
```

Only one of `audit_log_include_accounts` or `audit_log_exclude_accounts` can be non-`NULL` at a time:

- If you set `audit_log_include_accounts`, the server sets `audit_log_exclude_accounts` to `NULL`.
- If you attempt to set `audit_log_exclude_accounts`, an error occurs unless `audit_log_include_accounts` is `NULL`. In this case, you must first clear `audit_log_include_accounts` by setting it to `NULL`.

```
-- This sets audit_log_exclude_accounts to NULL
SET GLOBAL audit_log_include_accounts = value;
-- This fails because audit_log_include_accounts is not NULL
SET GLOBAL audit_log_exclude_accounts = value;
-- To set audit_log_exclude_accounts, first set
-- audit_log_include_accounts to NULL
SET GLOBAL audit_log_include_accounts = NULL;
```

```
SET GLOBAL audit_log_exclude_accounts = value;
```

If you inspect the value of either variable, be aware that `SHOW VARIABLES` displays `NULL` as an empty string. To display `NULL` as `NULL`, use `SELECT` instead:

```
mysql> SHOW VARIABLES LIKE 'audit_log_include_accounts';
+----------------------------+-------+
| Variable_name              | Value |
+----------------------------+-------+
| audit_log_include_accounts |       |
+----------------------------+-------+
mysql> SELECT @@audit_log_include_accounts;
+------------------------------+
| @@audit_log_include_accounts |
+------------------------------+
| NULL                         |
+------------------------------+
```

If a user name or host name requires quoting because it contains a comma, space, or other special character, quote it using single quotes. If the variable value itself is quoted with single quotes, double each inner single quote or escape it with a backslash. The following statements each enable audit logging for the local `root` account and are equivalent, even though the quoting styles differ:

```
SET GLOBAL audit_log_include_accounts = 'root@localhost';
SET GLOBAL audit_log_include_accounts = '''root''@''localhost''';
SET GLOBAL audit_log_include_accounts = '\'root\'@\'localhost\'';
SET GLOBAL audit_log_include_accounts = "'root'@'localhost'";
```

The last statement does not work if the `ANSI_QUOTES` SQL mode is enabled because in that mode double quotes signify identifier quoting, not string quoting.

Legacy Event Filtering by Status

To filter audited events based on status, set the following system variables at server startup or runtime. These deprecated variables apply only for legacy audit log filtering. For JSON audit log filtering, different status variables apply; see Audit Log Options and Variables.

- `audit_log_connection_policy`: Logging policy for connection events
- `audit_log_statement_policy`: Logging policy for statement events

Each variable takes a value of `ALL` (log all associated events; this is the default), `ERRORS` (log only failed events), or `NONE` (do not log events). For example, to log all statement events but only failed connection events, use these settings:

```
SET GLOBAL audit_log_statement_policy = ALL;
SET GLOBAL audit_log_connection_policy = ERRORS;
```

Another policy system variable, `audit_log_policy`, is available but does not afford as much control as `audit_log_connection_policy` and `audit_log_statement_policy`. It can be set only at server startup.

> **Note**
>
> The `audit_log_policy` legacy-mode system variable is deprecated as of MySQL 8.0.34.

At runtime, it is a read-only variable. It takes a value of `ALL` (log all events; this is the default), `LOGINS` (log connection events), `QUERIES` (log statement events), or `NONE` (do not log events). For any of those values, the audit log plugin logs all selected events without distinction as to success or failure. Use of `audit_log_policy` at startup works as follows:

- If you do not set `audit_log_policy` or set it to its default of `ALL`, any explicit settings for `audit_log_connection_policy` or `audit_log_statement_policy` apply as specified. If not specified, they default to `ALL`.

- If you set `audit_log_policy` to a non-`ALL` value, that value takes precedence over and is used to set `audit_log_connection_policy` and `audit_log_statement_policy`, as indicated in the following table. If you also set either of those variables to a value other than their default of `ALL`, the server writes a message to the error log to indicate that their values are being overridden.

Startup audit_log_policy Value	Resulting audit_log_connection_policy Value	Resulting audit_log_statement_policy Value
`LOGINS`	`ALL`	`NONE`
`QUERIES`	`NONE`	`ALL`
`NONE`	`NONE`	`NONE`

6.5.11 Audit Log Reference

The following sections provide a reference to MySQL Enterprise Audit elements:

- Audit Log Tables
- Audit Log Functions
- Audit Log Option and Variable Reference
- Audit Log Options and Variables
- Audit Log Status Variables

To install the audit log tables and functions, use the instructions provided in Section 6.5.2, “Installing or Uninstalling MySQL Enterprise Audit”. Unless those objects are installed, the `audit_log` plugin operates in legacy mode (deprecated in MySQL 8.0.34). See Section 6.5.10, “Legacy Mode Audit Log Filtering”.

Audit Log Tables

MySQL Enterprise Audit uses tables in the `mysql` system database for persistent storage of filter and user account data. The tables can be accessed only by users who have privileges for that database. To use a different database, set the `audit_log_database` system variable at server startup. The tables use the `InnoDB` storage engine.

If these tables are missing, the `audit_log` plugin operates in (deprecated) legacy mode. See Section 6.5.10, “Legacy Mode Audit Log Filtering”.

The `audit_log_filter` table stores filter definitions. The table has these columns:

- `NAME`

  The filter name.

- `FILTER`

  The filter definition associated with the filter name. Definitions are stored as `JSON` values.

The `audit_log_user` table stores user account information. The table has these columns:

- `USER`

  The user name part of an account. For an account `user1@localhost`, the `USER` part is `user1`.

- `HOST`

  The host name part of an account. For an account `user1@localhost`, the `HOST` part is `localhost`.

- `FILTERNAME`

  The name of the filter assigned to the account. The filter name associates the account with a filter defined in the `audit_log_filter` table.

Audit Log Functions

This section describes, for each audit log function, its purpose, calling sequence, and return value. For information about the conditions under which these functions can be invoked, see Section 6.5.7, “Audit Log Filtering”.

Each audit log function returns a string that indicates whether the operation succeeded. `OK` indicates success. `ERROR: message` indicates failure.

As of MySQL 8.0.19, audit log functions convert string arguments to `utf8mb4` and string return values are `utf8mb4` strings. Prior to MySQL 8.0.19, audit log functions treat string arguments as binary strings (which means they do not distinguish lettercase), and string return values are binary strings.

If an audit log function is invoked from within the `mysql` client, binary string results display using hexadecimal notation, depending on the value of the `--binary-as-hex`. For more information about that option, see mysql — The MySQL Command-Line Client.

To verify installation of audit log functions, use this command:

```
SELECT * FROM performance_schema.user_defined_functions;
```

To learn more, see Obtaining Information About Loadable Functions.

These audit log functions are available:

- `audit_log_encryption_password_get([keyring_id])`

  This function fetches an audit log encryption password from the MySQL keyring, which must be enabled or an error occurs. Any keyring component or plugin can be used; for instructions, see Section 6.4, “The MySQL Keyring”.

  With no argument, the function retrieves the current encryption password as a binary string. An argument may be given to specify which audit log encryption password to retrieve. The argument must be the keyring ID of the current password or an archived password.

  For additional information about audit log encryption, see Encrypting Audit Log Files.

  Arguments:

  `keyring_id`: As of MySQL 8.0.17, this optional argument indicates the keyring ID of the password to retrieve. The maximum permitted length is 766 bytes. If omitted, the function retrieves the current password.

  Prior to MySQL 8.0.17, no argument is permitted. The function always retrieves the current password.

  Return value:

  The password string for success (up to 766 bytes), or `NULL` and an error for failure.

  Example:

  Retrieve the current password:

```
mysql> SELECT audit_log_encryption_password_get();
+-------------------------------------+
| audit_log_encryption_password_get() |
+-------------------------------------+
```

```
| secret                              |
+-------------------------------------+
```

To retrieve a password by ID, you can determine which audit log keyring IDs exist by querying the Performance Schema `keyring_keys` table:

```
mysql> SELECT KEY_ID FROM performance_schema.keyring_keys
       WHERE KEY_ID LIKE 'audit_log%'
       ORDER BY KEY_ID;
+-----------------------------+
| KEY_ID                      |
+-----------------------------+
| audit_log-20190415T152248-1 |
| audit_log-20190415T153507-1 |
| audit_log-20190416T125122-1 |
| audit_log-20190416T141608-1 |
+-----------------------------+
mysql> SELECT audit_log_encryption_password_get('audit_log-20190416T125122-1');
+-------------------------------------------------------------------+
| audit_log_encryption_password_get('audit_log-20190416T125122-1') |
+-------------------------------------------------------------------+
| segreto                                                           |
+-------------------------------------------------------------------+
```

- `audit_log_encryption_password_set(`*`password`*`)`

  Sets the current audit log encryption password to the argument and stores the password in the MySQL keyring. As of MySQL 8.0.19, the password is stored as a `utf8mb4` string. Prior to MySQL 8.0.19, the password is stored in binary form.

  If encryption is enabled, this function performs a log file rotation operation that renames the current log file, and begins a new log file encrypted with the password. The keyring must be enabled or an error occurs. Any keyring component or plugin can be used; for instructions, see Section 6.4, “The MySQL Keyring”.

  For additional information about audit log encryption, see Encrypting Audit Log Files.

  Arguments:

  `password`: The password string. The maximum permitted length is 766 bytes.

  Return value:

  1 for success, 0 for failure.

  Example:

```
mysql> SELECT audit_log_encryption_password_set(password);
+-------------------------------------------+
| audit_log_encryption_password_set(password) |
+-------------------------------------------+
| 1                                         |
+-------------------------------------------+
```

- `audit_log_filter_flush()`

  Calling any of the other filtering functions affects operational audit log filtering immediately and updates the audit log tables. If instead you modify the contents of those tables directly using statements such as `INSERT`, `UPDATE`, and `DELETE`, the changes do not affect filtering immediately. To flush your changes and make them operational, call `audit_log_filter_flush()`.

  > **Warning**
  >
  > `audit_log_filter_flush()` should be used only after modifying the audit tables directly, to force reloading all filters. Otherwise, this function should be avoided. It is, in effect, a simplified version of unloading and

> reloading the `audit_log` plugin with `UNINSTALL PLUGIN` plus `INSTALL PLUGIN`.
>
> `audit_log_filter_flush()` affects all current sessions and detaches them from their previous filters. Current sessions are no longer logged unless they disconnect and reconnect, or execute a change-user operation.

If this function fails, an error message is returned and the audit log is disabled until the next successful call to `audit_log_filter_flush()`.

Arguments:

None.

Return value:

A string that indicates whether the operation succeeded. `OK` indicates success. `ERROR: message` indicates failure.

Example:

```
mysql> SELECT audit_log_filter_flush();
+--------------------------+
| audit_log_filter_flush() |
+--------------------------+
| OK                       |
+--------------------------+
```

- `audit_log_filter_remove_filter(filter_name)`

  Given a filter name, removes the filter from the current set of filters. It is not an error for the filter not to exist.

  If a removed filter is assigned to any user accounts, those users stop being filtered (they are removed from the `audit_log_user` table). Termination of filtering includes any current sessions for those users: They are detached from the filter and no longer logged.

  Arguments:

  - *`filter_name`*: A string that specifies the filter name.

  Return value:

  A string that indicates whether the operation succeeded. `OK` indicates success. `ERROR: message` indicates failure.

  Example:

```
mysql> SELECT audit_log_filter_remove_filter('SomeFilter');
+-------------------------------------------+
| audit_log_filter_remove_filter('SomeFilter') |
+-------------------------------------------+
| OK                                        |
+-------------------------------------------+
```

- `audit_log_filter_remove_user(user_name)`

  Given a user account name, cause the user to be no longer assigned to a filter. It is not an error if the user has no filter assigned. Filtering of current sessions for the user remains unaffected. New connections for the user are filtered using the default account filter if there is one, and are not logged otherwise.

  If the name is `%`, the function removes the default account filter that is used for any user account that has no explicitly assigned filter.

Arguments:

- *`user_name`*: The user account name as a string in *`user_name@host_name`* format, or `%` to represent the default account.

Return value:

A string that indicates whether the operation succeeded. `OK` indicates success. `ERROR:` *`message`* indicates failure.

Example:

```
mysql> SELECT audit_log_filter_remove_user('user1@localhost');
+-------------------------------------------------+
| audit_log_filter_remove_user('user1@localhost') |
+-------------------------------------------------+
| OK                                              |
+-------------------------------------------------+
```

- `audit_log_filter_set_filter(`*`filter_name, definition`*`)`

  Given a filter name and definition, adds the filter to the current set of filters. If the filter already exists and is used by any current sessions, those sessions are detached from the filter and are no longer logged. This occurs because the new filter definition has a new filter ID that differs from its previous ID.

  Arguments:

  - *`filter_name`*: A string that specifies the filter name.
  - *`definition`*: A `JSON` value that specifies the filter definition.

  Return value:

  A string that indicates whether the operation succeeded. `OK` indicates success. `ERROR:` *`message`* indicates failure.

  Example:

```
mysql> SET @f = '{ "filter": { "log": false } }';
mysql> SELECT audit_log_filter_set_filter('SomeFilter', @f);
+-----------------------------------------------+
| audit_log_filter_set_filter('SomeFilter', @f) |
+-----------------------------------------------+
| OK                                            |
+-----------------------------------------------+
```

- `audit_log_filter_set_user(user_name, filter_name)`

  Given a user account name and a filter name, assigns the filter to the user. A user can be assigned only one filter, so if the user was already assigned a filter, the assignment is replaced. Filtering of current sessions for the user remains unaffected. New connections are filtered using the new filter.

  As a special case, the name `%` represents the default account. The filter is used for connections from any user account that has no explicitly assigned filter.

  Arguments:

  - *`user_name`*: The user account name as a string in *`user_name@host_name`* format, or `%` to represent the default account.
  - *`filter_name`*: A string that specifies the filter name.

  Return value:

  A string that indicates whether the operation succeeded. `OK` indicates success. `ERROR: message` indicates failure.

  Example:

```
mysql> SELECT audit_log_filter_set_user('user1@localhost', 'SomeFilter');
+-------------------------------------------------------------+
| audit_log_filter_set_user('user1@localhost', 'SomeFilter') |
+-------------------------------------------------------------+
| OK                                                          |
+-------------------------------------------------------------+
```

- `audit_log_read([arg])`

  Reads the audit log and returns a `JSON` string result. If the audit log format is not `JSON`, an error occurs.

  With no argument or a `JSON` hash argument, `audit_log_read()` reads events from the audit log and returns a `JSON` string containing an array of audit events. Items in the hash argument influence how reading occurs, as described later. Each element in the returned array is an event represented as a `JSON` hash, with the exception that the last element may be a `JSON null` value to indicate no following events are available to read.

  With an argument consisting of a `JSON null` value, `audit_log_read()` closes the current read sequence.

  For additional details about the audit log-reading process, see Section 6.5.6, “Reading Audit Log Files”.

  Arguments:

  To obtain a bookmark for the most recently written event, call `audit_log_read_bookmark()`.

  `arg`: The argument is optional. If omitted, the function reads events from the current position. If present, the argument can be a `JSON null` value to close the read sequence, or a `JSON` hash. Within a hash argument, items are optional and control aspects of the read operation such as the

position at which to begin reading or how many events to read. The following items are significant (other items are ignored):

- `start`: The position within the audit log of the first event to read. The position is given as a timestamp and the read starts from the first event that occurs on or after the timestamp value. The `start` item has this format, where *`value`* is a literal timestamp value:

  ```
  "start": { "timestamp": "value" }
  ```

  The `start` item is permitted as of MySQL 8.0.22.

- `timestamp`, `id`: The position within the audit log of the first event to read. The `timestamp` and `id` items together comprise a bookmark that uniquely identify a particular event. If an `audit_log_read()` argument includes either item, it must include both to completely specify a position or an error occurs.

- `max_array_length`: The maximum number of events to read from the log. If this item is omitted, the default is to read to the end of the log or until the read buffer is full, whichever comes first.

To specify a starting position to `audit_log_read()`, pass a hash argument that includes either a `start` item or a bookmark consisting of `timestamp` and `id` items. If a hash argument includes both a `start` item and a bookmark, an error occurs.

If a hash argument specifies no starting position, reading continues from the current position.

If a timestamp value includes no time part, a time part of `00:00:00` is assumed.

Return value:

If the call succeeds, the return value is a `JSON` string containing an array of audit events, or a `JSON` `null` value if that was passed as the argument to close the read sequence. If the call fails, the return value is `NULL` and an error occurs.

Example:

```
mysql> SELECT audit_log_read(audit_log_read_bookmark());
+------------------------------------------------------------------------+
| audit_log_read(audit_log_read_bookmark())                              |
+------------------------------------------------------------------------+
| [ {"timestamp":"2020-05-18 22:41:24","id":0,"class":"connection", ... |
+------------------------------------------------------------------------+
mysql> SELECT audit_log_read('null');
+-----------------------+
| audit_log_read('null') |
+-----------------------+
| null                  |
+-----------------------+
```

Notes:

Prior to MySQL 8.0.19, string return values are binary `JSON` strings. For information about converting such values to nonbinary strings, see Section 6.5.6, “Reading Audit Log Files”.

- `audit_log_read_bookmark()`

  Returns a `JSON` string representing a bookmark for the most recently written audit log event. If the audit log format is not `JSON`, an error occurs.

  The bookmark is a `JSON` hash with `timestamp` and `id` items that uniquely identify the position of an event within the audit log. It is suitable for passing to `audit_log_read()` to indicate to that function the position at which to begin reading.

  For additional details about the audit log-reading process, see Section 6.5.6, “Reading Audit Log Files”.

  Arguments:

  None.

  Return value:

  A `JSON` string containing a bookmark for success, or `NULL` and an error for failure.

  Example:

```
mysql> SELECT audit_log_read_bookmark();
+------------------------------------------------+
| audit_log_read_bookmark()                      |
+------------------------------------------------+
| { "timestamp": "2019-10-03 21:03:44", "id": 0 } |
+------------------------------------------------+
```

  Notes:

  Prior to MySQL 8.0.19, string return values are binary `JSON` strings. For information about converting such values to nonbinary strings, see Section 6.5.6, “Reading Audit Log Files”.

- `audit_log_rotate()`

  Arguments:

  None.

  Return value:

  The renamed file name.

  Example:

```
mysql> SELECT audit_log_rotate();
```

  Using `audit_log_rotate()` requires the `AUDIT_ADMIN` privilege.

Audit Log Option and Variable Reference

Table 6.29 Audit Log Option and Variable Reference

Name	Cmd-Line	Option File	System Var	Status Var	Var Scope	Dynamic
audit-log	Yes	Yes				
audit_log_buffer_size	Yes	Yes	Yes		Global	No
audit_log_compression	Yes	Yes	Yes		Global	No
audit_log_connection_policy	Yes	Yes	Yes		Global	Yes
audit_log_current_session			Yes		Both	No

Name	Cmd-Line	Option File	System Var	Status Var	Var Scope	Dynamic
Audit_log_current_size				Yes	Global	No
audit_log_database	Yes	Yes	Yes		Global	No
audit_log_disable	Yes	Yes	Yes		Global	Yes
audit_log_encryption	Yes	Yes	Yes		Global	No
Audit_log_event_max_drop_size				Yes	Global	No
Audit_log_events				Yes	Global	No
Audit_log_events_filtered				Yes	Global	No
Audit_log_events_lost				Yes	Global	No
Audit_log_events_written				Yes	Global	No
audit_log_exclude_accounts	Yes	Yes	Yes		Global	Yes
audit_log_file	Yes	Yes	Yes		Global	No
audit_log_filter_id			Yes		Both	No
audit_log_flush			Yes		Global	Yes
audit_log_flush_interval_seconds	Yes		Yes		Global	No
audit_log_format	Yes	Yes	Yes		Global	No
audit_log_include_accounts	Yes	Yes	Yes		Global	Yes
audit_log_password_history_keep_days	Yes		Yes		Global	Yes
audit_log_policy	Yes	Yes	Yes		Global	No
audit_log_prune_seconds	Yes	Yes	Yes		Global	Yes
audit_log_read_buffer_size	Yes	Yes	Yes		Varies	Varies
audit_log_rotate_on_size	Yes	Yes	Yes		Global	Yes
audit_log_statement_policy	Yes	Yes	Yes		Global	Yes
audit_log_strategy	Yes	Yes	Yes		Global	No
Audit_log_total_size				Yes	Global	No
Audit_log_write_waits				Yes	Global	No

Audit Log Options and Variables

This section describes the command options and system variables that configure operation of MySQL Enterprise Audit. If values specified at startup time are incorrect, the `audit_log` plugin may fail to initialize properly and the server does not load it. In this case, the server may also produce error messages for other audit log settings because it does not recognize them.

To configure activation of the audit log plugin, use this option:

- `--audit-log[=value]`

Command-Line Format	`--audit-log[=value]`
Type	Enumeration
Default Value	`ON`

Valid Values	`ON`
	`OFF`
	`FORCE`
	`FORCE_PLUS_PERMANENT`

This option controls how the server loads the `audit_log` plugin at startup. It is available only if the plugin has been previously registered with `INSTALL PLUGIN` or is loaded with `--plugin-load` or `--plugin-load-add`. See Section 6.5.2, “Installing or Uninstalling MySQL Enterprise Audit”.

The option value should be one of those available for plugin-loading options, as described in Installing and Uninstalling Plugins. For example, `--audit-log=FORCE_PLUS_PERMANENT` tells the server to load the plugin and prevent it from being removed while the server is running.

If the audit log plugin is enabled, it exposes several system variables that permit control over logging:

```
mysql> SHOW VARIABLES LIKE 'audit_log%';
+--------------------------------------+--------------+
| Variable_name                        | Value        |
+--------------------------------------+--------------+
| audit_log_buffer_size                | 1048576      |
| audit_log_compression                | NONE         |
| audit_log_connection_policy          | ALL          |
| audit_log_current_session            | OFF          |
| audit_log_database                   | mysql        |
| audit_log_disable                    | OFF          |
| audit_log_encryption                 | NONE         |
| audit_log_exclude_accounts           |              |
| audit_log_file                       | audit.log    |
| audit_log_filter_id                  | 0            |
| audit_log_flush                      | OFF          |
| audit_log_flush_interval_seconds     | 0            |
| audit_log_format                     | NEW          |
| audit_log_format_unix_timestamp      | OFF          |
| audit_log_include_accounts           |              |
| audit_log_max_size                   | 0            |
| audit_log_password_history_keep_days | 0            |
| audit_log_policy                     | ALL          |
| audit_log_prune_seconds              | 0            |
| audit_log_read_buffer_size           | 32768        |
| audit_log_rotate_on_size             | 0            |
| audit_log_statement_policy           | ALL          |
| audit_log_strategy                   | ASYNCHRONOUS |
+--------------------------------------+--------------+
```

You can set any of these variables at server startup, and some of them at runtime. Those that are available only for legacy mode audit log filtering are so noted.

- `audit_log_buffer_size`

Command-Line Format	`--audit-log-buffer-size=#`
System Variable	`audit_log_buffer_size`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`1048576`
Minimum Value	`4096`
Maximum Value (64-bit platforms)	`18446744073709547520`
Maximum Value (32-bit platforms)	`4294967295`
Unit	bytes
Block Size	`4096`

When the audit log plugin writes events to the log asynchronously, it uses a buffer to store event contents prior to writing them. This variable controls the size of that buffer, in bytes. The server adjusts the value to a multiple of 4096. The plugin uses a single buffer, which it allocates when

it initializes and removes when it terminates. The plugin allocates this buffer only if logging is asynchronous.

- `audit_log_compression`

Command-Line Format	`--audit-log-compression=value`
System Variable	`audit_log_compression`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	Enumeration
Default Value	`NONE`
Valid Values	`NONE` `GZIP`

The type of compression for the audit log file. Permitted values are `NONE` (no compression; the default) and `GZIP` (GNU Zip compression). For more information, see Compressing Audit Log Files.

- `audit_log_connection_policy`

Command-Line Format	`--audit-log-connection-policy=value`
Deprecated	Yes
System Variable	`audit_log_connection_policy`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Enumeration
Default Value	`ALL`

Valid Values	`ALL`
	`ERRORS`
	`NONE`

> **Note**
>
> This deprecated variable applies only to legacy mode audit log filtering (see Section 6.5.10, “Legacy Mode Audit Log Filtering”).

The policy controlling how the audit log plugin writes connection events to its log file. The following table shows the permitted values.

Value	Description
`ALL`	Log all connection events
`ERRORS`	Log only failed connection events
`NONE`	Do not log connection events

> **Note**
>
> At server startup, any explicit value given for `audit_log_connection_policy` may be overridden if

> `audit_log_policy` is also specified, as described in Section 6.5.5, “Configuring Audit Logging Characteristics”.

- `audit_log_current_session`

System Variable	`audit_log_current_session`
Scope	Global, Session
Dynamic	No
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`depends on filtering policy`

Whether audit logging is enabled for the current session. The session value of this variable is read only. It is set when the session begins based on the values of the `audit_log_include_accounts` and `audit_log_exclude_accounts` system variables. The audit log plugin uses the session value to determine whether to audit events for the session. (There is a global value, but the plugin does not use it.)

- `audit_log_database`

Command-Line Format	`--audit-log-database=value`
System Variable	`audit_log_database`
Scope	Global
Dynamic	No
`SET_VAR` Hint Applies	No
Type	String
Default Value	`mysql`

Specifies which database the `audit_log` plugin uses to find its tables. This variable is read only. For more information, see Section 6.5.2, “Installing or Uninstalling MySQL Enterprise Audit”).

- `audit_log_disable`

Command-Line Format	`--audit-log-disable[={OFF|ON}]`
System Variable	`audit_log_disable`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`OFF`

Permits disabling audit logging for all connecting and connected sessions. In addition to the `SYSTEM_VARIABLES_ADMIN` privilege, disabling audit logging requires the `AUDIT_ADMIN` privilege. See Section 6.5.9, “Disabling Audit Logging”.

- `audit_log_encryption`

Command-Line Format	`--audit-log-encryption=value`
System Variable	`audit_log_encryption`
Scope	Global
Dynamic	No

SET_VAR Hint Applies	No
Type	Enumeration
Default Value	NONE
Valid Values	NONE AES

The type of encryption for the audit log file. Permitted values are NONE (no encryption; the default) and AES (AES-256-CBC cipher encryption). For more information, see Encrypting Audit Log Files.

- audit_log_exclude_accounts

Command-Line Format	--audit-log-exclude-accounts=value
Deprecated	Yes
System Variable	audit_log_exclude_accounts
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	String
Default Value	NULL

> **Note**
>
> This deprecated variable applies only to legacy mode audit log filtering (see Section 6.5.10, “Legacy Mode Audit Log Filtering”).

The accounts for which events should not be logged. The value should be NULL or a string containing a list of one or more comma-separated account names. For more information, see Section 6.5.7, “Audit Log Filtering”.

Modifications to audit_log_exclude_accounts affect only connections created subsequent to the modification, not existing connections.

- audit_log_file

Command-Line Format	--audit-log-file=file_name
System Variable	audit_log_file
Scope	Global
Dynamic	No
SET_VAR Hint Applies	No
Type	File name
Default Value	audit.log

The base name and suffix of the file to which the audit log plugin writes events. The default value is audit.log, regardless of logging format. To have the name suffix correspond to the format, set the name explicitly, choosing a different suffix (for example, audit.xml for XML format, audit.json for JSON format).

If the value of audit_log_file is a relative path name, the plugin interprets it relative to the data directory. If the value is a full path name, the plugin uses the value as is. A full path name may be useful if it is desirable to locate audit files on a separate file system or directory. For security

reasons, write the audit log file to a directory accessible only to the MySQL server and to users with a legitimate reason to view the log.

For details about how the audit log plugin interprets the `audit_log_file` value and the rules for file renaming that occurs at plugin initialization and termination, see Naming Conventions for Audit Log Files.

The audit log plugin uses the directory containing the audit log file (determined from the `audit_log_file` value) as the location to search for readable audit log files. From these log files and the current file, the plugin constructs a list of the ones that are subject to use with the audit log bookmarking and reading functions. See Section 6.5.6, “Reading Audit Log Files”.

- `audit_log_filter_id`

System Variable	`audit_log_filter_id`
Scope	Global, Session
Dynamic	No
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`1`
Minimum Value	`0`
Maximum Value	`4294967295`

The session value of this variable indicates the internally maintained ID of the audit filter for the current session. A value of 0 means that the session has no filter assigned.

- `audit_log_flush`

System Variable	`audit_log_flush`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`OFF`

> **Note**
>
> The `audit_log_flush` variable is deprecated as of MySQL 8.0.31; expect support for it to be removed in a future version of MySQL. It is superseded by the `audit_log_rotate()` function.

If `audit_log_rotate_on_size` is 0, automatic audit log file rotation is disabled and rotation occurs only when performed manually. In that case, enabling `audit_log_flush` by setting it to 1 or `ON` causes the audit log plugin to close and reopen its log file to flush it. (The variable value remains `OFF` so that you need not disable it explicitly before enabling it again to perform another flush.) For more information, see Section 6.5.5, “Configuring Audit Logging Characteristics”.

- `audit_log_flush_interval_seconds`

Command-Line Format	`--audit-log-flush-interval-seconds[=value]`
System Variable	`audit_log_flush_interval_seconds`
Scope	Global

Dynamic	No
SET_VAR Hint Applies	No
Type	Unsigned Long
Default Value	0
Maximum Value (Windows)	4294967295
Maximum Value (Other)	18446744073709551615
Unit	seconds

This system variable depends on the scheduler component, which must be installed and enabled (see Scheduler Component). To check the status of the component:

```
SHOW VARIABLES LIKE 'component_scheduler%';
+-----------------------------+-------+
| Variable_name               | Value |
+-----------------------------+-------|
| component_scheduler.enabled | On    |
+-----------------------------+-------+
```

When audit_log_flush_interval_seconds has a value of zero (the default), no automatic refresh of the privileges occurs, even if the scheduler component is enabled (ON).

Values of 1 and 59 are not permitted; instead, these values adjusts to 60 automatically and the server emits a warning. Values greater than 60 define the number of seconds the scheduler component waits from startup, or from the beginning of the previous execution, until it attempts to schedule another execution.

To persist this global system variable to the mysqld-auto.cnf file without setting the global variable runtime value, precede the variable name by the PERSIST_ONLY keyword or the @@PERSIST_ONLY. qualifier.

- audit_log_format

Command-Line Format	--audit-log-format=value
System Variable	audit_log_format
Scope	Global
Dynamic	No
SET_VAR Hint Applies	No
Type	Enumeration
Default Value	NEW
Valid Values	OLD NEW JSON

The audit log file format. Permitted values are OLD (old-style XML), NEW (new-style XML; the default), and JSON. For details about each format, see Section 6.5.4, “Audit Log File Formats”.

- audit_log_format_unix_timestamp

Command-Line Format	--audit-log-format-unix-timestamp[={OFF|ON}]
System Variable	audit_log_format_unix_timestamp
Scope	Global

Dynamic	Yes
SET_VAR Hint Applies	No
Type	Boolean
Default Value	OFF

This variable applies only for JSON-format audit log output. When that is true, enabling this variable causes each log file record to include a `time` field. The field value is an integer that represents the UNIX timestamp value indicating the date and time when the audit event was generated.

Changing the value of this variable at runtime causes log file rotation so that, for a given JSON-format log file, all records in the file either do or do not include the `time` field.

Setting the runtime value of `audit_log_format_unix_timestamp` requires the `AUDIT_ADMIN` privilege, in addition to the `SYSTEM_VARIABLES_ADMIN` privilege (or the deprecated `SUPER` privilege) normally required to set a global system variable runtime value.

- `audit_log_include_accounts`

Command-Line Format	`--audit-log-include-accounts=value`
Deprecated	Yes
System Variable	`audit_log_include_accounts`
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	String
Default Value	NULL

> **Note**
>
> This deprecated variable applies only to legacy mode audit log filtering (see Section 6.5.10, “Legacy Mode Audit Log Filtering”).

The accounts for which events should be logged. The value should be `NULL` or a string containing a list of one or more comma-separated account names. For more information, see Section 6.5.7, “Audit Log Filtering”.

Modifications to `audit_log_include_accounts` affect only connections created subsequent to the modification, not existing connections.

- `audit_log_max_size`

  `audit_log_max_size` pertains to audit log file pruning, which is supported for JSON-format log files only. It controls pruning based on combined log file size:

  - A value of 0 (the default) disables size-based pruning. No size limit is enforced.
  - A value greater than 0 enables size-based pruning. The value is the combined size above which audit log files become subject to pruning.

  If you set `audit_log_max_size` to a value that is not a multiple of 4096, it is truncated to the nearest multiple. In particular, setting it to a value less than 4096 sets it to 0 and no size-based pruning occurs.

  If both `audit_log_max_size` and `audit_log_rotate_on_size` are greater than 0, `audit_log_max_size` should be more than 7 times the value of `audit_log_rotate_on_size`. Otherwise, a warning is written to the server error log because in this case the “granularity” of size-

based pruning may be insufficient to prevent removal of all or most rotated log files each time it occurs.

> **Note**
>
> Setting `audit_log_max_size` by itself is not sufficient to cause log file pruning to occur because the pruning algorithm uses `audit_log_rotate_on_size`, `audit_log_max_size`, and `audit_log_prune_seconds` in conjunction. For details, see Space Management of Audit Log Files.

- `audit_log_password_history_keep_days`

Command-Line Format	`--audit-log-password-history-keep-days=#`
System Variable	`audit_log_password_history_keep_days`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	`0`
Minimum Value	`0`
Maximum Value	`4294967295`
Unit	days

The audit log plugin implements log file encryption using encryption passwords stored in the MySQL keyring (see Encrypting Audit Log Files). The plugin also implements password history, which includes password archiving and expiration (removal).

When the audit log plugin creates a new encryption password, it archives the previous password, if one exists, for later use. The `audit_log_password_history_keep_days` variable controls automatic removal of expired archived passwords. Its value indicates the number of days after which archived audit log encryption passwords are removed. The default of 0 disables password expiration: the password retention period is forever.

New audit log encryption passwords are created under these circumstances:

- During plugin initialization, if the plugin finds that log file encryption is enabled, it checks whether the keyring contains an audit log encryption password. If not, the plugin automatically generates a random initial encryption password.
- When the `audit_log_encryption_password_set()` function is called to set a specific password.

In each case, the plugin stores the new password in the key ring and uses it to encrypt new log files.

Removal of expired audit log encryption passwords occurs under these circumstances:

- During plugin initialization.
- When the `audit_log_encryption_password_set()` function is called.
- When the runtime value of `audit_log_password_history_keep_days` is changed from its current value to a value greater than 0. Runtime value changes occur for `SET` statements that use

the GLOBAL or PERSIST keyword, but not the PERSIST_ONLY keyword. PERSIST_ONLY writes the variable setting to mysqld-auto.cnf, but has no effect on the runtime value.

When password removal occurs, the current value of audit_log_password_history_keep_days determines which passwords to remove:

- If the value is 0, the plugin removes no passwords.
- If the value is *N* > 0, the plugin removes passwords more than *N* days old.

> **Note**
>
> Take care not to expire old passwords that are still needed to read archived encrypted log files.

If you normally leave password expiration disabled (that is, audit_log_password_history_keep_days has a value of 0), it is possible to perform an on-demand cleanup operation by temporarily assigning the variable a value greater than zero. For example, to expire passwords older than 365 days, do this:

```
SET GLOBAL audit_log_password_history_keep_days = 365;
SET GLOBAL audit_log_password_history_keep_days = 0;
```

Setting the runtime value of audit_log_password_history_keep_days requires the AUDIT_ADMIN privilege, in addition to the SYSTEM_VARIABLES_ADMIN privilege (or the deprecated SUPER privilege) normally required to set a global system variable runtime value.

- audit_log_policy

Command-Line Format	--audit-log-policy=value
Deprecated	Yes
System Variable	audit_log_policy
Scope	Global
Dynamic	No
SET_VAR Hint Applies	No
Type	Enumeration
Default Value	ALL
Valid Values	ALL LOGINS QUERIES NONE

> **Note**
>
> This deprecated variable applies only to legacy mode audit log filtering (see Section 6.5.10, “Legacy Mode Audit Log Filtering”).

The policy controlling how the audit log plugin writes events to its log file. The following table shows the permitted values.

Value	Description
ALL	Log all events
LOGINS	Log only login events

Value	Description
QUERIES	Log only query events
NONE	Log nothing (disable the audit stream)

audit_log_policy can be set only at server startup. At runtime, it is a read-only variable. Two other system variables, audit_log_connection_policy and audit_log_statement_policy, provide finer control over logging policy and can be set either at startup or at runtime. If you use audit_log_policy at startup instead of the other two variables, the server uses its value to set those variables. For more information about the policy variables and their interaction, see Section 6.5.5, "Configuring Audit Logging Characteristics".

- audit_log_prune_seconds

Command-Line Format	--audit-log-prune-seconds=#
System Variable	audit_log_prune_seconds
Scope	Global
Dynamic	Yes
SET_VAR Hint Applies	No
Type	Integer
Default Value	0
Minimum Value	0
Maximum Value (Windows)	4294967295
Maximum Value (Other)	18446744073709551615
Unit	bytes

audit_log_prune_seconds pertains to audit log file pruning, which is supported for JSON-format log files only. It controls pruning based on log file age:

- A value of 0 (the default) disables age-based pruning. No age limit is enforced.
- A value greater than 0 enables age-based pruning. The value is the number of seconds after which audit log files become subject to pruning.

> **Note**
>
> Setting audit_log_prune_seconds by itself is not sufficient to cause log file pruning to occur because the pruning algorithm uses audit_log_rotate_on_size, audit_log_max_size, and audit_log_prune_seconds in conjunction. For details, see Space Management of Audit Log Files.

- audit_log_read_buffer_size

Command-Line Format	--audit-log-read-buffer-size=#
System Variable	audit_log_read_buffer_size
Scope	Global, Session
Dynamic	Yes
SET_VAR Hint Applies	No
Type	Integer
Default Value	32768
Minimum Value	32768

Maximum Value	4194304
Unit	bytes

The buffer size for reading from the audit log file, in bytes. The `audit_log_read()` function reads no more than this many bytes. Log file reading is supported only for JSON log format. For more information, see Section 6.5.6, “Reading Audit Log Files”.

As of MySQL 8.0.12, this variable has a default of 32KB and can be set at runtime. Each client should set its session value of `audit_log_read_buffer_size` appropriately for its use of `audit_log_read()`. Prior to MySQL 8.0.12, `audit_log_read_buffer_size` has a default of 1MB, affects all clients, and can be changed only at server startup.

- `audit_log_rotate_on_size`

Command-Line Format	`--audit-log-rotate-on-size=#`
System Variable	`audit_log_rotate_on_size`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Integer
Default Value	0
Minimum Value	0
Maximum Value	18446744073709551615
Unit	bytes
Block Size	4096

If `audit_log_rotate_on_size` is 0, the audit log plugin does not perform automatic size-based log file rotation. If rotation is to occur, you must perform it manually; see Manual Audit Log File Rotation (Before MySQL 8.0.31).

If `audit_log_rotate_on_size` is greater than 0, automatic size-based log file rotation occurs. Whenever a write to the log file causes its size to exceed the `audit_log_rotate_on_size` value, the audit log plugin renames the current log file and opens a new current log file using the original name.

If you set `audit_log_rotate_on_size` to a value that is not a multiple of 4096, it is truncated to the nearest multiple. In particular, setting it to a value less than 4096 sets it to 0 and no rotation occurs, except manually.

> **Note**
>
> `audit_log_rotate_on_size` controls whether audit log file rotation occurs. It can also be used in conjunction with `audit_log_max_size` and `audit_log_prune_seconds` to configure pruning of rotated JSON-format log files. For details, see Space Management of Audit Log Files.

- `audit_log_statement_policy`

Command-Line Format	`--audit-log-statement-policy=value`
Deprecated	Yes
System Variable	`audit_log_statement_policy`
Scope	Global
Dynamic	Yes

SET_VAR Hint Applies	No
Type	Enumeration
Default Value	ALL
Valid Values	ALL ERRORS NONE

> **Note**
>
> This deprecated variable applies only to legacy mode audit log filtering (see Section 6.5.10, “Legacy Mode Audit Log Filtering”).

The policy controlling how the audit log plugin writes statement events to its log file. The following table shows the permitted values.

Value	Description
ALL	Log all statement events
ERRORS	Log only failed statement events
NONE	Do not log statement events

> **Note**
>
> At server startup, any explicit value given for audit_log_statement_policy may be overridden if audit_log_policy is also specified, as described in Section 6.5.5, “Configuring Audit Logging Characteristics”.

- audit_log_strategy

Command-Line Format	--audit-log-strategy=value
System Variable	audit_log_strategy
Scope	Global
Dynamic	No
SET_VAR Hint Applies	No
Type	Enumeration
Default Value	ASYNCHRONOUS
Valid Values	ASYNCHRONOUS PERFORMANCE SEMISYNCHRONOUS SYNCHRONOUS

The logging method used by the audit log plugin. These strategy values are permitted:

- ASYNCHRONOUS: Log asynchronously. Wait for space in the output buffer.
- PERFORMANCE: Log asynchronously. Drop requests for which there is insufficient space in the output buffer.
- SEMISYNCHRONOUS: Log synchronously. Permit caching by the operating system.

- `SYNCHRONOUS`: Log synchronously. Call `sync()` after each request.

Audit Log Status Variables

If the audit log plugin is enabled, it exposes several status variables that provide operational information. These variables are available for legacy mode audit filtering (deprecated in MySQL 8.0.34) and JSON mode audit filtering.

- `Audit_log_current_size`

  The size of the current audit log file. The value increases when an event is written to the log and is reset to 0 when the log is rotated.

- `Audit_log_event_max_drop_size`

  The size of the largest dropped event in performance logging mode. For a description of logging modes, see Section 6.5.5, “Configuring Audit Logging Characteristics”.

- `Audit_log_events`

  The number of events handled by the audit log plugin, whether or not they were written to the log based on filtering policy (see Section 6.5.5, “Configuring Audit Logging Characteristics”).

- `Audit_log_events_filtered`

  The number of events handled by the audit log plugin that were filtered (not written to the log) based on filtering policy (see Section 6.5.5, “Configuring Audit Logging Characteristics”).

- `Audit_log_events_lost`

  The number of events lost in performance logging mode because an event was larger than the available audit log buffer space. This value may be useful for assessing how to set `audit_log_buffer_size` to size the buffer for performance mode. For a description of logging modes, see Section 6.5.5, “Configuring Audit Logging Characteristics”.

- `Audit_log_events_written`

  The number of events written to the audit log.

- `Audit_log_total_size`

  The total size of events written to all audit log files. Unlike `Audit_log_current_size`, the value of `Audit_log_total_size` increases even when the log is rotated.

- `Audit_log_write_waits`

  The number of times an event had to wait for space in the audit log buffer in asynchronous logging mode. For a description of logging modes, see Section 6.5.5, “Configuring Audit Logging Characteristics”.

6.5.12 Audit Log Restrictions

MySQL Enterprise Audit is subject to these general restrictions:

- Only SQL statements are logged. Changes made by no-SQL APIs, such as memcached, Node.JS, and the NDB API, are not logged.
- Only top-level statements are logged, not statements within stored programs such as triggers or stored procedures.
- Contents of files referenced by statements such as `LOAD DATA` are not logged.

NDB Cluster. It is possible to use MySQL Enterprise Audit with MySQL NDB Cluster, subject to the following conditions:

- All changes to be logged must be done using the SQL interface. Changes using no-SQL interfaces, such as those provided by the NDB API, memcached, or ClusterJ, are not logged.
- The plugin must be installed on each MySQL server that is used to execute SQL on the cluster.
- Audit plugin data must be aggregated amongst all MySQL servers used with the cluster. This aggregation is the responsibility of the application or user.

6.6 The Audit Message Component

As of MySQL 8.0.14, the `audit_api_message_emit` component enables applications to add their own message events to the audit log, using the `audit_api_message_emit_udf()` function.

The `audit_api_message_emit` component cooperates with all plugins of audit type. For concreteness, examples use the `audit_log` plugin described in Section 6.5, "MySQL Enterprise Audit".

- Installing or Uninstalling the Audit Message Component
- Audit Message Function

Installing or Uninstalling the Audit Message Component

To be usable by the server, the component library file must be located in the MySQL plugin directory (the directory named by the `plugin_dir` system variable). If necessary, configure the plugin directory location by setting the value of `plugin_dir` at server startup.

To install the `audit_api_message_emit` component, use this statement:

```
INSTALL COMPONENT "file://component_audit_api_message_emit";
```

Component installation is a one-time operation that need not be done per server startup. `INSTALL COMPONENT` loads the component, and also registers it in the `mysql.component` system table to cause it to be loaded during subsequent server startups.

To uninstall the `audit_api_message_emit` component, use this statement:

```
UNINSTALL COMPONENT "file://component_audit_api_message_emit";
```

`UNINSTALL COMPONENT` unloads the component, and unregisters it from the `mysql.component` system table to cause it not to be loaded during subsequent server startups.

Because installing and uninstalling the `audit_api_message_emit` component installs and uninstalls the `audit_api_message_emit_udf()` function that the component implements, it is not necessary to use `CREATE FUNCTION` or `DROP FUNCTION` to do so.

Audit Message Function

This section describes the `audit_api_message_emit_udf()` function implemented by the `audit_api_message_emit` component.

Before using the audit message function, install the audit message component according to the instructions provided at Installing or Uninstalling the Audit Message Component.

- `audit_api_message_emit_udf(`*`component, producer, message`*`[, `*`key, value`*`] ...)`

  Adds a message event to the audit log. Message events include component, producer, and message strings of the caller's choosing, and optionally a set of key-value pairs.

An event posted by this function is sent to all enabled plugins of audit type, each of which handles the event according to its own rules. If no plugin of audit type is enabled, posting the event has no effect.

Arguments:

- *`component`*: A string that specifies a component name.
- *`producer`*: A string that specifies a producer name.
- *`message`*: A string that specifies the event message.
- *`key`*, *`value`*: Events may include 0 or more key-value pairs that specify an arbitrary application-provided data map. Each *`key`* argument is a string that specifies a name for its immediately following *`value`* argument. Each *`value`* argument specifies a value for its immediately following *`key`* argument. Each *`value`* can be a string or numeric value, or `NULL`.

Return value:

The string `OK` to indicate success. An error occurs if the function fails.

Example:

```
mysql> SELECT audit_api_message_emit_udf('component_text',
                                         'producer_text',
                                         'message_text',
                                         'key1', 'value1',
                                         'key2', 123,
                                         'key3', NULL) AS 'Message';
+---------+
| Message |
+---------+
| OK      |
+---------+
```

Additional information:

Each audit plugin that receives an event posted by `audit_api_message_emit_udf()` logs the event in plugin-specific format. For example, the `audit_log` plugin (see Section 6.5, “MySQL Enterprise Audit”) logs message values as follows, depending on the log format configured by the `audit_log_format` system variable:

- JSON format (`audit_log_format=JSON`):

```
{
  ...
  "class": "message",
  "event": "user",
  ...
  "message_data": {
    "component": "component_text",
    "producer": "producer_text",
    "message": "message_text",
    "map": {
      "key1": "value1",
      "key2": 123,
      "key3": null
    }
  }
}
```

- New-style XML format (`audit_log_format=NEW`):

```
<AUDIT_RECORD>
 ...
```

```
 <NAME>Message</NAME>
 ...
 <COMMAND_CLASS>user</COMMAND_CLASS>
 <COMPONENT>component_text</COMPONENT>
 <PRODUCER>producer_text</PRODUCER>
 <MESSAGE>message_text</MESSAGE>
 <MAP>
   <ELEMENT>
     <KEY>key1</KEY>
     <VALUE>value1</VALUE>
   </ELEMENT>
   <ELEMENT>
     <KEY>key2</KEY>
     <VALUE>123</VALUE>
   </ELEMENT>
   <ELEMENT>
     <KEY>key3</KEY>
     <VALUE/>
   </ELEMENT>
 </MAP>
</AUDIT_RECORD>
```

- Old-style XML format (`audit_log_format=OLD`):

```
<AUDIT_RECORD
  ...
  NAME="Message"
  ...
  COMMAND_CLASS="user"
  COMPONENT="component_text"
  PRODUCER="producer_text"
  MESSAGE="message_text"/>
```

> **Note**
>
> Message events logged in old-style XML format do not include the key-value map due to representational constraints imposed by this format.

Messages posted by `audit_api_message_emit_udf()` have an event class of `MYSQL_AUDIT_MESSAGE_CLASS` and a subclass of `MYSQL_AUDIT_MESSAGE_USER`. (Internally generated audit messages have the same class and a subclass of `MYSQL_AUDIT_MESSAGE_INTERNAL`; this subclass currently is unused.) To refer to such events in `audit_log` filtering rules, use a `class` element with a `name` value of `message`. For example:

```
{
  "filter": {
    "class": {
      "name": "message"
    }
  }
}
```

Should it be necessary to distinguish user-generated and internally generated message events, test the `subclass` value against `user` or `internal`.

Filtering based on the contents of the key-value map is not supported.

For information about writing filtering rules, see Section 6.5.7, “Audit Log Filtering”.

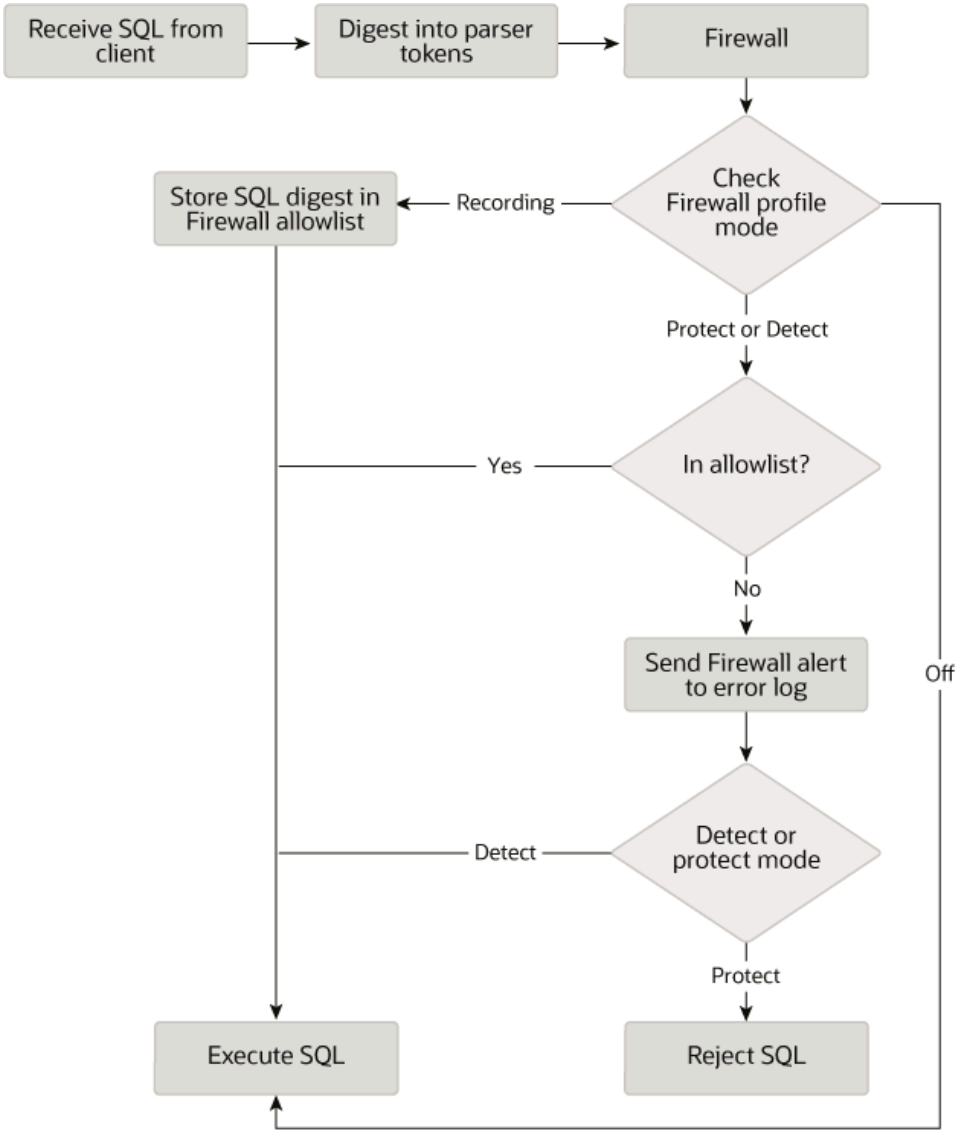
6.7 MySQL Enterprise Firewall

> **Note**
>
> MySQL Enterprise Firewall is an extension included in MySQL Enterprise Edition, a commercial product. To learn more about commercial products, see https://www.mysql.com/products/.

MySQL Enterprise Edition includes MySQL Enterprise Firewall, an application-level firewall that enables database administrators to permit or deny SQL statement execution based on matching against lists of accepted statement patterns. This helps harden MySQL Server against attacks such as SQL injection or attempts to exploit applications by using them outside of their legitimate query workload characteristics.

Each MySQL account registered with the firewall has its own statement allowlist, enabling protection to be tailored per account. For a given account, the firewall can operate in recording, protecting, or detecting mode, for training in the accepted statement patterns, active protection against unacceptable statements, or passive detection of unacceptable statements. The diagram illustrates how the firewall processes incoming statements in each mode.

Figure 6.1 MySQL Enterprise Firewall Operation



The following sections describe the elements of MySQL Enterprise Firewall, discuss how to install and use it, and provide reference information for its elements.

6.7.1 Elements of MySQL Enterprise Firewall

MySQL Enterprise Firewall is based on a plugin library that includes these elements:

- A server-side plugin named `MYSQL_FIREWALL` examines SQL statements before they execute and, based on the registered firewall profiles, renders a decision whether to execute or reject each statement.
- The `MYSQL_FIREWALL` plugin, along with server-side plugins named `MYSQL_FIREWALL_USERS` and `MYSQL_FIREWALL_WHITELIST` implement Performance Schema and `INFORMATION_SCHEMA` tables that provide views into the registered profiles.
- Profiles are cached in memory for better performance. Tables in the `mysql` system database provide backing storage of firewall data for persistence of profiles across server restarts.
- Stored procedures perform tasks such as registering firewall profiles, establishing their operational mode, and managing transfer of firewall data between the cache and persistent storage.
- Administrative functions provide an API for lower-level tasks such as synchronizing the cache with persistent storage.
- System variables enable firewall configuration and status variables provide runtime operational information.
- The `FIREWALL_ADMIN` and `FIREWALL_USER` privileges enable users to administer firewall rules for any user, and their own firewall rules, respectively.
- The `FIREWALL_EXEMPT` privilege (available as of MySQL 8.0.27) exempts a user from firewall restrictions. This is useful, for example, for any database administrator who configures the firewall, to avoid the possibility of a misconfiguration causing even the administrator to be locked out and unable to execute statements.

6.7.2 Installing or Uninstalling MySQL Enterprise Firewall

MySQL Enterprise Firewall installation is a one-time operation that installs the elements described in Section 6.7.1, “Elements of MySQL Enterprise Firewall”. Installation can be performed using a graphical interface or manually:

- On Windows, MySQL Installer includes an option to enable MySQL Enterprise Firewall for you.
- MySQL Workbench 6.3.4 or higher can install MySQL Enterprise Firewall, enable or disable an installed firewall, or uninstall the firewall.
- Manual MySQL Enterprise Firewall installation involves running a script located in the `share` directory of your MySQL installation.

> **Important**
>
> Read this entire section before following its instructions. Parts of the procedure differ depending on your environment.

> **Note**
>
> If installed, MySQL Enterprise Firewall involves some minimal overhead even when disabled. To avoid this overhead, do not install the firewall unless you plan to use it.

For usage instructions, see Section 6.7.3, “Using MySQL Enterprise Firewall”. For reference information, see Section 6.7.4, “MySQL Enterprise Firewall Reference”.

- Installing MySQL Enterprise Firewall
- Uninstalling MySQL Enterprise Firewall

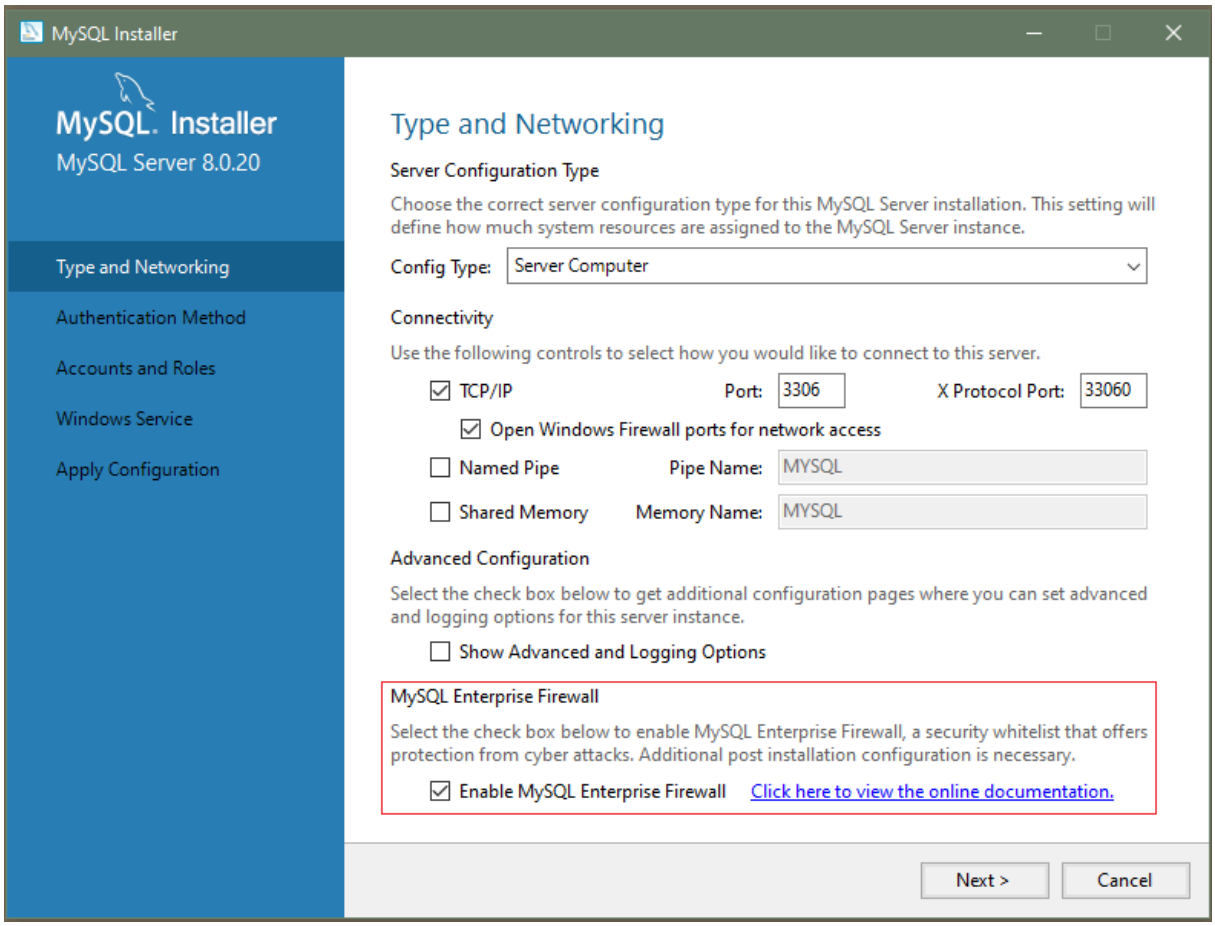
Installing MySQL Enterprise Firewall

If MySQL Enterprise Firewall is already installed from an older version of MySQL, uninstall it using the instructions given later in this section and then restart your server before installing the current version. In this case, it is also necessary to register your configuration again.

On Windows, you can use MySQL Installer to install MySQL Enterprise Firewall, as shown in Figure 6.2, “MySQL Enterprise Firewall Installation on Windows”. Check the **Enable MySQL Enterprise Firewall** check box. (**Open Firewall port for network access** has a different purpose. It refers to Windows Firewall and controls whether Windows blocks the TCP/IP port on which the MySQL server listens for client connections.)

> **Important**
>
> There is an issue for MySQL 8.0.19 installed using MySQL Installer that prevents the server from starting if MySQL Enterprise Firewall is selected during the server configuration steps. If the server startup operation fails, click **Cancel** to end the configuration process and return to the dashboard. You must uninstall the server.
>
> The workaround is to run MySQL Installer without MySQL Enterprise Firewall selected. (That is, do not select the **Enable MySQL Enterprise Firewall** check box.) Then install MySQL Enterprise Firewall afterward using the instructions for manual installation later in this section. This problem is corrected in MySQL 8.0.20.

Figure 6.2 MySQL Enterprise Firewall Installation on Windows



To install MySQL Enterprise Firewall using MySQL Workbench 6.3.4 or higher, see MySQL Enterprise Firewall Interface.

To install MySQL Enterprise Firewall manually, look in the `share` directory of your MySQL installation and choose the script that is appropriate for your platform. The available scripts differ in the file name used to refer to the script:

- `win_install_firewall.sql`
- `linux_install_firewall.sql`

The installation script creates stored procedures in the default database, `mysql`. Run the script as follows on the command line. The example here uses the Linux installation script. Make the appropriate substitutions for your system.

```
$> mysql -u root -p < linux_install_firewall.sql
Enter password: (enter root password here)
```

> **Note**
>
> To use MySQL Enterprise Firewall in the context of source/replica replication, Group Replication, or InnoDB Cluster, you must prepare the replica nodes prior to running the installation script on the source node. This is necessary because the `INSTALL PLUGIN` statements in the script are not replicated.
>
> 1. On each replica node, extract the `INSTALL PLUGIN` statements from the installation script and execute them manually.
> 2. On the source node, run the installation script as described previously.

Installing MySQL Enterprise Firewall either using a graphical interface or manually should enable the firewall. To verify that, connect to the server and execute this statement:

```
mysql> SHOW GLOBAL VARIABLES LIKE 'mysql_firewall_mode';
+---------------------+-------+
| Variable_name       | Value |
+---------------------+-------+
| mysql_firewall_mode | ON    |
+---------------------+-------+
```

If the plugin fails to initialize, check the server error log for diagnostic messages.

Uninstalling MySQL Enterprise Firewall

MySQL Enterprise Firewall can be uninstalled using MySQL Workbench or manually.

To uninstall MySQL Enterprise Firewall using MySQL Workbench 6.3.4 or higher, see MySQL Enterprise Firewall Interface, in MySQL Workbench.

To uninstall MySQL Enterprise Firewall manually, execute the following statements. Statements use `IF EXISTS` because, depending on the previously installed firewall version, some objects might not exist or might be dropped implicitly by uninstalling the plugin that installed them.

```
DROP TABLE IF EXISTS mysql.firewall_group_allowlist;
DROP TABLE IF EXISTS mysql.firewall_groups;
DROP TABLE IF EXISTS mysql.firewall_membership;
DROP TABLE IF EXISTS mysql.firewall_users;
DROP TABLE IF EXISTS mysql.firewall_whitelist;
UNINSTALL PLUGIN MYSQL_FIREWALL;
UNINSTALL PLUGIN MYSQL_FIREWALL_USERS;
UNINSTALL PLUGIN MYSQL_FIREWALL_WHITELIST;
DROP FUNCTION IF EXISTS firewall_group_delist;
DROP FUNCTION IF EXISTS firewall_group_enlist;
DROP FUNCTION IF EXISTS mysql_firewall_flush_status;
DROP FUNCTION IF EXISTS normalize_statement;
DROP FUNCTION IF EXISTS read_firewall_group_allowlist;
DROP FUNCTION IF EXISTS read_firewall_groups;
DROP FUNCTION IF EXISTS read_firewall_users;
DROP FUNCTION IF EXISTS read_firewall_whitelist;
```

```
DROP FUNCTION IF EXISTS set_firewall_group_mode;
DROP FUNCTION IF EXISTS set_firewall_mode;
DROP PROCEDURE IF EXISTS mysql.sp_firewall_group_delist;
DROP PROCEDURE IF EXISTS mysql.sp_firewall_group_enlist;
DROP PROCEDURE IF EXISTS mysql.sp_reload_firewall_group_rules;
DROP PROCEDURE IF EXISTS mysql.sp_reload_firewall_rules;
DROP PROCEDURE IF EXISTS mysql.sp_set_firewall_group_mode;
DROP PROCEDURE IF EXISTS mysql.sp_set_firewall_group_mode_and_user;
DROP PROCEDURE IF EXISTS mysql.sp_set_firewall_mode;
DROP PROCEDURE IF EXISTS mysql.sp_migrate_firewall_user_to_group;
```

6.7.3 Using MySQL Enterprise Firewall

Before using MySQL Enterprise Firewall, install it according to the instructions provided in Section 6.7.2, “Installing or Uninstalling MySQL Enterprise Firewall”.

This section describes how to configure MySQL Enterprise Firewall using SQL statements. Alternatively, MySQL Workbench 6.3.4 or higher provides a graphical interface for firewall control. See MySQL Enterprise Firewall Interface.

- Enabling or Disabling the Firewall
- Assigning Firewall Privileges
- Firewall Concepts
- Registering Firewall Group Profiles
- Registering Firewall Account Profiles
- Monitoring the Firewall
- Migrating Account Profiles to Group Profiles

Enabling or Disabling the Firewall

To enable or disable the firewall, set the `mysql_firewall_mode` system variable. By default, this variable is enabled when the firewall is installed. To control the initial firewall state explicitly, you can set the variable at server startup. For example, to enable the firewall in an option file, use these lines:

```
[mysqld]
mysql_firewall_mode=ON
```

After modifying `my.cnf`, restart the server to cause the new setting to take effect.

Alternatively, to set and persist the firewall setting at runtime:

```
SET PERSIST mysql_firewall_mode = OFF;
SET PERSIST mysql_firewall_mode = ON;
```

`SET PERSIST` sets a value for the running MySQL instance. It also saves the value, causing it to carry over to subsequent server restarts. To change a value for the running MySQL instance without having it carry over to subsequent restarts, use the `GLOBAL` keyword rather than `PERSIST`. See SET Syntax for Variable Assignment.

Assigning Firewall Privileges

With the firewall installed, grant the appropriate privileges to the MySQL account or accounts to be used for administering it. The privileges depend on which firewall operations an account should be permitted to perform:

- Grant the `FIREWALL_EXEMPT` privilege (available as of MySQL 8.0.27) to any account that should be exempt from firewall restrictions. This is useful, for example, for a database administrator who configures the firewall, to avoid the possibility of a misconfiguration causing even the administrator to be locked out and unable to execute statements.

- Grant the `FIREWALL_ADMIN` privilege to any account that should have full administrative firewall access. (Some administrative firewall functions can be invoked by accounts that have `FIREWALL_ADMIN` *or* the deprecated `SUPER` privilege, as indicated in the individual function descriptions.)
- Grant the `FIREWALL_USER` privilege to any account that should have administrative access only for its own firewall rules.
- Grant the `EXECUTE` privilege for the firewall stored procedures in the `mysql` system database. These may invoke administrative functions, so stored procedure access also requires the privileges indicated earlier that are needed for those functions.

> **Note**
>
> The `FIREWALL_EXEMPT`, `FIREWALL_ADMIN`, and `FIREWALL_USER` privileges can be granted only while the firewall is installed because the `MYSQL_FIREWALL` plugin defines those privileges.

Firewall Concepts

The MySQL server permits clients to connect and receives from them SQL statements to be executed. If the firewall is enabled, the server passes to it each incoming statement that does not immediately fail with a syntax error. Based on whether the firewall accepts the statement, the server executes it or returns an error to the client. This section describes how the firewall accomplishes the task of accepting or rejecting statements.

- Firewall Profiles
- Firewall Statement Matching
- Profile Operational Modes
- Firewall Statement Handling When Multiple Profiles Apply

Firewall Profiles

The firewall uses a registry of profiles that determine whether to permit statement execution. Profiles have these attributes:

- An allowlist. The allowlist is the set of rules that defines which statements are acceptable to the profile.
- A current operational mode. The mode enables the profile to be used in different ways. For example: the profile can be placed in training mode to establish the allowlist; the allowlist can be used for restricting statement execution or intrusion detection; the profile can be disabled entirely.
- A scope of applicability. The scope indicates which client connections the profile applies to:
  - The firewall supports account-based profiles such that each profile matches a particular client account (client user name and host name combination). For example, you can register one account profile for which the allowlist applies to connections originating from `admin@localhost` and another account profile for which the allowlist applies to connections originating from `myapp@apphost.example.com`.
  - As of MySQL 8.0.23, the firewall supports group profiles that can have multiple accounts as members, with the profile allowlist applying equally to all members. Group profiles enable easier administration and greater flexibility for deployments that require applying a given set of allowlist rules to multiple accounts.

Initially, no profiles exist, so by default, the firewall accepts all statements and has no effect on which statements MySQL accounts can execute. To apply firewall protective capabilities, explicit action is required:

- Register one or more profiles with the firewall.
- Train the firewall by establishing the allowlist for each profile; that is, the types of statements the profile permits clients to execute.
- Place the trained profiles in protecting mode to harden MySQL against unauthorized statement execution:
  - MySQL associates each client session with a specific user name and host name combination. This combination is the *session account*.
  - For each client connection, the firewall uses the session account to determine which profiles apply to handling incoming statements from the client.

    The firewall accepts only statements permitted by the applicable profile allowlists.

Most firewall principles apply identically to group profiles and account profiles. The two types of profiles differ in these respects:

- An account profile allowlist applies only to a single account. A group profile allowlist applies when the session account matches any account that is a member of the group.
- To apply an allowlist to multiple accounts using account profiles, it is necessary to register one profile per account and duplicate the allowlist across each profile. This entails training each account profile individually because each one must be trained using the single account to which it applies.

  A group profile allowlist applies to multiple accounts, with no need to duplicate it for each account. A group profile can be trained using any or all of the group member accounts, or training can be limited to any single member. Either way, the allowlist applies to all members.
- Account profile names are based on specific user name and host name combinations that depend on which clients connect to the MySQL server. Group profile names are chosen by the firewall administrator with no constraints other than that their length must be from 1 to 288 characters.

> **Note**
>
> Due to the advantages of group profiles over account profiles, and because a group profile with a single member account is logically equivalent to an account profile for that account, it is recommended that all new firewall profiles be created as group profiles. Account profiles are deprecated as of MySQL 8.0.26 and subject to removal in a future MySQL version. For assistance converting existing account profiles, see Migrating Account Profiles to Group Profiles.

The profile-based protection afforded by the firewall enables implementation of strategies such as these:

- If an application has unique protection requirements, configure it to use an account not used for any other purpose and set up a group profile or account profile for that account.
- If related applications share protection requirements, associate each application with its own account, then add these application accounts as members of the same group profile. Alternatively, configure all the applications to use the same account and associate them with an account profile for that account.

Firewall Statement Matching

Statement matching performed by the firewall does not use SQL statements as received from clients. Instead, the server converts incoming statements to normalized digest form and firewall operation uses these digests. The benefit of statement normalization is that it enables similar statements to be grouped and recognized using a single pattern. For example, these statements are distinct from each other:

```
SELECT first_name, last_name FROM customer WHERE customer_id = 1;
select first_name, last_name from customer where customer_id = 99;
SELECT first_name, last_name FROM customer WHERE customer_id = 143;
```

But all of them have the same normalized digest form:

```
SELECT `first_name` , `last_name` FROM `customer` WHERE `customer_id` = ?
```

By using normalization, firewall allowlists can store digests that each match many different statements received from clients. For more information about normalization and digests, see Performance Schema Statement Digests and Sampling.

> **Warning**
>
> Setting the `max_digest_length` system variable to zero disables digest production, which also disables server functionality that requires digests, such as MySQL Enterprise Firewall.

Profile Operational Modes

Each profile registered with the firewall has its own operational mode, chosen from these values:

- `OFF`: This mode disables the profile. The firewall considers it inactive and ignores it.
- `RECORDING`: This is the firewall training mode. Incoming statements received from a client that matches the profile are considered acceptable for the profile and become part of its “fingerprint.” The firewall records the normalized digest form of each statement to learn the acceptable statement patterns for the profile. Each pattern is a rule, and the union of the rules is the profile allowlist.

  A difference between group and account profiles is that statement recording for a group profile can be limited to statements received from a single group member (the training member).
- `PROTECTING`: In this mode, the profile allows or prevents statement execution. The firewall matches incoming statements against the profile allowlist, accepting only statements that match and rejecting those that do not. After training a profile in `RECORDING` mode, switch it to `PROTECTING` mode to harden MySQL against access by statements that deviate from the allowlist. If the `mysql_firewall_trace` system variable is enabled, the firewall also writes rejected statements to the error log.
- `DETECTING`: This mode detects but not does not block intrusions (statements that are suspicious because they match nothing in the profile allowlist). In `DETECTING` mode, the firewall writes suspicious statements to the error log but accepts them without denying access.

When a profile is assigned any of the preceding mode values, the firewall stores the mode in the profile. Firewall mode-setting operations also permit a mode value of `RESET`, but this value is not stored: setting a profile to `RESET` mode causes the firewall to delete all rules for the profile and set its mode to `OFF`.

> **Note**
>
> Messages written to the error log in `DETECTING` mode or because `mysql_firewall_trace` is enabled are written as Notes, which are information messages. To ensure that such messages appear in the error log and are not discarded, make sure that error-logging verbosity is sufficient to include information messages. For example, if you are using priority-based log filtering, as described in Priority-Based Error Log Filtering (log_filter_internal), set the `log_error_verbosity` system variable to a value of 3.

Firewall Statement Handling When Multiple Profiles Apply

For simplicity, later sections that describe how to set up profiles take the perspective that the firewall matches incoming statements from a client against only a single profile, either a group profile or account profile. But firewall operation can be more complex:

- A group profile can include multiple accounts as members.
- An account can be a member of multiple group profiles.
- Multiple profiles can match a given client.

The following description covers the general case of how the firewall operates, when potentially multiple profiles apply to incoming statements.

As previously mentioned, MySQL associates each client session with a specific user name and host name combination known as the *session account*. The firewall matches the session account against registered profiles to determine which profiles apply to handling incoming statements from the session:

- The firewall ignores inactive profiles (profiles with a mode of `OFF`).
- The session account matches every active group profile that includes a member having the same user and host. There can be more than one such group profile.
- The session account matches an active account profile having the same user and host, if there is one. There is at most one such account profile.

In other words, the session account can match 0 or more active group profiles, and 0 or 1 active account profiles. This means that 0, 1, or multiple firewall profiles are applicable to a given session, for which the firewall handles each incoming statement as follows:

- If there is no applicable profile, the firewall imposes no restrictions and accepts the statement.
- If there are applicable profiles, their modes determine statement handling:
  - The firewall records the statement in the allowlist of each applicable profile that is in `RECORDING` mode.
  - The firewall writes the statement to the error log for each applicable profile in `DETECTING` mode for which the statement is suspicious (does not match the profile allowlist).
  - The firewall accepts the statement if at least one applicable profile is in `RECORDING` or `DETECTING` mode (those modes accept all statements), or if the statement matches the allowlist of at least one applicable profile in `PROTECTING` mode. Otherwise, the firewall rejects the statement (and writes it to the error log if the `mysql_firewall_trace` system variable is enabled).

With that description in mind, the next sections revert to the simplicity of the situations when a single group profile or a single account profile apply, and cover how to set up each type of profile.

Registering Firewall Group Profiles

MySQL Enterprise Firewall supports registration of group profiles as of MySQL 8.0.23. A group profile can have multiple accounts as its members. To use a firewall group profile to protect MySQL against incoming statements from a given account, follow these steps:

1. Register the group profile and put it in `RECORDING` mode.
2. Add a member account to the group profile.
3. Connect to the MySQL server using the member account and execute statements to be learned. This trains the group profile and establishes the rules that form the profile allowlist.
4. Add to the group profile any other accounts that are to be group members.
5. Switch the group profile to `PROTECTING` mode. When a client connects to the server using any account that is a member of the group profile, the profile allowlist restricts statement execution.
6. Should additional training be necessary, switch the group profile to `RECORDING` mode again, update its allowlist with new statement patterns, then switch it back to `PROTECTING` mode.

Observe these guidelines for firewall-related account references:

- Take note of the context in which account references occur. To name an account for firewall operations, specify it as a single quoted string (`'user_name@host_name'`). This differs from the usual MySQL convention for statements such as `CREATE USER` and `GRANT`, for which you quote the user and host parts of an account name separately (`'user_name'@'host_name'`).

  The requirement for naming accounts as a single quoted string for firewall operations means that you cannot use accounts that have embedded `@` characters in the user name.

- The firewall assesses statements against accounts represented by actual user and host names as authenticated by the server. When registering accounts in profiles, do not use wildcard characters or netmasks:

  - Suppose that an account named `me@%.example.org` exists and a client uses it to connect to the server from the host `abc.example.org`.
  - The account name contains a `%` wildcard character, but the server authenticates the client as having a user name of `me` and host name of `abc.example.com`, and that is what the firewall sees.
  - Consequently, the account name to use for firewall operations is `me@abc.example.org` rather than `me@%.example.org`.

The following procedure shows how to register a group profile with the firewall, train the firewall to know the acceptable statements for that profile (its allowlist), use the profile to protect MySQL against execution of unacceptable statements, and add and remove group members. The example uses a group profile name of `fwgrp`. The example profile is presumed for use by clients of an application that accesses tables in the `sakila` database (available at https://dev.mysql.com/doc/index-other.html).

Use an administrative MySQL account to perform the steps in this procedure, except those steps designated for execution by member accounts of the firewall group profile. For statements executed by member accounts, the default database should be `sakila`. (You can use a different database by adjusting the instructions accordingly.)

1. If necessary, create the accounts that are to be members of the `fwgrp` group profile and grant them appropriate access privileges. Statements for one member are shown here (choose an appropriate password):

   ```
   CREATE USER 'member1'@'localhost' IDENTIFIED BY 'password';
   GRANT ALL ON sakila.* TO 'member1'@'localhost';
   ```

2. Use the `sp_set_firewall_group_mode()` stored procedure to register the group profile with the firewall and place the profile in `RECORDING` (training) mode:

   ```
   CALL mysql.sp_set_firewall_group_mode('fwgrp', 'RECORDING');
   ```

3. Use the `sp_firewall_group_enlist()` stored procedure to add an initial member account for use in training the group profile allowlist:

   ```
   CALL mysql.sp_firewall_group_enlist('fwgrp', 'member1@localhost');
   ```

4. To train the group profile using the initial member account, connect to the server as `member1` from the server host so that the firewall sees a session account of `member1@localhost`. Then execute some statements to be considered legitimate for the profile. For example:

   ```
   SELECT title, release_year FROM film WHERE film_id = 1;
   UPDATE actor SET last_update = NOW() WHERE actor_id = 1;
   SELECT store_id, COUNT(*) FROM inventory GROUP BY store_id;
   ```

   The firewall receives the statements from the `member1@localhost` account. Because that account is a member of the `fwgrp` profile, which is in `RECORDING` mode, the firewall interprets the statements as applicable to `fwgrp` and records the normalized digest form of the statements as rules in the `fwgrp` allowlist. Those rules then apply to all accounts that are members of `fwgrp`.

> **Note**
>
> Until the `fwgrp` group profile receives statements in `RECORDING` mode, its allowlist is empty, which is equivalent to “deny all.” No statement can match an empty allowlist, which has these implications:
>
> - The group profile cannot be switched to `PROTECTING` mode. It would reject every statement, effectively prohibiting the accounts that are group members from executing any statement.
> - The group profile can be switched to `DETECTING` mode. In this case, the profile accepts every statement but logs it as suspicious.

5. At this point, the group profile information is cached, including its name, membership, and allowlist. To see this information, query the Performance Schema firewall tables:

```
mysql> SELECT MODE FROM performance_schema.firewall_groups
       WHERE NAME = 'fwgrp';
+-----------+
| MODE      |
+-----------+
| RECORDING |
+-----------+
mysql> SELECT * FROM performance_schema.firewall_membership
       WHERE GROUP_ID = 'fwgrp' ORDER BY MEMBER_ID;
+----------+-------------------+
| GROUP_ID | MEMBER_ID         |
+----------+-------------------+
| fwgrp    | member1@localhost |
+----------+-------------------+
mysql> SELECT RULE FROM performance_schema.firewall_group_allowlist
       WHERE NAME = 'fwgrp';
+-----------------------------------------------------------------------+
| RULE                                                                  |
+-----------------------------------------------------------------------+
| SELECT @@`version_comment` LIMIT ?                                    |
| UPDATE `actor` SET `last_update` = NOW ( ) WHERE `actor_id` = ?       |
| SELECT `title` , `release_year` FROM `film` WHERE `film_id` = ?       |
| SELECT `store_id` , COUNT ( * ) FROM `inventory` GROUP BY `store_id` |
+-----------------------------------------------------------------------+
```

> **Note**
>
> The `@@version_comment` rule comes from a statement sent automatically by the `mysql` client when you connect to the server.

> **Important**
>
> Train the firewall under conditions matching application use. For example, to determine server characteristics and capabilities, a given MySQL connector might send statements to the server at the beginning of each session. If an application normally is used through that connector, train the firewall using the connector, too. That enables those initial statements to become part of the allowlist for the group profile associated with the application.

6. Invoke `sp_set_firewall_group_mode()` again to switch the group profile to `PROTECTING` mode:

```
CALL mysql.sp_set_firewall_group_mode('fwgrp', 'PROTECTING');
```

> **Important**
>
> Switching the group profile out of `RECORDING` mode synchronizes its cached data to the `mysql` system database tables that provide persistent

underlying storage. If you do not switch the mode for a profile that is being recorded, the cached data is not written to persistent storage and is lost when the server is restarted.

7. Add to the group profile any other accounts that should be members:

```
CALL mysql.sp_firewall_group_enlist('fwgrp', 'member2@localhost');
CALL mysql.sp_firewall_group_enlist('fwgrp', 'member3@localhost');
CALL mysql.sp_firewall_group_enlist('fwgrp', 'member4@localhost');
```

   The profile allowlist trained using the `member1@localhost` account now also applies to the additional accounts.

8. To verify the updated group membership, query the `firewall_membership` table again:

```
mysql> SELECT * FROM performance_schema.firewall_membership
       WHERE GROUP_ID = 'fwgrp' ORDER BY MEMBER_ID;
+----------+-------------------+
| GROUP_ID | MEMBER_ID         |
+----------+-------------------+
| fwgrp    | member1@localhost |
| fwgrp    | member2@localhost |
| fwgrp    | member3@localhost |
| fwgrp    | member4@localhost |
+----------+-------------------+
```

9. Test the group profile against the firewall by using any account in the group to execute some acceptable and unacceptable statements. The firewall matches each statement from the account against the profile allowlist and accepts or rejects it:

   - This statement is not identical to a training statement but produces the same normalized statement as one of them, so the firewall accepts it:

```
mysql> SELECT title, release_year FROM film WHERE film_id = 98;
+-------------------+--------------+
| title             | release_year |
+-------------------+--------------+
| BRIGHT ENCOUNTERS |         2006 |
+-------------------+--------------+
```

   - These statements match nothing in the allowlist, so the firewall rejects each with an error:

```
mysql> SELECT title, release_year FROM film WHERE film_id = 98 OR TRUE;
ERROR 1045 (28000): Statement was blocked by Firewall
mysql> SHOW TABLES LIKE 'customer%';
ERROR 1045 (28000): Statement was blocked by Firewall
mysql> TRUNCATE TABLE mysql.slow_log;
ERROR 1045 (28000): Statement was blocked by Firewall
```

   - If the `mysql_firewall_trace` system variable is enabled, the firewall also writes rejected statements to the error log. For example:

```
[Note] Plugin MYSQL_FIREWALL reported:
'ACCESS DENIED for 'member1@localhost'. Reason: No match in allowlist.
Statement: TRUNCATE TABLE `mysql` . `slow_log`'
```

     These log messages may be helpful in identifying the source of attacks, should that be necessary.

10. Should members need to be removed from the group profile, use the `sp_firewall_group_delist()` stored procedure rather than `sp_firewall_group_enlist()`:

```
CALL mysql.sp_firewall_group_delist('fwgrp', 'member3@localhost');
```

The firewall group profile now is trained for member accounts. When clients connect using any account in the group and attempt to execute statements, the profile protects MySQL against statements not matched by the profile allowlist.

The procedure just shown added only one member to the group profile before training its allowlist. Doing so provides better control over the training period by limiting which accounts can add new acceptable statements to the allowlist. Should additional training be necessary, you can switch the profile back to `RECORDING` mode:

```
CALL mysql.sp_set_firewall_group_mode('fwgrp', 'RECORDING');
```

However, that enables any member of the group to execute statements and add them to the allowlist. To limit the additional training to a single group member, call `sp_set_firewall_group_mode_and_user()`, which is like `sp_set_firewall_group_mode()` but takes one more argument specifying which account is permitted to train the profile in `RECORDING` mode. For example, to enable training only by `member4@localhost`, do this:

```
CALL mysql.sp_set_firewall_group_mode_and_user('fwgrp', 'RECORDING', 'member4@localhost');
```

That enables additional training by the specified account without having to remove the other group members. They can execute statements, but the statements are not added to the allowlist. (Remember, however, that in `RECORDING` mode the other members can execute *any* statement.)

> **Note**
>
> To avoid unexpected behavior when a particular account is specified as the training account for a group profile, always ensure that account is a member of the group.

After the additional training, set the group profile back to `PROTECTING` mode:

```
CALL mysql.sp_set_firewall_group_mode('fwgrp', 'PROTECTING');
```

The training account established by `sp_set_firewall_group_mode_and_user()` is saved in the group profile, so the firewall remembers it in case more training is needed later. Thus, if you call `sp_set_firewall_group_mode()` (which takes no training account argument), the current profile training account, `member4@localhost`, remains unchanged.

To clear the training account if it actually is desired to enable all group members to perform training in `RECORDING` mode, call `sp_set_firewall_group_mode_and_user()` and pass a `NULL` value for the account argument:

```
CALL mysql.sp_set_firewall_group_mode_and_user('fwgrp', 'RECORDING', NULL);
```

It is possible to detect intrusions by logging nonmatching statements as suspicious without denying access. First, put the group profile in `DETECTING` mode:

```
CALL mysql.sp_set_firewall_group_mode('fwgrp', 'DETECTING');
```

Then, using a member account, execute a statement that does not match the group profile allowlist. In `DETECTING` mode, the firewall permits the nonmatching statement to execute:

```
mysql> SHOW TABLES LIKE 'customer%';
+-----------------------------+
| Tables_in_sakila (customer%) |
+-----------------------------+
| customer                    |
| customer_list               |
+-----------------------------+
```

In addition, the firewall writes a message to the error log:

```
[Note] Plugin MYSQL_FIREWALL reported:
'SUSPICIOUS STATEMENT from 'member1@localhost'. Reason: No match in allowlist.
Statement: SHOW TABLES LIKE ?'
```

To disable a group profile, change its mode to `OFF`:

```
CALL mysql.sp_set_firewall_group_mode(group, 'OFF');
```

To forget all training for a profile and disable it, reset it:

```
CALL mysql.sp_set_firewall_group_mode(group, 'RESET');
```

The reset operation causes the firewall to delete all rules for the profile and set its mode to `OFF`.

Registering Firewall Account Profiles

MySQL Enterprise Firewall enables profiles to be registered that correspond to individual accounts. To use a firewall account profile to protect MySQL against incoming statements from a given account, follow these steps:

1. Register the account profile and put it in `RECORDING` mode.
2. Connect to the MySQL server using the account and execute statements to be learned. This trains the account profile and establishes the rules that form the profile allowlist.
3. Switch the account profile to `PROTECTING` mode. When a client connects to the server using the account, the account profile allowlist restricts statement execution.
4. Should additional training be necessary, switch the account profile to `RECORDING` mode again, update its allowlist with new statement patterns, then switch it back to `PROTECTING` mode.

Observe these guidelines for firewall-related account references:

- Take note of the context in which account references occur. To name an account for firewall operations, specify it as a single quoted string (`'user_name@host_name'`). This differs from the usual MySQL convention for statements such as `CREATE USER` and `GRANT`, for which you quote the user and host parts of an account name separately (`'user_name'@'host_name'`).

  The requirement for naming accounts as a single quoted string for firewall operations means that you cannot use accounts that have embedded `@` characters in the user name.

- The firewall assesses statements against accounts represented by actual user and host names as authenticated by the server. When registering accounts in profiles, do not use wildcard characters or netmasks:

  - Suppose that an account named `me@%.example.org` exists and a client uses it to connect to the server from the host `abc.example.org`.
  - The account name contains a `%` wildcard character, but the server authenticates the client as having a user name of `me` and host name of `abc.example.com`, and that is what the firewall sees.
  - Consequently, the account name to use for firewall operations is `me@abc.example.org` rather than `me@%.example.org`.

The following procedure shows how to register an account profile with the firewall, train the firewall to know the acceptable statements for that profile (its allowlist), and use the profile to protect MySQL against execution of unacceptable statements by the account. The example account, `fwuser@localhost`, is presumed for use by an application that accesses tables in the `sakila` database (available at https://dev.mysql.com/doc/index-other.html).

Use an administrative MySQL account to perform the steps in this procedure, except those steps designated for execution by the `fwuser@localhost` account that corresponds to the account profile registered with the firewall. For statements executed using this account, the default database should be `sakila`. (You can use a different database by adjusting the instructions accordingly.)

1. If necessary, create the account to use for executing statements (choose an appropriate password) and grant it privileges for the `sakila` database:

   ```
   CREATE USER 'fwuser'@'localhost' IDENTIFIED BY 'password';
   ```

```
GRANT ALL ON sakila.* TO 'fwuser'@'localhost';
```

2. Use the `sp_set_firewall_mode()` stored procedure to register the account profile with the firewall and place the profile in `RECORDING` (training) mode:

```
CALL mysql.sp_set_firewall_mode('fwuser@localhost', 'RECORDING');
```

3. To train the registered account profile, connect to the server as `fwuser` from the server host so that the firewall sees a session account of `fwuser@localhost`. Then use the account to execute some statements to be considered legitimate for the profile. For example:

```
SELECT first_name, last_name FROM customer WHERE customer_id = 1;
UPDATE rental SET return_date = NOW() WHERE rental_id = 1;
SELECT get_customer_balance(1, NOW());
```

   Because the profile is in `RECORDING` mode, the firewall records the normalized digest form of the statements as rules in the profile allowlist.

   Note

   Until the `fwuser@localhost` account profile receives statements in `RECORDING` mode, its allowlist is empty, which is equivalent to “deny all.” No statement can match an empty allowlist, which has these implications:

   - The account profile cannot be switched to `PROTECTING` mode. It would reject every statement, effectively prohibiting the account from executing any statement.
   - The account profile can be switched to `DETECTING` mode. In this case, the profile accepts every statement but logs it as suspicious.

4. At this point, the account profile information is cached. To see this information, query the `INFORMATION_SCHEMA` firewall tables:

```
mysql> SELECT MODE FROM INFORMATION_SCHEMA.MYSQL_FIREWALL_USERS
       WHERE USERHOST = 'fwuser@localhost';
+-----------+
| MODE      |
+-----------+
| RECORDING |
+-----------+
mysql> SELECT RULE FROM INFORMATION_SCHEMA.MYSQL_FIREWALL_WHITELIST
       WHERE USERHOST = 'fwuser@localhost';
+-----------------------------------------------------------------------------+
| RULE                                                                        |
+-----------------------------------------------------------------------------+
| SELECT `first_name` , `last_name` FROM `customer` WHERE `customer_id` = ?   |
| SELECT `get_customer_balance` ( ? , NOW ( ) )                               |
| UPDATE `rental` SET `return_date` = NOW ( ) WHERE `rental_id` = ?           |
| SELECT @@`version_comment` LIMIT ?                                          |
+-----------------------------------------------------------------------------+
```

   Note

   The `@@version_comment` rule comes from a statement sent automatically by the `mysql` client when you connect to the server.

   Important

   Train the firewall under conditions matching application use. For example, to determine server characteristics and capabilities, a given MySQL connector might send statements to the server at the beginning of each session. If an application normally is used through that connector, train the firewall using the connector, too. That enables those initial statements to become part of the allowlist for the account profile associated with the application.

5. Invoke `sp_set_firewall_mode()` again, this time switching the account profile to `PROTECTING` mode:

```
CALL mysql.sp_set_firewall_mode('fwuser@localhost', 'PROTECTING');
```

> **Important**
>
> Switching the account profile out of `RECORDING` mode synchronizes its cached data to the `mysql` system database tables that provide persistent underlying storage. If you do not switch the mode for a profile that is being recorded, the cached data is not written to persistent storage and is lost when the server is restarted.

6. Test the account profile by using the account to execute some acceptable and unacceptable statements. The firewall matches each statement from the account against the profile allowlist and accepts or rejects it:

   - This statement is not identical to a training statement but produces the same normalized statement as one of them, so the firewall accepts it:

```
mysql> SELECT first_name, last_name FROM customer WHERE customer_id = '48';
+------------+-----------+
| first_name | last_name |
+------------+-----------+
| ANN        | EVANS     |
+------------+-----------+
```

   - These statements match nothing in the allowlist, so the firewall rejects each with an error:

```
mysql> SELECT first_name, last_name FROM customer WHERE customer_id = 1 OR TRUE;
ERROR 1045 (28000): Statement was blocked by Firewall
mysql> SHOW TABLES LIKE 'customer%';
ERROR 1045 (28000): Statement was blocked by Firewall
mysql> TRUNCATE TABLE mysql.slow_log;
ERROR 1045 (28000): Statement was blocked by Firewall
```

   - If the `mysql_firewall_trace` system variable is enabled, the firewall also writes rejected statements to the error log. For example:

```
[Note] Plugin MYSQL_FIREWALL reported:
'ACCESS DENIED for fwuser@localhost. Reason: No match in allowlist.
Statement: TRUNCATE TABLE `mysql` . `slow_log`'
```

     These log messages may be helpful in identifying the source of attacks, should that be necessary.

The firewall account profile now is trained for the `fwuser@localhost` account. When clients connect using that account and attempt to execute statements, the profile protects MySQL against statements not matched by the profile allowlist.

It is possible to detect intrusions by logging nonmatching statements as suspicious without denying access. First, put the account profile in `DETECTING` mode:

```
CALL mysql.sp_set_firewall_mode('fwuser@localhost', 'DETECTING');
```

Then, using the account, execute a statement that does not match the account profile allowlist. In `DETECTING` mode, the firewall permits the nonmatching statement to execute:

```
mysql> SHOW TABLES LIKE 'customer%';
+-----------------------------+
| Tables_in_sakila (customer%) |
+-----------------------------+
| customer                    |
| customer_list               |
+-----------------------------+
```

In addition, the firewall writes a message to the error log:

```
[Note] Plugin MYSQL_FIREWALL reported:
'SUSPICIOUS STATEMENT from 'fwuser@localhost'. Reason: No match in allowlist.
Statement: SHOW TABLES LIKE ?'
```

To disable an account profile, change its mode to `OFF`:

```
CALL mysql.sp_set_firewall_mode(user, 'OFF');
```

To forget all training for a profile and disable it, reset it:

```
CALL mysql.sp_set_firewall_mode(user, 'RESET');
```

The reset operation causes the firewall to delete all rules for the profile and set its mode to `OFF`.

Monitoring the Firewall

To assess firewall activity, examine its status variables. For example, after performing the procedure shown earlier to train and protect the `fwgrp` group profile, the variables look like this:

```
mysql> SHOW GLOBAL STATUS LIKE 'Firewall%';
+----------------------------+-------+
| Variable_name              | Value |
+----------------------------+-------+
| Firewall_access_denied     | 3     |
| Firewall_access_granted    | 4     |
| Firewall_access_suspicious | 1     |
| Firewall_cached_entries    | 4     |
+----------------------------+-------+
```

The variables indicate the number of statements rejected, accepted, logged as suspicious, and added to the cache, respectively. The `Firewall_access_granted` count is 4 because of the `@@version_comment` statement sent by the `mysql` client each of the three times you connected using the registered account, plus the `SHOW TABLES` statement that was not blocked in `DETECTING` mode.

Migrating Account Profiles to Group Profiles

Prior to MySQL 8.0.23, MySQL Enterprise Firewall supports only account profiles that each apply to a single account. As of MySQL 8.0.23, the firewall also supports group profiles that each can apply to multiple accounts. A group profile enables easier administration when the same allowlist is to be applied to multiple accounts: instead of creating one account profile per account and duplicating the allowlist across all those profiles, create a single group profile and make the accounts members of it. The group allowlist then applies to all the accounts.

A group profile with a single member account is logically equivalent to an account profile for that account, so it is possible to administer the firewall using group profiles exclusively, rather than a mix of account and group profiles. For new firewall installations, that is accomplished by uniformly creating new profiles as group profiles and avoiding account profiles.

Due to the greater flexibility offered by group profiles, it is recommended that all new firewall profiles be created as group profiles. Account profiles are deprecated as of MySQL 8.0.26 and subject to removal in a future MySQL version. For upgrades from firewall installations that already contain account profiles, MySQL Enterprise Firewall in MySQL 8.0.26 and higher includes a stored procedure named `sp_migrate_firewall_user_to_group()` to help you convert account profiles to group profiles. To use it, perform the following procedure as a user who has the `FIREWALL_ADMIN` privilege:

1. Run the `firewall_profile_migration.sql` script to install the `sp_migrate_firewall_user_to_group()` stored procedure. The script is located in the `share` directory of your MySQL installation.

   ```
   $> mysql -u root -p < firewall_profile_migration.sql
   Enter password: (enter root password here)
   ```

2. Identify which account profiles exist by querying the Information Schema `MYSQL_FIREWALL_USERS` table. For example:

```
mysql> SELECT USERHOST FROM INFORMATION_SCHEMA.MYSQL_FIREWALL_USERS;
+------------------------------+
| USERHOST                     |
+------------------------------+
| admin@localhost              |
| local_client@localhost       |
| remote_client@abc.example.com |
+------------------------------+
```

3. For each account profile identified by the previous step, convert it to a group profile:

```
CALL mysql.sp_migrate_firewall_user_to_group('admin@localhost', 'admins');
CALL mysql.sp_migrate_firewall_user_to_group('local_client@localhost', 'local_clients');
CALL mysql.sp_migrate_firewall_user_to_group('remote_client@localhost', 'remote_clients');
```

   In each case, the account profile must exist and must not currently be in `RECORDING` mode, and the group profile must not already exist. The resulting group profile has the named account as its single enlisted member, which is also set as the group training account. The group profile operational mode is taken from the account profile operational mode.

4. (Optional) Remove `sp_migrate_firewall_user_to_group()`:

```
DROP PROCEDURE IF EXISTS mysql.sp_migrate_firewall_user_to_group;
```

For additional details about `sp_migrate_firewall_user_to_group()`, see Firewall Miscellaneous Stored Procedures.

6.7.4 MySQL Enterprise Firewall Reference

The following sections provide a reference to MySQL Enterprise Firewall elements:

- MySQL Enterprise Firewall Tables
- MySQL Enterprise Firewall Stored Procedures
- MySQL Enterprise Firewall Administrative Functions
- MySQL Enterprise Firewall System Variables
- MySQL Enterprise Firewall Status Variables

MySQL Enterprise Firewall Tables

MySQL Enterprise Firewall maintains profile information on a per-group and per-account basis, using tables in the firewall database for persistent storage and Information Schema and Performance Schema tables to provide views into in-memory cached data. When enabled, the firewall bases operational decisions on the cached data. The firewall database can be the `mysql` system database or a custom schema (see Installing MySQL Enterprise Firewall).

Tables in the firewall database are covered in this section. For information about MySQL Enterprise Firewall Information Schema and Performance Schema tables, see INFORMATION_SCHEMA MySQL Enterprise Firewall Tables, and Performance Schema Firewall Tables, respectively.

- Firewall Group Profile Tables
- Firewall Account Profile Tables

Firewall Group Profile Tables

As of MySQL 8.0.23, MySQL Enterprise Firewall maintains group profile information using tables in the `mysql` system database for persistent storage and Performance Schema tables to provide views into in-memory cached data.

Each system and Performance Schema table is accessible only by accounts that have the `SELECT` privilege for it.

The `mysql.firewall_groups` table lists names and operational modes of registered firewall group profiles. The table has the following columns (with the corresponding Performance Schema `firewall_groups` table having similar but not necessarily identical columns):

- `NAME`

  The group profile name.

- `MODE`

  The current operational mode for the profile. Permitted mode values are `OFF`, `DETECTING`, `PROTECTING`, and `RECORDING`. For details about their meanings, see Firewall Concepts.

- `USERHOST`

  The training account for the group profile, to be used when the profile is in `RECORDING` mode. The value is `NULL`, or a non-`NULL` account that has the format *`user_name@host_name`*:

  - If the value is `NULL`, the firewall records allowlist rules for statements received from any account that is a member of the group.
  - If the value is non-`NULL`, the firewall records allowlist rules only for statements received from the named account (which should be a member of the group).

The `mysql.firewall_group_allowlist` table lists allowlist rules of registered firewall group profiles. The table has the following columns (with the corresponding Performance Schema `firewall_group_allowlist` table having similar but not necessarily identical columns):

- `NAME`

  The group profile name.

- `RULE`

  A normalized statement indicating an acceptable statement pattern for the profile. A profile allowlist is the union of its rules.

- `ID`

  An integer column that is a primary key for the table.

The `mysql.firewall_membership` table lists the members (accounts) of registered firewall group profiles. The table has the following columns (with the corresponding Performance Schema `firewall_membership` table having similar but not necessarily identical columns):

- `GROUP_ID`

  The group profile name.

- `MEMBER_ID`

  The name of an account that is a member of the profile.

Firewall Account Profile Tables

MySQL Enterprise Firewall maintains account profile information using tables in the `mysql` system database for persistent storage and `INFORMATION_SCHEMA` tables to provide views into in-memory cached data.

Each `mysql` system database table is accessible only by accounts that have the `SELECT` privilege for it. The `INFORMATION_SCHEMA` tables are accessible by anyone.

As of MySQL 8.0.26, these tables are deprecated and subject to removal in a future MySQL version. See Migrating Account Profiles to Group Profiles.

The `mysql.firewall_users` table lists names and operational modes of registered firewall account profiles. The table has the following columns (with the corresponding `MYSQL_FIREWALL_USERS` table having similar but not necessarily identical columns):

- `USERHOST`

  The account profile name. Each account name has the format *`user_name@host_name`*.

- `MODE`

  The current operational mode for the profile. Permitted mode values are `OFF`, `DETECTING`, `PROTECTING`, `RECORDING`, and `RESET`. For details about their meanings, see Firewall Concepts.

The `mysql.firewall_whitelist` table lists allowlist rules of registered firewall account profiles. The table has the following columns (with the corresponding `MYSQL_FIREWALL_WHITELIST` table having similar but not necessarily identical columns):

- `USERHOST`

  The account profile name. Each account name has the format *`user_name@host_name`*.

- `RULE`

  A normalized statement indicating an acceptable statement pattern for the profile. A profile allowlist is the union of its rules.

- `ID`

  An integer column that is a primary key for the table. This column was added in MySQL 8.0.12.

MySQL Enterprise Firewall Stored Procedures

MySQL Enterprise Firewall stored procedures perform tasks such as registering profiles with the firewall, establishing their operational mode, and managing transfer of firewall data between the cache and persistent storage. These procedures invoke administrative functions that provide an API for lower-level tasks.

Firewall stored procedures are created in the `mysql` system database. To invoke a firewall stored procedure, either do so while `mysql` is the default database, or qualify the procedure name with the database name. For example:

```
CALL mysql.sp_set_firewall_group_mode(group, mode);
```

- Firewall Group Profile Stored Procedures
- Firewall Account Profile Stored Procedures
- Firewall Miscellaneous Stored Procedures

Firewall Group Profile Stored Procedures

These stored procedures perform management operations on firewall group profiles:

- `sp_firewall_group_delist(group, user)`

  This stored procedure removes an account from a firewall group profile.

  If the call succeeds, the change in group membership is made to both the in-memory cache and persistent storage.

  Arguments:

- *group*: The name of the affected group profile.
- *user*: The account to remove, as a string in *user_name@host_name* format.

Example:

```
CALL sp_firewall_group_delist('g', 'fwuser@localhost');
```

This procedure was added in MySQL 8.0.23.

- `sp_firewall_group_enlist(group, user)`

  This stored procedure adds an account to a firewall group profile. It is not necessary to register the account itself with the firewall before adding the account to the group.

  If the call succeeds, the change in group membership is made to both the in-memory cache and persistent storage.

  Arguments:

  - *group*: The name of the affected group profile.
  - *user*: The account to add, as a string in *user_name@host_name* format.

  Example:

  ```
  CALL sp_firewall_group_enlist('g', 'fwuser@localhost');
  ```

  This procedure was added in MySQL 8.0.23.

- `sp_reload_firewall_group_rules(group)`

  This stored procedure provides control over firewall operation for individual group profiles. The procedure uses firewall administrative functions to reload the in-memory rules for a group profile from the rules stored in the `mysql.firewall_group_allowlist` table.

  Arguments:

  - *group*: The name of the affected group profile.

  Example:

  ```
  CALL sp_reload_firewall_group_rules('myapp');
  ```

  > **Warning**
  >
  > This procedure clears the group profile in-memory allowlist rules before reloading them from persistent storage, and sets the profile mode to `OFF`. If the profile mode was not `OFF` prior to the `sp_reload_firewall_group_rules()` call, use `sp_set_firewall_group_mode()` to restore its previous mode after reloading the rules. For example, if the profile was in `PROTECTING` mode, that is no longer true after calling `sp_reload_firewall_group_rules()` and you must set it to `PROTECTING` again explicitly.

  This procedure was added in MySQL 8.0.23.

- `sp_set_firewall_group_mode(group, mode)`

  This stored procedure establishes the operational mode for a firewall group profile, after registering the profile with the firewall if it was not already registered. The procedure also invokes firewall administrative functions as necessary to transfer firewall data between the cache and persistent

storage. This procedure may be called even if the `mysql_firewall_mode` system variable is `OFF`, although setting the mode for a profile has no operational effect until the firewall is enabled.

If the profile previously existed, any recording limitation for it remains unchanged. To set or clear the limitation, call `sp_set_firewall_group_mode_and_user()` instead.

Arguments:

- *`group`*: The name of the affected group profile.
- *`mode`*: The operational mode for the profile, as a string. Permitted mode values are `OFF`, `DETECTING`, `PROTECTING`, and `RECORDING`. For details about their meanings, see Firewall Concepts.

Example:

```
CALL sp_set_firewall_group_mode('myapp', 'PROTECTING');
```

This procedure was added in MySQL 8.0.23.

- `sp_set_firewall_group_mode_and_user(`*`group`*`, `*`mode`*`, `*`user`*`)`

  This stored procedure registers a group with the firewall and establishes its operational mode, similar to `sp_set_firewall_group_mode()`, but also specifies the training account to be used when the group is in `RECORDING` mode.

  Arguments:

  - *`group`*: The name of the affected group profile.
  - *`mode`*: The operational mode for the profile, as a string. Permitted mode values are `OFF`, `DETECTING`, `PROTECTING`, and `RECORDING`. For details about their meanings, see Firewall Concepts.
  - *`user`*: The training account for the group profile, to be used when the profile is in `RECORDING` mode. The value is `NULL`, or a non-`NULL` account that has the format *`user_name@host_name`*:
    - If the value is `NULL`, the firewall records allowlist rules for statements received from any account that is a member of the group.
    - If the value is non-`NULL`, the firewall records allowlist rules only for statements received from the named account (which should be a member of the group).

  Example:

  ```
  CALL sp_set_firewall_group_mode_and_user('myapp', 'RECORDING', 'myapp_user1@localhost');
  ```

  This procedure was added in MySQL 8.0.23.

Firewall Account Profile Stored Procedures

These stored procedures perform management operations on firewall account profiles:

- `sp_reload_firewall_rules(`*`user`*`)`

  This stored procedure provides control over firewall operation for individual account profiles. The procedure uses firewall administrative functions to reload the in-memory rules for an account profile from the rules stored in the `mysql.firewall_whitelist` table.

  Arguments:

  - *`user`*: The name of the affected account profile, as a string in *`user_name@host_name`* format.

Example:

```
CALL mysql.sp_reload_firewall_rules('fwuser@localhost');
```

> **Warning**
>
> This procedure clears the account profile in-memory allowlist rules before reloading them from persistent storage, and sets the profile mode to `OFF`. If the profile mode was not `OFF` prior to the `sp_reload_firewall_rules()` call, use `sp_set_firewall_mode()` to restore its previous mode after reloading the rules. For example, if the profile was in `PROTECTING` mode, that is no longer true after calling `sp_reload_firewall_rules()` and you must set it to `PROTECTING` again explicitly.

As of MySQL 8.0.26, this procedure is deprecated and subject to removal in a future MySQL version. See Migrating Account Profiles to Group Profiles.

- `sp_set_firewall_mode(`*`user`*`, `*`mode`*`)`

  This stored procedure establishes the operational mode for a firewall account profile, after registering the profile with the firewall if it was not already registered. The procedure also invokes firewall administrative functions as necessary to transfer firewall data between the cache and persistent storage. This procedure may be called even if the `mysql_firewall_mode` system variable is `OFF`, although setting the mode for a profile has no operational effect until the firewall is enabled.

  Arguments:

  - *`user`*: The name of the affected account profile, as a string in *`user_name@host_name`* format.
  - *`mode`*: The operational mode for the profile, as a string. Permitted mode values are `OFF`, `DETECTING`, `PROTECTING`, `RECORDING`, and `RESET`. For details about their meanings, see Firewall Concepts.

  Switching an account profile to any mode but `RECORDING` synchronizes its firewall cache data to the `mysql` system database tables that provide persistent underlying storage. Switching the mode from `OFF` to `RECORDING` reloads the allowlist from the `mysql.firewall_whitelist` table into the cache.

  If an account profile has an empty allowlist, its mode cannot be set to `PROTECTING` because the profile would reject every statement, effectively prohibiting the account from executing statements. In response to such a mode-setting attempt, the firewall produces a diagnostic message that is returned as a result set rather than as an SQL error:

```
mysql> CALL mysql.sp_set_firewall_mode('a@b','PROTECTING');
+-----------------------------------------------------------------------+
| set_firewall_mode(arg_userhost, arg_mode)                             |
+-----------------------------------------------------------------------+
| ERROR: PROTECTING mode requested for a@b but the allowlist is empty. |
+-----------------------------------------------------------------------+
```

  As of MySQL 8.0.26, this procedure is deprecated and subject to removal in a future MySQL version. See Migrating Account Profiles to Group Profiles.

Firewall Miscellaneous Stored Procedures

These stored procedures perform miscellaneous firewall management operations.

- `sp_migrate_firewall_user_to_group(`*`user`*`, `*`group`*`)`

  As of MySQL 8.0.26, account profiles are deprecated because group profiles can do anything account profiles can do. The `sp_migrate_firewall_user_to_group()` stored procedure converts a firewall account profile to a group profile with the account as its single enlisted member.

Run the `firewall_profile_migration.sql` script to install it. The conversion procedure is discussed in Migrating Account Profiles to Group Profiles.

This routine requires the `FIREWALL_ADMIN` privilege.

Arguments:

- *`user`*: The name of the account profile to convert to a group profile, as a string in *`user_name@host_name`* format. The account profile must exist, and must not currently be in `RECORDING` mode.
- *`group`*: The name of the new group profile, which must not already exist. The new group profile has the named account as its single enlisted member, and that member is set as the group training account. The group profile operational mode is taken from the account profile operational mode.

Example:

```
CALL sp_migrate_firewall_user_to_group('fwuser@localhost', 'mygroup);
```

This procedure was added in MySQL 8.0.26.

MySQL Enterprise Firewall Administrative Functions

MySQL Enterprise Firewall administrative functions provide an API for lower-level tasks such as synchronizing the firewall cache with the underlying system tables.

Under normal operation, these functions are invoked by the firewall stored procedures, not directly by users. For that reason, these function descriptions do not include details such as information about their arguments and return types.

- Firewall Group Profile Functions
- Firewall Account Profile Functions
- Firewall Miscellaneous Functions

Firewall Group Profile Functions

These functions perform management operations on firewall group profiles:

- `firewall_group_delist(`*`group`*`, `*`user`*`)`

  This function removes an account from a group profile. It requires the `FIREWALL_ADMIN` privilege.

  Example:

  ```
  SELECT firewall_group_delist('g', 'fwuser@localhost');
  ```

  This function was added in MySQL 8.0.23.

- `firewall_group_enlist(`*`group`*`, `*`user`*`)`

  This function adds an account to a group profile. It requires the `FIREWALL_ADMIN` privilege.

  It is not necessary to register the account itself with the firewall before adding the account to the group.

  Example:

  ```
  SELECT firewall_group_enlist('g', 'fwuser@localhost');
  ```

  This function was added in MySQL 8.0.23.

- `read_firewall_group_allowlist(group, rule)`

  This aggregate function updates the recorded-statement cache for the named group profile through a `SELECT` statement on the `mysql.firewall_group_allowlist` table. It requires the `FIREWALL_ADMIN` privilege.

  Example:

  ```
  SELECT read_firewall_group_allowlist('my_fw_group', fgw.rule)
  FROM mysql.firewall_group_allowlist AS fgw
  WHERE NAME = 'my_fw_group';
  ```

  This function was added in MySQL 8.0.23.

- `read_firewall_groups(group, mode, user)`

  This aggregate function updates the firewall group profile cache through a `SELECT` statement on the `mysql.firewall_groups` table. It requires the `FIREWALL_ADMIN` privilege.

  Example:

  ```
  SELECT read_firewall_groups('g', 'RECORDING', 'fwuser@localhost')
  FROM mysql.firewall_groups;
  ```

  This function was added in MySQL 8.0.23.

- `set_firewall_group_mode(group, mode[, user])`

  This function manages the group profile cache, establishes the profile operational mode, and optionally specifies the profile training account. It requires the `FIREWALL_ADMIN` privilege.

  If the optional *`user`* argument is not given, any previous *`user`* setting for the profile remains unchanged. To change the setting, call the function with a third argument.

  If the optional *`user`* argument is given, it specifies the training account for the group profile, to be used when the profile is in `RECORDING` mode. The value is `NULL`, or a non-`NULL` account that has the format *`user_name@host_name`*:

  - If the value is `NULL`, the firewall records allowlist rules for statements received from any account that is a member of the group.
  - If the value is non-`NULL`, the firewall records allowlist rules only for statements received from the named account (which should be a member of the group).

  Example:

  ```
  SELECT set_firewall_group_mode('g', 'DETECTING');
  ```

  This function was added in MySQL 8.0.23.

Firewall Account Profile Functions

These functions perform management operations on firewall account profiles:

- `read_firewall_users(user, mode)`

  This aggregate function updates the firewall account profile cache through a `SELECT` statement on the `mysql.firewall_users` table. It requires the `FIREWALL_ADMIN` privilege or the deprecated `SUPER` privilege.

  Example:

  ```
  SELECT read_firewall_users('fwuser@localhost', 'RECORDING')
  FROM mysql.firewall_users;
  ```

As of MySQL 8.0.26, this function is deprecated and subject to removal in a future MySQL version. See Migrating Account Profiles to Group Profiles.

- `read_firewall_whitelist(user, rule)`

  This aggregate function updates the recorded-statement cache for the named account profile through a `SELECT` statement on the `mysql.firewall_whitelist` table. It requires the `FIREWALL_ADMIN` privilege or the deprecated `SUPER` privilege.

  Example:

  ```
  SELECT read_firewall_whitelist('fwuser@localhost', fw.rule)
  FROM mysql.firewall_whitelist AS fw
  WHERE USERHOST = 'fwuser@localhost';
  ```

  As of MySQL 8.0.26, this function is deprecated and subject to removal in a future MySQL version. See Migrating Account Profiles to Group Profiles.

- `set_firewall_mode(user, mode)`

  This function manages the account profile cache and establishes the profile operational mode. It requires the `FIREWALL_ADMIN` privilege or the deprecated `SUPER` privilege.

  Example:

  ```
  SELECT set_firewall_mode('fwuser@localhost', 'RECORDING');
  ```

  As of MySQL 8.0.26, this function is deprecated and subject to removal in a future MySQL version. See Migrating Account Profiles to Group Profiles.

Firewall Miscellaneous Functions

These functions perform miscellaneous firewall operations:

- `mysql_firewall_flush_status()`

  This function resets several firewall status variables to 0:

  - `Firewall_access_denied`
  - `Firewall_access_granted`
  - `Firewall_access_suspicious`

  This function requires the `FIREWALL_ADMIN` privilege or the deprecated `SUPER` privilege.

  Example:

  ```
  SELECT mysql_firewall_flush_status();
  ```

- `normalize_statement(stmt)`

  This function normalizes an SQL statement into the digest form used for allowlist rules. It requires the `FIREWALL_ADMIN` privilege or the deprecated `SUPER` privilege.

  Example:

  ```
  SELECT normalize_statement('SELECT * FROM t1 WHERE c1 > 2');
  ```

  > **Note**
  >
  > The same digest functionality is available outside firewall context using the `STATEMENT_DIGEST_TEXT()` SQL function.

MySQL Enterprise Firewall System Variables

MySQL Enterprise Firewall supports the following system variables. Use them to configure firewall operation. These variables are unavailable unless the firewall is installed (see Section 6.7.2, “Installing or Uninstalling MySQL Enterprise Firewall”).

- `mysql_firewall_mode`

Command-Line Format	`--mysql-firewall-mode[={OFF|ON}]`
System Variable	`mysql_firewall_mode`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`ON`

Whether MySQL Enterprise Firewall is enabled (the default) or disabled.

- `mysql_firewall_trace`

Command-Line Format	`--mysql-firewall-trace[={OFF|ON}]`
System Variable	`mysql_firewall_trace`
Scope	Global
Dynamic	Yes
`SET_VAR` Hint Applies	No
Type	Boolean
Default Value	`OFF`

Whether the MySQL Enterprise Firewall trace is enabled or disabled (the default). When `mysql_firewall_trace` is enabled, for `PROTECTING` mode, the firewall writes rejected statements to the error log.

MySQL Enterprise Firewall Status Variables

MySQL Enterprise Firewall supports the following status variables. Use them to obtain information about firewall operational status. These variables are unavailable unless the firewall is installed (see Section 6.7.2, “Installing or Uninstalling MySQL Enterprise Firewall”). Firewall status variables are set to 0 whenever the `MYSQL_FIREWALL` plugin is installed or the server is started. Many of them are reset to zero by the `mysql_firewall_flush_status()` function (see MySQL Enterprise Firewall Administrative Functions).

- `Firewall_access_denied`

  The number of statements rejected by MySQL Enterprise Firewall.

- `Firewall_access_granted`

  The number of statements accepted by MySQL Enterprise Firewall.

- `Firewall_access_suspicious`

  The number of statements logged by MySQL Enterprise Firewall as suspicious for users who are in `DETECTING` mode.

- `Firewall_cached_entries`

The number of statements recorded by MySQL Enterprise Firewall, including duplicates.

Appendix A MySQL 8.0 FAQ: Security

Questions

- A.1: Where can I find documentation that addresses security issues for MySQL?
- A.2: What is the default authentication plugin in MySQL 8.0?
- A.3: Does MySQL have native support for SSL?
- A.4: Is SSL support built into MySQL binaries, or must I recompile the binary myself to enable it?
- A.5: Does MySQL have built-in authentication against LDAP directories?
- A.6: Does MySQL include support for Roles Based Access Control (RBAC)?
- A.7: Does MySQL support TLS 1.0 and 1.1?

Questions and Answers

A.1: Where can I find documentation that addresses security issues for MySQL?

The best place to start is Chapter 1, *Security*.

Other portions of the MySQL Documentation which you may find useful with regard to specific security concerns include the following:

- Section 2.1, “Security Guidelines”.
- Section 2.3, “Making MySQL Secure Against Attackers”.
- How to Reset the Root Password.
- Section 2.5, “How to Run MySQL as a Normal User”.
- Section 2.4, “Security-Related mysqld Options and Variables”.
- Section 2.6, “Security Considerations for LOAD DATA LOCAL”.
- Chapter 3, *Postinstallation Setup and Testing*.
- Chapter 5, *Using Encrypted Connections*.
- Loadable Function Security Precautions.

There is also the Secure Deployment Guide, which provides procedures for deploying a generic binary distribution of MySQL Enterprise Edition Server with features for managing the security of your MySQL installation.

A.2: What is the default authentication plugin in MySQL 8.0?

The default authentication plugin as of MySQL 8.0 is `caching_sha2_password`. For information about this plugin, see Section 6.1.2, “Caching SHA-2 Pluggable Authentication”.

The `caching_sha2_password` plugin provides more secure password encryption than the deprecated `mysql_native_password` plugin (the default plugin in MySQL versions before MySQL 8.0). For information about the implications of this change of default plugin for server operation and compatibility of the server with clients and connectors, see caching_sha2_password as the Preferred Authentication Plugin.

For general information about pluggable authentication and other available authentication plugins, see Section 4.17, “Pluggable Authentication”, and Section 6.1, “Authentication Plugins”.

A.3: Does MySQL have native support for SSL?

Yes, the binaries have support for SSL connections between the client and server. See Chapter 5, *Using Encrypted Connections*.

You can also tunnel a connection using SSH, if (for example) the client application does not support SSL connections. For an example, see Section 5.4, “Connecting to MySQL Remotely from Windows with SSH”.

A.4: Is SSL support built into MySQL binaries, or must I recompile the binary myself to enable it?

Yes, the binaries have SSL enabled for client/server connections that are secured, authenticated, or both. See Chapter 5, *Using Encrypted Connections*.

A.5: Does MySQL have built-in authentication against LDAP directories?

The Enterprise edition includes a PAM Authentication Plugin that supports authentication against an LDAP directory.

A.6: Does MySQL include support for Roles Based Access Control (RBAC)?

Not at this time.

A.7: Does MySQL support TLS 1.0 and 1.1?

Support for the TLSv1 and TLSv1.1 connection protocols is removed as of MySQL 8.0.28. The protocols were deprecated from MySQL 8.0.26. For the consequences of that removal, see Removal of Support for the TLSv1 and TLSv1.1 Protocols.

Support for TLS versions 1.0 and 1.1 is removed because those protocol versions are old, released in 1996 and 2006, respectively. The algorithms used are weak and outdated.

Unless you are using very old versions of MySQL Server or connectors, you are unlikely to have connections using TLS 1.0 or 1.1. MySQL connectors and clients select the highest TLS version available by default.

When was support for TLS 1.2 added to MySQL Server? MySQL Community Server added TLS 1.2 support when the community server switched to OpenSSL for MySQL 5.6, 5.7, and 8.0 in 2019. For MySQL Enterprise Edition, OpenSSL added TLS 1.2 support in 2015, in MySQL Server 5.7.10.

How can one view which TLS versions are in active use? For MySQL 5.7 or 8.0, review whether TLS 1.0 or 1.1 is in use by running this query:

```
SELECT
  `session_ssl_status`.`thread_id`, `session_ssl_status`.`ssl_version`,
  `session_ssl_status`.`ssl_cipher`, `session_ssl_status`.`ssl_sessions_reused`
FROM `sys`.`session_ssl_status`
WHERE ssl_version NOT IN ('TLSv1.3','TLSv1.2');
```

If a thread using TLSv1.0 or TLSv1.1 is listed, you can determine where this connection is coming from by running this query:

```
SELECT thd_id,conn_id, user, db, current_statement, program_name
FROM sys.processlist
WHERE thd_id IN (
                  SELECT `session_ssl_status`.`thread_id`
                  FROM `sys`.`session_ssl_status`
                  WHERE ssl_version NOT IN ('TLSv1.3','TLSv1.2')
                );
```

Alternatively, you can run this query:

```
SELECT *
FROM sys.session
WHERE thd_id IN (
                  SELECT `session_ssl_status`.`thread_id`
                  FROM `sys`.`session_ssl_status`
                  WHERE ssl_version NOT IN ('TLSv1.3','TLSv1.2')
                );
```

These queries provide details needed to determine which application is not supporting TLS 1.2 or 1.3, and target upgrades for those.

Are there other options for testing for TLS 1.0 or 1.1? Yes, you can disable those versions prior to upgrading your server to a newer version. Explicitly specify which version to use, either in `mysql.cnf` (or `mysql.ini`) or by using `SET PERSIST`, for example: `--tls-version=TLSv12`.

Do all MySQL Connectors (5.7 and 8.0) support TLS 1.2 and higher? What about C and C++ applications using `libmysql`? For C and C++ applications using the community `libmysqlclient` library, use an OpenSSL-based library (that is, do *not* use YaSSL). Usage of OpenSSL was unified in 2018 (in MySQL 8.0.4 and 5.7.28, respectively). The same applies for Connector/ODBC and Connector/C++. To determine what library dependencies are used, run the following commands to see if OpenSSL is listed. On Linux, use this command:

```
$> sudo ldd usr/local/mysql/lib/libmysqlclient.a | grep -i openssl
```

On MacOS, use this command:

```
$> sudo otool -l /usr/local/mysql/lib/libmysqlclient.a | grep -i openssl
```

Check the documentation for each connector, but they do support TLS 1.2 and TLS 1.3.